

# Dynamic Nuclear Polarization and the Paradox of Quantum Thermalization

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LPTMS

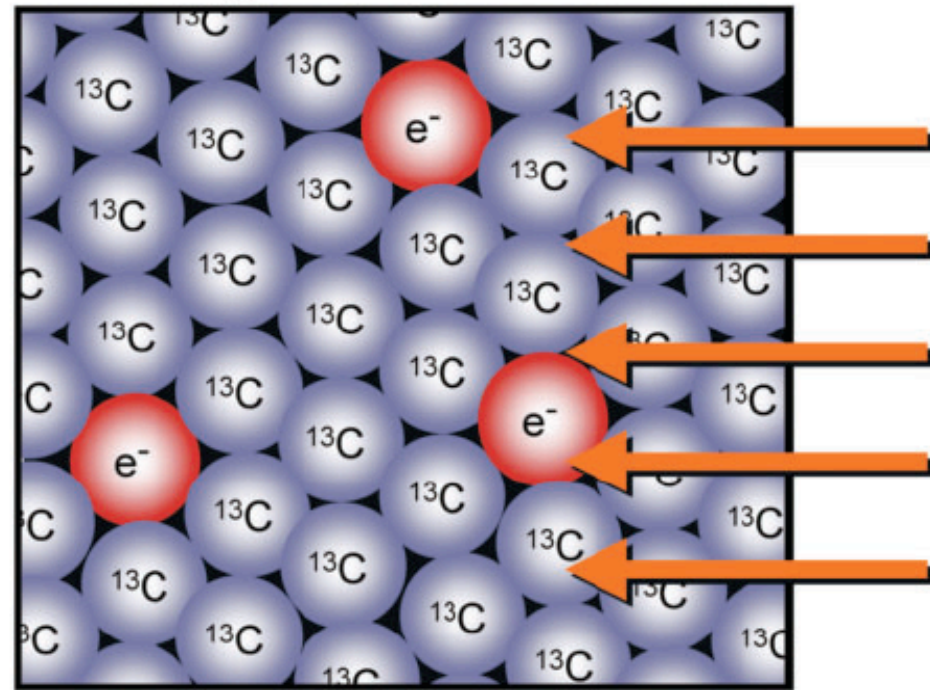
CNRS - University Paris-Saclay



*A. De Luca and A. Rosso, Phys. Rev. Lett. 115, 080401, 2015*

# Dynamic Nuclear Polarisation (DNP)

Solid material doped with unpaired electrons

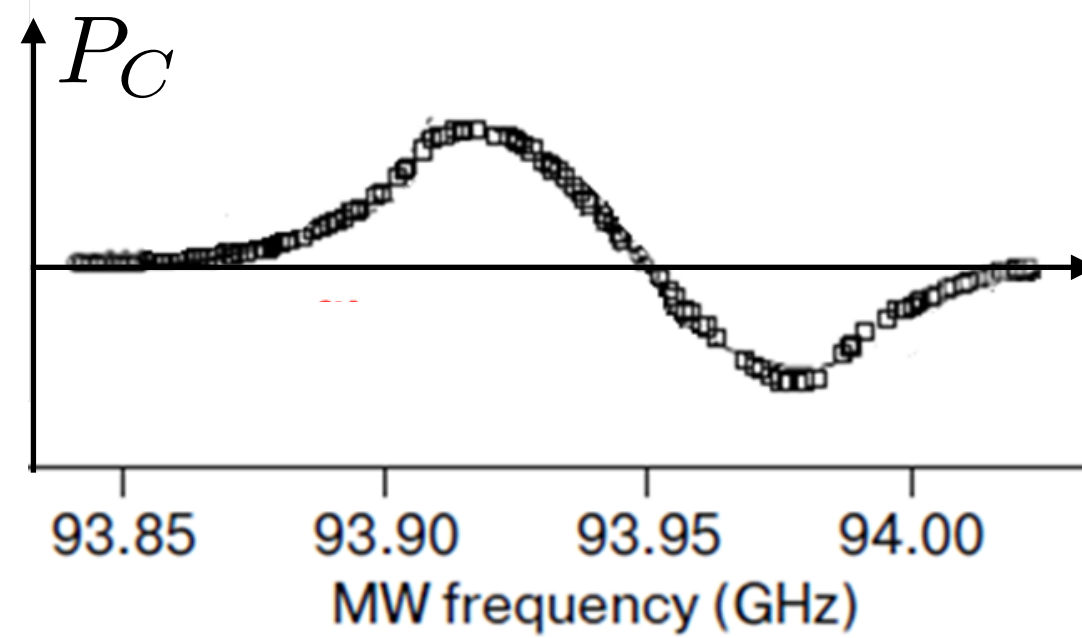


Microwave irradiation

- $T = 1 \text{ K}, H_0 = 3.3 \text{ Tesla}$
- $\omega_e \simeq 100 \text{ GHz}$
- $\omega_{^{13}\text{C}} \simeq 32 \text{ MHz}$

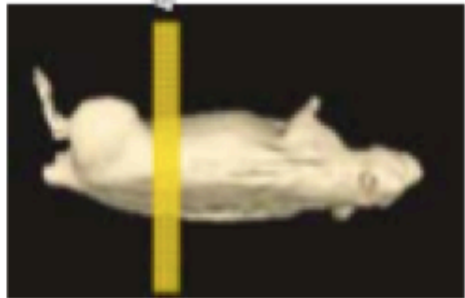
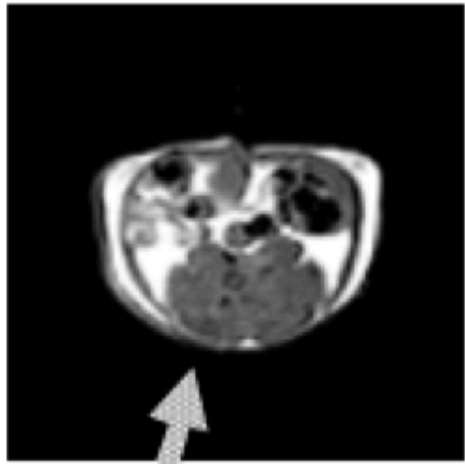
$P_e = 94\%$  and  $P_C = 0.086\%$

DNP profile (after  $\sim 1$  hour):

