# Higgs bundles and higher Teichmüller components 

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## Moduli space of representations

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- $\pi_{1}(S)$ fundamental group of $S$
- $G$ connected real semisimple Lie group (real or complex)


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- $\operatorname{Hom}\left(\pi_{1}(S), G\right)$ is an analytic variety, which is algebraic if $G$ is algebraic
- $G$ acts on $\operatorname{Hom}\left(\pi_{1}(S), G\right)$ by conjugation:

$$
(g \cdot \rho)(\gamma)=g \rho(\gamma) g^{-1} \text { for } g \in G, \rho \in \operatorname{Hom}\left(\pi_{1}(S), G\right)
$$

## Moduli space of representations

- $\rho$ is a reductive representation if composed with the adjoint representation in the Lie algebra of $G$, decomposes as a sum of irreducible representations
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## Moduli space of representations or character variety

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- Complex algebraic geometry approach: Higgs bundles


## Higgs bundles

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- $G$ complex semisimple Lie group
- $G^{\mathbb{R}} \subset G$ real form
- $H^{\mathbb{R}} \subset G^{\mathbb{R}} \quad$ maximal compact subgroup of $G^{\mathbb{R}}$


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- $X$ compact Riemann surface
- $G$ complex semisimple Lie group
- $G^{\mathbb{R}} \subset G$ real form
- $H^{\mathbb{R}} \subset G^{\mathbb{R}} \quad$ maximal compact subgroup of $G^{\mathbb{R}}$
- $\theta$ Cartan involution of $\mathfrak{g}^{\mathbb{R}}$, Lie algebra of $G^{\mathbb{R}}$, defining the Cartan decomposition:

$$
\mathfrak{g}^{\mathbb{R}}=\mathfrak{h}^{\mathbb{R}}+\mathfrak{m}^{\mathbb{R}}
$$

where $\mathfrak{h}^{\mathbb{R}}$ is the Lie algebra of $H^{\mathbb{R}}$
We have $\left[\mathfrak{m}^{\mathbb{R}}, \mathfrak{m}^{\mathbb{R}}\right] \subset \mathfrak{h}^{\mathbb{R}},\left[\mathfrak{h}^{\mathbb{R}}, \mathfrak{m}^{\mathbb{R}}\right] \subset \mathfrak{m}^{\mathbb{R}}$

- The Cartan decomposition is orthogonal with respect to the Killing form of $\mathfrak{g}^{\mathbb{R}}$
- Complexification of isotropy representation

Let $H$ and $\mathfrak{m}$ be the complexifications of $H^{\mathbb{R}}$ and $\mathfrak{m}^{\mathbb{R}}$ respectively

$$
\iota: H \rightarrow \mathrm{GL}(\mathfrak{m})
$$

## Higgs bundles

A $G^{\mathbb{R}}$-Higgs bundle on $X$ is a pair $(E, \varphi)$ consisting of

- $E$ a holomorphic principal $H$-bundle over $X$
- $\varphi$ a holomorphic section of $E(\mathfrak{m}) \otimes K$, where $E(\mathfrak{m})$ is the associated vector bundle with fibre $\mathfrak{m}$ via the complexified isotropy representation and $K$ is the canonical line bundle of $X$


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- There are notions of stability: consider for $s \in i \mathfrak{h}^{\mathbb{R}}$ :
- Parabolic subgroup $P_{s}=\left\{g \in H: e^{t s} g e^{-t s}\right.$ is bounded as $\left.t \rightarrow \infty\right\}$
- Character $\chi_{s}: \mathfrak{p}_{s} \rightarrow \mathbb{C}$ defined by $s\left(\mathfrak{p}_{s}\right.$ Lie algebra of $\left.P_{s}\right)$
- Subspace $\mathfrak{m}_{s}=\left\{Y \in \mathfrak{m}: \iota\left(e^{t s}\right) Y\right.$ is bounded as $\left.t \rightarrow \infty\right\}$
- For $\sigma$ a reduction of $E$ to $P_{s}$

$$
\operatorname{deg}(E)(\sigma, s):=\frac{i}{2 \pi} \int_{X} \chi_{s}(F)
$$

$F$ : curvature of a connection on the $P_{s^{-}}$-bundle defined by $\sigma$

## Higgs bundles

## Stability of $G^{\mathbb{R}}$-Higgs bundles

$(E, \varphi)$ is:

- stable if

$$
\operatorname{deg}(E)(\sigma, s)>0
$$

for any $s \in i \mathfrak{h}^{\mathbb{R}}$ and any holomorphic reduction $\sigma \in \Gamma\left(E\left(H / P_{s}\right)\right)$ such that $\varphi \in H^{0}\left(X, E_{\sigma}\left(\mathfrak{m}_{s}\right) \otimes K\right)$

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- polystable if $(E, \varphi)$ can be reduced to a $G^{\prime \mathbb{R}}$-Higgs bundle, with $G^{\prime \mathbb{R}} \subset G^{\mathbb{R}}$ reductive and $(E, \varphi)$ stable as a $G^{\prime \mathbb{R}}$-Higgs bundle


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The moduli space of polystable $G^{\mathbb{R}}$-Higgs bundles $\mathcal{M}\left(X, G^{\mathbb{R}}\right)$ is the set of isomorphism classes of polystable $G^{\mathbb{R}}$-Higgs bundles
- $\mathcal{M}\left(X, G^{\mathbb{R}}\right)$ is as complex algebraic variety


