



# Analytic Conformal Bootstrap in 1D

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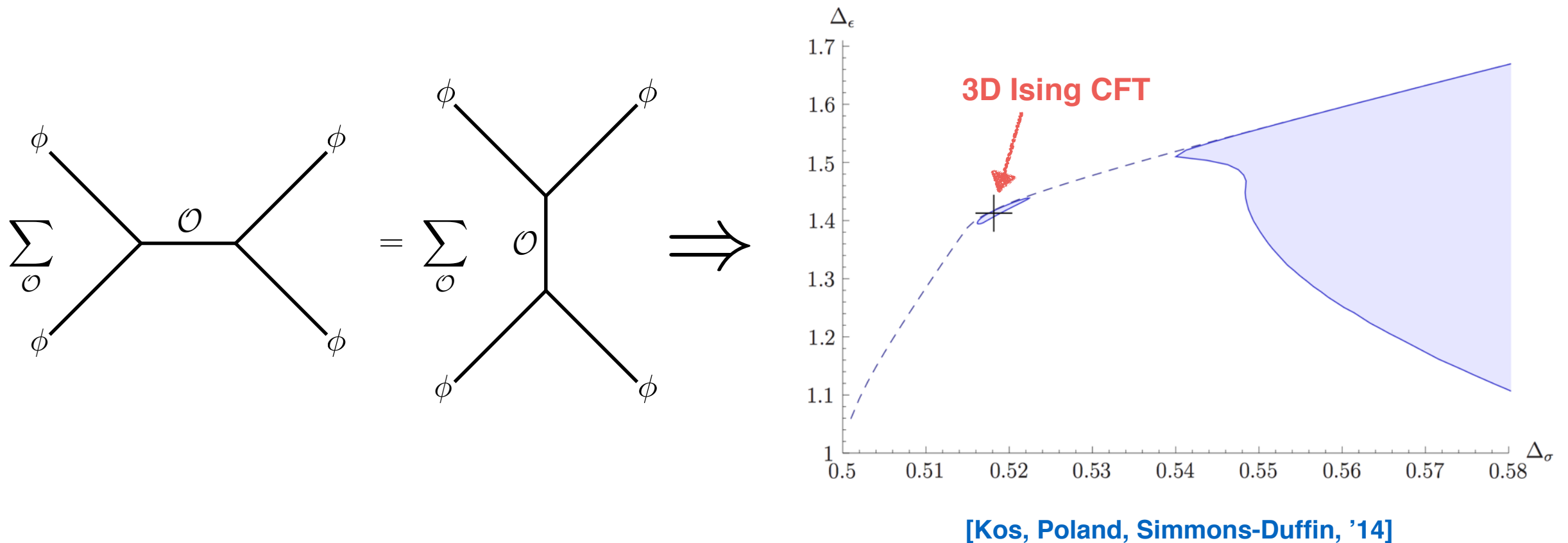
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work with M. Paulos (to appear)  
see also DM: [arXiv:1611.10060](https://arxiv.org/abs/1611.10060)

# Motivation

The conformal bootstrap equations place strong constraints on the CFT data.



So far, to a certain extent, a numerical black box

Can we understand the origin of these constraints analytically?  
What is the underlying structure?

# Analytic conformal bootstrap to date

2D CFT with  $0 < c < 1$



[Belavin, Polyakov, Zamolodchikov, '84]

The strongest result in general D: a Lorentzian inversion formula

[Caron-Huot, '17]

“The CFT data of exchanged operators with spin  $\geq 2$  are fixed by the singularity in the crossed channel.”



Unification of many results obtained using the large spin expansion

[Komargodski, Zhiboedov; Fitzpatrick, Kaplan, Poland, Simmons-Duffin; Alday, Bissi, Lukowski, Aharony, Perlmutter, ...]

A drawback: no straightforward constraints on the scalar spectrum

progress still can be made: [Simmons-Duffin, '17]

To understand the constraints on the spectrum of scalar operators, we will work in 1D, since there the spin is always zero.

