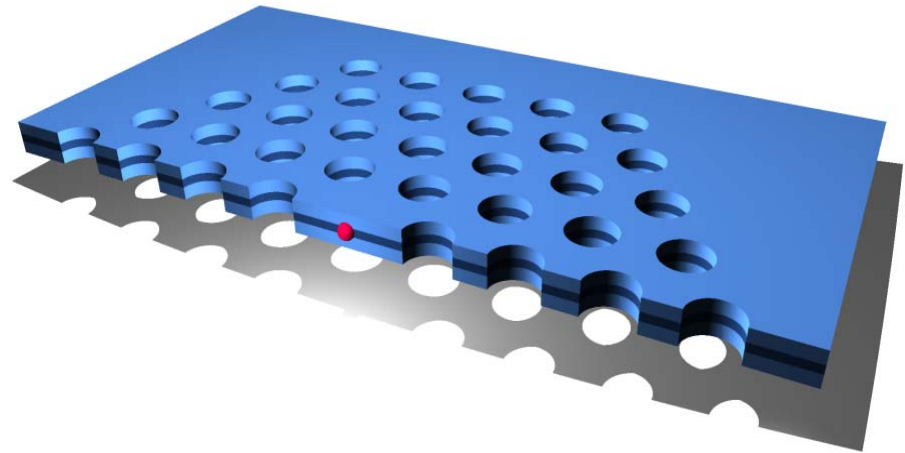


Quasimodes and Purcell factors for leaky optical cavities and plasmonic nanoresonators

S. Hughes

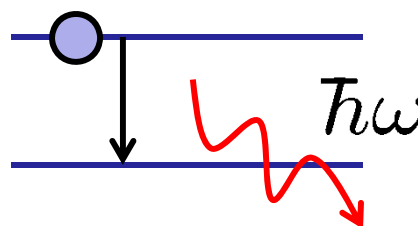
Queen's University, Kingston, Ontario, Canada



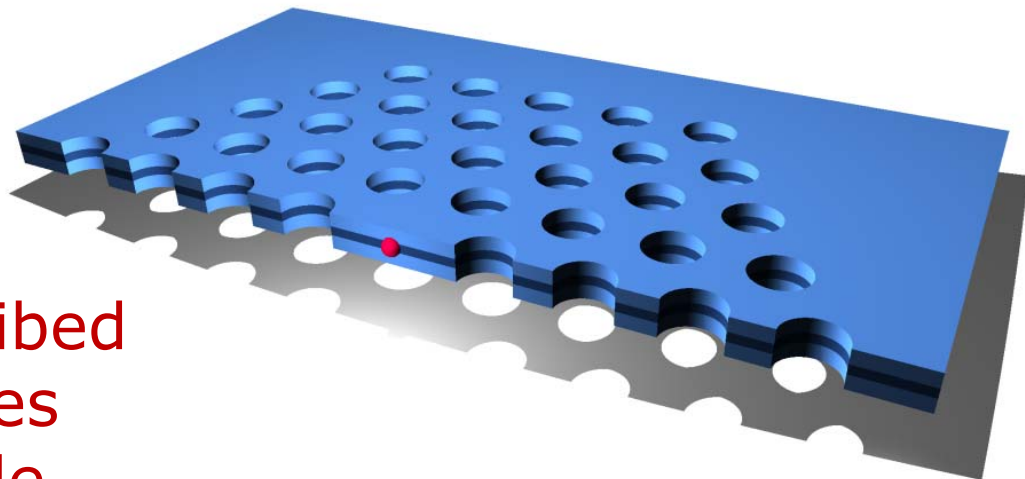
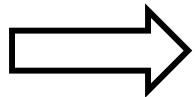
Rongchun Ge, P. T. Kristensen,
J.F. Young, NSERC (\$)

Traditional route to cavity-QED and local field enhancements

- General scaling for light-matter interactions


$$\propto \left(\frac{\lambda}{n}\right)^3 \frac{Q}{V}$$

..... Quality factor
..... Volume



Enhancement described
in terms of properties
of single cavity mode

Cavity-modified spontaneous emission

B10. Spontaneous Emission Probabilities at Radio Frequencies. E. M. PURCELL, *Harvard University*.—For nuclear magnetic moment transitions at radio frequencies the probability of spontaneous emission, computed from

$$A_\nu = (8\pi\nu^2/c^3)h\nu(8\pi^3\mu^2/3h^2) \text{ sec.}^{-1},$$

is so small that this process is not effective in bringing a spin system into thermal equilibrium with its surroundings. At 300°K, for $\nu = 10^7 \text{ sec.}^{-1}$, $\mu = 1$ nuclear magneton, the corresponding relaxation time would be 5×10^{21} seconds! However, for a system coupled to a resonant electrical circuit, the factor $8\pi\nu^2/c^3$ no longer gives correctly the number of radiation oscillators per unit volume, in unit frequency range, there being now *one* oscillator in the frequency range ν/Q associated with the circuit. The spontaneous emission probability is thereby increased, and the relaxation time reduced, by a factor $f = 3Q\lambda^3/4\pi^2V$, where V is the volume of the resonator. If a is a dimension

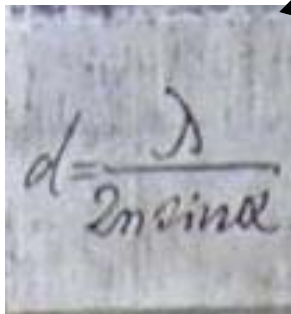


Edward
Purcell

E. M. Purcell, Phys. Rev. 69, 681 (1946).

Cavity size limits?

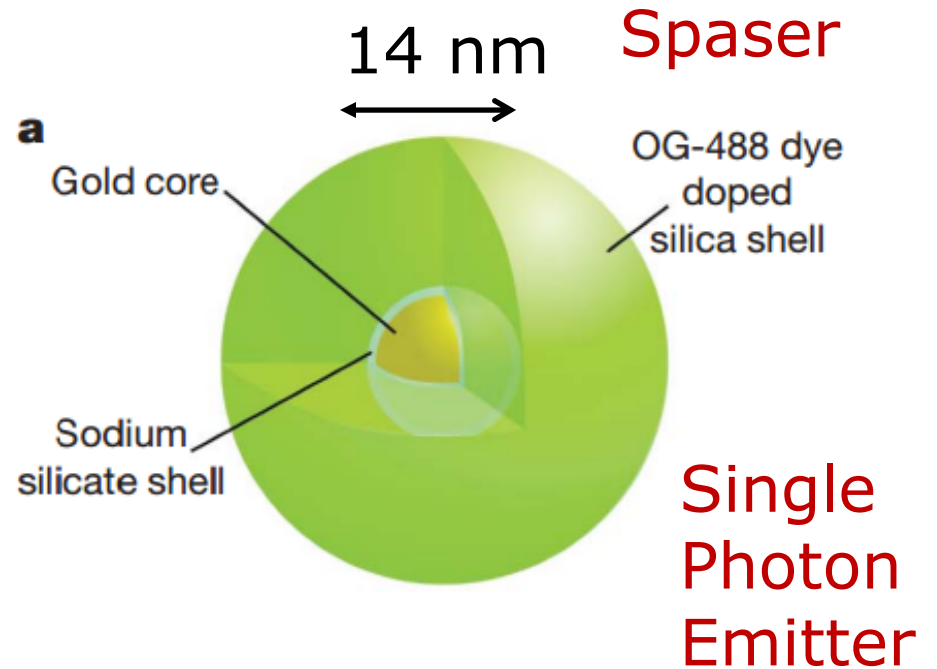
U. Jena



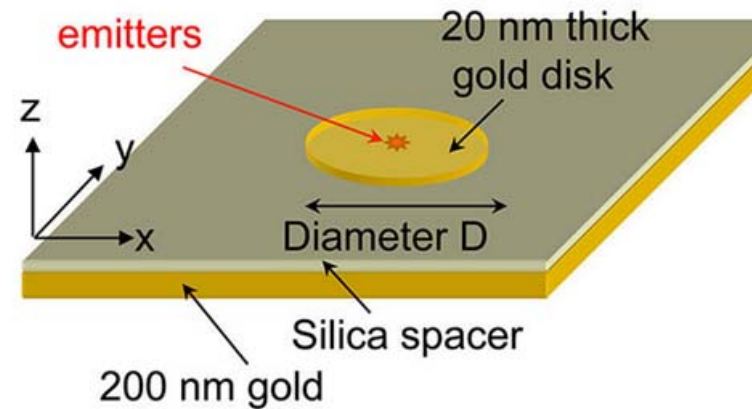
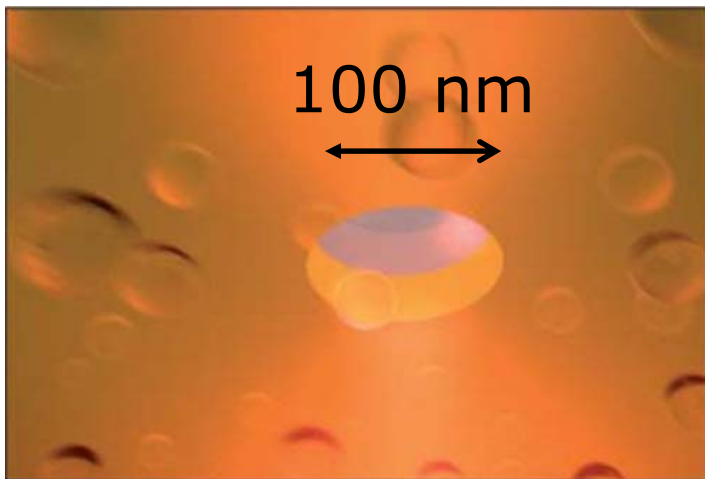
$$V_{\min} \sim \left(\frac{\lambda}{n}\right)^3 \text{ limited by diffraction}$$

