

# Kubo formula and ac conductance of open systems

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## *Brief review*

- **Transport coefficients diverge** in many low dimensional systems
- Integrable systems treated individually
- Nonintegrable **momentum conserving** systems have universal properties
- Analytical techniques: RG, mode coupling
- Universality class(es):  $L^{1/3}$ ,  $L^{1/2}$
- Rely on **Kubo formula**

## Standard proof of Kubo formula

$$\kappa_{\sigma\rho} = \lim_{\omega \rightarrow 0} \lim_{L \rightarrow \infty} \frac{\beta}{L^d} \int_{t=0}^{\infty} e^{i\omega t} \langle J^\sigma(t) J^\rho(0) \rangle dt$$

where  $J^{\sigma,\rho}$  are currents for the conserved charges  $\sigma,\rho$  and  $\kappa_{\sigma\rho}$  is the linear response function

- Assumes system in thermal equilibrium as  $t \rightarrow -\infty$
- Assumes **Hamiltonian dynamics** thereafter
- Order of limits important, otherwise RHS depends on boundary conditions.
- $\kappa \rightarrow \infty$  as  $L \rightarrow \infty$  for low dimensional momentum conserving systems. **Proof fails.**

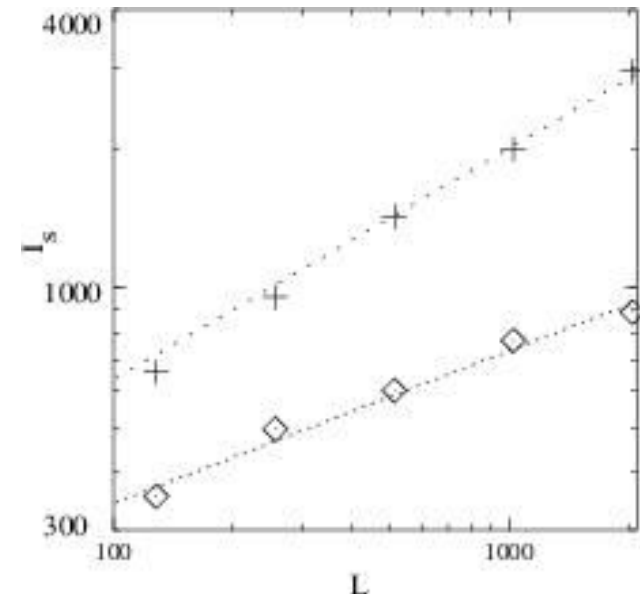
# Importance of boundary conditions

## Integrable (finite) systems

- Periodic bc:  $\langle J(t)J(0) \rangle$  finite as  $t \rightarrow \infty$ ; Kubo integral diverges
- Hard wall bc: Kubo integral is zero
- Open bc with reservoirs: Kubo integral is finite.

## Non-integrable (finite) momentum conserving systems

- Hard wall bc: Kubo integral is zero
- Periodic & open bc: integral finite, but not same for both



## *Kubo formula for open systems: prior work*

- Quantum dots: Hamiltonian for system + leads  
Can only handle very simple reservoirs  
(Fisher & Lee, Allen & Ford, Szafer & Stone)
- Open classical systems: Fluctuation theorem  
Only  $\omega = 0$   
(Andrieux & Gaspard)

## *Kubo formula for open systems*

(Abhishek Dhar, Anupam Kundu)

$P(x, v, t)$  is phase space density of system.