See also a promising approach using Polyakov's unitary blocks

[Polyakov, '74; Gopakumar, Kaviraj, Sen, Sinha, Dey, Ghosh '16,17]

# Conformal symmetry in 1D

Spacetime acted on by the symmetry algebra  $so(1, 2) = sl(2, \mathbb{R})$

Generators  $P, D, K$  (translation, dilatation, special conformal transformation)

Primary operators  $\mathcal{O}_j(x)$ :  $[K, \mathcal{O}_j(0)] = 0$ ,  $[D, \mathcal{O}_j(0)] = \Delta_j \mathcal{O}_j(0)$

A theory completely specified by the CFT data  $\{\Delta_j\}$ ,  $\{c_{ijk}\}$   
structure constants

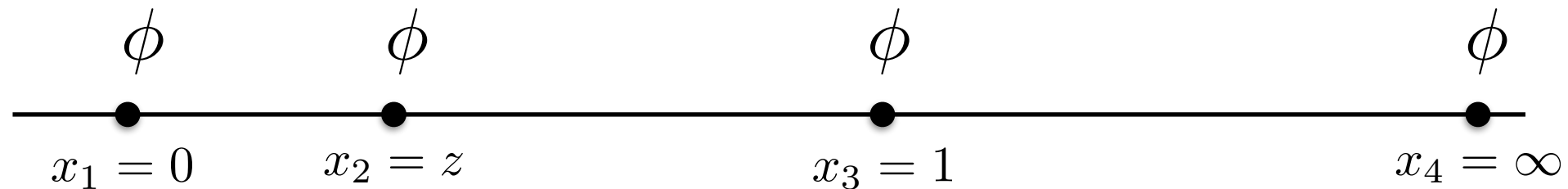
$T_{11} = 0 \Rightarrow$  no stress tensor  $\Rightarrow$  the theory must be non-local

There are many interesting examples of such theories:

- conformal boundaries, interfaces, line defects in higher-D CFTs
- cSYK model [\[Gross, Rosenhaus, '17\]](#)
- non-gravitational (1+1)D QFTs placed in  $AdS_2$
- higher-D CFTs restricted to a line in spacetime

# The 1D conformal bootstrap: Part I

Study the four-point function of a primary operator  $\phi$ ,  $a \equiv \Delta_\phi$



Single cross-ratio  $z = \frac{x_{12}x_{34}}{x_{13}x_{24}} \in (0, 1)$   $x_{ij} = x_i - x_j$

$$\langle \phi(x_1)\phi(x_2)\phi(x_3)\phi(x_4) \rangle = \frac{1}{|x_{12}x_{34}|^{2a}} \mathcal{G}(z)$$

s-channel expansion:  $z \rightarrow 0$   $\mathcal{G}(z) = \sum_{\mathcal{O} \in \phi \times \phi} c_{\phi\phi\mathcal{O}}^2 G_{\Delta_{\mathcal{O}}}(z)$

Both convergent for  $0 < z < 1$

conformal blocks  
 $G_{\Delta}(z) = z^{\Delta} {}_2F_1(\Delta, \Delta; 2\Delta; z)$

t-channel expansion:  $z \rightarrow 1$   $\mathcal{G}(z) = \left(\frac{z}{1-z}\right)^{2a} \sum_{\mathcal{O} \in \phi \times \phi} c_{\phi\phi\mathcal{O}}^2 G_{\Delta_{\mathcal{O}}}(1-z)$

# The 1D conformal bootstrap: Part II

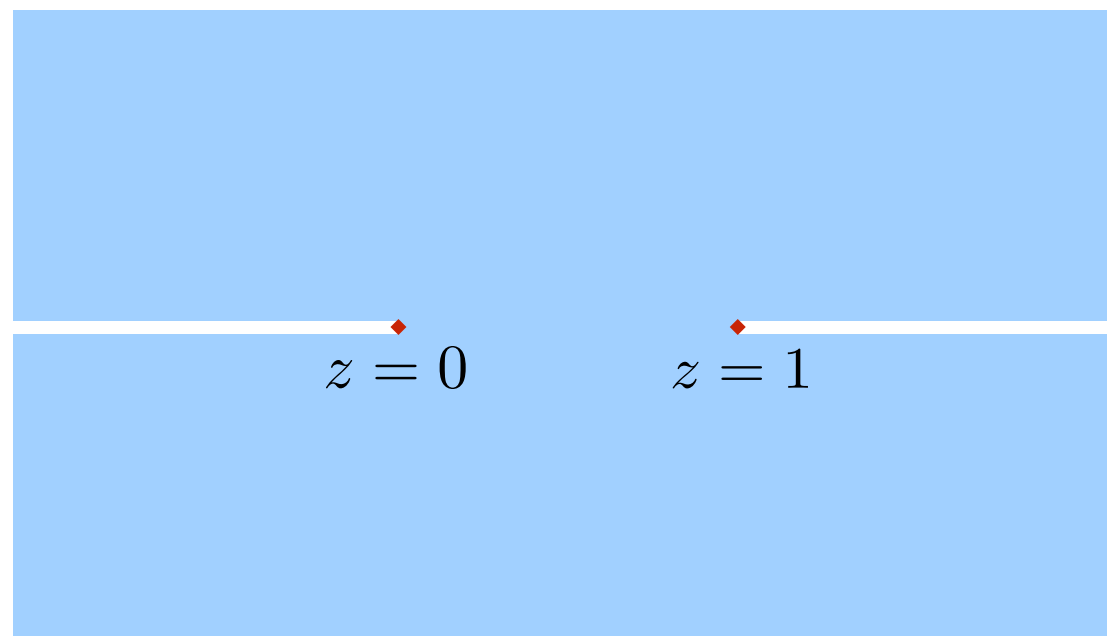
s- and t-channel expansions must be equal

