

the hidden skelton?



Localization to Deloclization Transition: is Rosenzweig-Porter ensemble the hidden skelton?

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Localization vs Delocalization



Rosenzweig-Porter ensemble the hidden skelton?

Definition

Localized dynamics: Absence of diffusion

confinement of a dynamical variable to a small part of available space due to certain constraints

Quantum: a particle in a disordered potential,

a SCAT (increased wavefunction intensity around short periodic orbits in phase space)

Classical: a stable island in classical phase space (due to constants of motion),

a standing wave,

localization of energy in non-ideal springs

extended dynamics: dynamical variable is able to access large part of the available space (very few constraints)

Quantum: a plain wave, free electrons in metals

Classical: a chaotic trajectory

Dynamics: localization → non-ergodic (extended) → ergodic (extended) → chaotic

Ergodic dynamics: almost all parts of available space accessed:

non-ergodic extended state:

 $\lim_{t\to\infty} \frac{V_{state}}{V} \to 0$

 $\lim_{t\to\infty} \frac{V_{state}}{V} \to 1$ ergodic extended state:

Criteria for LD transition: scaling theory



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Based on the initial values of characteristic system parameters (scaling variables) for a system size L_0 , the system flows with increasing size L to an insulating state or a delocalized state or it stays scale-indpendent at a critical state.

Single parameter scaling: only a single system parameter required to distinguish localized and delocalized phases and to characterize the LD transition

Example: dimensionless conductance $g = \frac{E_{th}}{\Delta}$

- a ratio of two characteristic energy scales of the problem:
 - a transport related energy sacle $E_{th}=rac{h}{tD}=rac{hD}{L^2}$ as the energy scale associated with time t_D to diffuse across the system
 - a thermodynamically defined energy scale Δ as the mean level spacing.
- Localized phase: $E_{th} \gg \Delta \rightarrow g \ll 1$
- Delocalized phase: $E_{th} \ll \Delta \rightarrow g \gg 1$
- Transition: $E_{th} \sim \Delta \rightarrow g \sim O(1)$,

Criteria for LD transition: scaling theory of Distribution



mesocopic regime: scaling variable fluctuates from system to system

a knowledge of mean value of the scaling variable is not enough, one needs to know the distribution needed.

appropriate scaling approach: in terms of distribution of the scaling variable

A distribution of a physical quantity X that depends on system size L and a set of n initial parameters t_1,t_2,\ldots,t_n obeys one parameter scaling if for large L it is approximately a function of only X and one scale dependent parameter Λ_L

$$P(X; L, t_1, \ldots, t_n) \approx F(X; \Lambda_L)$$

 $lacksquare \Lambda_L$: scaling variable

• Flow of Λ_L : $\beta(\ln \Lambda_L) = \frac{\mathrm{d} \ln \Lambda_L}{\mathrm{d} L}$.

lacktriangle critical point: a universal limiting distribution at the critical value of the $\Lambda^*=\lim_{L o\infty}\,\Lambda_L$

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what it implies?



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a multi-parametric system: represented by a multi-parametric ensemble

distribution of a typical property of a multi-parametric ensemble: a function of many system parameters

single parametric scaling of the distribution requires a mapping of the multi-parametric ensemble to a single parameter ensemble.

question: does it exist and how to find it?

answer: Rosenzweig-Porter ensemble in case of localization to delocalization transition

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Anderson Hamiltonian



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quantum particle moving in a random potnetial



- \blacksquare equation of motion $\left[-\frac{\nabla^2}{2m} + V(\mathbf{r})\right]\Psi(\mathbf{r}) = E\Psi(\mathbf{r})$
- $lackbox{ }V(\mathbf{r})$: random potential e.g. white noise
- $H = \sum_{i,j} \varepsilon_i \ c_i^+ \cdot c_i + \sum_{i,j} V_{ij} \ c_i^+ \cdot c_j$ ε_i : randomized site energies, V_{ij} : hopping due to mean potential of all atomic sites

Anderson (1957): absence of diffusion of wavefunction even for a weak disorder for dimensionality d=1,2, diffusion above a critical disorder for dimensionality d>2

many system parameters dimensionality, disorder, hopping (anisotropic or isotropic), boundary conditions etc but physical properties are governed by a single parameter, a ratio of system size L and correlation length ξ .