$k$ 'th reservoir at temperature  $T_k = T + \Delta T_k$

Fokker Planck equation:

$$\partial_t P = [L_0 + L_{\Delta T}] P$$

Assume system at temperature  $T$  as  $t \rightarrow -\infty$

Linear response:

$$P(x, v, t) = P_0(x, v) + \int_{-\infty}^t \exp[(t - t') L_0] L_{\Delta T(t')} P_0(x, v) dt'$$

For any observable,

$$\langle A(t) \rangle = \langle A \rangle_{eq} + \int_{-\infty}^t A \exp[(t - t') L_0] L_{\Delta T(t')} P_0 dt'$$

$L_{\Delta T(t')}$  depends on the type of heat bath. For several examples, show explicitly that

$$L_{\Delta T(t')} P_0 = - \sum_k \Delta\beta_k(t') J_{b;k}^e P_0$$

$J_{b;k}^e$  is the energy current flowing in from k'th reservoir

$$\langle A(t) \rangle = \langle A \rangle_{eq} - \int_{-\infty}^t \langle A(t) J_{b;k}^e(t') \rangle_{eq} \Delta\beta_k(t') dt'$$

$$\langle J_{b;j}^e(t) \rangle_{\Delta T} = - \int_{-\infty}^t \langle J_{b;j}^e(t) J_{b;k}^e(t') \rangle_{eq} \Delta\beta_k(t') dt'$$

Each heat bath different. Other conserved currents?

## General reservoir, any conserved current:

$\lambda_{N,N'}^k$  transition rate from  $N'$  particle state to  $N$  particle state due to  $k$ 'th reservoir. In equilibrium,

$$0 = \partial_t P_{0;N}(x, \nu) = \sum_{k,N'} \int [\lambda_{N,N'}^k P_{0;N'}(x', \nu') - \lambda_{N',N}^k P_{0;N}(x, \nu)] dx' d\nu'$$

Out of equilibrium,

$$\partial_t P_N = \sum_{k,N'} \Delta(\beta\mu)_k [(\partial_{\beta\mu} \lambda_{N,N'}^k) P_{0;N'} - (\partial_{\beta\mu} \lambda_{N',N}^k) P_{0;N}]$$

$$\partial_t P_N = - \sum_{k,N'} \Delta(\beta\mu)_k [N' \lambda_{N,N'}^k P_{0;N'} - N \lambda_{N',N}^k P_{0;N}]$$

$$\partial_t P_N = \sum_{k,N'} \Delta(\beta\mu)_k (N - N') \lambda_{N,N'}^k P_{0;N'} = \sum_k \Delta(\beta\mu)_k J_{b;k} P_{0;N}$$



Proof applies to any conserved quantity (discretize if continuous, e.g. energy).

$$\langle A(t) \rangle_{\Delta\Phi} = \langle A \rangle_{eq} + \sum_{k,\rho} \int_{-\infty}^t \langle A(t) J_{b;k}^{\rho}(t') \rangle_{eq} \Delta\Phi_k^{\rho}(t') dt'$$

where  $J^{\rho}$  is current and  $\Delta\Phi^{\rho}$  is thermodynamic potential for conserved quantity  $\rho$ . ( $\Phi^e = -\beta$ ,  $\Phi^n = \beta\mu$ )

## DC and AC cases

Zero frequency:

Pipe of length  $L$ , same current for any cross section at  $\omega = 0$

$$\kappa_{\sigma\rho}(L) = \frac{1}{L} \int_{t'=-\infty}^{t'=t} \langle j^\sigma(x, t) j^\rho(y, t') \rangle_{eq} dx dy dt'$$

Finite system

(same expression as Andrieux & Gaspard)

Finite frequency:

$$\langle J_{b;m}^\sigma(\omega) \rangle = \sum_{k,\rho} \Delta\Phi_k^\rho(\omega) \int_0^\infty \langle J_{b;m}^\sigma(t) J_{b;k}^\rho(0) \rangle_{eq} e^{-i\omega t} dt$$