## Higgs bundles

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- When $G^{\mathbb{R}}$ is a clasical group we can formulate the theory in terms of vector bundles
- In this case $H^{\mathbb{R}}=\mathrm{SU}(n), H=\mathrm{SL}(n, \mathbb{C})$ and $\mathfrak{m}=\mathfrak{s l}(n, \mathbb{C})$ Hence, an $\operatorname{SL}(n, \mathbb{C})$-Higgs bundle is equivalent to a pair $(V, \varphi)$
$V$ rank $n$ holomorphic vector bundle with $\operatorname{det} V=\mathcal{O}$
$\varphi: V \rightarrow V \otimes K$ with $\operatorname{Tr} \varphi=0$
- $(V, \varphi)$ is stable:
$\operatorname{deg}\left(V^{\prime}\right)<0$ for every $V^{\prime} \subset V$ such that $\varphi\left(V^{\prime}\right) \subset V^{\prime} \otimes K$ $(V, \varphi)$ is polystable:
$(V, \varphi)=\oplus\left(V_{i}, \varphi_{i}\right)$ with $\operatorname{deg} V_{i}=0$ and $\left(V_{i}, \varphi_{i}\right)$ stable
- We recover the original notions introduced by Hitchin (1987)


## Higgs bundles

$G^{\mathbb{R}}=\mathrm{SU}(p, q)$

- In this case $H^{\mathbb{R}}=\mathrm{S}(\mathrm{U}(p) \times \mathrm{U}(q)), H=\mathrm{S}(\mathrm{GL}(p) \times \mathrm{GL}(q))$, and $\mathfrak{m}=\operatorname{Hom}\left(\mathbb{C}^{q}, \mathbb{C}^{p}\right) \oplus \operatorname{Hom}\left(\mathbb{C}^{p}, \mathbb{C}^{q}\right)$
Hence, an $\mathrm{SU}(p, q)$-Higgs bundle is equivalent to a tuple ( $V, W, \beta, \gamma$ )
$V$ and $W$ are rank $p$ and $q$ holomorphic vector bundles, respectively, with $\operatorname{det} V \otimes \operatorname{det} W=\mathcal{O}$ $\beta: W \rightarrow V \otimes K$ and $\gamma: V \rightarrow W \otimes K$


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$\beta: W \rightarrow V \otimes K$ and $\gamma: V \rightarrow W \otimes K$
- $(V, W, \beta, \gamma)$ is stable:
$\operatorname{deg}\left(V^{\prime}\right)+\operatorname{deg}\left(W^{\prime}\right)<0$ for every $V^{\prime} \subset V$ and $W^{\prime} \subset W$ such that $\beta\left(W^{\prime}\right) \subset V^{\prime} \otimes K$ and $\gamma\left(V^{\prime}\right) \subset W^{\prime} \otimes K$
$(V, W, \beta, \gamma)$ is polystable if the associated $\mathrm{SL}(p+q, \mathbb{C})$-Higgs bundle

$$
V \oplus W \quad \text { and } \quad \varphi=\left(\begin{array}{ll}
0 & \beta \\
\gamma & 0
\end{array}\right)
$$

is polystable

## Higgs bundles

## Theorem

A $G^{\mathbb{R}}$-Higgs $(E, \varphi)$ is polystable if and only if there exists a reduction $h$ of the structure group of $E$ from $H$ to $H^{\mathbb{R}}$, such that

$$
F_{h}-\left[\varphi, \tau_{h}(\varphi)\right]=0 \quad(\text { Hitchin equation })
$$

- $\tau_{h}: \Omega^{1,0}(E(\mathfrak{m})) \rightarrow \Omega^{0,1}(E(\mathfrak{m}))$ is the combination of the anti-holomorphic involution in $E(\mathfrak{m})$ defined by the compact real form at each point determined by $h$ and the conjugation of 1-forms
- $F_{h}$ is the curvature of the unique $H^{\mathbb{R}}$-connection compatible with the holomorphic structure of $E$


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Proved by: Hitchin (1987) for $G^{\mathbb{R}}=\mathrm{SL}(2, \mathbb{C})$, Simpson (1988) for general $G^{\mathbb{R}}$, and Bradlow-G-Mundet (2003) \&
G-Gothen-Mundet (2009) for general $G^{\mathbb{R}}$ (direct proof)

## Higgs bundles

## Non-abelian Hodge correspondence

Let $S$ be a smooth compact surface and $J$ be a complex structure on $S$. Let $X=(S, J)$. There is a homeomorphism

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\nabla=\bar{\partial}_{E}-\tau_{h}\left(\bar{\partial}_{E}\right)+\varphi-\tau_{h}(\varphi)
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- Converse: Existence of a harmonic metric on a reductive flat $G^{\mathbb{R}}$-bundle. Proved by Donaldson (1987) for $G^{\mathbb{R}}=\operatorname{SL}(2, \mathbb{C})$ and Corlette (1988) for real reductive $G^{\mathbb{R}}$


## Topological invariants

- Given $\rho: \pi_{1}(S) \rightarrow G^{\mathbb{R}}$, there is an associated flat $G^{\mathbb{R}}$-bundle on $S$, defined as $E_{\rho}=\widetilde{S} \times{ }_{\rho} G^{\mathbb{R}}(\widetilde{S}$ : universal cover of $S)$ : $\operatorname{Hom}\left(\pi_{1}(S), G^{\mathbb{R}}\right) / G^{\mathbb{R}} \cong H^{1}\left(S, G^{\mathbb{R}}\right)=$ iso. classes of flat $G^{\mathbb{R}}$-bundle


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- Let $\widetilde{G}^{\mathbb{R}}$ be the universal covering group of $G^{\mathbb{R}}$. We have an exact sequence

$$
1 \rightarrow \pi_{1}\left(G^{\mathbb{R}}\right) \rightarrow \widetilde{G}^{\mathbb{R}} \rightarrow G^{\mathbb{R}} \rightarrow 1
$$

which gives rise to the (pointed sets) cohomology sequence

$$
H^{1}\left(S, \widetilde{G}^{\mathbb{R}}\right) \rightarrow H^{1}\left(S, G^{\mathbb{R}}\right) \xrightarrow{c} H^{2}\left(S, \pi_{1}\left(G^{\mathbb{R}}\right)\right)
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- topological invariant of $\rho$ :

$$
c(\rho):=c\left(E_{\rho}\right) \in H^{2}\left(X, \pi_{1}\left(G^{\mathbb{R}}\right)\right) \cong \pi_{1}\left(G^{\mathbb{R}}\right)
$$