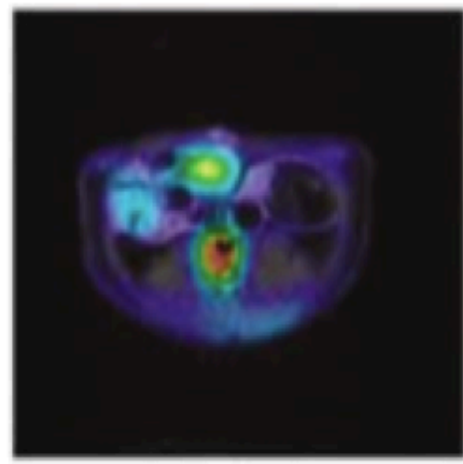


# Metabolic Imaging (2006)

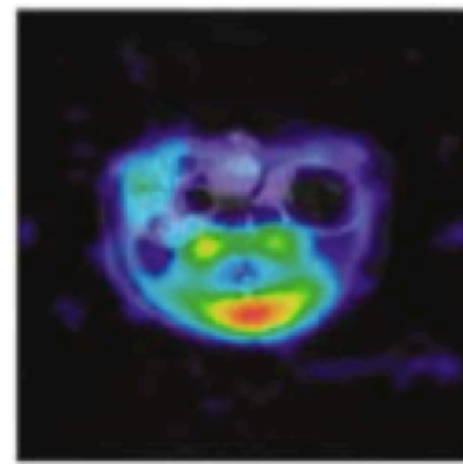
Anatomical  $^1\text{H}$  image



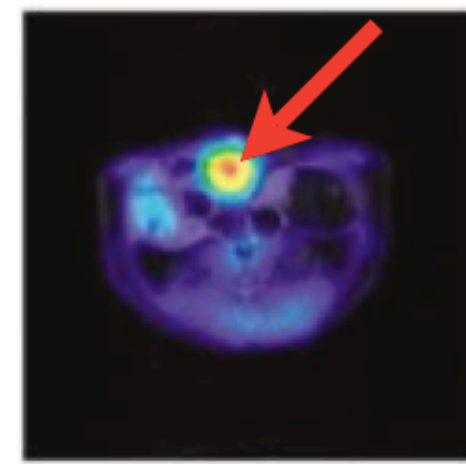
$^{13}\text{C}$ -pyruvate



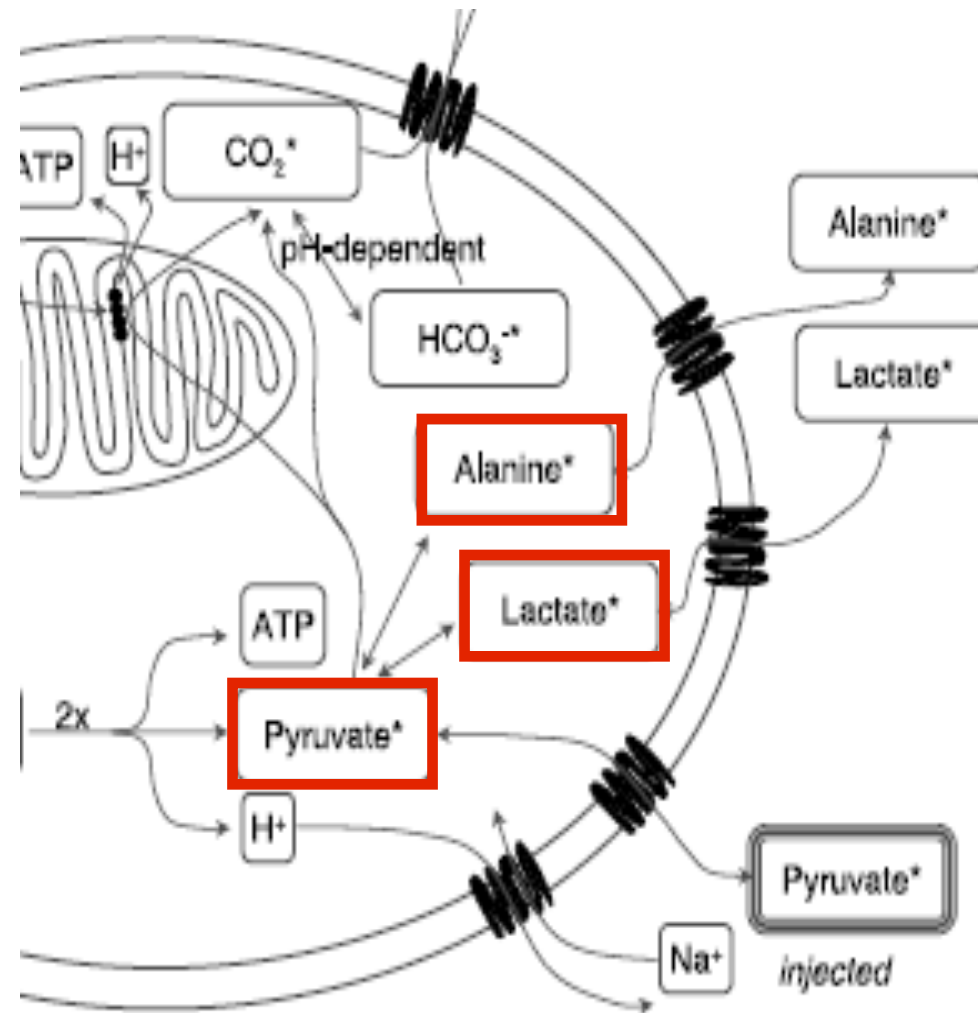
$^{13}\text{C}$ -alanine



$^{13}\text{C}$ -lactate



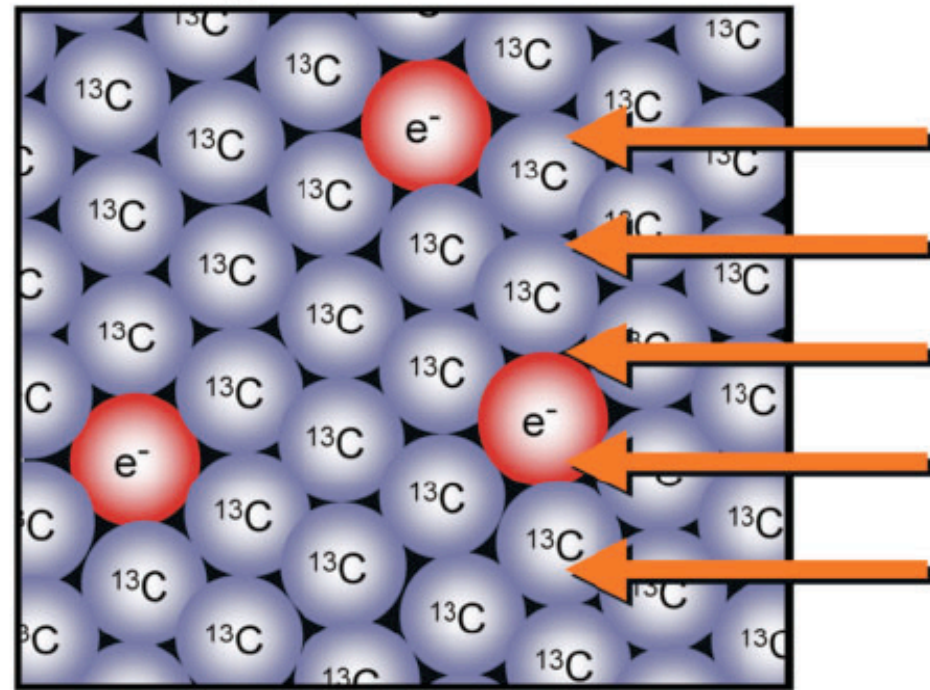
*Golman et al. Cancer Research 2006.*





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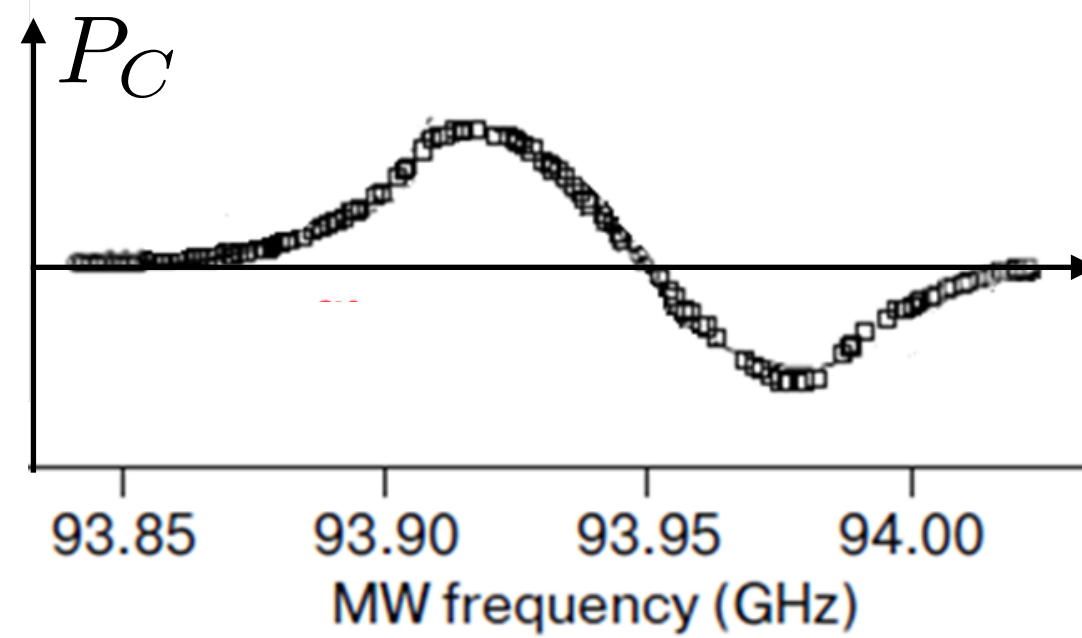


Microwave irradiation

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DNP profile (after  $\sim 1$  hour):





# Dilute spins randomly placed in a matrix

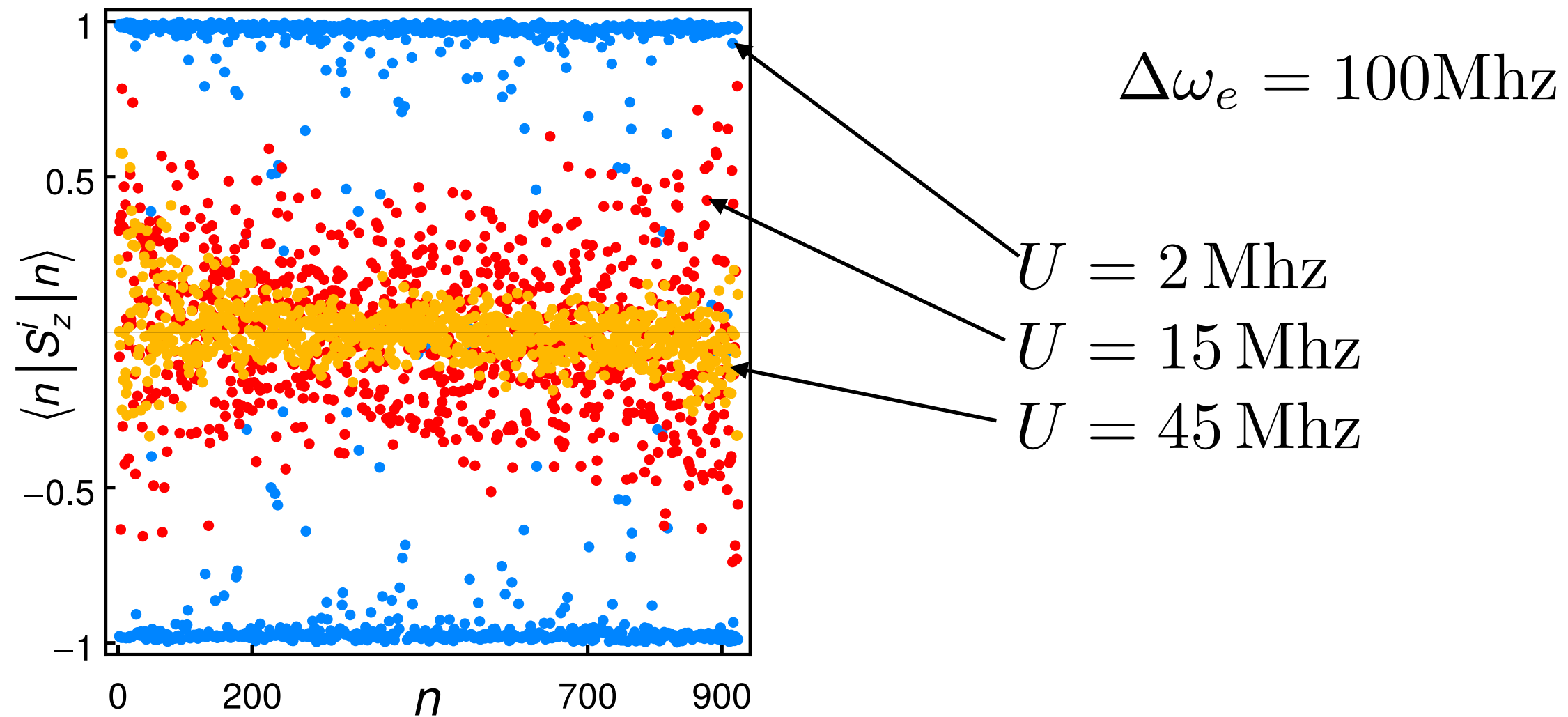
$$\hat{H}_S = \hbar \sum_{i=1}^N (\omega_e + \Delta_i) \hat{S}_z^i + \sum_{i < j} A_{ij} \left( \hat{S}_+^i \hat{S}_-^j + c.c. \right),$$

- Disorder:  $\Delta_i \in \left( -\frac{\Delta\omega_e}{2}, \frac{\Delta\omega_e}{2} \right)$
- Dipolar interactions:  $A_{ij}$  (here we took  $A_{ij} \sim U/\sqrt{N}$ )

Two conservation laws:

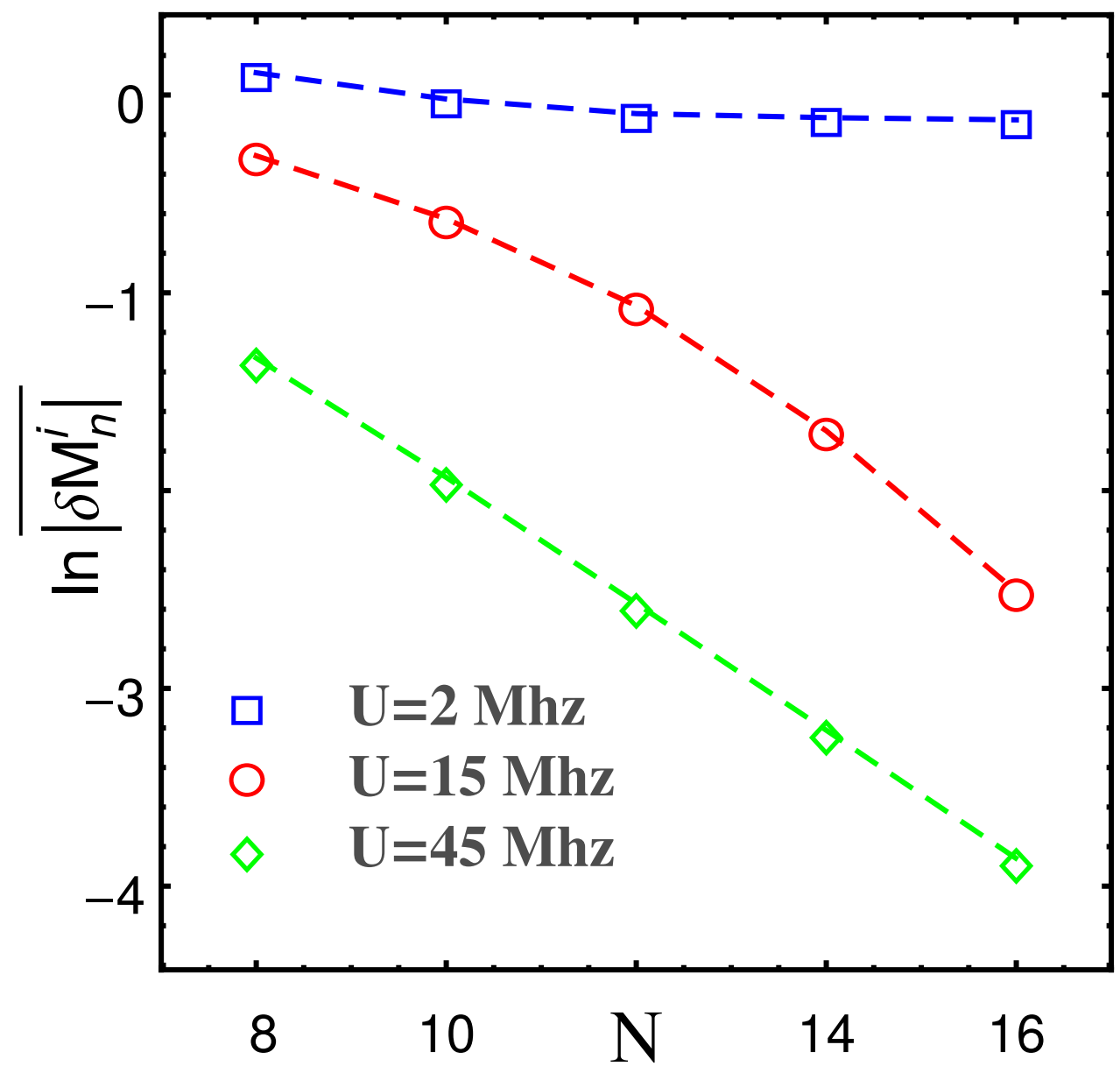
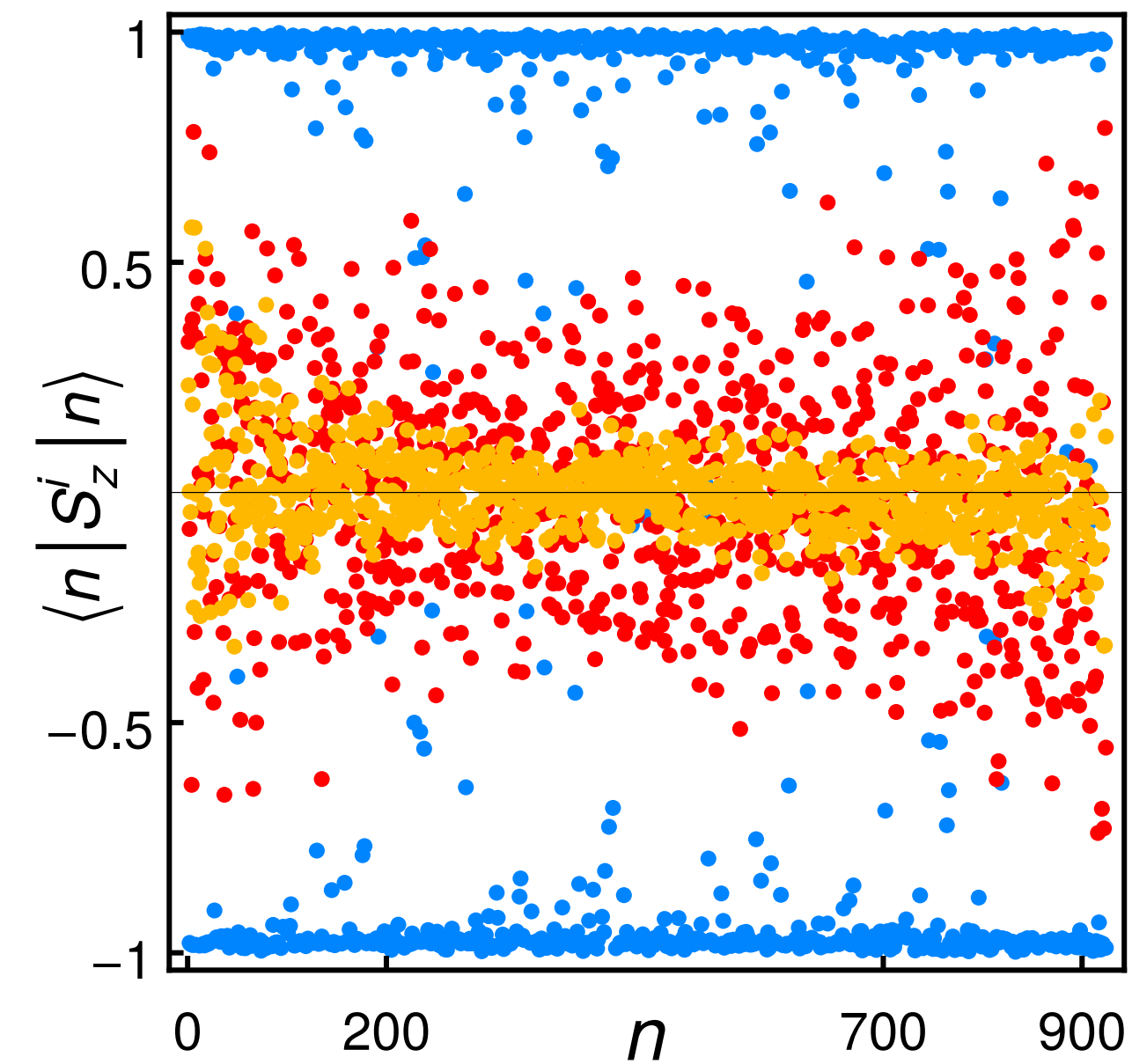
- energy  $E = \langle \hat{H}_S \rangle$
- electron polarization  $S = \langle \sum_i \hat{S}_z^i \rangle$