Extreme confinement: Nanoplasmonics

Lycurgus Cup

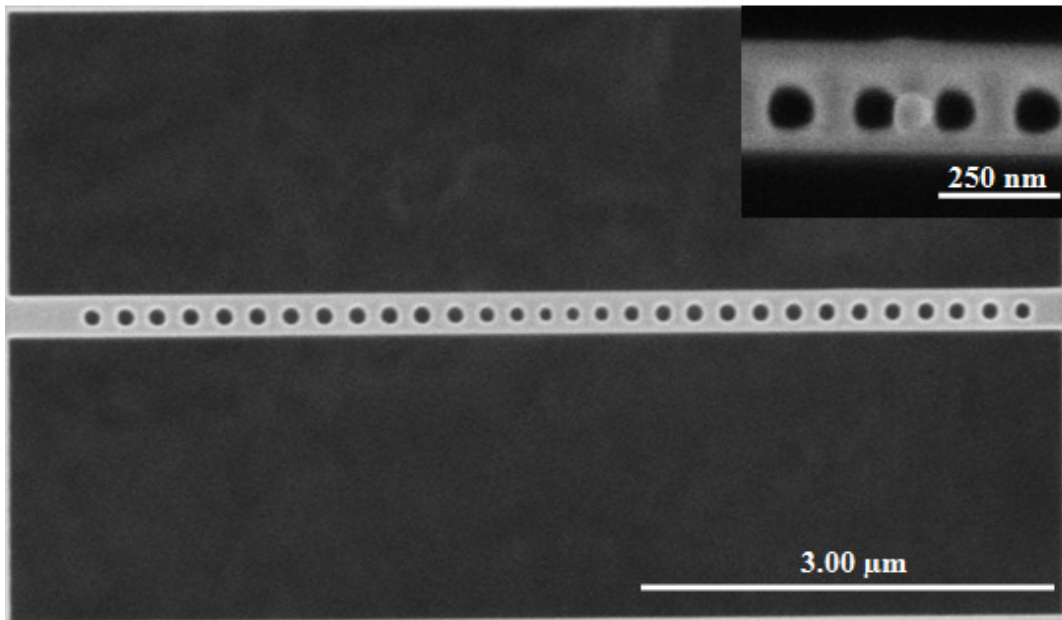
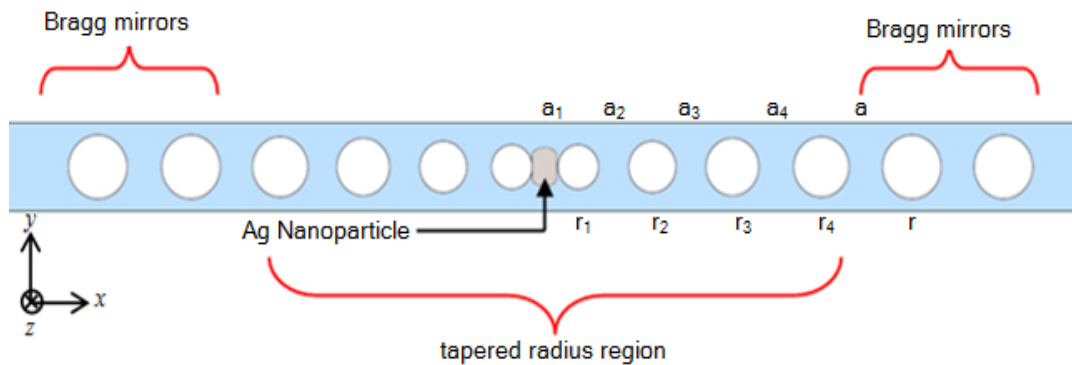


Nanoscale Light Trap

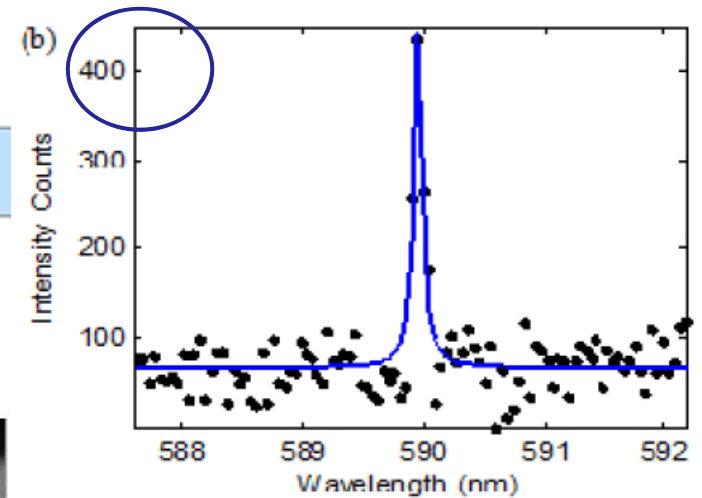


Example works of Noginov et al., Gordon et al., and Maitre et al. 5

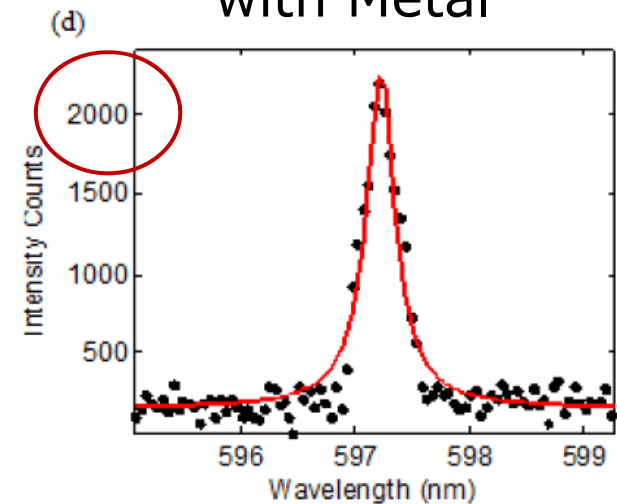
Coupling metal particles with dielectric cavities



no metal

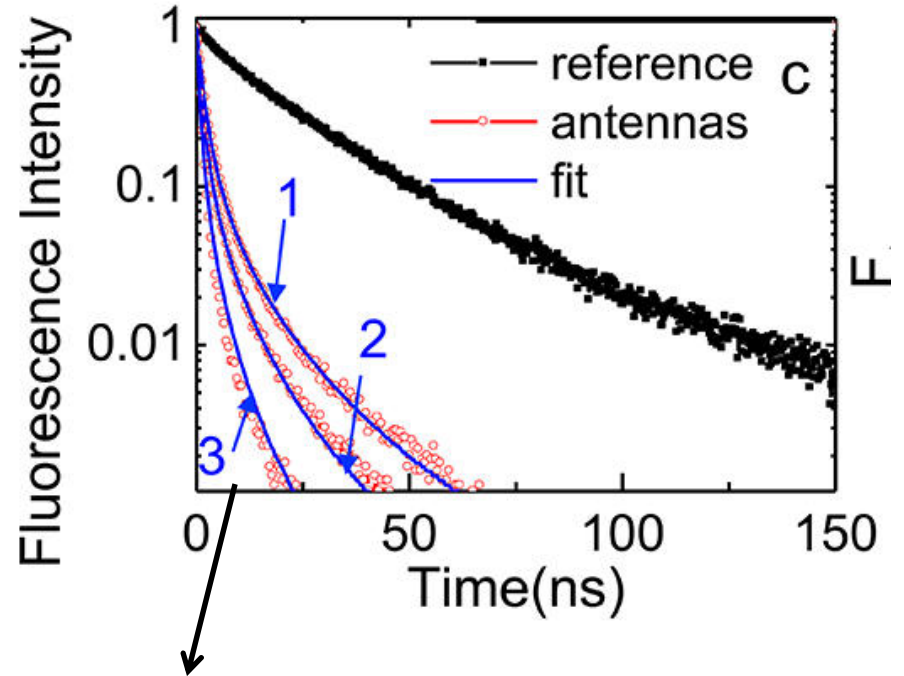
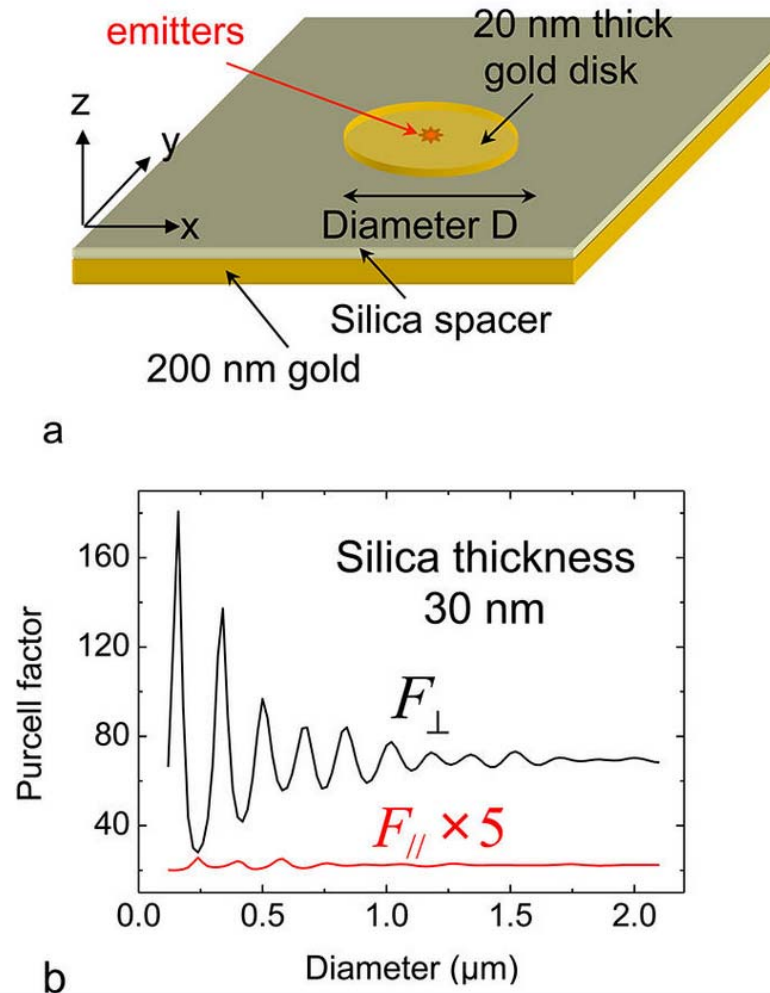