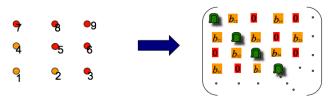
H-matrix in site basis: $H = \sum_{i,j} \varepsilon_i c_i^+ \cdot c_i + \sum_{i,j} V_{ij} c_i^+ \cdot c_j$



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H-matrix

Localized Wave Dynamics:



In the basis associated with the space

1.
$$H_{kl} \neq 0$$

 $H_{\nu\nu}$

$$f k = l$$

if
$$k = l$$
 or $k = l \pm L^{d-1}$

: Randomly Distributed

$$\rho_{kk} = e^{-H_{kk}^2/2v_{kk}^2}$$

Nearest Neighbor interactions may be random or non random

If non-random
$$\rho_{kl} = \delta(H_{kl} - b_{kl})$$

If random

$$\rho_{kl} = e^{-(H_{kl} - b_{kl})^2/2v_{kl}^2}$$







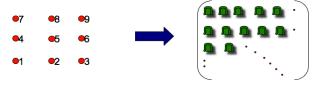
H-matrix in site basis: ergodic limit



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Delocalized Wave Dynamics:

waves extended in entire system



In the basis associated with the space

 $\langle k|\mathbf{H}|l\rangle = \mathbf{H}_{u}$

: Similar Distribution about most probable value

 $\langle \mathbf{H}_{kl}^2 \rangle$

: Indicator of determinstic uncertainty

If available information:

$$\langle \mathbf{H}_{kl}^2 \rangle = \sigma^2, \quad \langle \mathbf{H}_{kl} \rangle = 0$$

$$\rho(H) = \exp\left[-\frac{1}{2\sigma^2} \sum_{k \le 1} H_{kl}^2\right] = \exp\left[-\frac{1}{2\sigma^2} \operatorname{Tr} H^2\right]$$

Wigner-Dyson Ensembles

H-matrix in LD transition is a sparse matrix



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- Anderson Hamiltonian is a sparse matrix in site basis.
- degree of sparsity is fixed and depends on the dimensionality and range of hopping.
- effective sparsity increases as disorder increases or hoping strength decreases.
- weak disorder: diagonals almost zero, almost all matrix elements are relatively of same order i.e nearly zero, or long-range hopping: number of non-zero off-diagonals is much larger than the diagonals.
 - H effectively behaves as a full matrix: an average off-diagonal is of same strength as the diagonal Eigenstates are ergodic and level-statistics corresponds to the universality class based on symmetry e.g. GOE for time-reversal symmetry.
- strong disorder or weak hopping: diagonal ≫ off-diagonals. H effectively behaves as a diagonal matrix with localized eigenstates.
- level-statistics of H changes from a basis-independent, stationary ensemble (of maximum level-repulsion) to diagonal matrix (independent levels).

probability distribution of the matrix elements H_{kl}

$$\rho(H) \propto \prod_{k=1}^{N} \mathrm{e}^{-\frac{H_{kk}^2}{2w^2}} \prod_{k \neq l} \delta(H_{kl} - b_{kl})$$

$$\delta(H_{kl} - b_{kl}) \to e^{-(H_{kl} - b_{kl})^2 / 2v_{kl}^2}$$



Is a common mathematical formulation of various complex systems possible?



Transition: is Rosenzweig-Porter ensemble the hidden skelton?

common formulation

Consider uncorrelated multiparametric Gaussian ensemble

$$\rho(H, v, b) = C \exp \left[-\sum_{k < l} \frac{1}{2v_{kl}} (H_{kl} - b_{kl})^2 \right]$$

 v_{kl} : variance of H_{kl} , zero for non-random cases, finite for random cases

 b_{kl} : mean-value of H_{kl}

ensemble-parameters v, b influenced by system conditions:

- Dimensionality due to b-dependence on localization length.
- Boundary conditions due to their effect on interaction of basis-states close to boundaries.
- Symmetry conditions, system-size, disorder and scattering conditions

System information is contained in the sets of parameters v, b

Different systems will correspond to different v, b sets.