# Quantum Ergodicity and Eigenstates



# Eigenstate Thermalization Hypothesis (ETH)

$$\delta M_n^i \equiv \langle n+1 | S_z^i | n+1 \rangle - \langle n | S_z^i | n \rangle$$

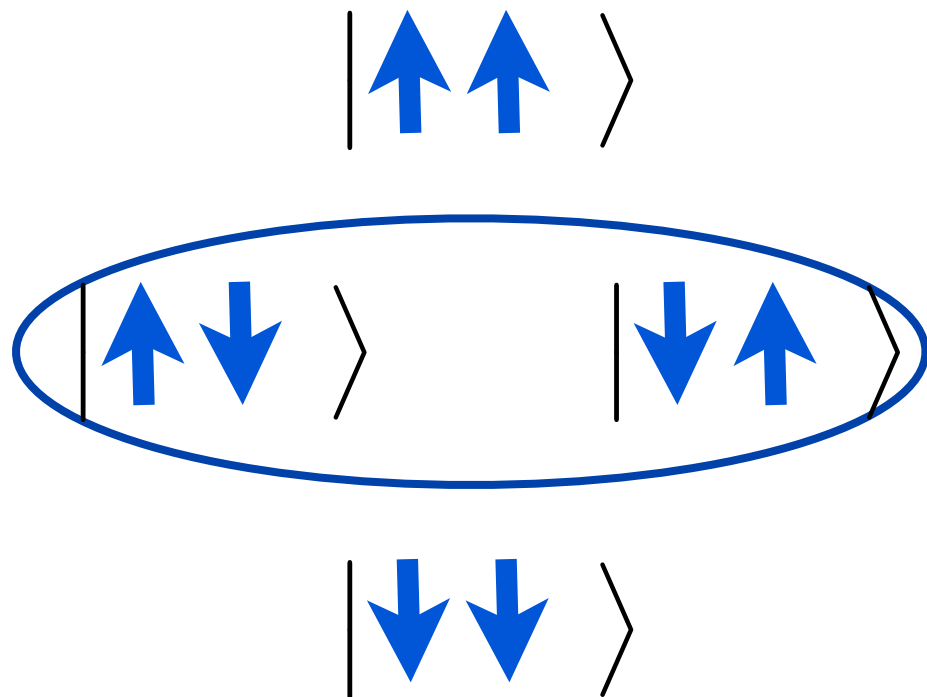




$$\hat{\mathcal{H}} = \omega_e(\hat{S}_z^1 + \hat{S}_z^2) + \hat{\mathcal{H}}_{pert}$$

local fields

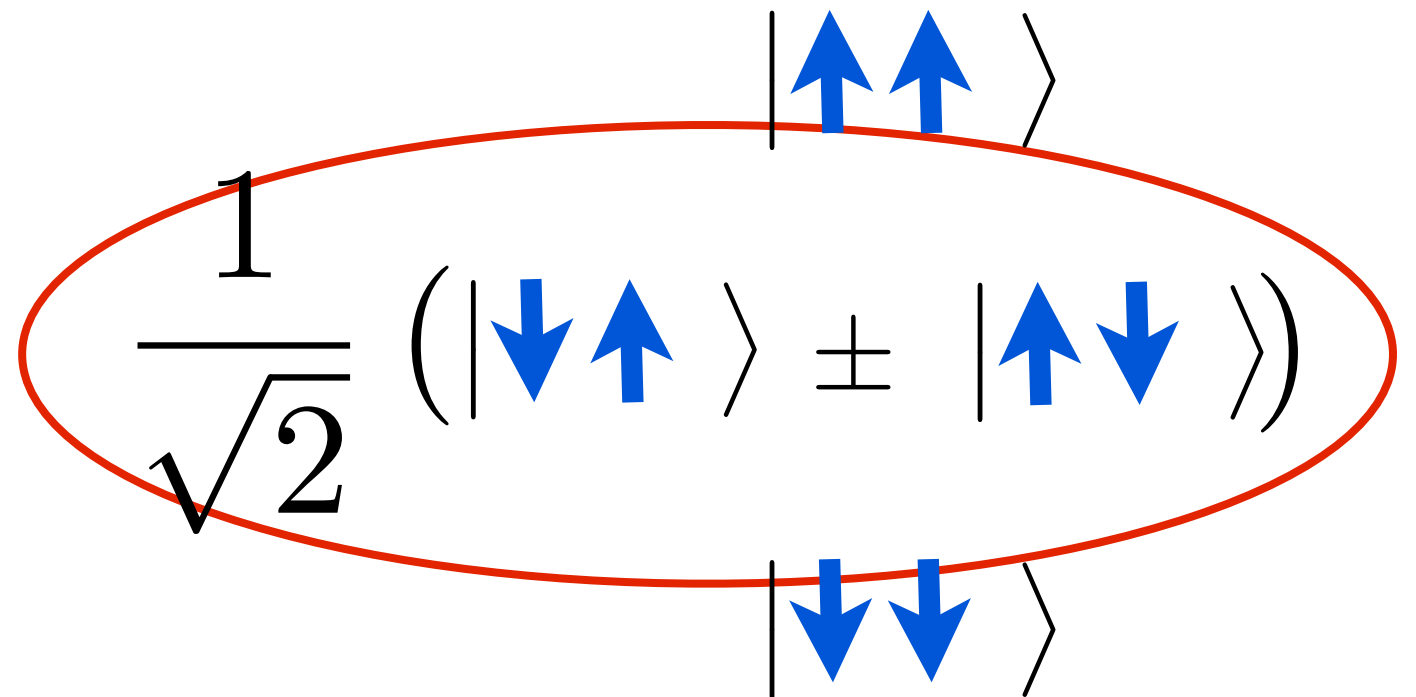
$$\hat{\mathcal{H}}_{pert} = \Delta_1 \hat{S}_z^1 + \Delta_2 \hat{S}_z^2$$



$$\langle n | \hat{S}_z^1 | n \rangle = \pm \frac{1}{2}$$

interactions

$$\hat{\mathcal{H}}_{pert} = A_{1,2}(\hat{S}_+^1 \hat{S}_-^2 + cc)$$

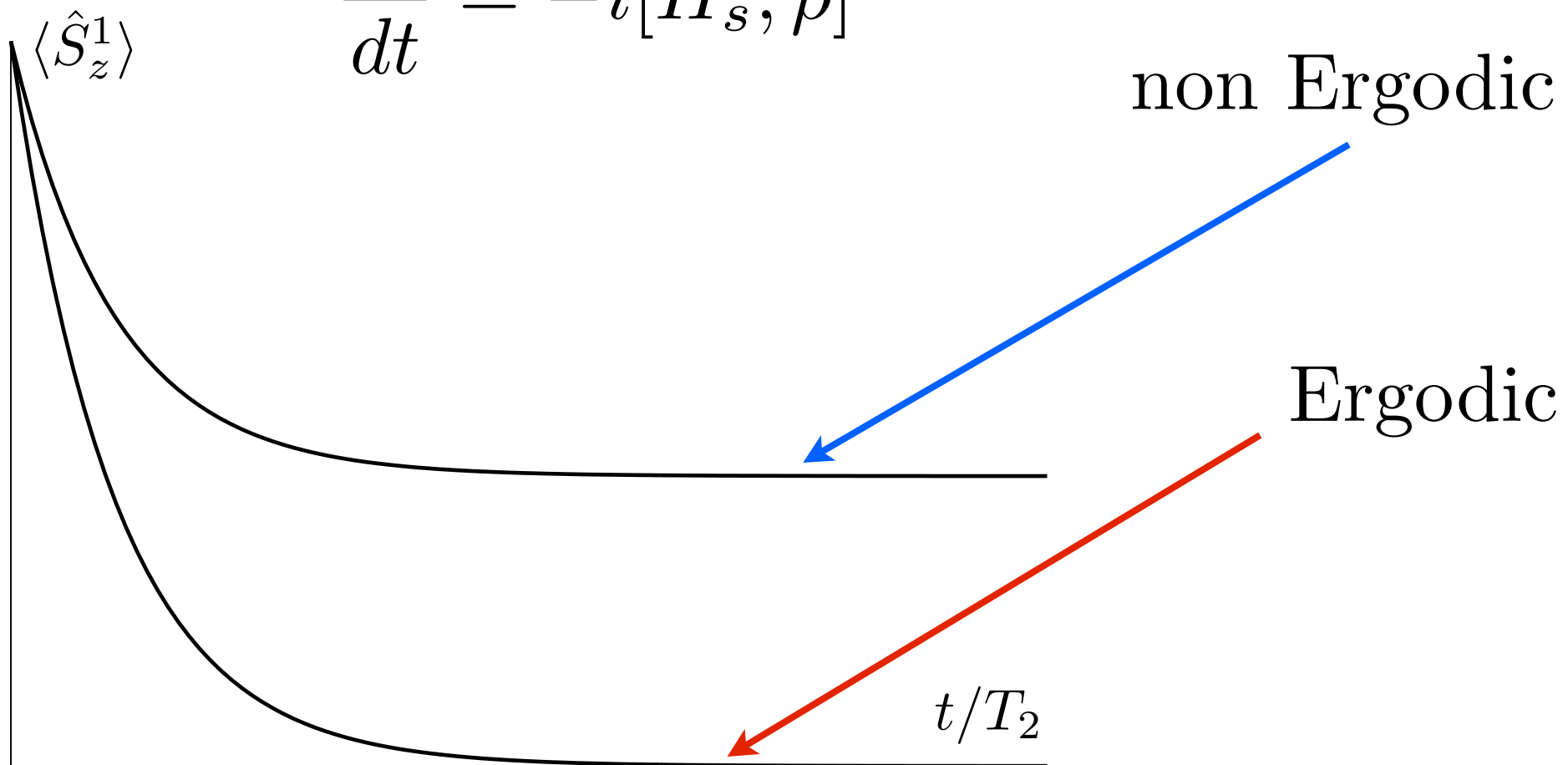


$$\langle n | \hat{S}_z^1 | n \rangle = 0$$

# Quantum Quench

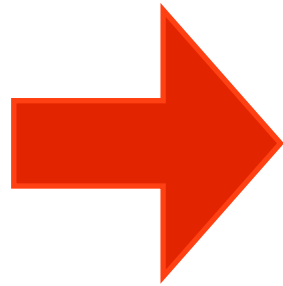
At  $t = 0$   $\Psi(0) = |\uparrow, \downarrow, \downarrow, \dots\rangle$