with Metal



*Mukherjee, Hajisalem, and Gordon, Opt. Express 2011.

Enhanced spontaneous emission with plasmonic *nanoscale* resonators



Enhanced emission for various nanoantenna designs

*Belacel et al., Nano Letters (2013).

Problem definition and motivation

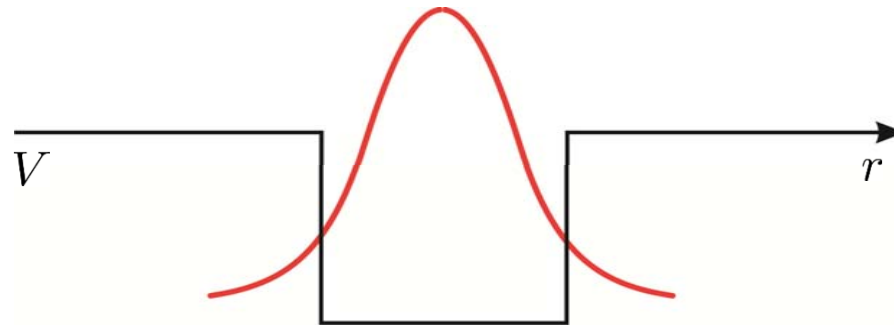
- Modelling light-matter interactions in *open* cavity systems, especially metals, is very time consuming and numerically challenging (almost tedious!).
- However, the LDOS enhancements in cavity structures are directly attributable to one or just a few local resonances of the cavity modes.
- Unfortunately there is a disturbing lack of a precise definition for what constitutes an open cavity mode, and so their mathematical properties are somewhat unspecified and frequently ambiguous.

Outline

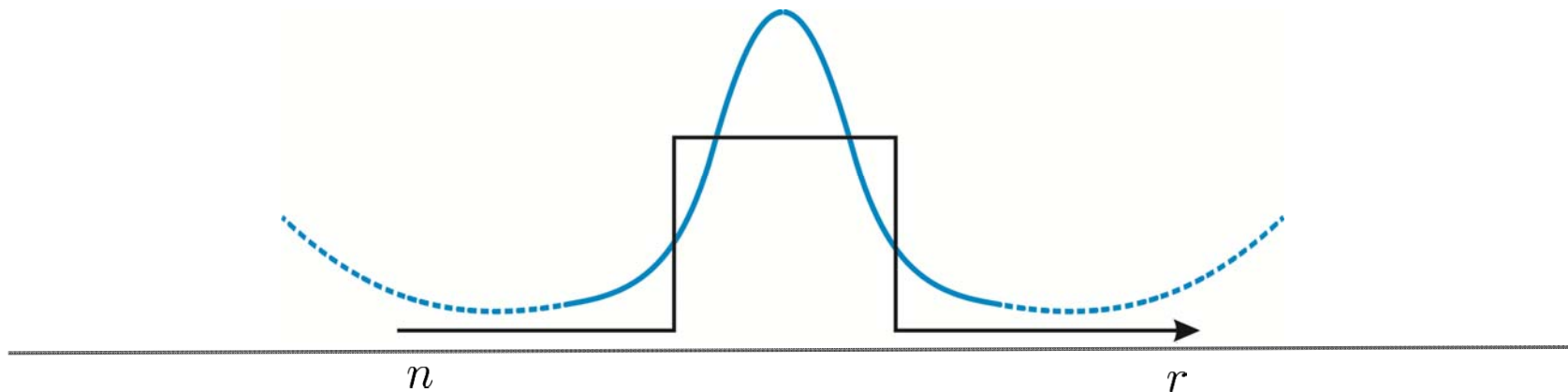
- How to fix Purcell formula for an dissipative cavity – a quasinormal mode (QNM) theory
- Examples:
 - I. simple dielectric cavity
 - II. plasmonic nanoresonators
 - III. single photon emitter from metal dimer
- Summary

Bound modes versus *open* cavity modes

Electronic wavefunction, finite potential well:



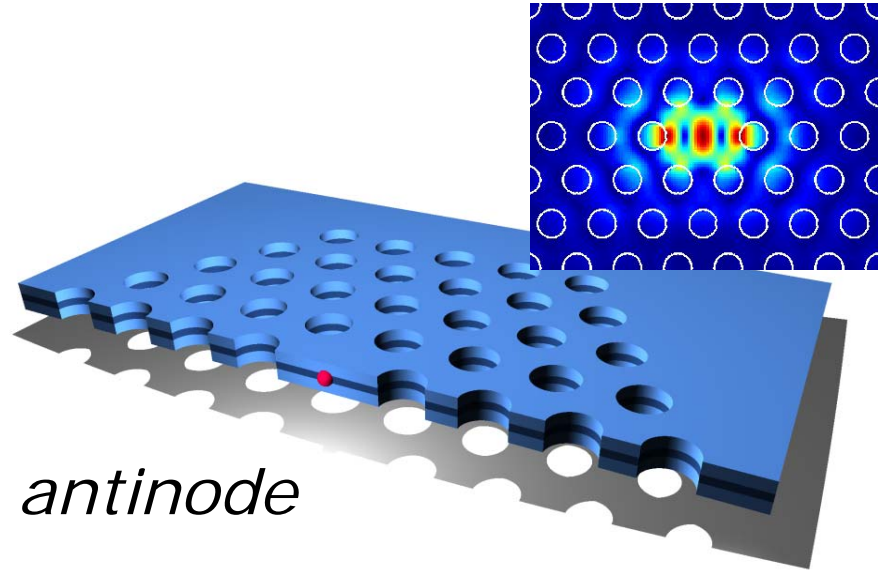
Electric field, high-index cavity



Purcell effect in an optical cavity

- SE rate

$$\Gamma_R = \alpha_{\text{QD}} \rho(\mathbf{r}, \omega)$$



- Purcell factor at cavity *antinode*

$$F_P = \frac{\rho(0, \omega)}{\rho_0} = \frac{3}{4\pi^2} \left(\frac{\lambda_C}{n_C} \right)^3 \left(\frac{Q}{V_{\text{eff}}} \right)$$

- Effective (“normal”) mode volume

$$V_{\text{eff}}^{\text{N}} = \int_V \frac{\epsilon_r(\mathbf{r}) |\mathbf{f}_\mu(\mathbf{r})|^2 d\mathbf{r}}{\epsilon_r(\mathbf{r}_c) |\mathbf{f}_\mu(\mathbf{r}_c)|^2} \quad ?!$$

Properties of normal modes

$$\nabla \times \nabla \times \mathbf{E}(\mathbf{r}) - \frac{\omega^2}{c^2} \epsilon_r(\mathbf{r}) \mathbf{E}(\mathbf{r}) = 0$$

Normal modes have **fixed** or **periodic boundary conditions**:

$$\mathbf{E}(\mathbf{r}) = 0, r = R_{\max}$$

- *Real frequencies, complete
- *Continuous spectrum (if large V)

Normalization:

$$\langle \mathbf{f}_\mu | \mathbf{f}_\lambda \rangle = \int_V \epsilon_r(\mathbf{r}) \mathbf{f}_\mu^*(\mathbf{r}) \cdot \mathbf{f}_\lambda(\mathbf{r}) d\mathbf{r} = \delta_{\mu,\lambda},$$

Properties of QNMs

$$\nabla \times \nabla \times \mathbf{E}(\mathbf{r}) - \frac{\omega^2}{c^2} \epsilon(\mathbf{r}) \mathbf{E}(\mathbf{r}) = 0$$

QNMs[1] have **outgoing boundary conditions**:

- *Complex freqs, complete (?)
- *Discrete spectrum

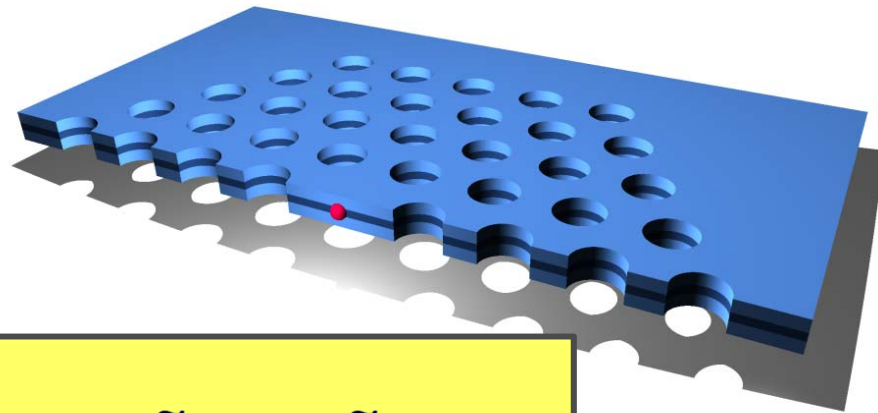
$$\mathbf{E}(\mathbf{r}) \propto \frac{1}{r} e^{i\omega r/c}, r \rightarrow \infty$$

Normalization:

$$\begin{aligned} \langle\langle \tilde{\mathbf{f}}_\mu | \tilde{\mathbf{f}}_\mu \rangle\rangle &= \lim_{V \rightarrow \infty} \int_V \left(\frac{1}{2\omega} \frac{\partial(\epsilon(\mathbf{r}, \omega)\omega^2)}{\partial\omega} \right)_{\omega=\tilde{\omega}_{Q_1}} \tilde{\mathbf{f}}_\mu(\tilde{\mathbf{r}}) \cdot \tilde{\mathbf{f}}_\mu(\mathbf{r}) d\mathbf{r} \\ &+ \frac{ic}{2\tilde{\omega}_{Q_1}} \int_{\partial V} \sqrt{\epsilon(\mathbf{r})} \tilde{\mathbf{f}}_\mu(\mathbf{r}) \cdot \tilde{\mathbf{f}}_\mu(\mathbf{r}) d\mathbf{r}. \end{aligned}$$

* Lee et al. JOSA B (1999), Kristensen et al, OL (2012), Kristensen and Hughes, ACS Photonics (2013), Sauvan et al, PRL (2013)

Green function expansion inside scattering geometry (where QNMs are complete)



$$G(\mathbf{r}_1, \mathbf{r}_2) = \sum_{\alpha} \frac{\omega^2}{2\tilde{\omega}_{\alpha}(\tilde{\omega}_{\alpha} - \omega)} \tilde{\mathbf{f}}_{\alpha}(\mathbf{r}_1) \tilde{\mathbf{f}}_{\alpha}(\mathbf{r}_2)$$

Complex eigenfrequencies:

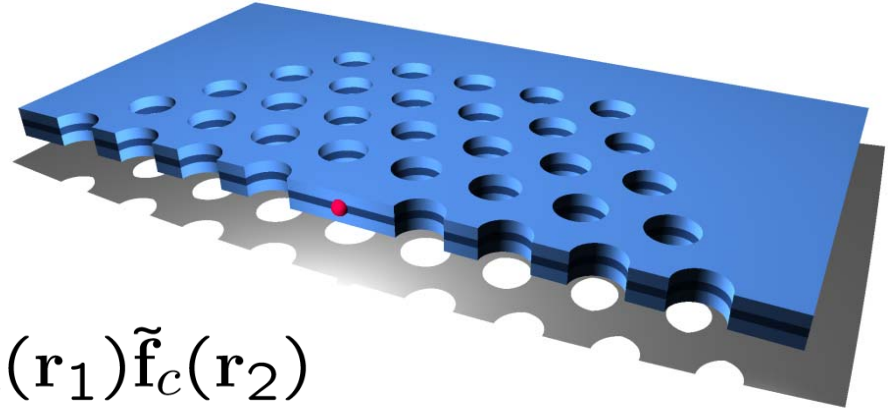
$$\tilde{\omega}_{\alpha} = \omega_{\alpha} - i\gamma_{\alpha}$$

Quality factor of each mode:

$$Q_{\alpha} = \frac{\omega_{\alpha}}{2\gamma_{\alpha}}$$

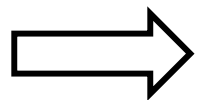
Single QNM Green function

$$\tilde{\omega}_c = \omega_c - i\gamma_c \quad Q = \frac{\omega_c}{2\gamma_c}$$



$$G^f(\mathbf{r}_1, \mathbf{r}_2) \approx \frac{\omega^2}{2\tilde{\omega}_c(\tilde{\omega}_c - \omega)} \tilde{\mathbf{f}}_c(\mathbf{r}_1) \tilde{\mathbf{f}}_c(\mathbf{r}_2)$$

$$F_P(\mathbf{r}_d) = \frac{\mathbf{n}_d \cdot \text{Im}G^f(\mathbf{r}_d, \mathbf{r}_d; \omega) \cdot \mathbf{n}_d}{\mathbf{n}_d \cdot \text{Im}G^{\text{hom}}(\mathbf{r}_d, \mathbf{r}_d; \omega) \cdot \mathbf{n}_d} \propto \frac{Q}{V_{\text{eff}}}$$

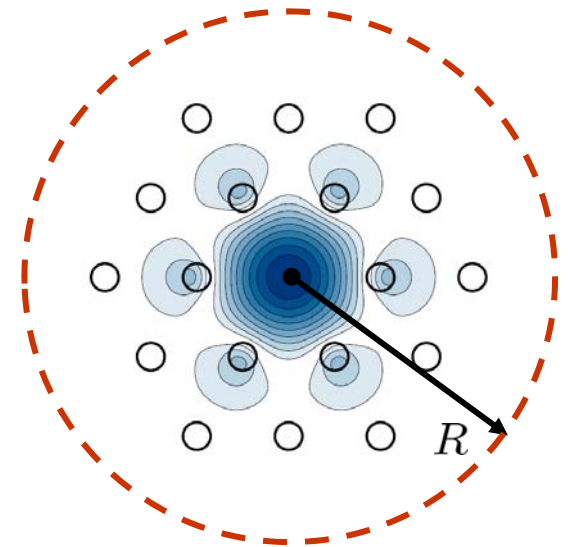


Rigorous definition of V_{eff} and $F_P(\mathbf{r}_d)$

Effective mode volume

Normal-mode volume

$$V_{\text{eff}}^N = \frac{1}{\epsilon(\mathbf{r}_c)} \frac{\langle \mathbf{f}_\mu | \mathbf{f}_\mu \rangle}{|\mathbf{f}_\mu^2(\mathbf{r}_c)|^2}, \quad \langle \mathbf{f}_\mu | \mathbf{f}_\mu \rangle = \int_V \epsilon(\mathbf{r}) |\mathbf{f}_\mu^2(\mathbf{r})|^2 d\mathbf{r}$$



(Corrected) Quasi-mode volume [1]

$$V_{\text{eff}}^Q = \text{Re} \left\{ \frac{1}{v_Q} \right\}, \quad v_Q = \frac{1}{\epsilon(\mathbf{r}_c)} \frac{\langle \langle \tilde{\mathbf{f}}_\mu | \tilde{\mathbf{f}}_\mu \rangle \rangle}{\tilde{\mathbf{f}}_\mu^2(\mathbf{r}_c)}$$

$$\langle \langle \tilde{\mathbf{f}}_\mu | \tilde{\mathbf{f}}_\mu \rangle \rangle = \lim_{V \rightarrow \infty} \int_V \epsilon(\mathbf{r}) \tilde{\mathbf{f}}_\mu \cdot \tilde{\mathbf{f}}_\lambda d\mathbf{r} + \frac{ic}{2\tilde{\omega}_\mu} \int_{\partial V} \epsilon(\mathbf{r}) \tilde{\mathbf{f}}_\mu \cdot \tilde{\mathbf{f}}_\mu d\mathbf{r}$$

[1] Kristensen, Van Vlack, Hughes, Opt. Lett. 37, 1649 (2012)