Not expressed in terms of currents in interior of system

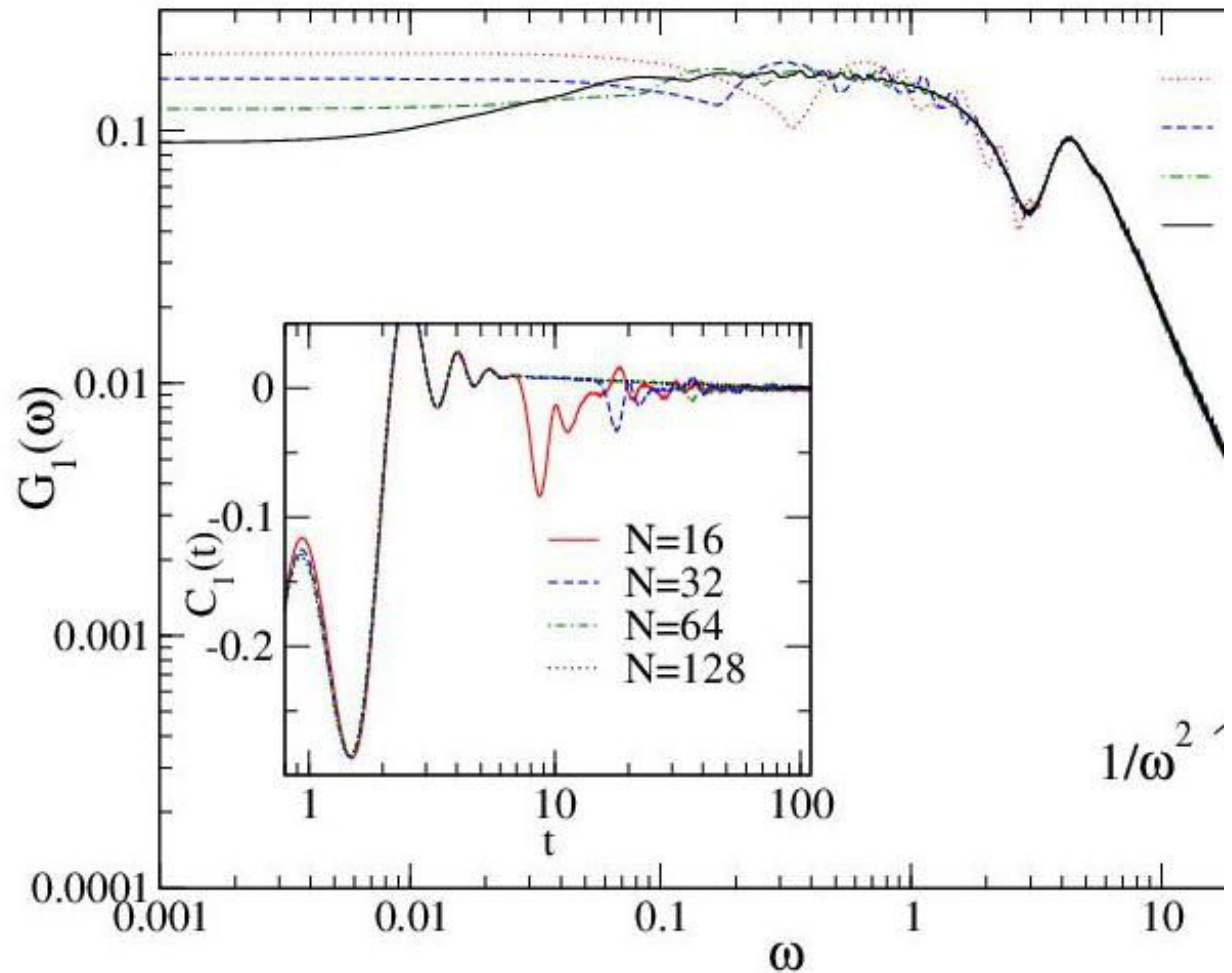
## $\omega \neq 0$ heat conductance: numerics

FPU quartic chain. Current between first two particles.

Resonance at frequency of sound waves across system,  $\sim 1/N$

Higher than  $\omega=0$  for large N.