- We can define the subvariety

$$
\mathcal{R}_{c}\left(S, G^{\mathbb{R}}\right):=\left\{\rho \in \mathcal{R}\left(S, G^{\mathbb{R}}\right): c(\rho)=c\right\}
$$

## Topological invariants

- Similarly, we can define a topological invariant of a $G^{\mathbb{R}}$-Higgs bundle $(E, \varphi)$ over $X$ as the topological class of the $H$-bundle $E$ (recall $H^{\mathbb{R}} \subset G^{\mathbb{R}}$ is a maximal compact subgroup)


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- $H^{1}(X, \underline{H})=$ isomorphisms classes of $H$-bundles We have

$$
H^{1}(X, \underline{\widetilde{H}}) \rightarrow H^{1}(X, \underline{H}) \xrightarrow{c} H^{2}\left(X, \pi_{1}(H)\right)
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## Topological invariants

- Recall $\pi_{1}\left(G^{\mathbb{R}}\right) \cong \pi_{1}\left(H^{\mathbb{R}}\right) \cong \pi_{1}(H)$
- For $c \in \pi_{1}\left(G^{\mathbb{R}}\right) \cong \pi_{1}(H)$ we have de homeomorphism

$$
\mathcal{R}_{c}\left(S, G^{\mathbb{R}}\right) \cong \mathcal{M}_{c}\left(X, G^{\mathbb{R}}\right)
$$

## Theorem

If $G^{\mathbb{R}}$ is compact (Ramanathan, 1975) or complex (J. Li, 1993; G-Oliveira, 2017)

$$
\pi_{0}\left(\mathcal{R}\left(S, G^{\mathbb{R}}\right)\right)=\pi_{0}\left(\mathcal{M}\left(X, G^{\mathbb{R}}\right)\right) \cong \pi_{1}\left(G^{\mathbb{R}}\right)
$$

- The story is very different for non-compact real Lie groups (non-complex): The map

$$
\pi_{0}\left(\mathcal{R}\left(S, G^{\mathbb{R}}\right)\right)=\pi_{0}\left(\mathcal{M}\left(X, G^{\mathbb{R}}\right)\right) \rightarrow \pi_{1}\left(G^{\mathbb{R}}\right)
$$

is neither injective, nor surjective in general

## $G^{\mathbb{R}}=\operatorname{SL}(2, \mathbb{R})$

- The topological invariant of $\rho \in \mathcal{R}(S, \mathrm{SL}(2, \mathbb{R}))$ in this case is an integer (basically the Euler class) $d \in \mathbb{Z} \cong \pi_{1}\left(G^{\mathbb{R}}\right)$
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- An $\operatorname{SL}(2, \mathbb{R})$-Higgs bundle is a tuple $(L, \beta, \gamma)$ $L$ line bundle over $X \quad \beta \in H^{0}\left(X, L^{2} K\right)$ and $\gamma \in H^{0}\left(X, L^{-2} K\right)$
Equivalently it can be seen as an $\mathrm{SL}(2, \mathbb{C})$-Higgs bundle
$(V, \varphi)$ with $V=L \oplus L^{-1}$ and $\varphi=\left(\begin{array}{cc}0 & \beta \\ \gamma & 0\end{array}\right)$
- Milnor's inequality follows from the semistability of $(V, \varphi)$ (Hitchin, 1987)


## $G^{\mathbb{R}}=\operatorname{SL}(2, \mathbb{R})$

Theorem (Goldman, 1988; Hitchin 1987)

- $\mathcal{R}_{d}$ is connected if $|d|<g-1$
- $\mathcal{R}_{d}$ has $2^{2 g}$ connected components if $|d|=g-1$


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- Let $\mathcal{R}_{\text {max }}:=\mathcal{R}_{d}$ for $|d|=g-1$
- Each connected component of $\mathcal{R}_{\text {max }}$ consists entirely of Fuchsian representations (discrete and faithful) and can be identified with the Teichmüller space $\mathcal{T}=\mathcal{T}(S)$ of the surface $S$ (Goldman, 1980)


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- Each connected component of $\mathcal{R}_{\text {max }}$ consists entirely of Fuchsian representations (discrete and faithful) and can be identified with the Teichmüller space $\mathcal{T}=\mathcal{T}(S)$ of the surface $S$ (Goldman, 1980)
- Question: Are there other simple groups with similar features to those of $\operatorname{SL}(2, \mathbb{R})$. More precisely, whose moduli space has connected components not distinguished by the topological invariant and consisting entirely of discrete and faithful representations?
- Split real groups
- Non-compact groups of Hermitian type
- Split real form: in the Cartan decomposition $\mathfrak{g}^{\mathbb{R}}=\mathfrak{h}^{\mathbb{R}} \oplus \mathfrak{m}^{\mathbb{R}}$, the space $\mathfrak{m}^{\mathbb{R}}$ contains a maximal abelian subalgebra of $\mathfrak{g}^{\mathbb{R}}$
- Every complex semisimple Lie group has a split real form Examples: $\mathrm{SL}(n, \mathbb{R}), \mathrm{Sp}(2 n, \mathbb{R}), \mathrm{SO}(n, n), \mathrm{SO}(n, n+1)$
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- Consider $G^{\mathbb{R}}=\operatorname{SL}(n, \mathbb{R})$

A basis for the invariant polynomials on $\mathfrak{s l}(n, \mathbb{C})$ is provided by the coefficients of the characteristic polynomial of a trace-free matrix,

$$
\operatorname{det}(x-A)=x^{n}+p_{1}(A) x^{n-2}+\ldots+p_{n-1}(A)
$$

where $\operatorname{deg}\left(p_{i}\right)=i+1$.