$$\frac{d\rho}{dt} = -i[\hat{H}_s, \rho]$$



# Unitary Evolution

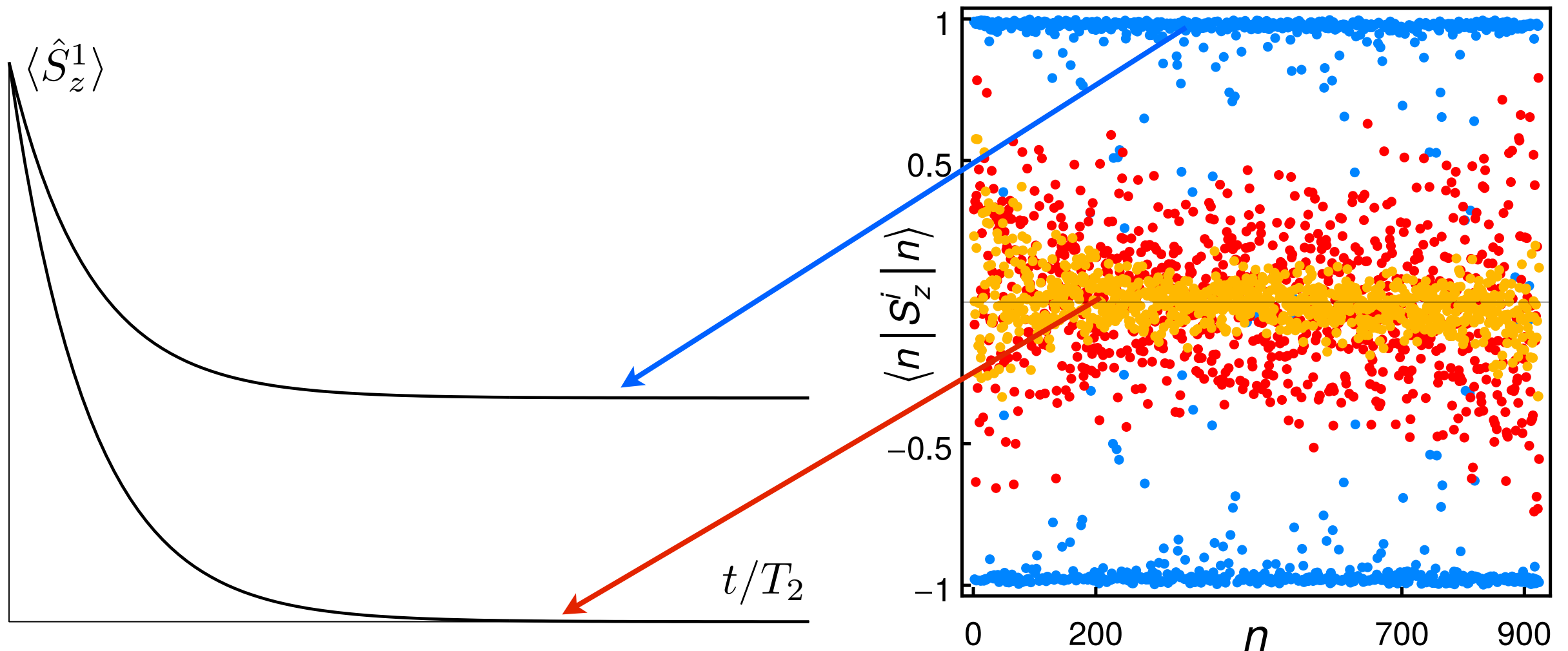
$$\frac{d\rho}{dt} = -i[\hat{H}_S, \rho]$$



$$\rho_{nm}(t) = \rho_{nm}(0) e^{-i(E_n - E_m)t}$$

$$\rho_{nn}(t) = \rho_{nn}(0)$$

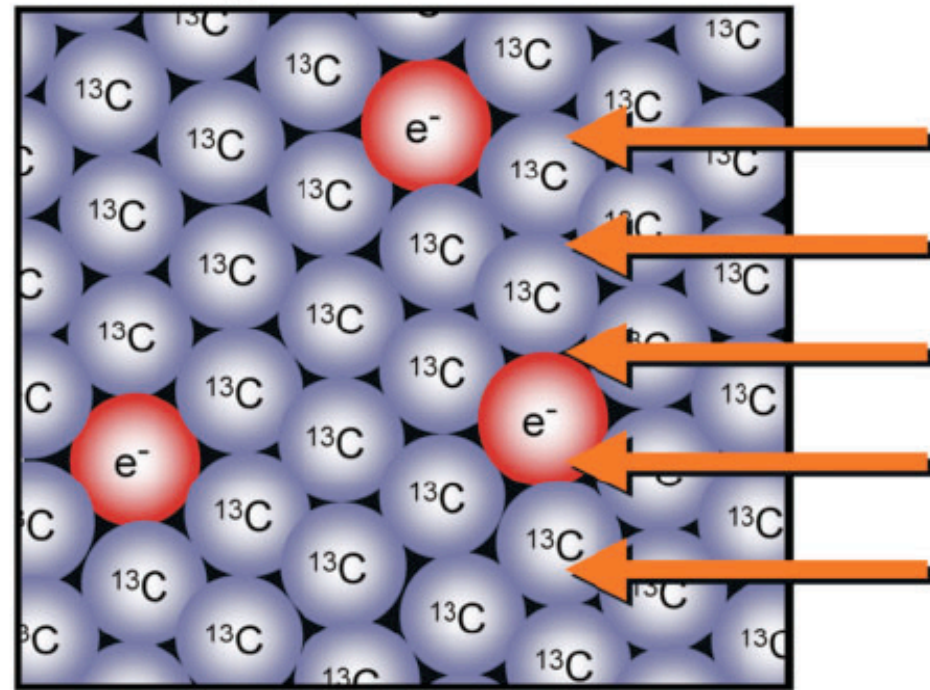
Quantum dynamics preserves eigenstates occupation probability





# Dynamic Nuclear Polarisation (DNP)

Solid material doped with unpaired electrons

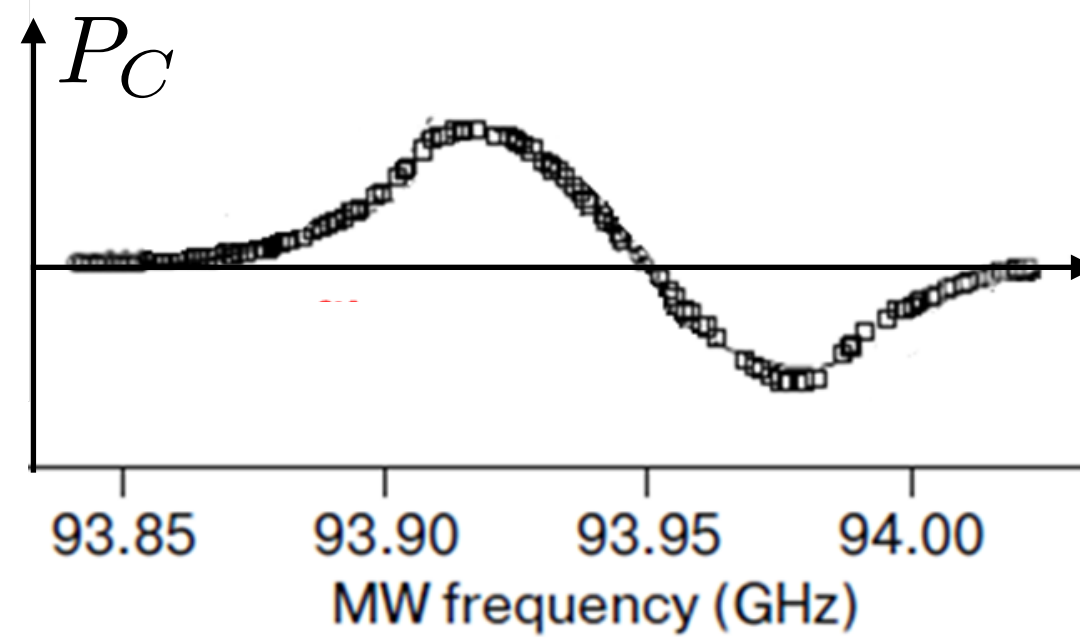


Microwave irradiation

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$P_e = 94\%$  and  $P_C = 0.086\%$

DNP profile (after  $\sim 1$  hour):