Outline

- How to fix Purcell formula for an dissipative cavity – a quasinormal mode (QNM) theory
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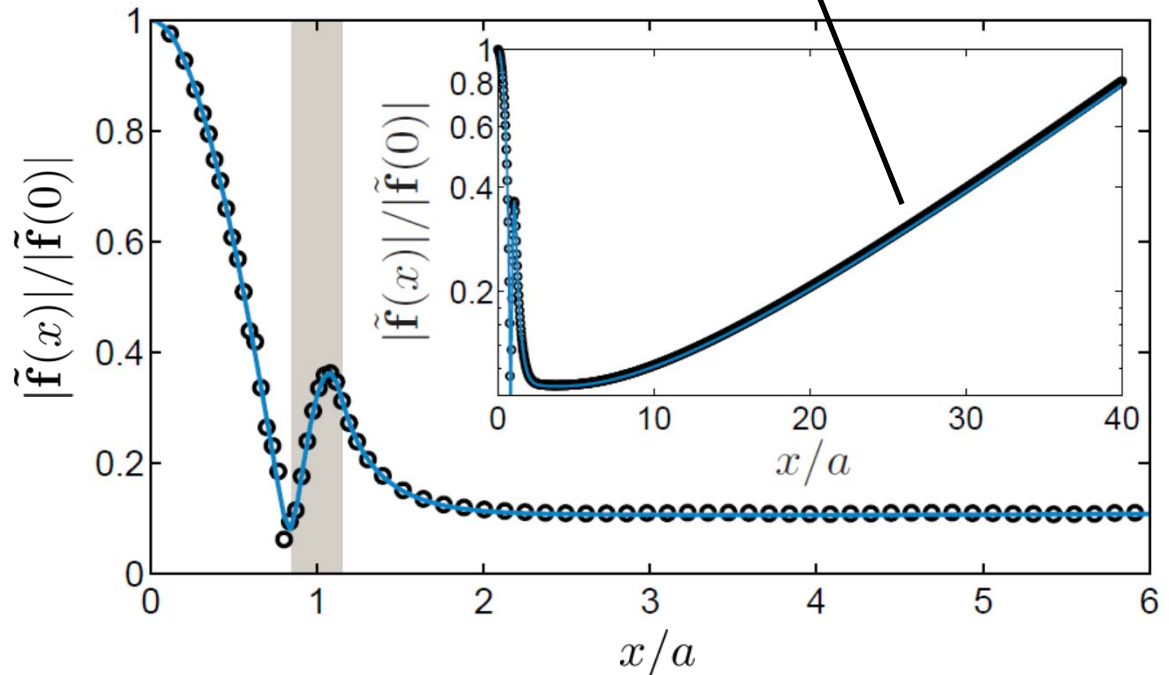
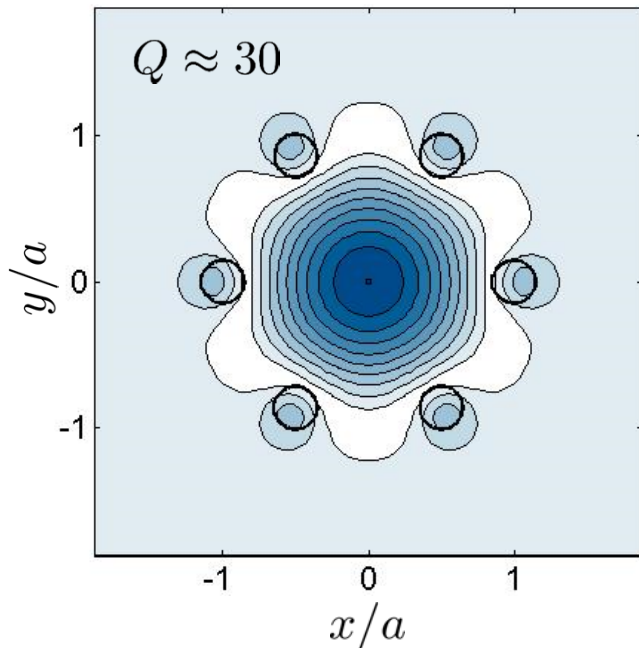
Quasi-modes for simple dielectric cavity

Can use a Fredholm-type equation:

$$\mathbf{E}(\mathbf{r}, \omega) = \left(\frac{\omega}{c}\right)^2 \int_V \mathbf{G}^B(\mathbf{r}, \mathbf{r}', \omega) \Delta\varepsilon(\mathbf{r}') \mathbf{E}(\mathbf{r}', \omega) d\mathbf{r}'.$$

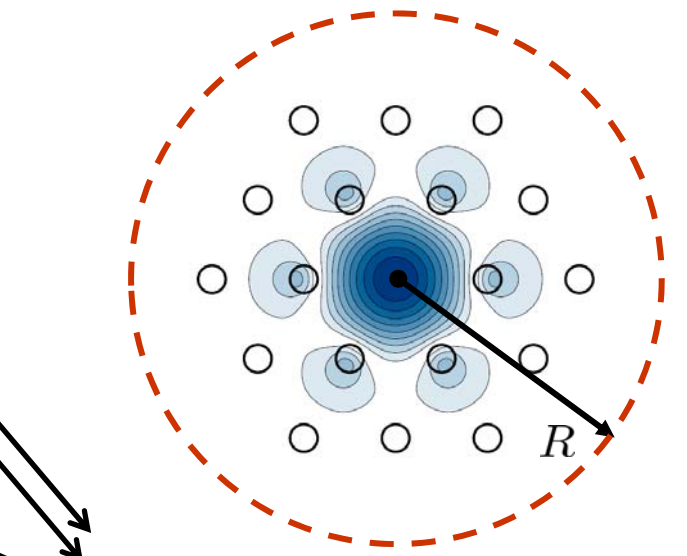
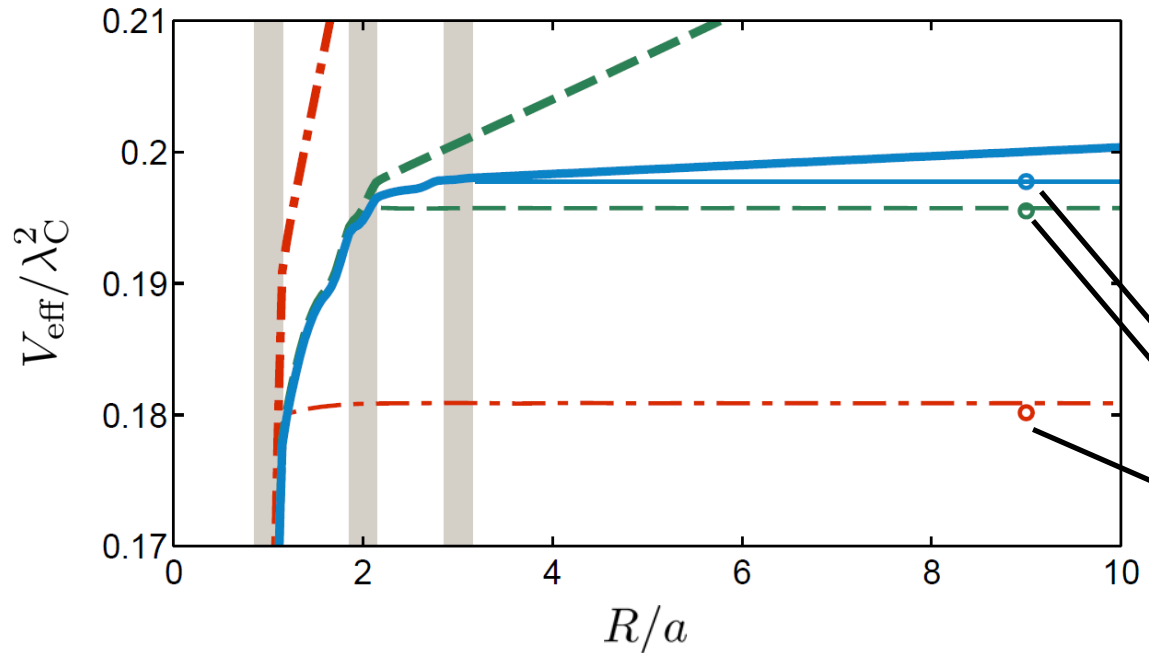
... or use FDTD*

two different
numerical techniques



* We use Lumerical FDTD: www.lumerical.com

Corrected effective mode volumes



Exact solutions from numerical **G**

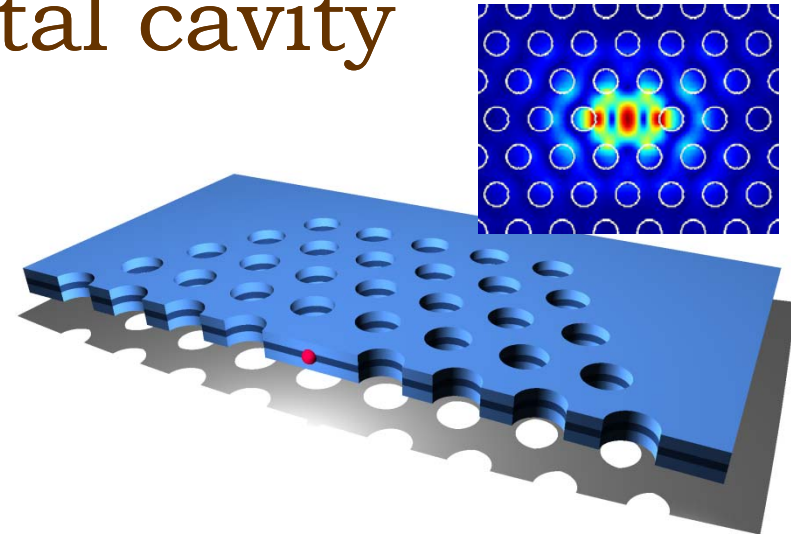
$V_{\text{eff}}^{\text{N}}$ (thick lines)	$V_{\text{eff}}^{\text{Q}}$ (thin lines)
- - - $N = 1$	- - - $N = 1$ ($Q \approx 30$)
- - - $N = 2$	- - - $N = 2$ ($Q \approx 325$)
— — — $N = 3$	— — — $N = 3$ ($Q \approx 3170$)