- Consider the Hitchin map

$$
p: \mathcal{M}(X, \operatorname{SL}(n, \mathbb{C})) \rightarrow \bigoplus_{i=1}^{n-1} H^{0}\left(K^{i+1}\right)
$$

defined by $p(E, \varphi)=\left(p_{1}(\varphi), \ldots, p_{n-1}(\varphi)\right)$,

- Hitchin (1992) constructed a section of this map giving

$$
n-1
$$

an isomorphism between the vector space $\bigoplus H^{0}\left(K^{i+1}\right)$

$$
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$$

and a connected component of the moduli space $\mathcal{M}(X, \operatorname{SL}(n, \mathbb{R})) \subset \mathcal{M}(X, \operatorname{SL}(n, \mathbb{C}))$

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- General construction of a section of the Hitchin map for arbitrary $G^{\mathbb{R}}$ (G-Peón-Nieto-Ramanan, 2018)
- Every representation in the Hitchin component can be deformed to a representation factoring as $\pi_{1}(S) \rightarrow \mathrm{SL}(2, \mathbb{R}) \rightarrow G^{\mathbb{R}}$, where $\pi_{1}(S) \rightarrow \mathrm{SL}(2, \mathbb{R})$ is in a Teichmüller component and $\operatorname{SL}(2, \mathbb{R}) \rightarrow G^{\mathbb{R}}$ is the principal representation
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- The Hitchin component for $\operatorname{PSL}(3, \mathbb{R})$ parameterizes convex projective structures on the surface (Choi-Goldman, 1993)
- In general, the Hitchin component parameterizes certain type of geometric structures modeled on certain homogeneous spaces (Guichard-Wienhard, 2012)


## Non-compact real forms of Hermitian type

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- $G^{\mathbb{R}}$ of Hermitian type means that $G^{\mathbb{R}} / H^{\mathbb{R}}$ admits a complex structure compatible with the Riemannian structure of $G^{\mathbb{R}} / H^{\mathbb{R}}$, making $G^{\mathbb{R}} / H^{\mathbb{R}}$ a Kähler manifold


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- If $G^{\mathbb{R}}$ is simple the centre of $\mathfrak{h}^{\mathbb{R}}$ is one-dimensional and the almost complex structure on $G^{\mathbb{R}} / H^{\mathbb{R}}$ is defined by a generating element in $J \in Z\left(\mathfrak{h}^{\mathbb{R}}\right)$
- This complex structure defines a decomposition

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\mathfrak{m}=\mathfrak{m}_{+} \oplus \mathfrak{m}_{-}
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where $\mathfrak{m}_{+}$and $\mathfrak{m}_{-}$are the $(1,0)$ and the $(0,1)$ part of $\mathfrak{m}$ respectively

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- Two exceptional real forms of $E_{6}^{-14}$ and $E_{7}^{-25}$


## Non-compact real forms of Hermitian type

- Let $(E, \varphi)$ be a $G^{\mathbb{R}}$-Higgs bundle over $X$. The decomposition $\mathfrak{m}=\mathfrak{m}_{+} \oplus \mathfrak{m}_{-}$gives a vector bundle decomposition

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- Hence

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\varphi=(\beta, \gamma) \in H^{0}\left(X, E\left(\mathfrak{m}_{+}\right) \otimes K\right) \oplus H^{0}\left(X, E\left(\mathfrak{m}_{-}\right) \otimes K\right)
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- The torsion-free part of $\pi_{1}(H)$ is isomorphic to $\mathbb{Z}$ (most of the time $\left.\pi_{1}\left(H^{\mathbb{R}}\right) \cong \mathbb{Z}\right)$ and hence the topological invariant of either a representation of $\pi_{1}(X)$ in $G^{\mathbb{R}}$, or of a $G^{\mathbb{R}}$-Higgs bundle, is essentialy given by an integer $d \in \mathbb{Z}$, known as the Toledo invariant


## Non-compact real forms of Hermitian type

## Theorem (Milnor-Wood inequality)

The Toledo invariant $d$ satisfies

$$
|d| \leq \operatorname{rank}\left(G^{\mathbb{R}} / H^{\mathbb{R}}\right)(g-1)
$$

- Proved for the classical groups for representations by Domic-Toledo (1987) and for Higgs bundles by Bradlow-G-Gothen (2001)
- General proof for representations by

Burger-Iozzi-Wienhard (2003), and for Higgs bundles by Biquard-G-Rubio (2017)

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- $\mathcal{D}$ is called of tube type if it is biholomorphic to a tube $T_{\Omega}$ over a cone $\Omega$
- The Poincaré disc, the domain for $G^{\mathbb{R}}=\operatorname{SU}(1,1)$, is of tube type. The tube is the Poincaré upper-half plane and the biholomorphism is the Cayley transform


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- The Shilov boundary of $\mathcal{D}$ is the smallest closed subset $\check{S}$ of the topological boundary $\partial \mathcal{D}$ for which every function $f$ continuous on $\overline{\mathcal{D}}$ and holomorphic on $\mathcal{D}$ satisfies that

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|f(z)| \leq \max _{w \in \tilde{S}}|f(w)| \text { for every } z \in \mathcal{D}
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- $\mathcal{D}$ is of tube type if and only if $\check{S}$ is a compact symmetric space of the form $H^{\mathbb{R}} / H^{\mathbb{R}}$. In this case $\Omega=H_{*}^{\mathbb{R}} / H^{\prime \mathbb{R}}$ is its non-compact dual symmetric space


## Non-compact real forms of Hermitian type

- The symmetric spaces defined by $\mathrm{Sp}(2 n, \mathbb{R}), \mathrm{SO}_{0}(2, n)$ are of tube type.
- The symmetric space defined by $\mathrm{SU}(p, q)$ is of tube type if and only if $p=q$.
- The symmetric space defined by $\mathrm{SO}^{*}(2 n)$ is of tube type if and only if $n$ is even.
- The $E_{7}^{-25}$ Hermitian real form is of tube type
- The $E_{6}^{-14}$ Hermitian real form is not of tube type
- Every bounded symmetric domain has a maximal tube subdomain