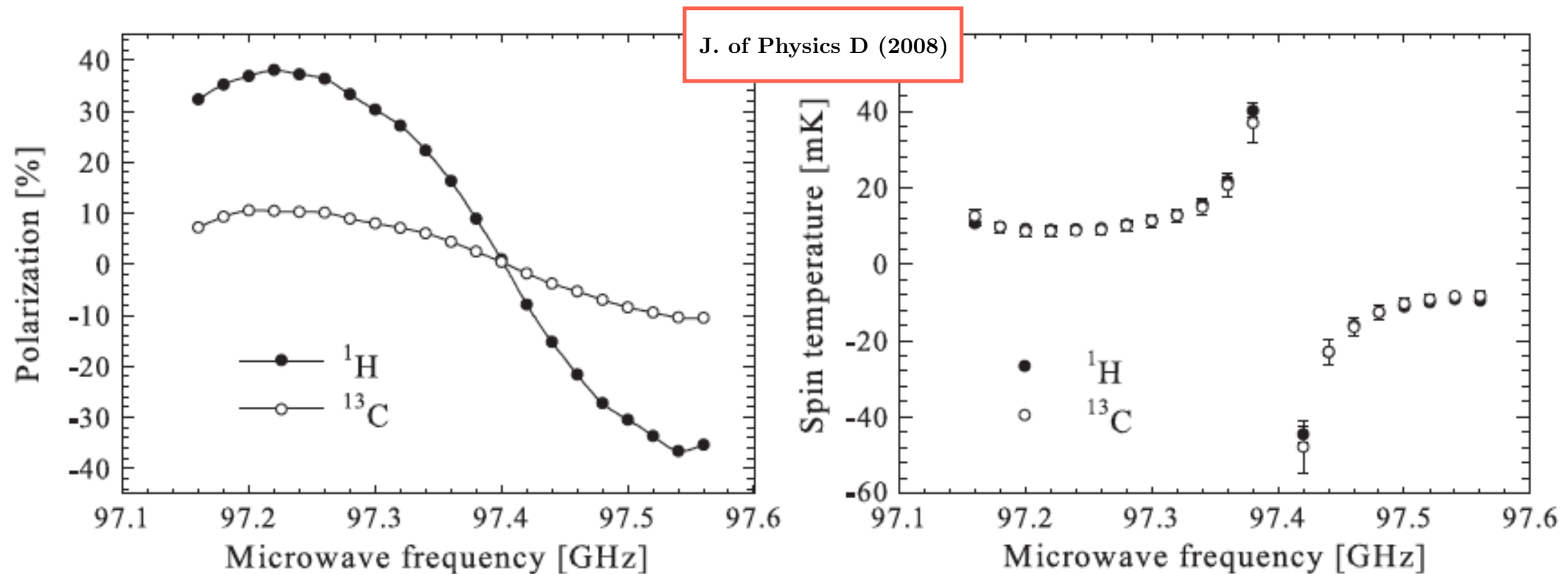


# The spin temperature (experiment)

$$P_n = \tanh\left(\beta_s \frac{\hbar\omega_n}{2}\right)$$

$$\omega_{1H} \simeq 127\text{Mhz}$$

$$\omega_{13C} \simeq 32\text{Mhz}$$



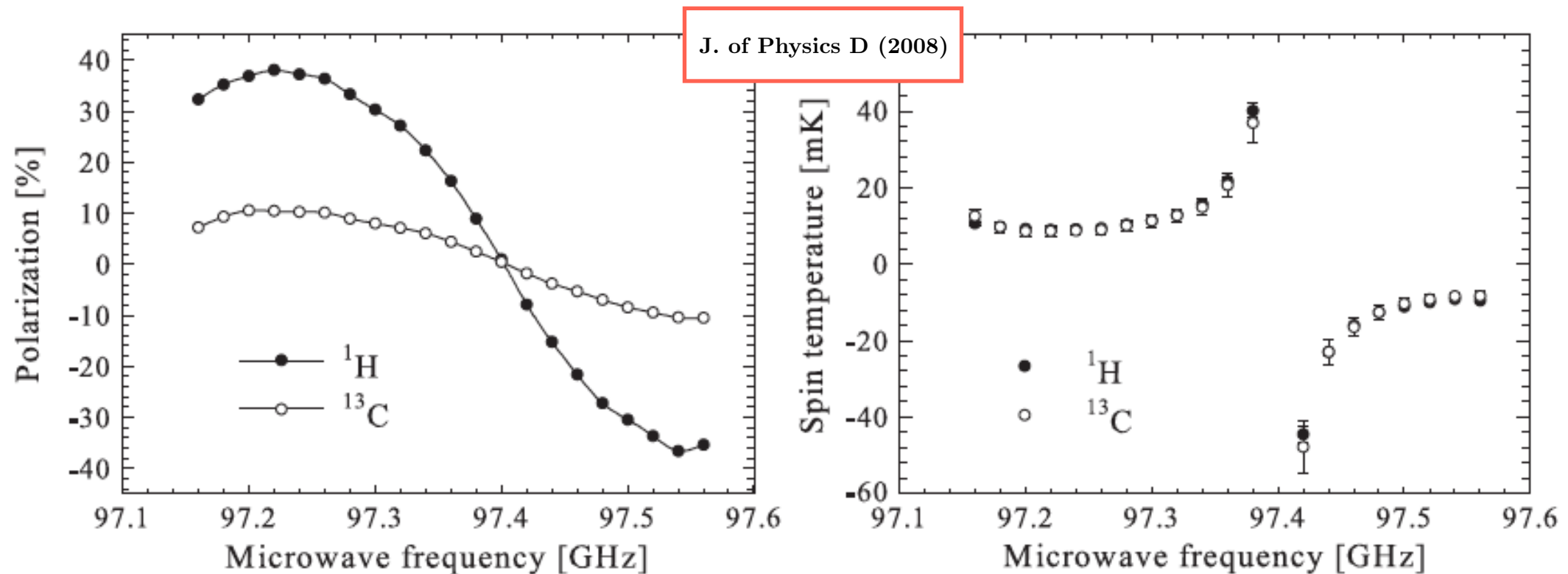
MW-irradiated electron spins reach a low *spin temperature*  
and cool down nuclear spins

# The spin temperature

$$P_n = \tanh\left(\beta_s \frac{\hbar\omega_n}{2}\right)$$

$$\omega_{1H} \simeq 127\text{Mhz}$$

$$\omega_{13C} \simeq 32\text{Mhz}$$



Spin temperature is elusive to microscopic approaches

Theory: Vega group (Weizmann), **Kockenberger group (Nottingham)**



# Two challenging ingredients

Coupling with a bath

$$\hat{\mathcal{H}} = \hat{H}_S + \hat{H}_B + \lambda \hat{H}_{SB}$$

MW- irradiation

$$\hat{H}_{MW} = 2\omega_1 \cos(\omega_{MW}t) \hat{S}_x$$

**IMPORTANT:**

Interactions stronger than coupling with bath and MW

Master equation for occupation probabilities of eigenstates

# The master equation

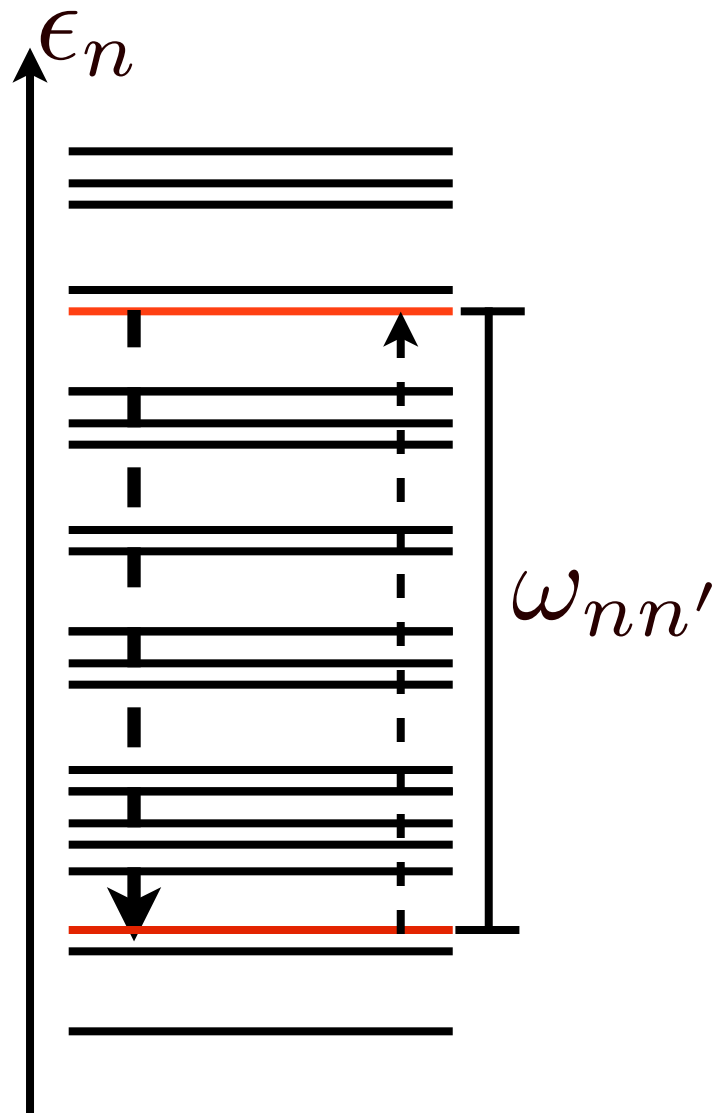
$$\frac{d\rho_{nn}}{dt} = \sum_{n' \neq n} W_{n,n'} \rho_{n'n'} - W_{n',n} \rho_{nn}$$

$$W_{n,n'} = W_{n,n'}^{\text{bath}} + W_{n,n'}^{\text{MW}}$$

$\rho^{\text{stat}}$  is eigenvector associated to eigenvalue 0

# Transitions bath-induced

$$W_{n,n'}^{\text{bath}} = \frac{\hbar_{n,n'}}{T_1} \sum_i |\langle n | \hat{S}_\alpha^i | n' \rangle|^2, \quad \alpha = x, y, z$$



$$\frac{\hbar_{n',n}}{\hbar_{n,n'}} = e^{-\beta\omega_{nn'}}$$

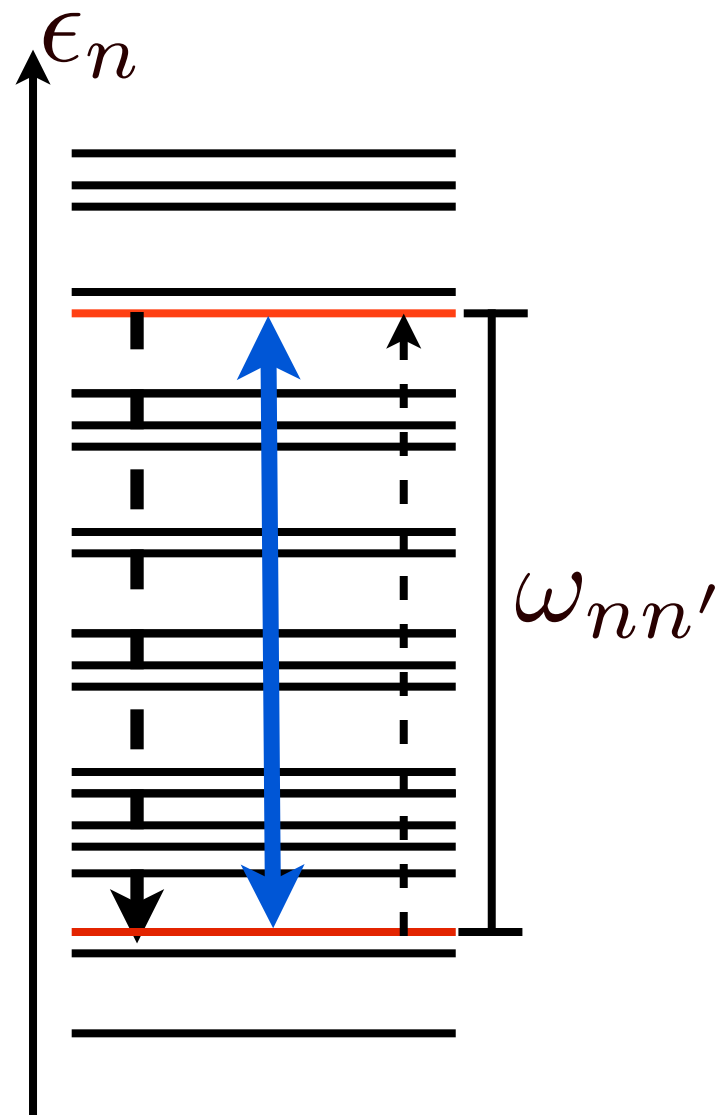
Equilibrium temperature  $\beta^{-1}$



# Transitions MW-induced

$$\hat{H}_{MW} = 2\omega_1 \cos(\omega_{MW}t) \hat{S}_x$$

$$W_{n,n'}^{MW} = \frac{1}{T_{MW}} |\langle n | \hat{S}_x | n' \rangle|^2$$



$$\frac{1}{T_{MW}} = \frac{\omega_1^2 T_2}{1 + (\omega_{nn'} - \omega_{MW})^2 T_2^2}$$