N = no of layers

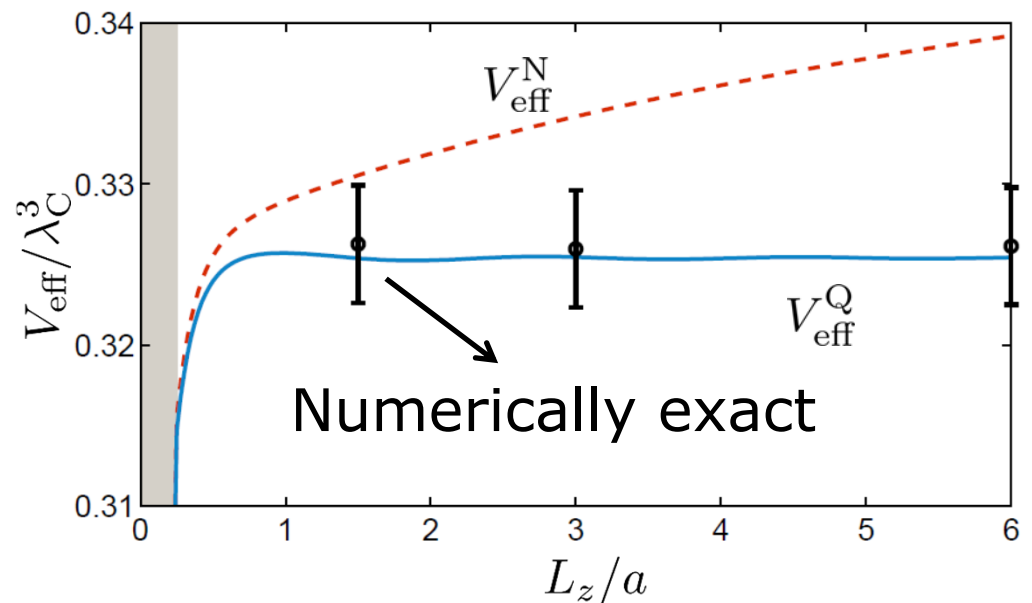
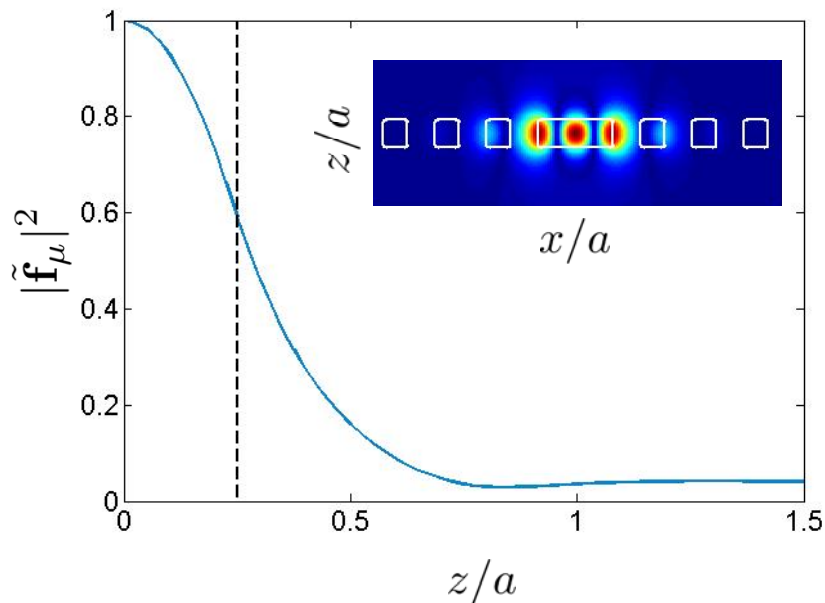
[1] Kristensen, Van Vlack, Hughes, Opt. Lett. 37, 1649 (2012)

3D photonic crystal cavity

Using FDTD we get the resonance frequency, Q factor and mode profile.



Field along center:



Next consider a metal nanoparticle (MNP)

e.g.

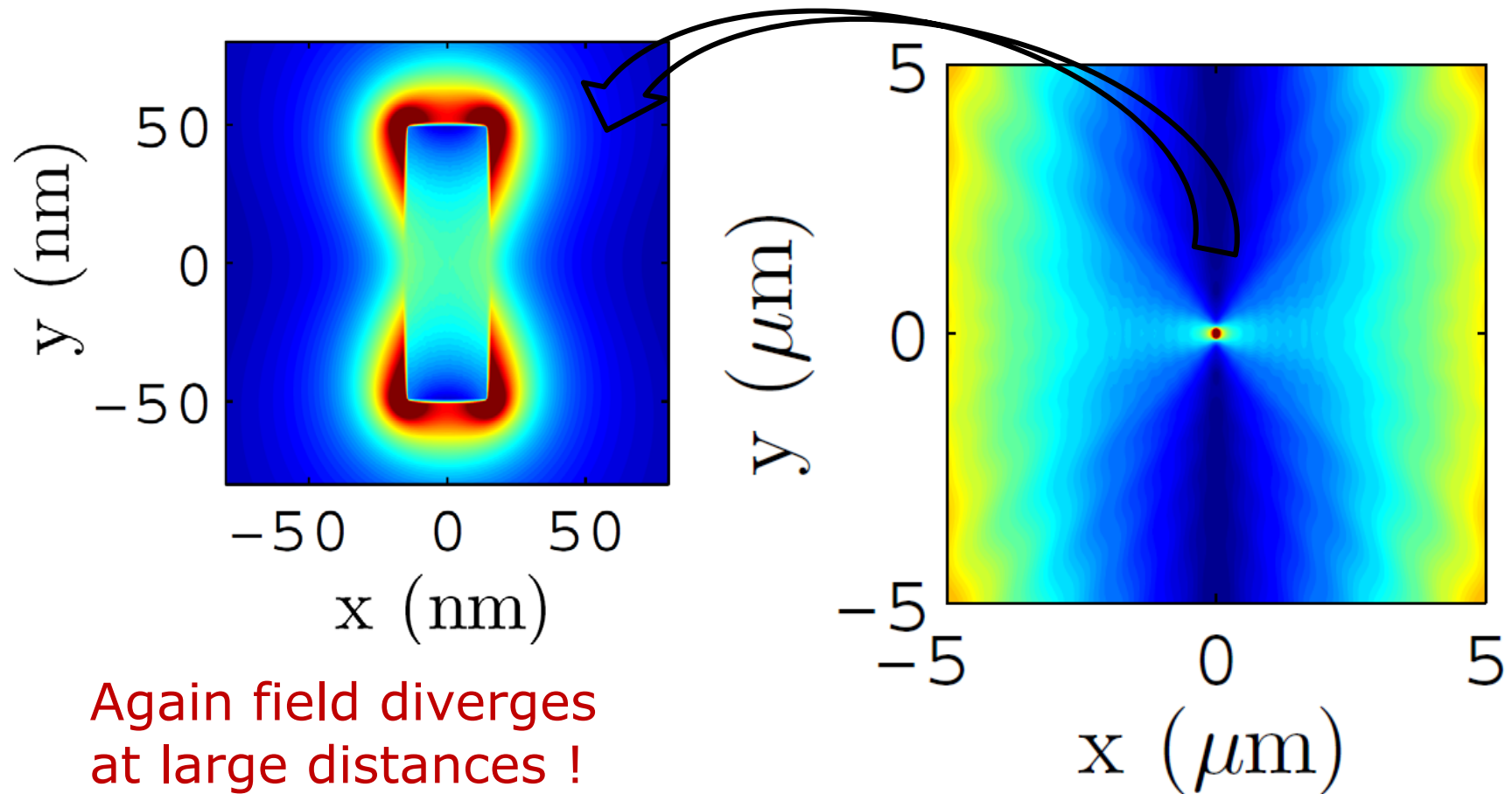


With a Drude model for the dielectric constant

$$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega(\omega + i\gamma)}$$

Metal nanoresonator

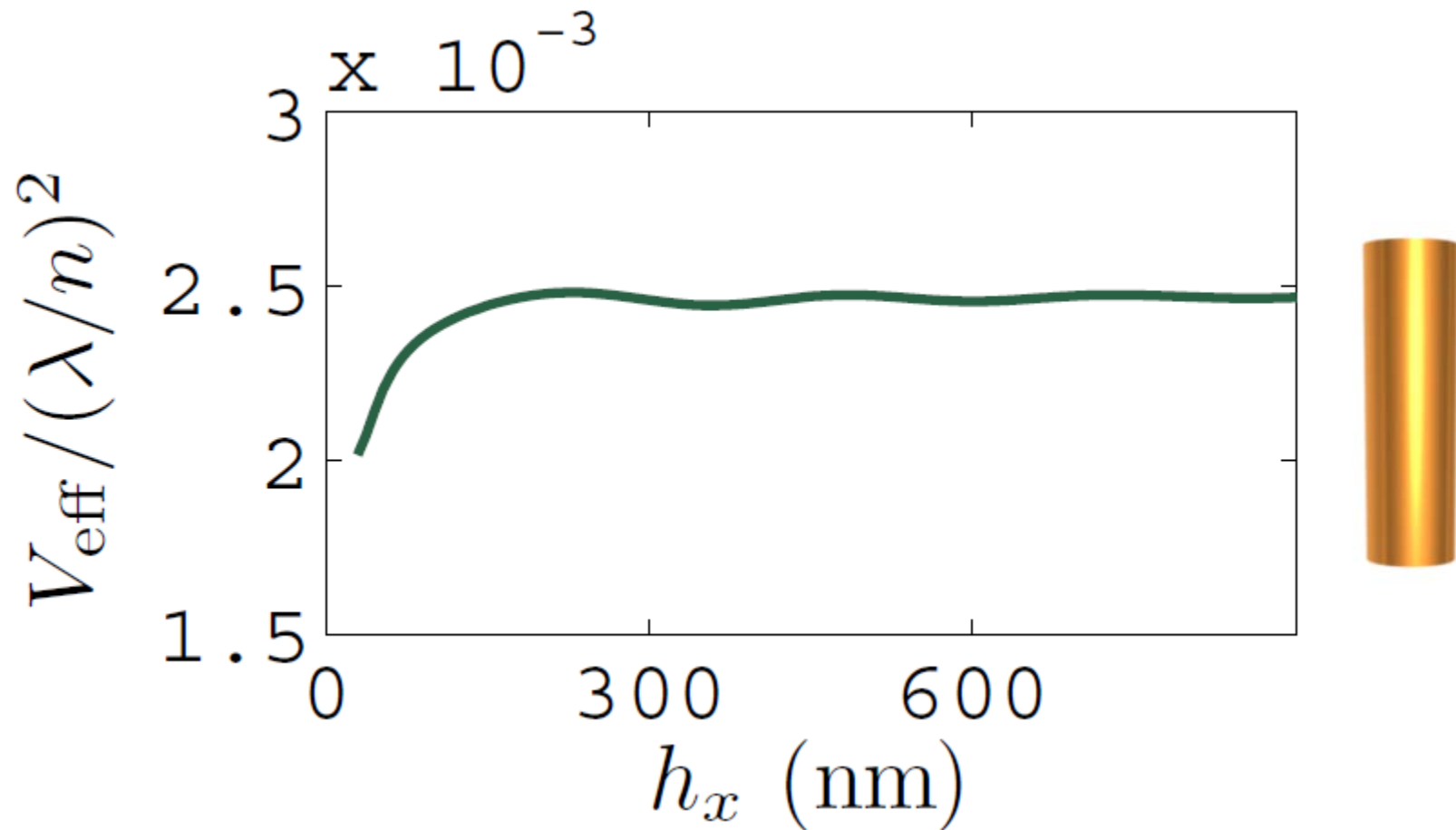
2D picture of a quasi-mode for a metal cylinder
(10 by 80 nm gold nanorod)