## Non-compact real forms of Hermitian type

- Want to study the Maximal Toledo invariant moduli space in the tube case (non-tube reduces to the tube case)

$$
\mathcal{M}_{\max }\left(X, G^{\mathbb{R}}\right):=\mathcal{M}_{d}\left(X, G^{\mathbb{R}}\right) \text { for }|d|=\operatorname{rank}\left(G^{\mathbb{R}} / H^{\mathbb{R}}\right)(g-1)
$$

## Theorem (Cayley Correspondence)

Let $G^{\mathbb{R}}$ be a such $G^{\mathbb{R}} / H^{\mathbb{R}}$ is a Hermitian symmetric space of tube type, and let $\Omega=H_{*}^{\mathbb{R}} / H^{\prime \mathbb{R}}$ be the non-compact dual of the Shilov boundary $\check{S}=H^{\mathbb{R}} / H^{\mathbb{R}}$ of $G^{\mathbb{R}} / H^{\mathbb{R}}$. Then

$$
\mathcal{M}_{\max }\left(X, G^{\mathbb{R}}\right) \cong \mathcal{M}_{K^{2}}\left(X, H_{*}^{\mathbb{R}}\right)
$$

where $\mathcal{M}_{K^{2}}\left(H_{*}^{\mathbb{R}}\right)$ is the moduli space of $K^{2}$-twisted $H_{*}^{\mathbb{R}}$-Higgs bundles

- Proved for the classical groups by Bradlow-G-Gothen (2006) G-Gothen-Mundet (2013) $\left(G^{\mathbb{R}}=\operatorname{Sp}(2 n, \mathbb{R})\right)$
- General case proved by Biquard-G-Rubio (2017)


## Non-compact real forms of Hermitian type

- The connected components of $\mathcal{M}\left(X, G^{\mathbb{R}}\right)$ are not fully distinguished by the usual topological invariants. The dual group $H_{*}^{\mathbb{R}}$ detects new hidden invariants (for example for $G^{\mathbb{R}}=\operatorname{Sp}(2 n, \mathbb{R}), H_{*}^{\mathbb{R}}=\operatorname{GL}(n, \mathbb{R})$ - Stiefel-Whitney classes
- $\mathcal{R}_{\max }\left(S, G^{\mathbb{R}}\right)$ consists entirely of discrete and faithful representations (Burger-Iozzi-Labourie-Wienhard, 2006)
- The mapping class group of $S$ acts properly on $\mathcal{R}_{\text {max }}\left(S, G^{\mathbb{R}}\right)$ (Wienhard, 2006)
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- We define a higher Teichmüller component of $\mathcal{R}\left(S, G^{\mathbb{R}}\right)$ or $\mathcal{M}\left(X, G^{\mathbb{R}}\right)$ as one that has this kind of properties
- Question: Are there other groups besides split and hermitian real forms for which higher Teichmüller components exist?


## Higher Teichmüller components for $G^{\mathbb{R}}=\mathrm{SO}(p, q)$

- Joint work with M. Aparicio, S. Bradlow, B. Collier, P. Gothen and A. Oliveira, Comptes Rendus Mathematiques (2018), and Inventiones Math. (2019)
- $\mathrm{SO}(p, q)$-Higgs bundle: triple $(V, W, \eta)$ where $V$ and $W$ are respectively rank $p$ and rank $q$ vector bundles with orthogonal structures such that $\operatorname{det}(W) \simeq \operatorname{det}(V)$, and $\eta: W \rightarrow V \otimes K$


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- For $p>2$, rank $p$ orthogonal bundles on $X$ are classified topologically by their first and second Stiefel-Whitney classes, $s w_{1} \in H^{1}\left(X, \mathbb{Z}_{2}\right)$ and $s w_{2} \in H^{2}\left(X, \mathbb{Z}_{2}\right)$


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- For $p>2$, rank $p$ orthogonal bundles on $X$ are classified topologically by their first and second Stiefel-Whitney classes, $s w_{1} \in H^{1}\left(X, \mathbb{Z}_{2}\right)$ and $s w_{2} \in H^{2}\left(X, \mathbb{Z}_{2}\right)$
- Since $\operatorname{det}(W) \simeq \operatorname{det}(V) s w_{1}(V)=s w_{1}(W)$, the components of the moduli space $\mathcal{M}(\mathrm{SO}(p, q))$ are thus partially labeled by triples $(a, b, c) \in \mathbb{Z}_{2}^{2 g} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2}$, where $a=s w_{1}(V) \in H^{1}\left(X, \mathbb{Z}_{2}\right), b=s w_{2}(V) \in H^{2}\left(X, \mathbb{Z}_{2}\right)$, and $c=s w_{2}(W) \in H^{2}\left(X, \mathbb{Z}_{2}\right)$


## Higher Teichmüller components for $G^{\mathbb{R}}=\mathrm{SO}(p, q)$

$$
\mathcal{M}(\mathrm{SO}(p, q))=\coprod_{(a, b, c) \in \mathbb{Z}_{2}^{2 g} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2}} \mathcal{M}^{a, b, c}(\mathrm{SO}(p, q))
$$

## Theorem

Assume that $2<p \leq q$. For every $(a, b, c) \in \mathbb{Z}_{2}^{2 g} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2}$ the space $\mathcal{M}^{a, b, c}(\mathrm{SO}(p, q))$ has a non-empty connected component denoted by $\mathcal{M}_{\text {top }}^{a, b, c}(\mathrm{SO}(p, q))$

- Define

$$
\mathcal{M}_{\mathrm{top}}(\mathrm{SO}(p, q))=\coprod_{a, b, c} \mathcal{M}_{\mathrm{top}}^{a, b, c}(\mathrm{SO}(p, q))
$$