Resonance condition

$$\omega_{nn'} = \omega_{MW}$$

# Do electron spins hyperpolarize nuclear spins?

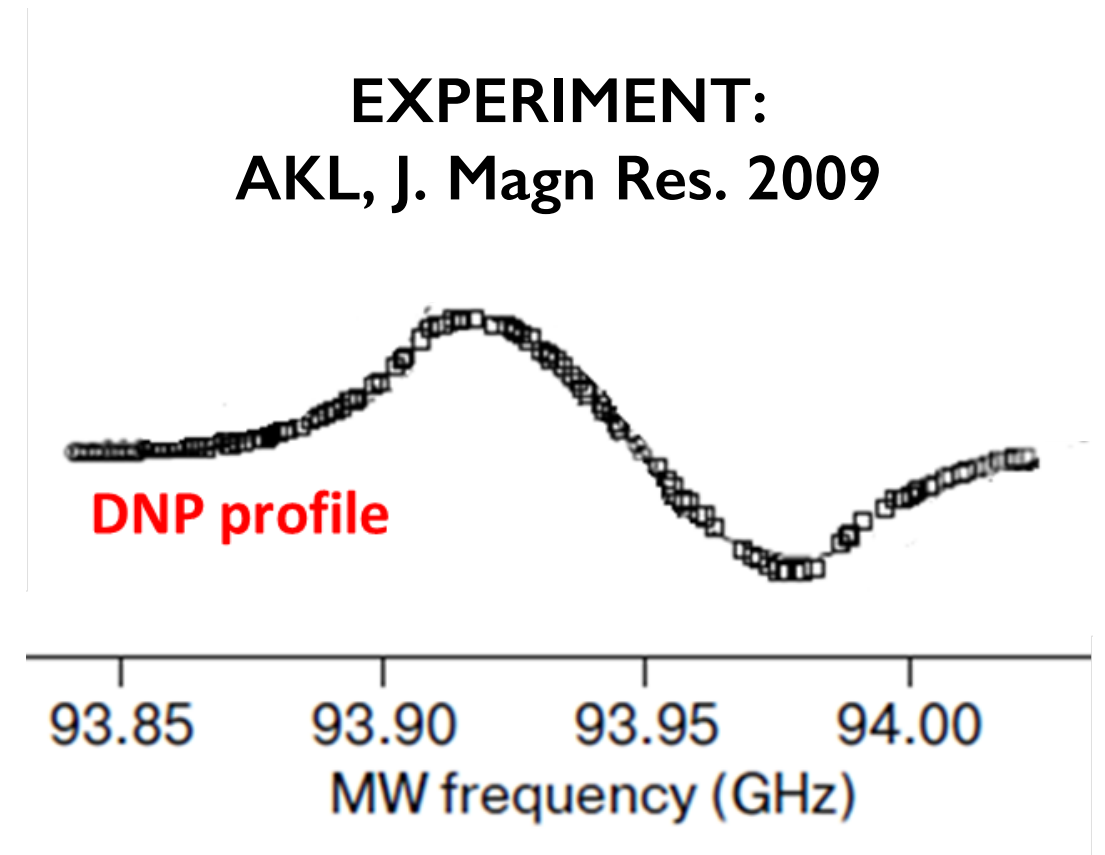
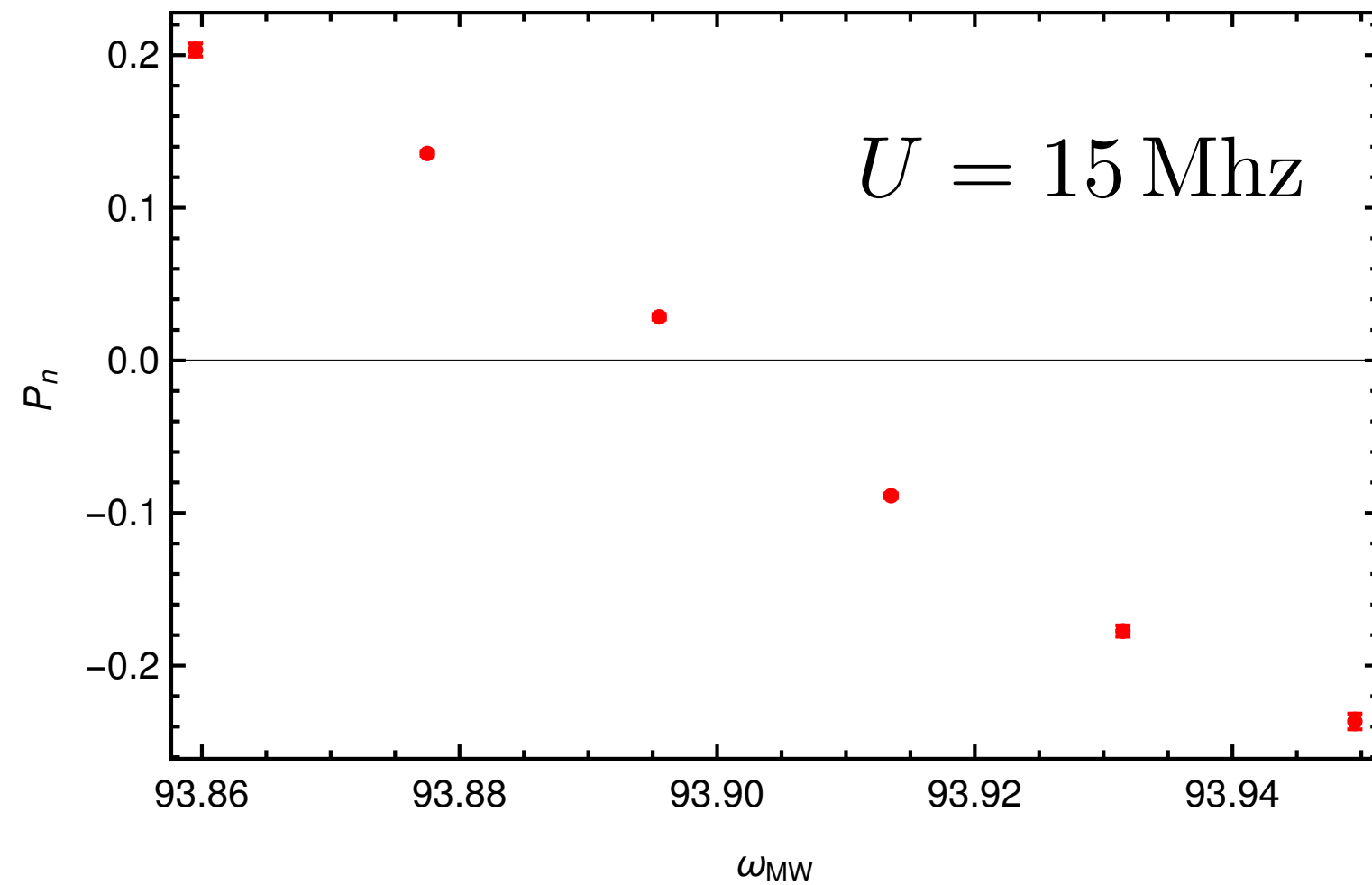
- $N$  electron spins  $\hat{S}_z^i, \hat{S}_x^i, \hat{S}_y^i$
- nuclear spin  $\hat{I}_z, \hat{I}_x, \hat{I}_y$

$$\hat{H}_S + \hbar\omega_n \hat{I}_z + \hat{H}_{I+S}$$

$$\hat{H}_{I+S} = \sum_i B_i \left( \hat{S}_z^i \hat{I}_- + \hat{S}_z^i \hat{I}_+ \right)$$

$B_i$  hyperfine interactions,  $\overline{B_i^2} = b^2/N$

# Yes, they do!



$N = 12$  electron spins + 1 nuclear spin

$T_1$ (sec.)	$T_2$ (sec.)	$\omega_1$ ( Mhz)	$\Delta\omega_e$ (Mhz)
1	$10^{-6}$	0.025	108.0

# Does the stationary state look thermal?

Two conserved quantities:  $E, S$

Steady state:

$$\rho^{\text{stat}}$$

$$E = \sum_n \epsilon_n \rho_{nn}^{\text{stat}} = \sum_n \epsilon_n \rho_{nn}^{\text{Ans}}$$

$$S = \sum_n s_{z,n} \rho_{nn}^{\text{stat}} = \sum_n s_{z,n} \rho_{nn}^{\text{Ans}}$$

Thermal Ansatz

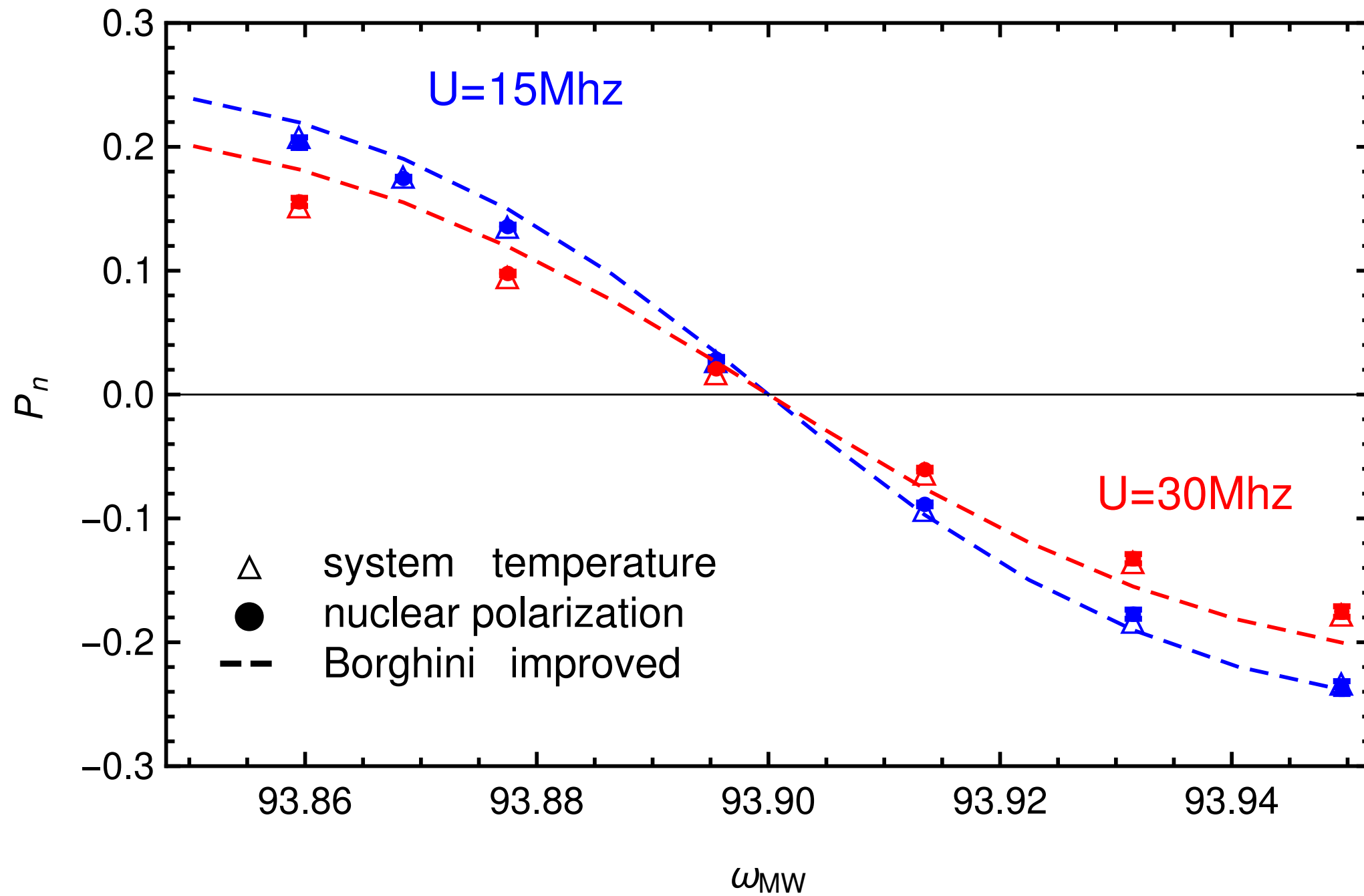
$$\rho_{nn}^{\text{Ans}} \propto e^{-\beta_s (\epsilon_n + h s_{z,n})}$$

From numerical best fit :

$\beta_s$  and  $h$

$$P_n = \tanh \left( \beta_s \frac{\hbar \omega_n}{2} \right)$$

# Yes, it does!



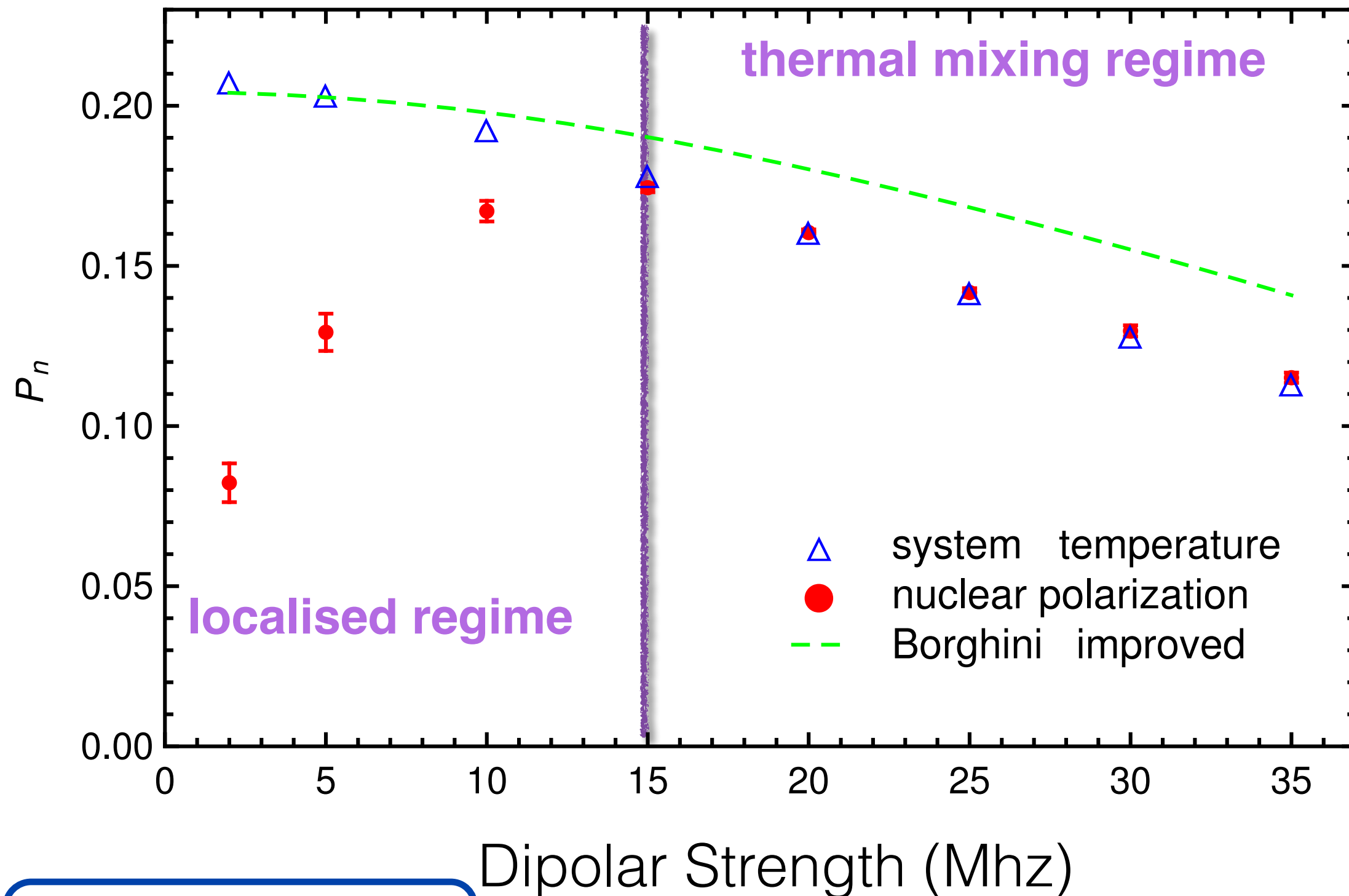
$\beta_S$  can be predicted analytically for  $N \rightarrow \infty$