$$\sum_{\mathcal{O} \in \phi \times \phi} c_{\phi\phi\mathcal{O}}^2 \frac{G_{\Delta_{\mathcal{O}}}(z)}{z^{2a}} = \sum_{\mathcal{O} \in \phi \times \phi} c_{\phi\phi\mathcal{O}}^2 \frac{G_{\Delta_{\mathcal{O}}}(1-z)}{(1-z)^{2a}}$$

Rewrite this as a sum of vectors with positive coefficients (unitarity)

$$\sum_{\mathcal{O} \in \phi \times \phi} c_{\phi\phi\mathcal{O}}^2 F_{\Delta_{\mathcal{O}}}(z) = 0 \quad \text{where} \quad F_{\Delta_{\mathcal{O}}}(z) = \frac{G_{\Delta_{\mathcal{O}}}(z)}{z^{2a}} - (z \leftrightarrow 1-z)$$

Let  $z$  be complex. The equation holds in the common region of convergence of the s- and t-channel OPE



The 1D bootstrap equation lives in the vector space  $\mathcal{V}$  of functions  $\mathcal{F}(z)$  holomorphic in the blue region, such that

$$\mathcal{F}(z) = -\mathcal{F}(1-z)$$

Specify behaviour as  
 $z \rightarrow 0, 1, \infty$

# The simplest solutions of the 1D bootstrap

Free fields in  $\text{AdS}_2$

1) Free massive scalar:  $m_\phi^2 R_{\text{AdS}}^2 = a(a-1)$

$$\mathcal{G}_b(z) = 1 + \left(\frac{z}{1-z}\right)^{2a} + z^{2a} = 1 + \sum_{n=0}^{\infty} \lambda_n G_{\Delta_n}(z)$$

$\Delta_n = 2a + 2n$

$\lambda_n = \frac{2\Gamma(2a+2n)^2\Gamma(4a+2n-1)}{\Gamma(2a)^2\Gamma(2n+1)\Gamma(4a+4n-1)}$

2) Free massive fermion:  $\Delta_\psi = a$

$$\mathcal{G}_f(z) = 1 + \left(\frac{z}{1-z}\right)^{2a} - z^{2a} = 1 + \sum_{n=0}^{\infty} \tilde{\lambda}_n G_{\tilde{\Delta}_n}(z)$$

$\tilde{\Delta}_n = 2a + 2n + 1$

$\tilde{\lambda}_n = \frac{2\Gamma(2a+2n+1)^2\Gamma(4a+2n)}{\Gamma(2a)^2\Gamma(2n+2)\Gamma(4a+4n+1)}$

We will see that in these cases the bootstrap fixes OPE coefficients after we have fixed the spectrum.

# The dual space

We get one constraint for every element  $\omega$  of the dual space  $\mathcal{V}^*$ .

Define  $\omega(\Delta) \equiv \omega(F_\Delta)$ . Acting with  $\omega$  on the bootstrap equation implies

$$\sum_{\mathcal{O} \in \phi \times \phi} \lambda_{\mathcal{O}} \omega(\Delta_{\mathcal{O}}) = 0 \quad \text{where} \quad \lambda_{\mathcal{O}} \equiv c_{\phi\phi\mathcal{O}}^2$$

An important goal of the analytic bootstrap is to identify a suitable basis  $\mathcal{B} = \{\omega_j\}$  for  $\mathcal{V}^*$ .