Single parametric diffusion of matrix elements



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Single parametric diffusion

Changing complexity i.e. v, b leads to evolution of $\rho(H) \propto {\rm e}^{-\sum \frac{(H_{kl}-b_{kl})^2}{2v_{kl}}}$

possible to define a function Y such that (with $g_{kl} = 1 + \delta_{kl}$)

$$\frac{\partial \rho}{\partial Y} = \sum_{k,l} \frac{\partial}{\partial H_{kl}} \left[\frac{g_{kl}}{2} \frac{\partial}{\partial H_{kl}} + H_{kl} \right] \rho$$

where

$$Y = -\frac{1}{N(N+1)} \ln \left[\prod_{k \le l} |g_{kl} - 2v_{kl}| ||b_{kl}|^2 \right] + const.$$

multi-parametric diffusion of $\rho(H)$ can be reduced to a single parameter

Y= average distribution parameter, a measure of average uncertainty of system

Y: a measure of complexity of the system \rightarrow complexity parameter

 Y_0 : complexity parameter for the initial state (e.g. localized state with Poisson statistics). 4□ → 4周 → 4 = → 4 = → 9 Q P

Diffusion of eigenvalues



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Eigenvalues undergo a diffusion process governed by a single parameter ${\cal Y}$

Spectral statistics depends on system information only through

$$\Lambda_e = \frac{Y - Y_0}{\Delta_{local}^2} = -\frac{1}{N^2 \, \Delta_{local}^2} \ln \left[\prod_{k \le l} |1 - (2 - \delta_{kl}) v_{kl}| |b_{kl}|^2 \right]$$

 Δ_{local} : local mean level spacing (depends on localization length, dimensionality)

 $\Lambda_e
ightarrow \infty$ leads to steady state i.e Wigner-Dyson ensemble,

 $\Lambda_e
ightarrow 0$ corresponds to initial state (e.g. localized state with Poisson statistics)

 $\Lambda(N)$ as the scaling variable for spectral distribution

As system size N increases, $\Lambda(N)$ flows to 0 (Poisson) or ∞ (GOE).

For infinite system sizes, an intermediate state can occur only if Λ remains finite.

critical state of the statistics $\Lambda^* = \lim_{N \to \infty} \Lambda(N)$

Rosenweig-Porter ensemble: Poisson \rightarrow GOE Brownian ensemble



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$$\rho(H) \propto \exp \left[-\frac{1}{2} \sum_{i=1}^{N} H_{ii}^2 - (1+\mu) \sum_{i,j=1; i < j}^{N} H_{ij}^2 \right]$$

ensemble of diagonal matrices: $\mu \to \infty$, Wigner-Dyson ensemble: $\mu \to 0$

Spectral fluctuations around average level density $R_1(e)$ are governed by Λ_e

$$\Lambda_e(e) = \frac{Y - Y_0}{\Delta(e)^2} = \frac{R_1^2(e)}{\mu}.$$

finite N: changing μ at a fixed energy e results in a cross-over from Poisson ($\Lambda \to 0$) to GOE ($\Lambda \to \infty$) statistics.

arbitrary μ : $\lim_{N \to \infty} \Lambda(e)$ varies abruptly between $0 \to \infty$, ruling out possibility of any intermediate statistics.

Critical point: limit $\Lambda^*(e) \equiv \lim_{N \to \infty} \Lambda(e)$.

 $R_1(e;\mu)$ changes from \sqrt{N} to $N\to \text{existence of two critical points}$

$$\mu = c_2 N \colon \ker R_1(e) = (b\pi)^{-1} \ \sqrt{2bN - e^2} \quad \Lambda(e) = \frac{2bN - e^2}{\pi^2 b^2 N c_2} \ \text{with} \ b \sim 2.$$

$$\mu=c_1N^2$$
: here $R_1=rac{N}{\sqrt{2\pi}}\mathrm{e}^{-e^2}$ $\Lambda(e)=rac{1}{4\pi c_1}\mathrm{e}^{-e^2}$.

Characteristics: multifractal wavefunctions



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criticality in the wavefunctions:

- manifest through large fluctuations of their amplitudes at all length scales.
- characterized by an infinite set of critical exponents related to the scaling of the moments of the wave-function intensity $|\Psi(r)|^2$ with system size.
- Inverse participation ratio: $I_q(\psi) = \sum_{m} |\psi_n|^{2q}$

 $I_{q}\left(\psi\right)=1$ for completely localized ψ on a single basis state

 $I_q(\psi) = 1/N^{q-1}$ for ψ extended over all basis space.

 I_2 in the bulk is inversely proportional to the average localization length.

at transition, I_q reveals an anamalous scaling with size N:

 $\langle I_q \rangle = N \langle |\Psi|^{2q} \rangle \sim N^{-\tau_q/d}$.

multifractal spectrum

generalized fractal dimension D_q of the wave-function structure: $D_q = \frac{\tau_q}{q-1}$.