- Our main result shows that the moduli space $\mathcal{M}(\mathrm{SO}(p, q))$ has additional exotic components disjoint from the components of $\mathcal{M}_{\text {top }}(\mathrm{SO}(p, q))$


## Higher Teichmüller components for $G^{\mathbb{R}}=\mathrm{SO}(p, q)$

## Theorem (Generalized Cayley Correspondence)

Fix integers $(p, q)$ such that $2<p<q-1$. For each choice of $a \in \mathbb{Z}_{2}^{2 g}$ and $c \in \mathbb{Z}_{2}$, the moduli space $\mathcal{M}(\mathrm{SO}(p, q))$ has a connected component disjoint from $\mathcal{M}_{\text {top }}(\mathrm{SO}(p, q))$. This component is isomorphic to

$$
\mathcal{M}_{K^{p}}^{a, c}(\mathrm{SO}(1, q-p+1)) \times H^{0}\left(K^{2}\right) \times \cdots \times H^{0}\left(K^{2 p-2}\right)
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$$

- Conjecture: These exotic components are higher Teichmüller components (i.e. consist entirely of discrete and faithful representations)
- Evidence: Notion of positivity recently introduced by Guichard and Wienhard
- The only classical groups admiting positive structures are: split groups, hermitian groups of tube type and groups locally isomorphic to $\mathrm{SO}(p, q)!!!$


## Anosov and positive representations

- Let $P \subset G^{\mathbb{R}}$ be a parabolic subgroup. Let $L \subset P$ be the Levi factor of $P$. The homogeneous space $G^{\mathbb{R}} / L$ is the unique open $G^{\mathbb{R}}$ orbit in $G^{\mathbb{R}} / P \times G^{\mathbb{R}} / P$, and points $(x, y) \in G^{\mathbb{R}} / P \times G^{\mathbb{R}} / P$ in this open orbit are called transverse.
- Let $\partial_{\infty} \pi_{1}(S)$ be the Gromov boundary of $\pi_{1}(S)$. Topologically $\partial_{\infty} \pi_{1}(S) \cong \mathbb{R} \mathbb{P}^{1}$.


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- Let $\partial_{\infty} \pi_{1}(S)$ be the Gromov boundary of $\pi_{1}(S)$. Topologically $\partial_{\infty} \pi_{1}(S) \cong \mathbb{R} \mathbb{P}^{1}$.
- A representation $\rho: \pi_{1}(S) \rightarrow G^{\mathbb{R}}$ is $P$-Anosov if there exists a unique continuous boundary map
$\xi_{\rho}: \partial_{\infty} \pi_{1}(S) \rightarrow G^{\mathbb{R}} / P$ satisfying
- Equivariance: $\xi(\gamma \cdot x)=\rho(\gamma) \cdot \xi(x)$ for all $\gamma \in \pi_{1}(S)$ and all $x \in \partial_{\infty} \pi_{1}(S)$.
- Transversality: for all distinct $x, y \in \partial_{\infty} \pi_{1}(S)$ the generalized flags $\xi(x)$ and $\xi(y)$ are transverse.
- Dynamics preserving

The map $\xi_{\rho}$ is called the $P$-Anosov boundary curve

## Anosov and positive representations

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- Anosov representations are discrete and faithful and define an open subset of the moduli space of representations $\mathcal{R}\left(G^{\mathbb{R}}\right)$. The set of Anosov representations is however not closed.


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- The special cases of Hitchin representations and maximal representations define connected components of Anosov representations. Both Hitchin representations and maximal representations satisfy an additional "positivity" property which is a closed condition. For Hitchin representations this was proved by Labourie (2006) and
Fock-Goncharov (2006), and for maximal representations by Burger-Iozzi-Wienhard (2010).


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- The only classical groups admiting positive structures are: split groups, hermitian groups of tube type and groups locally isomorphic to $\mathrm{SO}(p, q)!!!$
- Higher Teichmüller components: connected components of $\mathcal{R}\left(S, G^{\mathbb{R}}\right)$ consisting of positive Anosov representations. These components are not labeled by primary topological invariants


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- It turns out that there is a larger class of groups for which there are components emerging from a generalized Cayley correspondence (Cayley components). These are groups corresponding to what we call magical nilpotents. These are precisely the groups admitting a positive structure.
- Conjecture: Higher Teichmüller components (:= those consisting of positive representations) coincide with
Cayley components,


## Nilpotents and embeddings of Teichmüller space

- $e \in \mathfrak{g}$ nilpotent i.e. $\operatorname{ad}_{e}: \mathfrak{g} \rightarrow \mathfrak{g}$ nilpotent endomorphism.
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- This gives $\iota_{e}: \mathcal{T} \hookrightarrow \mathcal{M}\left(G^{\mathbb{R}}\right)$ (where $\mathcal{T}$ be the Teichmüller component in $\mathcal{M}\left(\mathrm{PSL}_{2} \mathbb{R}\right)$ ), whose image depends only on the conjugacy class of $e$.


## Nilpotents and embeddings of Teichmüller space

- For most nilpotents, $\iota_{e}(\mathcal{T})$ lies in a connected component of $\mathcal{M}\left(G^{\mathbb{R}}\right)$ containing Higgs bundles with $\varphi \equiv 0$, corresponding to compact representations $\pi_{1}(S) \rightarrow H^{\mathbb{R}} \subset G^{\mathbb{R}}$, hence not discrete and faithful.


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- To every magical nilpotent of $\mathfrak{g}$ there is an associated canonical real form $\mathfrak{g}^{\mathbb{R}}$ of $\mathfrak{g}$.
- Before properly defining these objects, let us state our main results - joint with Steve Bradlow, Brian Collier, Peter Gothen and André Oliveira (BCGGO)


## The Theorems

## Theorem 1 (BCGGO)

A real form $G^{\mathbb{R}}$ is such that $\mathfrak{g}^{\mathbb{R}}$ arises as the canonical real form of a magical nilpotent of $\mathfrak{g}$ if and only if it is either:

- split;
- Hermitian of tube type;
- locally isomorphic to $\mathrm{SO}(p, q)$ with $1<p \leq q$;
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## Corollary

A real form $G^{\mathbb{R}}$ arises as the canonical real form of a magical nilpotent of $\mathfrak{g}$ if and only if it admits a positive structure.