Again field diverges
at large distances !

* See also related work by Sauvan et al, PRL (2013)

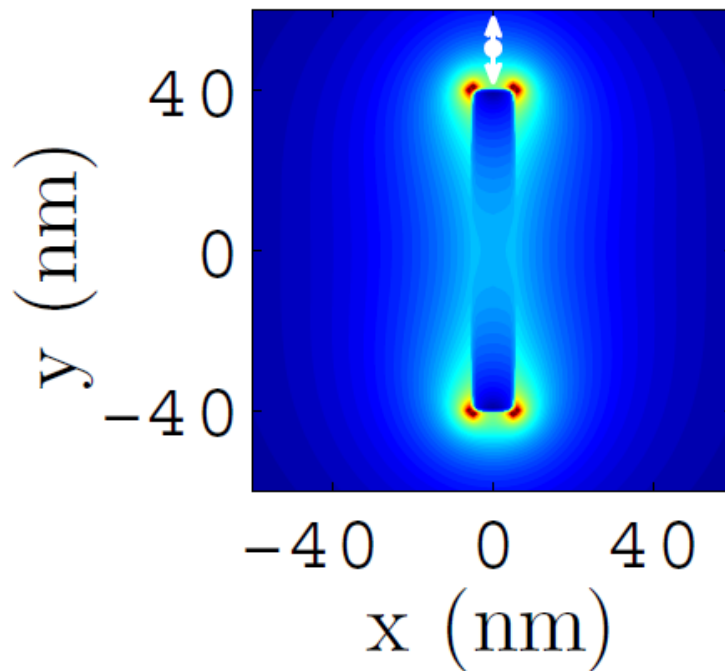
Mode volume versus domain size



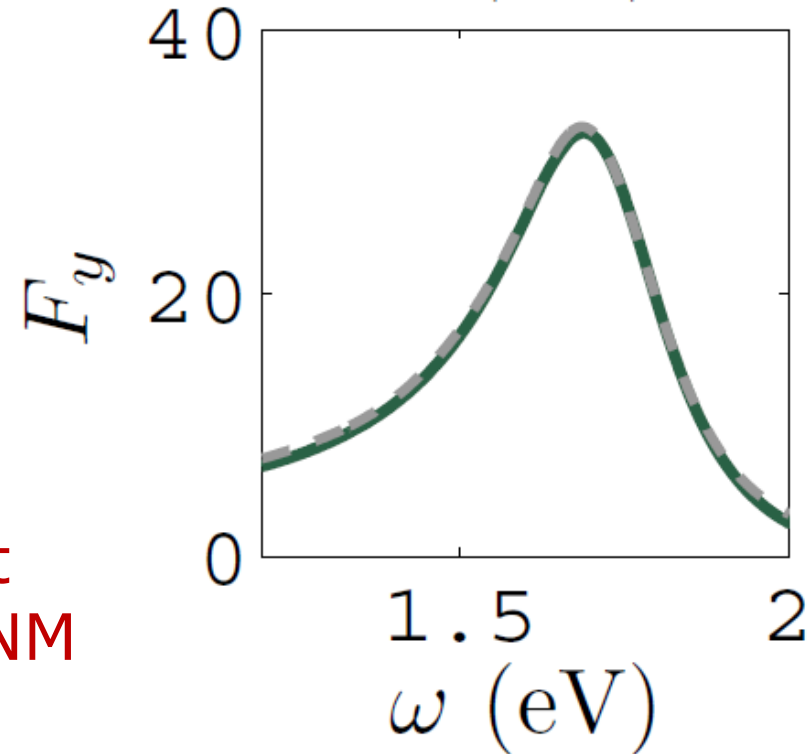
Saturates very quickly, roughly at the caustic radius [1]

[1] See Snyder and Love, Optical Waveguide Theory (1983)

Exact emission factor vs single QNM

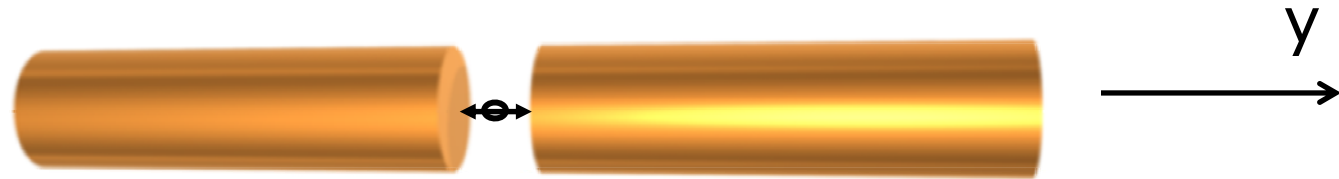


— Single QNM theory
- - - numerically exact (FDTD)



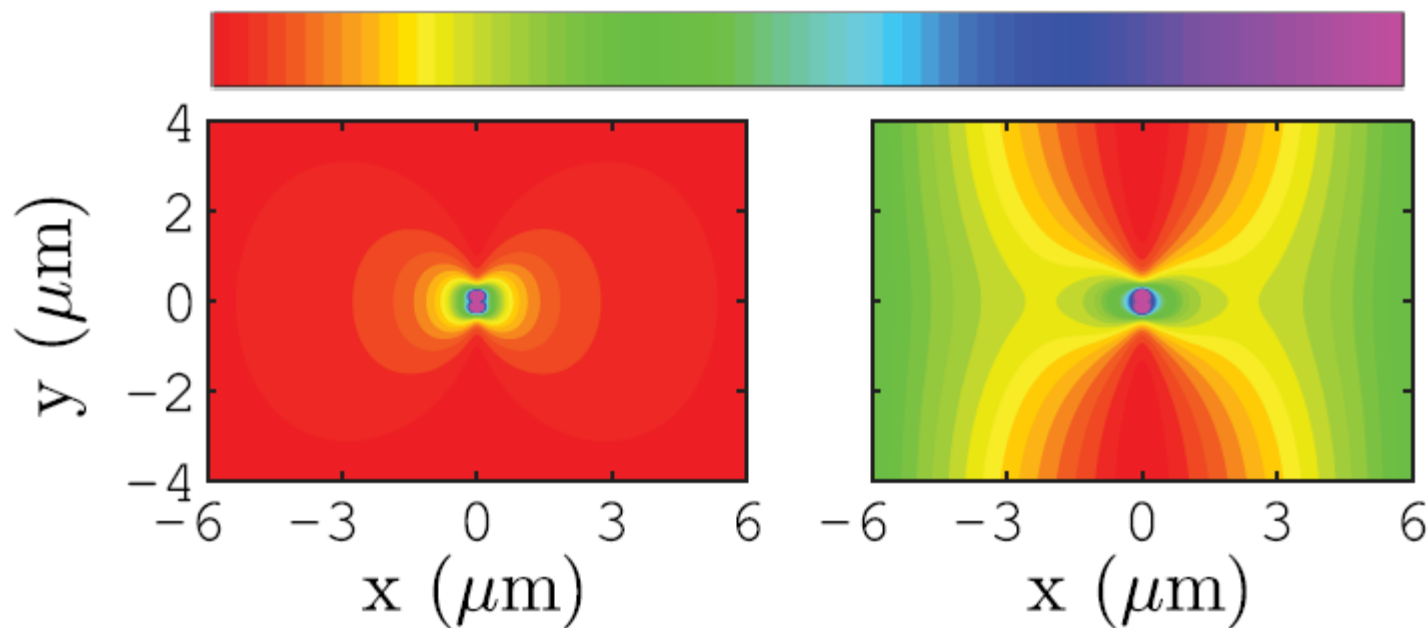
➔ Excellent agreement
with just a single QNM