# Spin temperature and Quantum Thermalization

- Spin temperature arises in ergodic systems:
  1. memory of local imbalances only in  $E$  and  $S_z$
  2. study of eigenstates shows ETH phase
- Spin temperature breakdown: what happens at MBL ?

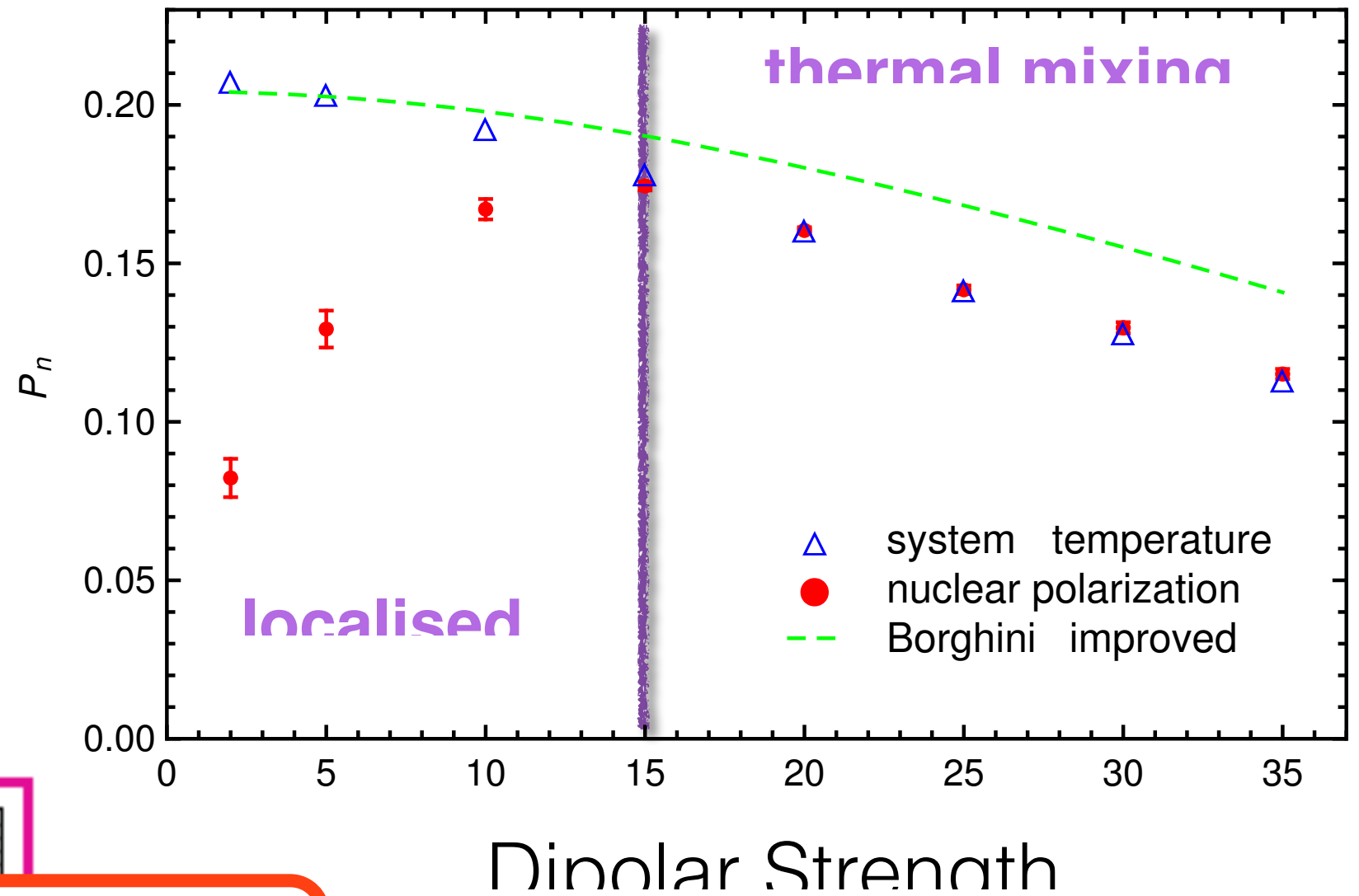


# MBL varying radical concentration

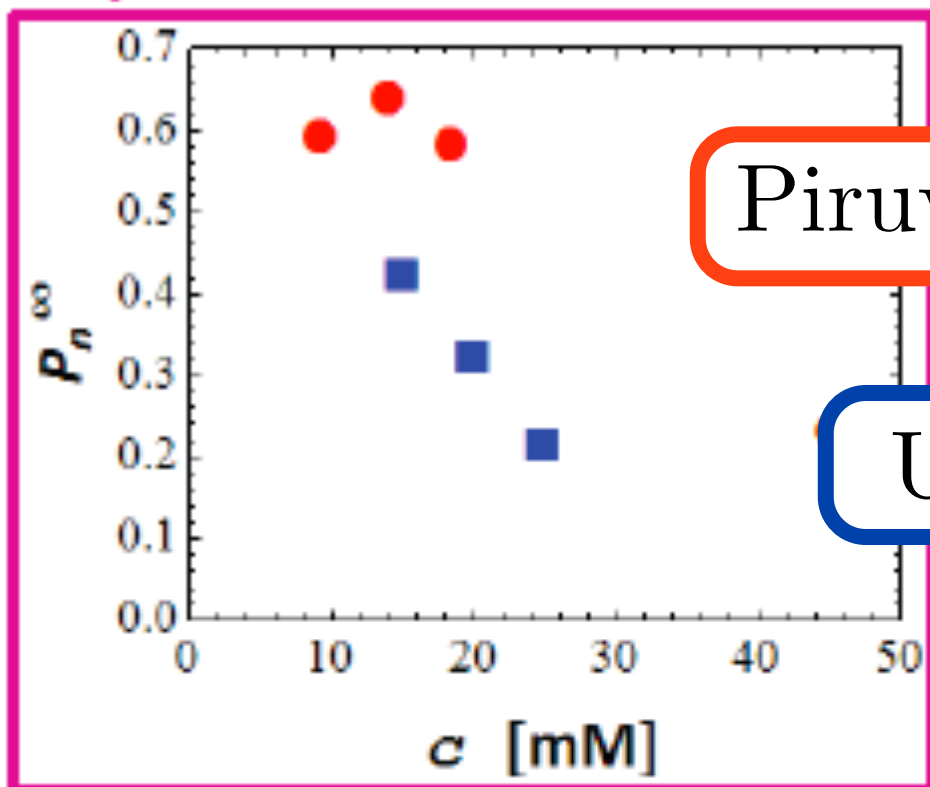


$$\omega_{MW} = 93.8685 \text{ Ghz}$$

# Experimental situation



## Experimental results



Piruvic Acid

Urea

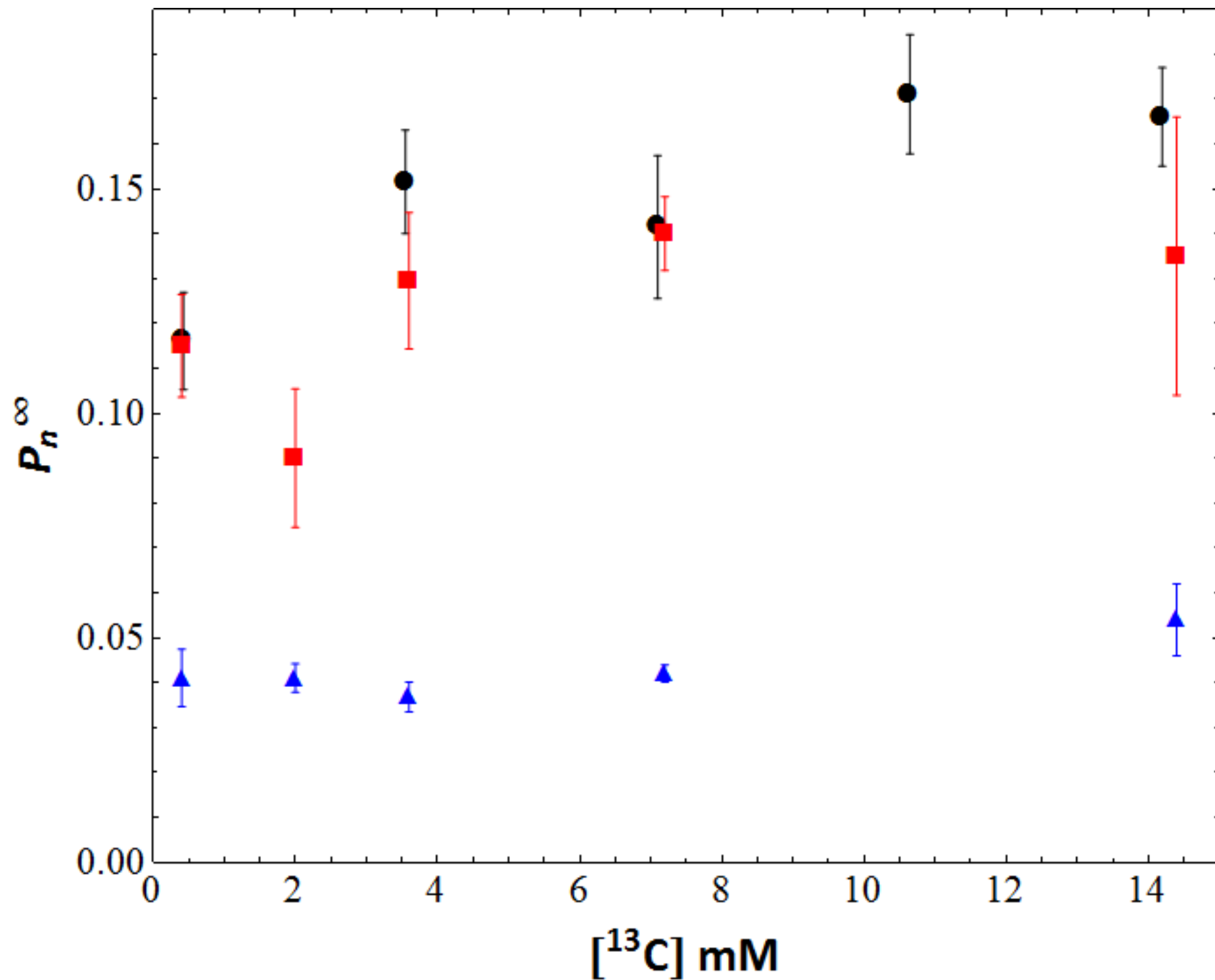
J. Magn Res. 2009;  
Ardenkaer-Larsen 2004

# Conclusions

- Spin temperature profile in presence of dipolar interactions
- Spin temperature and ETH phase
- Agreement with two experimental facts

# Perspectives

- Understand the spin temperature behavior  
(Applications: go beyond trial-and-error strategies)
- DNP samples good candidates to observe many body localisation transition



Piruvic acid  
with 15 mM  
radical  
(trytills)

In collaboration with Pavia group, PCCP 2014

# The bath: weak coupling and Lindblad

$$\hat{\mathcal{H}} = \hat{H}_S + \hat{H}_B + \lambda \hat{H}_{SB} \quad \hat{H}_{SB} = 2 \sum_{\substack{j=1 \\ \alpha=x,y,z}}^N \hat{S}_\alpha^j \hat{\Phi}_\alpha^j$$

time dependent perturbation theory

$$\frac{d\rho}{dt} = -i[\hat{H}_S, \rho] + \lambda^2 \mathcal{L}\rho$$

Case  $N = 1$

$$\rho(t=0) = |\psi_0\rangle\langle\psi_0|$$

$$\rho_{eq}(\beta)$$

$$\begin{pmatrix} \rho_{11}(0) & \rho_{12}(0) \\ \rho_{21}(0) & \rho_{22}(0) \end{pmatrix} \xrightarrow{\text{Lindblad time evolution}} \frac{1}{Z} \begin{pmatrix} e^{-\beta\epsilon_1} & 0 \\ 0 & e^{-\beta\epsilon_2} \end{pmatrix}$$

## [A] out-off-diagonal terms and dephasing

$$\frac{d\rho_{nm}}{dt} = \underbrace{-i(\epsilon_n - \epsilon_m)\rho_{nm}}_{\text{oscillations}} \underbrace{-\frac{\rho_{nm}}{T_{2,nm}}}_{\text{decay}}$$

$\rho_{nm}$  averages to 0 on a time scale  $T_2 \sim 10^{-6}$  sec.