## The Theorems

## Theorem 2 (BCGGO)

Let $e \in \mathfrak{g}$ be a magical nilpotent, with corresponding canonical real form $\mathfrak{g}^{\mathbb{R}}$. If $G^{\mathbb{R}}$ is a Lie group with Lie algebra $\mathfrak{g}^{\mathbb{R}}$, there exists a union of connected components $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ of $\mathcal{M}\left(G^{\mathbb{R}}\right)$ s.t.:

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- Higgs bundles in $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ have nowhere vanishing $\varphi$, so there are no representations in $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ which factor through the maximal compact $H^{\mathbb{R}} \subset G^{\mathbb{R}}$.


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- $\iota_{e}(\mathcal{T}(S)) \subset \mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ and an open set of $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ consists of positive representations.
- $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ can be parameterized as

$$
\mathcal{H}_{e}\left(G^{\mathbb{R}}\right) \cong \mathcal{M}_{K^{m_{c}+1}}\left(G_{\mathcal{C}}^{\mathbb{R}}\right) \times \bigoplus_{j=1, j \neq c}^{N} H^{0}\left(X, K^{m_{j}+1}\right)
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with $G_{\mathcal{C}}^{\mathbb{R}}$ a real Lie group - the Cayley partner of $G^{\mathbb{R}}$ and $m_{1}, \ldots, m_{N} \in \mathbb{N}$ depending only on (the conjugacy class of) $e$.

- The parameterization of Theorem 2 is given by a morphism

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\Psi: \mathcal{M}_{K^{m_{c}+1}}\left(G_{\mathcal{C}}^{\mathbb{R}}\right) \times \bigoplus_{j=1, j \neq c}^{N} H^{0}\left(X, K^{m_{j}+1}\right) \hookrightarrow \mathcal{M}\left(G^{\mathbb{R}}\right)
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- $G^{\mathbb{R}}$ split $\rightsquigarrow G_{\mathcal{C}}^{\mathbb{R}}=\mathbb{R}^{+}, m_{i}$ are the exponents of $\mathfrak{g}$ and $\Psi$ is just the Hitchin section.


## The Conjecture

Using our parameterization, we also proved that

## Theorem 3 (BCGGO)

There are no representations in $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ which factor through proper parabolic subgroups of $G^{\mathbb{R}}$.

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- The connected components of $\mathcal{H}_{e}\left(G^{\mathbb{R}}\right)$ are higher Teichmüller components.
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Rest of the talk: (1) define the objects appearing in Theorem $\mathbf{2 ;}(2)$ give an idea of the parametrization $\Psi$ of $\mathcal{H}_{e}\left(G_{\overline{\mathrm{R}}}^{\mathbb{R}}\right)$.

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- Two different decompositions of $\mathfrak{g}$ :
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\mathfrak{g}=\bigoplus_{j=0}^{N} W_{j}=W_{0} \oplus \bigoplus_{j=1}^{N} W_{j}
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$W_{j} \rightsquigarrow$ direct sum of $n_{j} \geq 0$ copies of the unique
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- $\mathfrak{g}^{e}=\operatorname{ker}\left(\operatorname{ad}_{e}\right) \rightsquigarrow$ Centralizer of $e$ :

$$
\mathfrak{g}^{e}=\oplus_{j=0}^{N} V_{j}
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with $V_{j}=W_{j} \cap \mathfrak{g}_{j}$ the highest weight subspaces $\left(V_{0}=W_{0}\right)$.

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- Define the vector space involution associated to the nilpotent $e \in \mathfrak{g}$

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\sigma_{e}: \mathfrak{g} \longrightarrow \mathfrak{g}
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\left.\sigma_{e}\right|_{W_{0}}=\mathrm{Id} ;\left.\quad \sigma_{e}\right|_{\mathrm{ad}_{f}^{k}\left(V_{j}\right)}=(-1)^{k+1} \mathrm{Id}, j \geq 1, k \geq 0 .
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## Definition

The nilpotent $e$ is magical if $\sigma_{e}$ is a Lie algebra involution.

- (Kostant, Hitchin): Every principal nilpotent is magical.
- $e$ magical $\rightsquigarrow \mathfrak{g}=\mathfrak{h} \oplus \mathfrak{m}\left( \pm 1\right.$-eigenspaces of $\left.\sigma_{e}\right) \rightsquigarrow$ real form $\mathfrak{g}^{\mathbb{R}}$ defined by $\mathfrak{g}^{\mathbb{R}}=\mathfrak{h}^{\mathbb{R}} \oplus \mathfrak{m}^{\mathbb{R}}$.


## Magical nilpotents

- Define the vector space involution associated to the nilpotent $e \in \mathfrak{g}$

$$
\sigma_{e}: \mathfrak{g} \longrightarrow \mathfrak{g}
$$

by

$$
\left.\sigma_{e}\right|_{W_{0}}=\mathrm{Id} ;\left.\quad \sigma_{e}\right|_{\mathrm{ad}_{f}^{k}\left(V_{j}\right)}=(-1)^{k+1} \mathrm{Id}, j \geq 1, k \geq 0 .
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- $\mathfrak{g}^{\mathbb{R}} \rightsquigarrow$ canonical real form associated to $e$.


## Examples of magical nilpotents

- $\mathfrak{g}=\mathfrak{s l}_{3} \mathbb{C}$;

$$
e=\left(\begin{array}{lll}
0 & 1 & 0 \\
0 & 0 & 1 \\
0 & 0 & 0
\end{array}\right) \quad f=\left(\begin{array}{lll}
0 & 0 & 0 \\
2 & 0 & 0 \\
0 & 2 & 0
\end{array}\right) \quad h=\left(\begin{array}{ccc}
2 & 0 & 0 \\
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In general, the canonical real form of the principal nilpotent is the split one.