But what does “suitable” mean? Simply evaluating the equation at various values of  $z$  is not very revealing. Numerical bootstrap instead typically uses odd-order derivatives evaluated at  $z = 1/2$ , also not too useful for analytics.

We will construct bases which **manifest the crossing symmetry** of the free boson and fermion theories.

This will allow us to study **deformations** of these theories consistent with crossing analytically.



# A new class of functionals

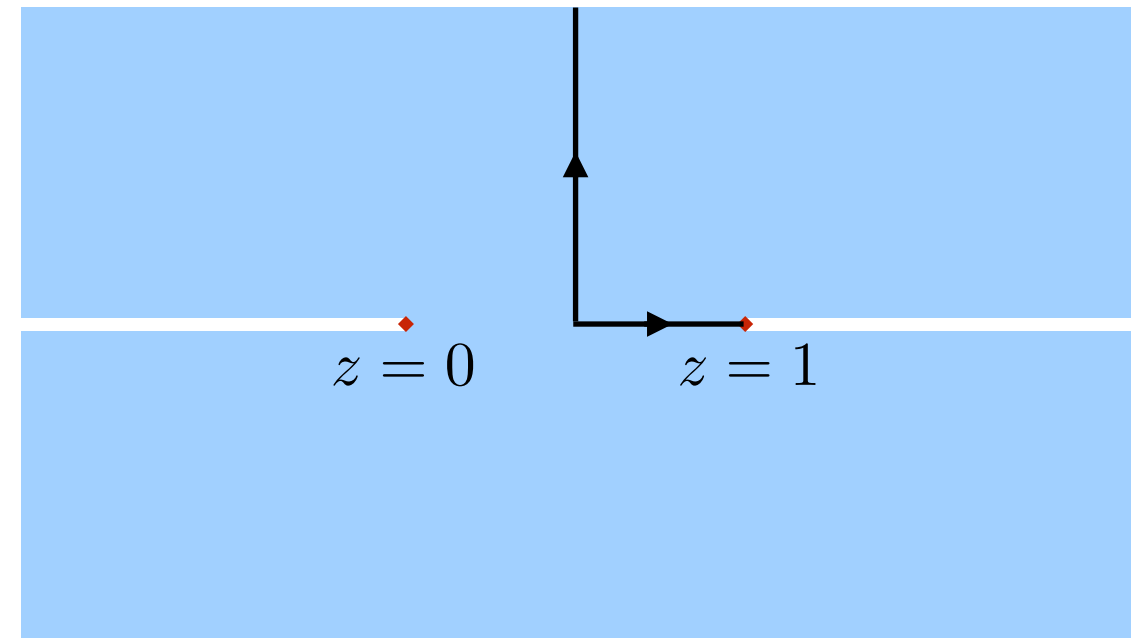
Consider functionals taking the form of an integral transform

$$\omega(\mathcal{F}) = \int_{\frac{1}{2}}^1 dz h(z) \mathcal{F}(z) \pm$$

boson  $\rightarrow$

fermion  $\rightarrow$

$$\pm \int_{\frac{1}{2}}^{\frac{1}{2} + i\infty} dz z^{2a-2} h(1 - 1/z) \mathcal{F}(z)$$



$$\omega \in \mathcal{V}^* \text{ provided } h(1 - \epsilon) = O(\epsilon^{2a}) \text{ and } h(z) = (-z)^{2a-2} h(1/z)$$

If, in addition  $h(z) + h(1 - z) \pm \text{Re}[z^{2a-2} h(1 - 1/z)] = 0$ , a contour deformation gives

$$\omega(F_\Delta) = 2 \{1 \mp \cos [\pi(\Delta - 2a)]\} \int_0^1 dz h(z) z^{-2a} G_\Delta(z)$$

# Fermionic functionals

$$\omega(\Delta) = 4 \cos^2 \left[ \frac{\pi}{2} (\Delta - 2a) \right] \int_0^1 dz h(z) z^{-2a} G_\Delta(z)$$

The solutions of the functional equations classified by the behaviour as  $z \rightarrow 0$

Two infinite classes of solutions  $h_m = O(z^{-2m-2})$  for  $m = 0, 1, \dots$   
 $\hat{h}_m = O(\log(z) z^{-2m-2})$  for  $m = 0, 1, \dots$

The singularity at  $z = 0$  cancels the double pole at  $\Delta = 2a + 2m + 1$

We find the following behaviour at the free fermion spectrum  $\Delta_n = 2a + 2n + 1$

$$\begin{aligned} \omega_m(\Delta_n) &= 0 & \omega'_m(\Delta_n) &= \delta_{mn} \\ \hat{\omega}_m(\Delta_n) &= \delta_{mn} & \hat{\omega}'_m(\Delta_n) &= 0 \end{aligned}$$

It can be shown these functionals form a basis for the dual space.