Single Photon Emitter from Metal Dimer



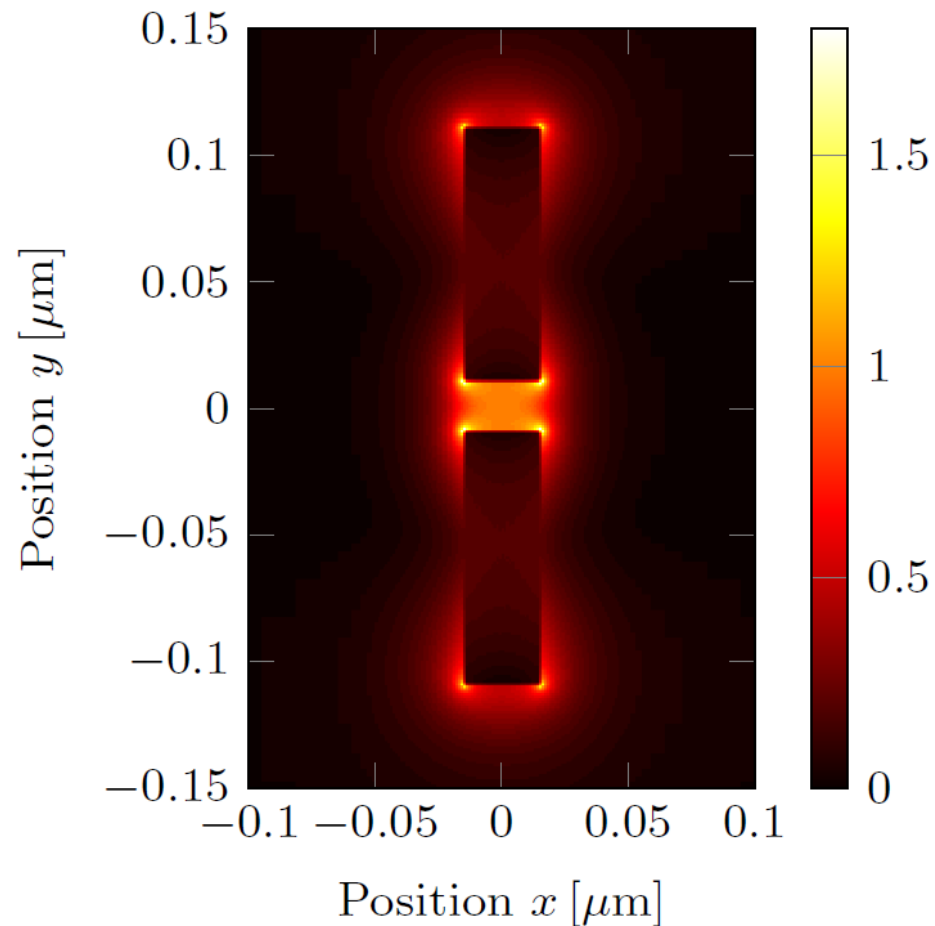
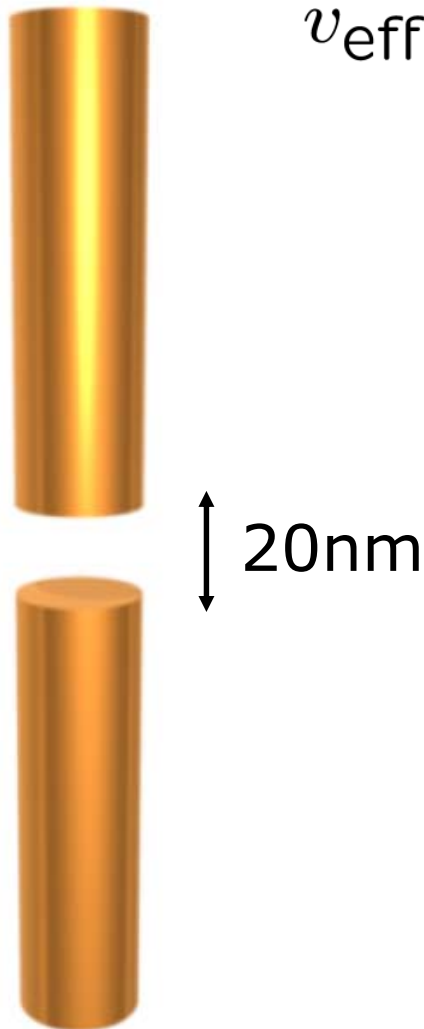
Scattered field:

QNM field:

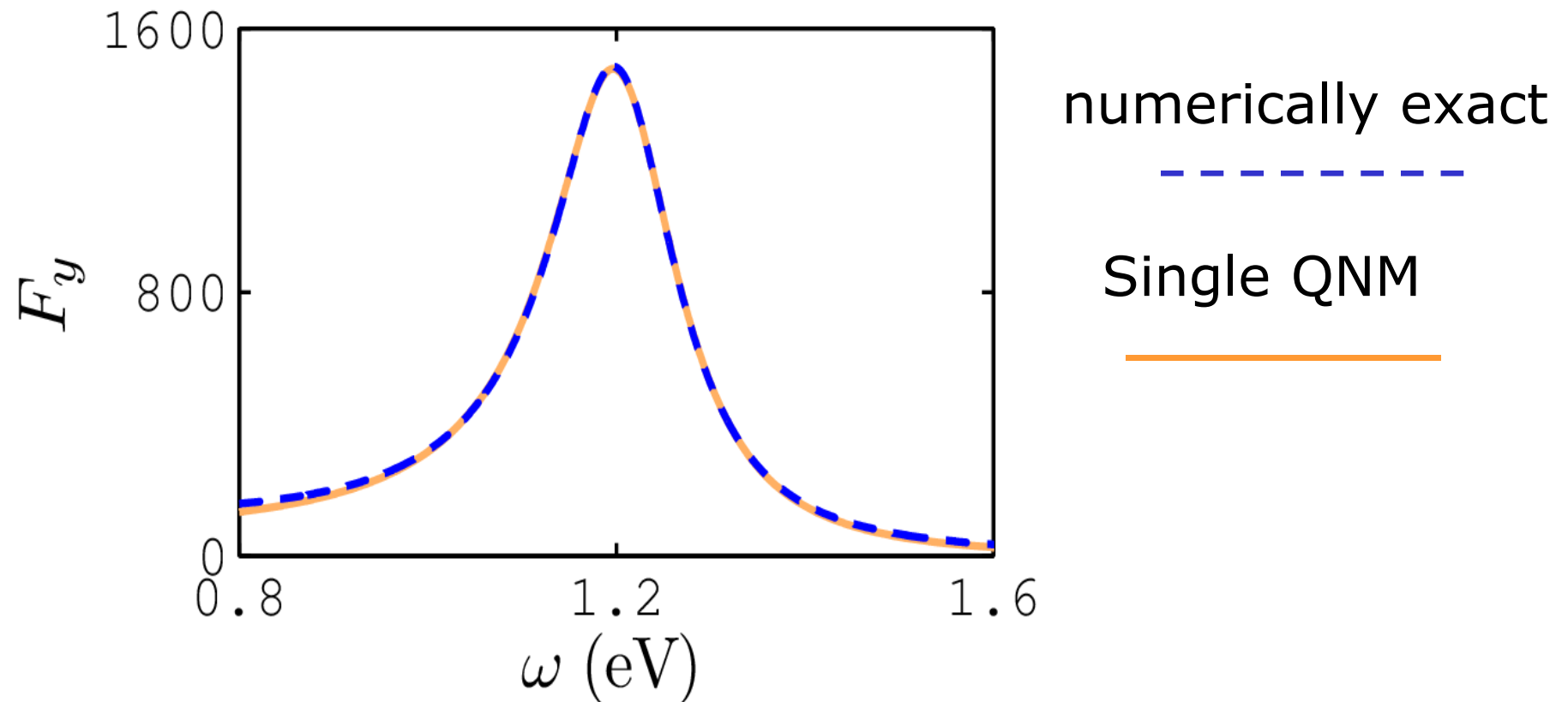


Single Photon Emitter from Metal Dimer

$$v_{\text{eff}} \approx 1.9 \times 10^{-4} \left(\frac{\lambda}{n_B} \right)^3$$



Enhanced emission at center of dimer



Single photon radiative output coupling:
beta factor $\sim 60\%$

Comments

- Q/V of the dimer is about 3 times smaller than the single gold nanorod, yet the enhanced emission factor is about 3 times larger at an equivalent dipole position (10nm from surface)
 - Q/V is not the correct metric for emission factors away from the antinode!
- Quenching is also reduced in the dimer (beta factor is better) since more of the QNM lives outside the lossy metal.

Summary

- We have presented a QNM technique for dielectric and plasmonic resonators which largely fixes a bunch of ambiguities in the literature.
- Our approach allows for an accurate and clear definition of effective mode volume, the Purcell factor, and the propagator, at all spatial positions.
- Broad applications to a wide range of material systems, including nonlinear optics, quantum optics, quantum nanoplasmonics, and hybrid semiconductor-metal structures.

Refs: Kristensen, Van Vlack, Hughes, Opt. Lett. 37, 1649 (2012)

Ge, Kristensen, Young, and Hughes, N. J. Phys (2013)

Kristensen and Hughes, Perspectives Article, ACS Photonics (2014)