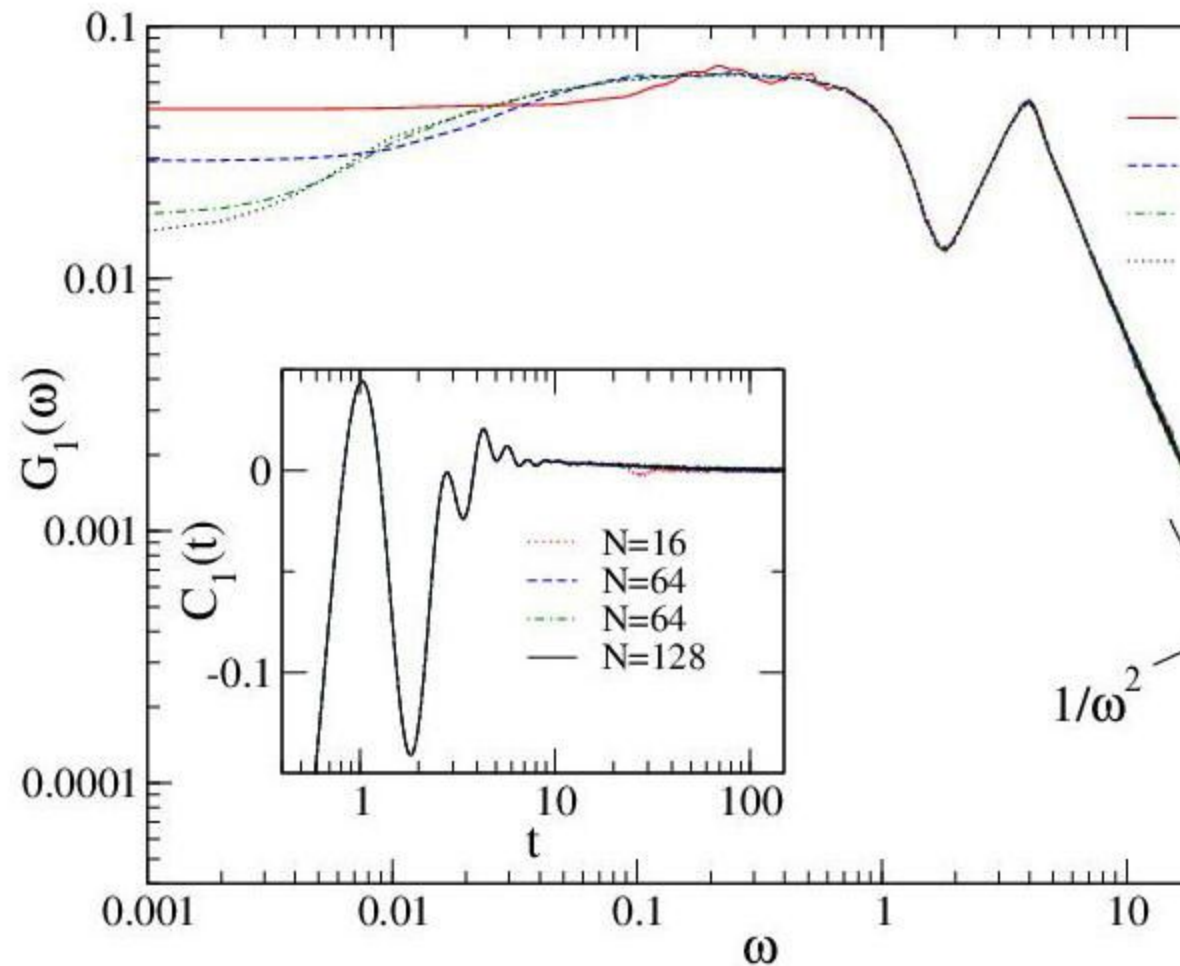
Oscillators?



## $\omega \neq 0$ heat conductance (contd.)

Harmonic oscillator chain, additional tethering for each particle.