The free fermion crossing-symmetric  $\Leftrightarrow \hat{\omega}_m(0) = -\tilde{\lambda}_m$

# More on the fermionic functionals

Closed formula for  $h_m(z)$  when  $a = 1/2$

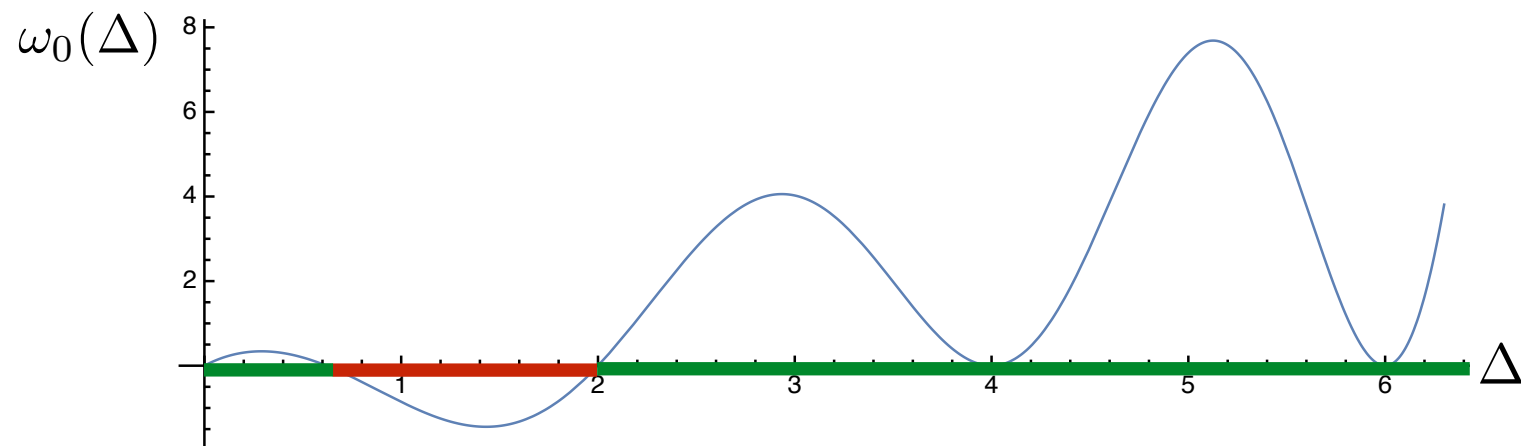
$$h_m(z) = z^{-1} P_{2m+1}(2/z - 1) - P_{2m+1}(2z - 1)$$

Legendre polynomials

Closed formula for the action of  $\omega_0$  for  $a = 1/2$

$$\omega_0(\Delta) = \frac{\Gamma(2\Delta)}{\Gamma(\Delta)^2} \sin^2\left(\frac{\pi\Delta}{2}\right) \left\{ \frac{1}{(\Delta-2)(\Delta+1)} - \left[ \Delta(\Delta-1) + \frac{1}{2} \right] \left[ \psi'\left(\frac{\Delta+1}{2}\right) - \psi'\left(\frac{\Delta}{2}\right) \right] - 2 \right\}$$

Trigamma function



For any  $a$ , functional  $\omega_0$  proves that the free fermion maximizes the gap among all unitary 1D solutions to crossing:  $\Delta_{\text{gap}} \leq 2a + 1$

Dream: are there analytic formulas for the functionals of interacting extremal CFTs?

# Deformations of the free fermion solution

A deformation of the free fermion, such that no new operators appear in the OPE:

$$\Delta_n(g) = 2a + 2n + 1 + \gamma_n^{(1)}g + O(g^2)$$

$$\lambda_n(g) = \lambda_n^{(0)} + \lambda_n^{(1)}g + O(g^2)$$

Impose crossing symmetry

$$F_0(z) + \sum_{n=0}^{\infty} \lambda_n(g) F_{\Delta_n(g)}(z) = 0$$

Apply  $\omega_m$  and expand to the first order in  $g \quad \Rightarrow \quad \gamma_m^{(1)} = 0$

Apply  $\hat{\omega}_m$  and expand to the first order in  $g \quad \Rightarrow \quad \lambda_m^{(1)} = 0$

The free fermion admits **no deformations**  
unless we introduce new states in the OPE!