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e=\left(\begin{array}{cc}
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$$

- The same nilpotent $e$ thought of as an element in $\mathfrak{g}=\mathfrak{s l}_{2 n} \mathbb{C}$ is also magical, and $\operatorname{dim} \mathfrak{h}^{\mathbb{R}}=2 n^{2}-1$ so $\mathfrak{g}^{\mathbb{R}}=\mathfrak{s u}{ }_{n, n}$.


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- $\left[Z_{2 m_{i}}, Z_{2 m_{j}}\right]=0$ for all $i \neq j$;
- $\exists$ at most one $c \in\{1, \ldots, N\}$ such that $\left[Z_{2 m_{c}}, Z_{2 m_{c}}\right] \neq 0$ ( $\Leftrightarrow n_{2 m_{c}}>1$ ).
- $\Longrightarrow Z_{2 m_{j}}=\mathbb{C}$ for $j \neq c$.


## The Cayley partner group

- Define a Lie algebra involution $\theta_{e}: \mathfrak{g}_{0} \rightarrow \mathfrak{g}_{0}$ (recall that $\mathfrak{g}_{0}=\mathfrak{g}^{h}$ ) by

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- Cayley partner of $G^{\mathbb{R}} \rightsquigarrow$ the group $G_{\mathcal{C}}^{\mathbb{R}}$ with Lie algebra $\mathfrak{g}_{\mathcal{C}}^{\mathbb{R}}$ and maximal compact the subgroup of $G^{\mathbb{R}}$ with Lie algebra $W_{0}^{\mathbb{R}}$.


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- Now we know the objects:

$$
\begin{aligned}
\mathcal{H}_{e}\left(G^{\mathbb{R}}\right) & \cong \mathcal{M}_{K^{m_{c}+1}}\left(G_{\mathcal{C}}^{\mathbb{R}}\right) \times \bigoplus_{j=1, j \neq c}^{N} H^{0}\left(X, K^{m_{j}+1}\right) \\
& =\mathcal{M}_{K^{m_{c}+1}}\left(G_{\mathcal{C}}^{\mathbb{R}}\right) \times \prod_{j=1, j \neq c}^{N} \mathcal{M}_{K^{m_{j}+1}}\left(\mathbb{R}^{+}\right)
\end{aligned}
$$

## Back to Higgs bundles

- $\left(E_{T}, f\right) \rightsquigarrow$ the $\mathrm{PSL}_{2} \mathbb{R}$-Higgs bundle induced by the $\mathrm{SL}_{2} \mathbb{R}$-Higgs bundle

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\left(K^{1 / 2} \oplus K^{-1 / 2}, f=\left(\begin{array}{ll}
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- $E_{T} \rightsquigarrow$ frame bundle of $K$, with structure group $T=\mathbb{C}^{*}$.
- $\mathfrak{t}=\langle h\rangle \subset \mathfrak{s l}_{2} \mathbb{C}$.
- $C \rightsquigarrow$ the subgroup of $G$ whose Lie algebra is $W_{0} \subset \mathfrak{g}$.
- $C$ is the complexification of the maximal compact subgroup of the Cayley partner $G_{\mathcal{C}}^{\mathbb{R}}$.


## The parametrization

$$
\Psi: \mathcal{M}_{K^{m_{c}+1}}\left(G_{\mathcal{C}}^{\mathbb{R}}\right) \times \bigoplus_{j=1, j \neq c}^{N} H^{0}\left(X, K^{m_{j}+1}\right) \longrightarrow \mathcal{M}\left(G^{\mathbb{R}}\right)
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& \left(\left(E_{C}, \psi_{m_{c}}\right), \psi_{m_{1}}, \ldots, \psi_{m_{N}}\right) \longmapsto\left(\left(E_{T} * E_{C}\right)(H), f+\sum_{j=1}^{N} \phi_{m_{j}}\right), \\
& \text { where } \phi_{m_{j}}=\operatorname{ad}_{f}^{-m_{j}}\left(\psi_{m_{j}}\right) \text { and }\left(E_{T} * E_{C}\right)(H) \text { is the } H \text {-bundle } \\
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where $\phi_{m_{j}}=\operatorname{ad}_{f}^{-m_{j}}\left(\psi_{m_{j}}\right)$ and $\left(E_{T} * E_{C}\right)(H)$ is the $H$-bundle obtained from extension of structure group to $H$. Note that:

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$$
\left(E_{T} * E_{C}\right)(\mathfrak{g})=\bigoplus\left(E_{T} * E_{C}\right)\left(\mathfrak{g}_{j}\right)
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- $\operatorname{ad}_{f}^{m_{j}}:\left(E_{T} * E_{C}\right)\left(V_{2 m_{j}}\right) \otimes K \xrightarrow{\cong}\left(E_{T} * E_{C}\right)\left(Z_{2 m_{j}}\right) \otimes K^{m_{j}+1}$;


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$\left(\left(E_{C}, \psi_{m_{c}}\right), \psi_{m_{1}}, \ldots, \psi_{m_{N}}\right) \longmapsto\left(\left(E_{T} * E_{C}\right)(H), f+\sum_{j=1}^{N} \phi_{m_{j}}\right)$, where $\phi_{m_{j}}=\operatorname{ad}_{f}^{-m_{j}}\left(\psi_{m_{j}}\right)$ and $\left(E_{T} * E_{C}\right)(H)$ is the $H$-bundle obtained from extension of structure group to $H$. Note that:

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## Theorem 4 (BCGGO)

$\Psi$ is an isomorphism onto its image, which is open and closed in $\mathcal{M}\left(G^{\mathbb{R}}\right)$.