No low frequency peak because no low frequency sound

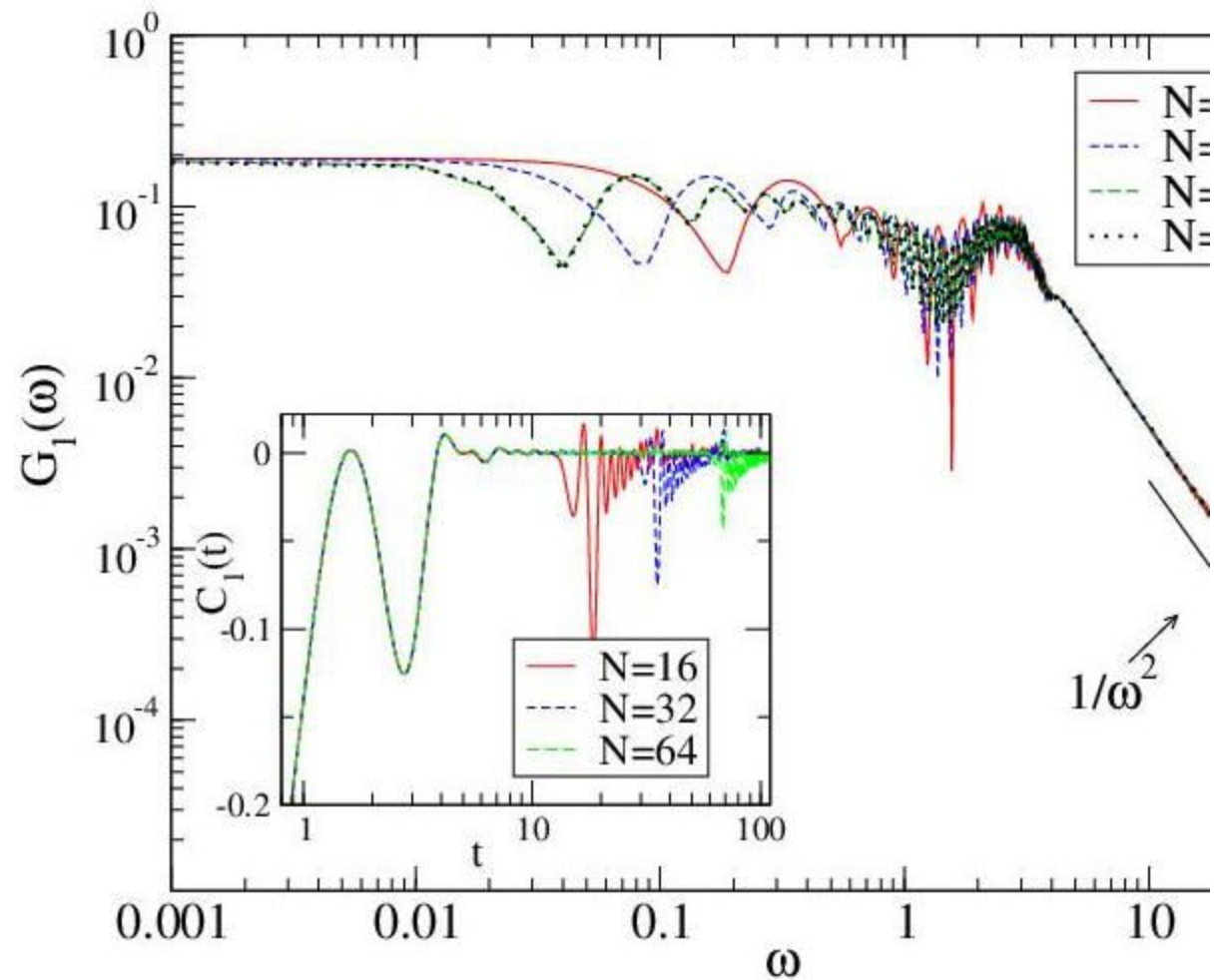


## $\omega \neq 0$ heat conductance (contd.)

Harmonic  
oscillator chain

Clear peak  $\omega \sim$   
 $1/N$ , many  
harmonics

Analytical  
solution



## *Conclusions*

- Correlation functions depend on boundaries when singular
- Prove Kubo-like formula for open classical systems with reservoirs
- Reduces to standard result for  $\omega = 0$ , one dimension
- Numerics: Low frequency  $\omega \sim 1/L$  peak in conductance, can be higher than  $\omega = 0$