 $D_q = d$ for the eigenfunctions extended in a d-dimensional space,

 $D_q = 0$ for completely localized ones.

at critical point, D_q is a non-trivial function of q,



Characteristics: critical level statistics



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The basis-variant nature of an ensemble, often the case at the critical point, implies correlations between the eigenvalues and the eigenfunctions. The special features of the eigenfunctions at the criticality are therefore expected to manifest in spectrum too.

lacktriangle nearest neighbor spacing distribution P(s):

$$P(s) = e^{-s}$$
 for Poisson (localized wavefunctions),

$$P(s) = A s^{eta} \; \mathrm{e}^{-B s^2}$$
 for Wigner-Dyson (delocalized wavefunctions),

in critical regime P(s) a universal hybrid of the GOE at small-s and Poisson at large-s, with an exponentially decaying tail: $P(s) \sim \mathrm{e}^{-\kappa s}$ for $s \gg 1$ with κ a constant

number variance $\Sigma^2(r)$: variance of the number of levels in a interval of r unit mean spacings, $\Sigma^2(r) = r$ for localized phase, $\Sigma^2(r) \approx \ln r$ for delocalized phase)

in critical regime, number variance is linear but with fractional coefficient $\Sigma^2 r \sim \chi \ r$

$$\blacksquare$$
 level compressibility $\chi = \lim_{r \to \infty} \frac{\mathrm{d} \Sigma^2(r)}{\mathrm{d} r}$

 $\chi=rac{d-D_2}{2d}$ with D_2 as the fractal dimension and d as the system-dimension (valid only in the weak-multifractality limit). Also $\kappa pprox rac{1}{2\nu}$

 D_2 , a measure of the spatial correlation of the intensity $|\Psi|^2$

(with
$$N^2\langle |\Psi^2(r)\Psi^2(r')| \rangle \sim (|r-r'|/N)^{\left(D_2/d\right)-1}$$
) is related to compressibility χ .



Numerical Comparison of fluctuations for 3 different systems



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Multiparametric Gaussian ensemble

$$\rho(H, v, b) = C \exp \left[-\sum_{k \le l} \frac{1}{2v_{kl}} (H_{kl} - b_{kl})^2 \right]$$

 $lue{}$ knowledge of their complexity parameter Λ

$$\Lambda = -\frac{1}{N^2 \, \Delta_{local}^2} \ln \left[\prod_{k \le l} |1 - (2 - \delta_{kl}) v_{kl}| |b_{kl}|^2 \right]$$

requires mean level density and localization length

 a similar initial statistics possible for systems under same global constraints



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 Anderson ensembe (AE) with on site disorder and non-random nearest neighbor hopping

$$\begin{split} v_{kk} &= \frac{w^2}{12}, \qquad v_{kl} = 0 \quad (k \neq l) \\ b_{kl} &= t \quad (k, l = n.n), \qquad b_{kl} = 0 \quad (k, l \neq n.n) \\ \Lambda(E) &= -\frac{\xi^{2d}}{L^d \Delta^2} \ln|1 - w^2/12||t| \end{split}$$



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Brownian Ensemble (BE)

$$\begin{aligned} v_{kl} &= 2 \left(1 + c \ N^2 \ \delta_{kl} \right)^{-1} \\ b_{kl} &= 0 \\ \Lambda(E) &= -(4\pi c \Delta^2)^{-1} \end{aligned}$$



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Brownian Ensemble (BE)

$$v_{kl} = 2 \left(1 + c N^2 \delta_{kl} \right)^{-1}$$

$$b_{kl} = 0$$

$$\Delta(E) = -(4\pi c \Delta^2)^{-1}$$

Power law random banded matrix ensemble (PRBM or PE)

$$v_{kl} = [(2 - \delta_{kl})(1 + |k - l|^2/p^2)]^{-1}$$

 $b_{kl} = 0$

$$\Lambda(E) = -(N\Delta^{2})^{-1} \sum_{r=1}^{N} (1 - r/N) \ln|1 + p/r|^{2}$$

numerics: three different ensembles



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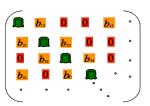
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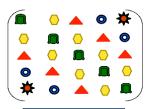
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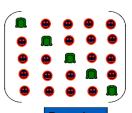
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Power law decay



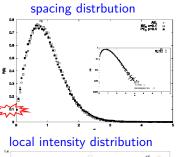
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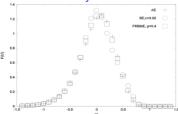


time-reversal symmetry: disordered system and Brownian ensemble

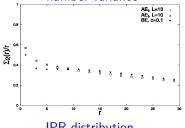


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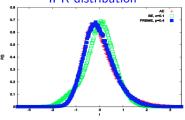














Time-reversal symmetry: disordered system and Brownian ensemble



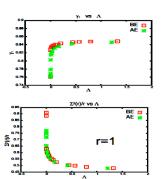
Localization to Deloclization Transition: is Rosenzweig-Porter ensemble the hidden skelton?