# Bosonic functionals

$$\omega(\Delta) = 4 \sin^2 \left[ \frac{\pi}{2} (\Delta - 2a) \right] \int_0^1 dz h(z) z^{-2a} G_{\Delta}(z)$$

The structure of solutions to the functional equations is similar to the fermionic case except one functional is “missing”.

$$\Delta_n = 2a + 2n, n = 0, 1, \dots$$

Specifically, every functional vanishing on the whole spectrum has at least **two** simple zeros in the spectrum, rather than one.

The complete basis now consists of  $\omega_m$  for  $m = 1, 2, \dots$

and  $\hat{\omega}_m$  for  $m = 0, 1, \dots$

such that

$$\begin{aligned} \omega_m(\Delta_n) &= 0 \text{ for } m \geq 1, n \geq 0 & \omega'_m(\Delta_n) &= \delta_{mn} \text{ for } m, n \geq 1 \\ \hat{\omega}_m(\Delta_n) &= \delta_{mn} \text{ for } m, n \geq 0 & \hat{\omega}'_m(\Delta_n) &= 0 \text{ for } m \geq 0, n \geq 1 \end{aligned}$$

but  $\omega'_m(\Delta_0), \hat{\omega}'_m(\Delta_0) \neq 0$

Legendre polynomials

For example, for  $a = 1$   $h_m(z) = P_{2m+1}(2/z - 1) + P_{2m+1}(2z - 1) - 2 \left( \frac{1}{z} + z - 1 \right)$

Hence  $h_0(z) = 0$  (missing functional)

# Deformations of the free boson solution

A deformation of the free boson, such that no new operators appear in the OPE:

$$\Delta_n(g) = 2a + 2n + \gamma_n^{(1)}g + \gamma_n^{(2)}g^2 + O(g^3)$$

$$\lambda_n(g) = \lambda_n^{(0)} + \lambda_n^{(1)}g + \lambda_n^{(2)}g^2 + O(g^3)$$

One functional missing  $\Leftrightarrow$  A one-parameter deformation allowed

Define the coupling as the anomalous dimension of the first operator  $g = \Delta_0(g) - 2a$

$$a = 1$$

$$\Delta_0 = 2 + g$$

$$\Delta_1 = 4 + \frac{1}{6}g + \left(\frac{317}{144} - \frac{5}{3}\zeta(3)\right)g^2 + O(g^3)$$

$$\Delta_2 = 6 + \frac{1}{15}g + \left(\frac{25127}{10800} - \frac{28}{15}\zeta(3)\right)g^2 + O(g^3)$$

$$\lambda_0 = 2 - 2g + \left(\frac{5}{2} - 4\zeta(3) + \frac{\pi^4}{15}\right)g^2 + O(g^3)$$

$$\lambda_1 = \frac{6}{5} - \frac{37}{150}g + \left(-\frac{612119}{108000} + \frac{19\zeta(3)}{15} + \frac{\pi^4}{25}\right)g^2 + O(g^3)$$

$$\lambda_2 = \frac{5}{21} - \frac{1627}{79380}g + \left(-\frac{3889170127}{3000564000} + \frac{1177\zeta(3)}{2835} + \frac{\pi^4}{126}\right)g^2 + O(g^3)$$

$$O(g^0) = \text{circle with two horizontal lines} + \text{circle with two vertical lines} + \text{circle with two diagonal lines}$$

$$O(g^1) = \text{circle with two intersecting diagonal lines and a central dot}$$

$$O(g^2) = \text{circle with two arcs and two dots} + \text{crossed}$$

Reproduce field theory in  $\text{AdS}_2$

[Heemskerk, Penedones, Polchinski, Sully, '09]

[Aharony, Alday, Bissi, Perlmutter, '16]

$d > 1$ :

# Outlook

Does the deformation of the free boson exist for a finite coupling?

What is its relationship to the sine-Gordon theory in  $\text{AdS}_2$ ?

The physical origin of the functional kernels?

The relationship to the Caron-Huot formula and Polyakov bootstrap? 

$d > 1$

Thank you!