## [B] diagonal terms and relaxation

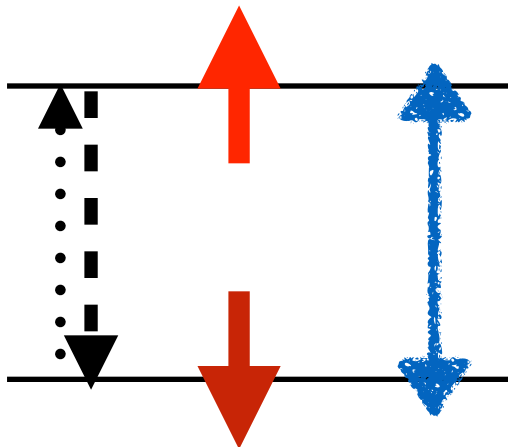
$\rho_{nn}$  relax to  $e^{-\beta\epsilon_n}/Z$  on a time scale  $T_1 \sim 1$  sec.

$$\begin{pmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{pmatrix} \xrightarrow[T_2 \approx 10^{-6} \text{ sec.}]{\text{[A] dephasing}} \begin{pmatrix} \rho_{11} & 0 \\ 0 & \rho_{22} \end{pmatrix} \xrightarrow[T_1 \approx 1 \text{ sec.}]{\text{[B] relaxation}} \begin{pmatrix} \frac{e^{-\beta\epsilon_1}}{Z} & 0 \\ 0 & \frac{e^{-\beta\epsilon_2}}{Z} \end{pmatrix}$$



# “Trivial” localized case

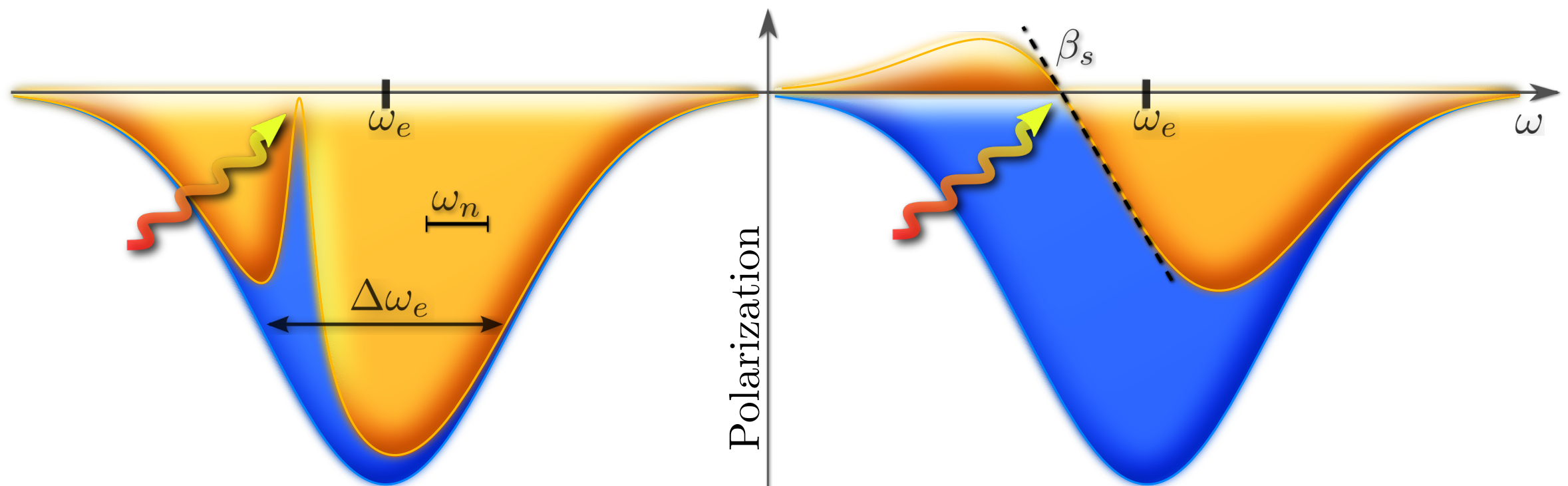
$$(U = 0), \quad \hat{\mathcal{H}} = \sum_i (\omega_e + \Delta_i) \hat{S}_z^i, \quad P_e(\omega) = \langle S_z^i \rangle_{t \rightarrow \infty}$$



$$\rho_{nn}^{\text{Ans}} \propto e^{-\beta_s (\epsilon_n + h s_{z,n})}$$

Bloch (1946)

Borghini (1970)



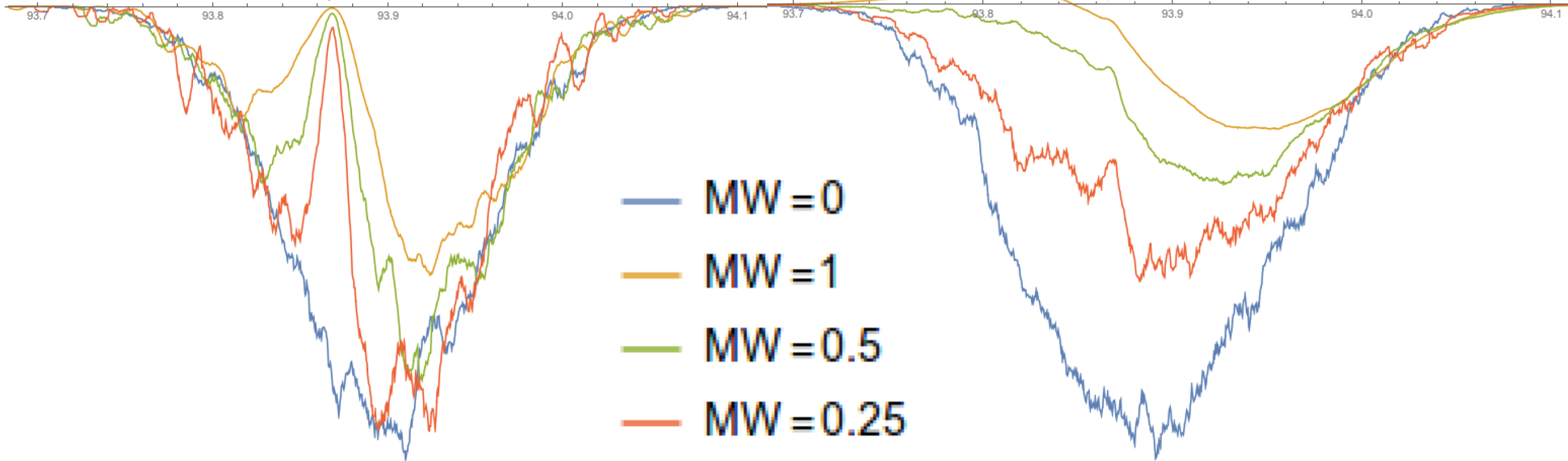
# EPR spectrum (numerical simulations)

$U = 1.5 \text{ Mhz}$

$U = 15 \text{ Mhz}$

MW

MW

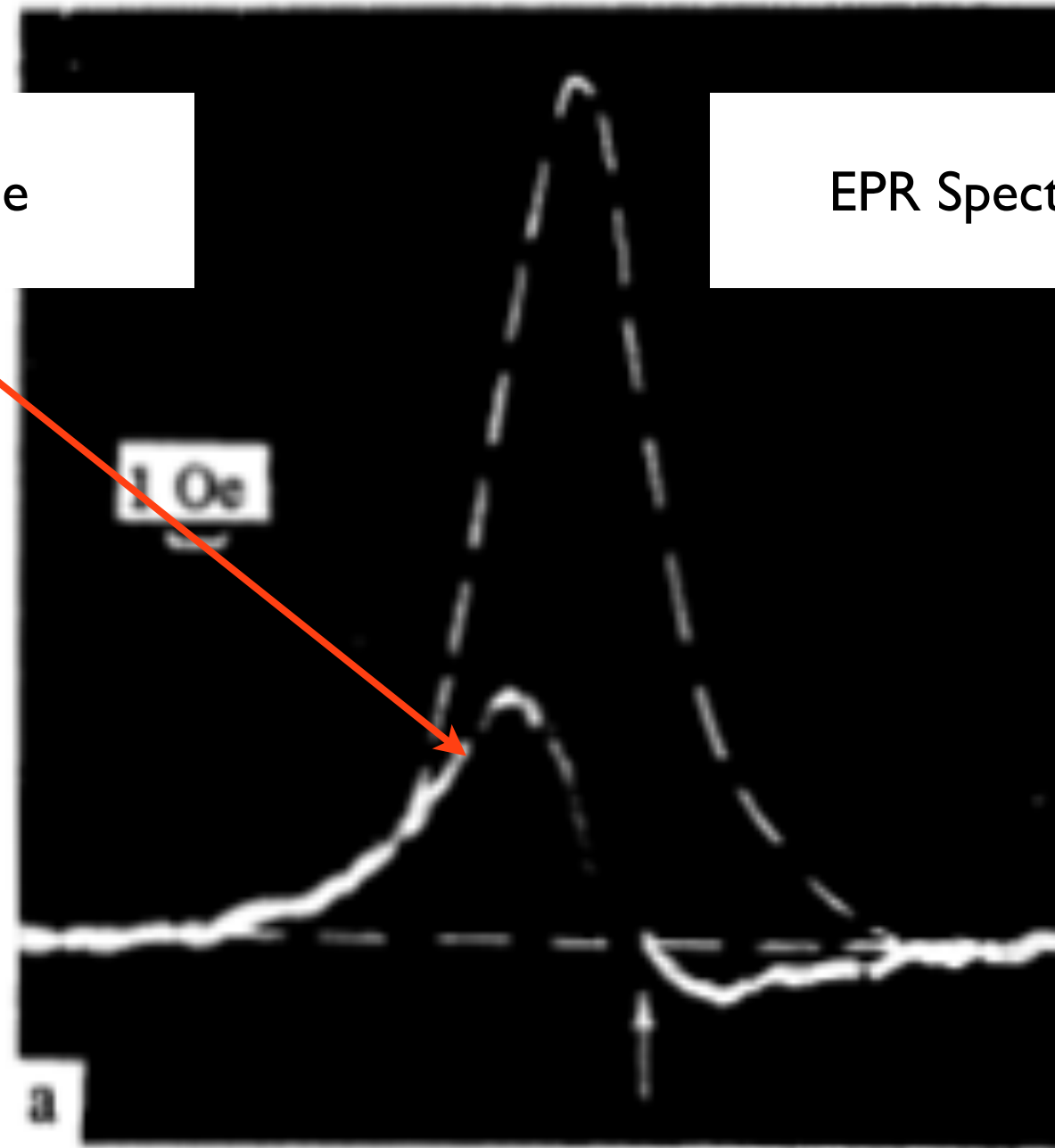


Localized phase

Thermal phase

Electron profile

EPR Spectrum



Experiments: Atsarkin, Soviet Physics JETP (1970).