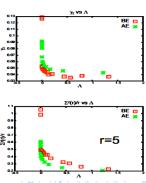
 $\Lambda(E) = -\frac{1}{\Lambda^2} \left(\frac{E^2}{T} \right)^{c} \ln \left[|1 - W^2/12| \left| 1 - W_1^2/6 \right|^2 \right]$ AE:

BE: $\Lambda(E) = -\left(4\pi c \Delta^2\right)^{-1}$

Cumulative spacing distribution

Number variance





spin-orbit coupling: symplectic case



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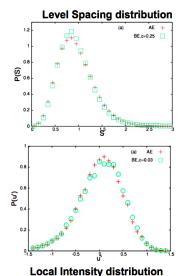
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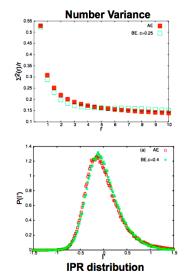
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Anderson H (d=2) (with on site disorder & spin orbit coupling) and BE





Dynamical localization: Quantum Kicked Rotor



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Quantum kicked rotor

$$H = \frac{1}{2}(p+\gamma)^2 + K \sum_{n} \cos(\theta + \theta_0)\delta(t-n)$$

- No disorder or particle-particle interaction!
- Matrix elements in position or momentum representation are random due to mixed classical dynamics which originates due to periodic kicks of strength K

Semiclassical derivation (periodic orbit theory) of complexity parameter:

Regular to chaotic Transition

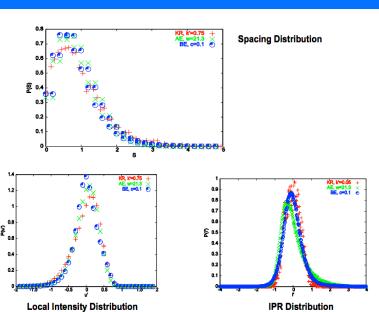
$$\Lambda(E) \approx \frac{K^2 \theta_0^2 N^3}{64\pi^4 M^2} = \frac{\theta_0^2 N^2 k}{4\pi^2}$$

classical diffusion in momentum space suppressed due to quantum dynamical localization

similar to Anderson localization (at least based on enegry level statistics).

Comparison of dynamical systems, disordered systems and Brownian ensemble







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Goldstone modes: localization due to column constraints



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An ensemble of replicas of the disordered Hamiltonian H with column constraints

 $\rho(H)$: ensemble density

$$\rho(H) = \rho_s(H) \prod_{l=1}^{N} \delta(\sum_k H_{kl}). \tag{1}$$

what is ρ_s ?:

Based on information about moments, many distributions possible (maximum entropy hypothesis):

 \blacksquare other constraints only on 1st, 2nd moments: Gaussian form of ho_s .

$$\rho_s(H) = C \exp \left[-\sum_{kjl:k,j \neq l} c_{kljl} H_{kl} H_{jl} - 2 \sum_{kl:k \neq l} d_{kl} H_{kl} \right]. \tag{2}$$

column constrained ensembles and RP ensembles



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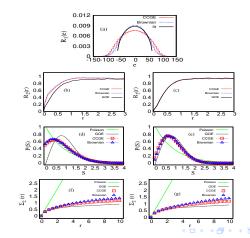
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comparison of level-statistics in two different energy-regimes (S.sadhukhan and PS, J.Phys. A, 2015)



many body interaction: Foch basis



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Many body Hamiltonian of N interacting electrons

$$H = \sum_{i,j} \varepsilon_i \; c_i^\dagger.c_i + \sum_{i>j} V_{ij} \; c_i^\dagger.c_j + \sum_{i,j,k,l} U_{ijkl} \; c_j^\dagger.c_l^\dagger.c_k.c_i$$

- Fock space basis: $\binom{M}{N}$ dimensional basis, consisting of all NI states Φ_{α} (Slater determinant of N electrons).
- **a** An NI state Φ_{Ω} : a M-length string with entries 1 and 0 labeling the M single-particle states which do or do not participate in it, respectively. If n_1, n_2, \ldots, n_S electrons are in $\phi_1, \phi_2, \ldots, \phi_S$ single e-states, respectively, with $n_k = 0, 1$

$$|\Phi_{\alpha}\rangle = |\phi_{1}\rangle^{n_{1}} |\phi_{2}\rangle^{n_{2}} \dots |\phi_{S}\rangle^{n_{S}} \equiv |n_{1}n_{2}\dots n_{s}\rangle$$

 $\textbf{Example: 2 electrons in 3 single e-states } \phi_1,\phi_2,\phi_3 \colon \Phi_1 = |110\rangle, \qquad \Phi_2 = |101\rangle, \qquad \Phi_3 = |011\rangle$

- **Distance in Fock space:** The distance between two NI states is the number of positions in which the corresponding strings differ. Example: distance between Φ_i , $\Phi_j = 2$ for any pair of i, j = 1, 2, 3
- Foch basis as "site" basis: each "site" an entity with wavefunction Φ_{lpha} .
- nearest neighbor hopping in Foch basis: $V_{\alpha\beta} \equiv \langle \Phi_{\alpha} | V | \Phi_{\beta} \rangle \neq 0$ only if the distance between Φ_{α} and Ψ_{β} is 0,2,4 (they differ in occupancy of 0,2,4 single e-states).
- ullet Interacting eigensates: eigenstates of H: can be expanded in the eigenfunction basis of non-interacting eigenstates Φ_{lpha}
- $\Psi = \sum_{\alpha} C_{\alpha} \Phi_{\alpha}$ Localization in Fock Space: each interacting eigenstate is composed out of a small number of NI eigenstates Φ_{α} . (In Anderson case, each wavefunction of H was spread to a few sites with expoential decay).
- extended interacting eigenstate: composed of many NI eigenstates Φ_{α}

Anderson again



Rosenzweig-Porter ensemble the hidden skelton?

Initial State: choose insulator limit of the system, with zero hopping

and Gaussian site disorder

$$\Rightarrow v_{ii} = (1/2) \delta_{ii}, b_{ii} = 0$$

$$Y_0 = -\alpha_0 N^{-1} + \text{constant}$$
, where $\alpha_0 = \ln 2$

$$\Lambda(E, N, d, w, t, z) = F^{2}(E) \ln\left[\frac{1}{2} \left| 1 - \frac{w^{2}}{12} \right| t^{z}\right] \left(\frac{\xi^{2}}{L}\right)^{t}$$

Delocalized Limit:

$$\xi^2 > L \implies \Lambda \rightarrow \infty$$
: Wigner-Dyson Statistics

Insulator limit

$$\xi^2 << L \Rightarrow \Lambda \rightarrow 0$$
: Poisson Statistics

Finite Systems:

Infinite class of statistics intermediate between Poisson

and Wigner-Dyson, characterized by finite L.

Infinite systems:

Only one intermediate state possible (critical state) if a finite L can be achieved for a specific set of parameters.

Anderson again



Deloclization Transition: is Rosenzweig-Porter ensemble the hidden skelton?

Critical Point: existence of a critical state of the system requires $\lim_{N\to\infty} \Lambda \to \text{Finite i.e. } \Lambda \text{ size-independent}$

Case d=1:
$$\xi \approx \pi l \pmod{\text{mean free path}} \approx o(L^0) \implies \lim N \to \infty \Lambda \to 0$$

Case d=2: $\xi \approx l \exp |k_F l \pi / 2| \approx o(L^0) \implies \lim N \to \infty \Lambda \to 0$

- For finite L. a crossover of statistics from Poisson to WD statistics
- No critical point for infinite size disordered system of dimension d=1,2 occurs as 2/1 can be kept nonzero (for a larger L-range for d=2).

Case d> 2:
$$\xi \approx \xi_0 L^{D2}$$
; D₂ = multifractality exponent

$$\Lambda^{critical} = \beta^{-1} F^2 |\alpha - \alpha_0| \xi_0^{2d} L^{2D_2 - d}$$

$$\Lambda \text{ is size independent if } D_2 = d/2$$

Level statistics at critical point depends on various system parameters e.g. topological and boundary conditions, symmetry and dimensionality.



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- metal → insulator transition in presence of strong e-e interaction?

References



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 - \rightarrow Possible to classify complex systems into continuum of universality classes characterized by Λ



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- For infinite system sizes, an intermediate state can occur only if Λ remains finite (due to $\Lambda=\Lambda(N))$

critical state of the statistics if Λ remains finite i.e size-independent in lim $N\to\infty$