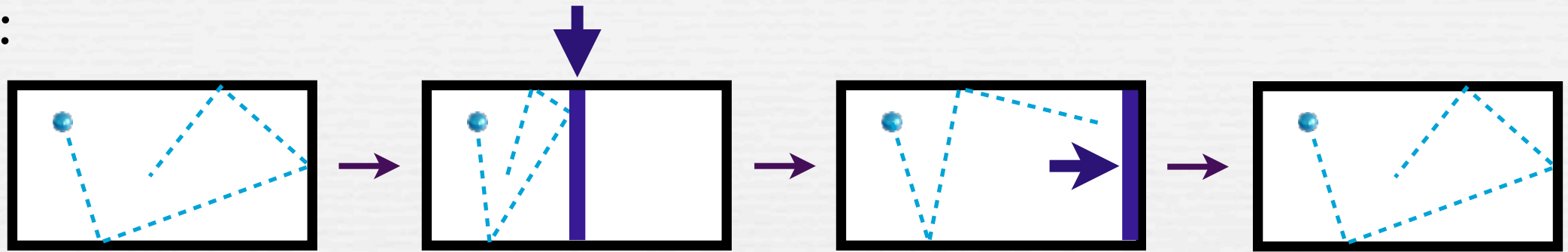


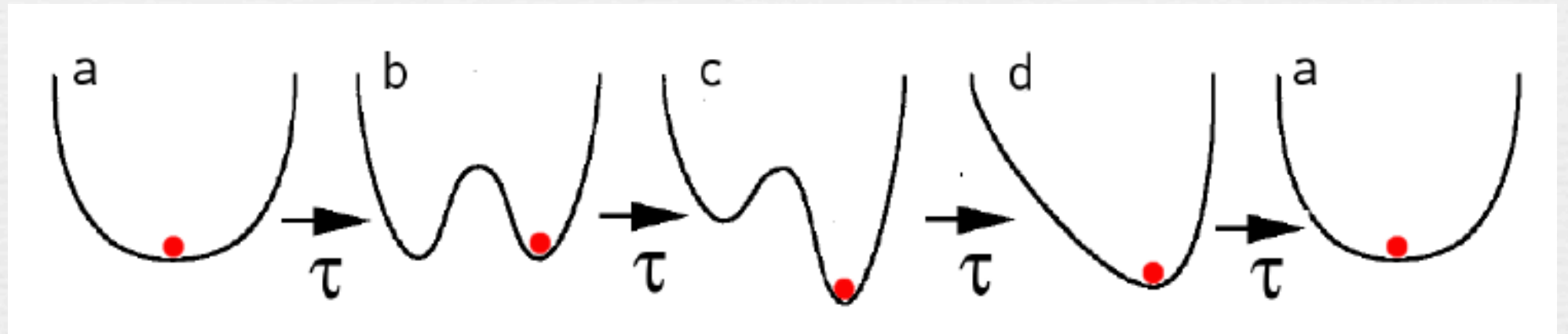
# 8. Creating information: symmetry breaking

One particle gas:



Brownian particle:

Kawai, JMRP, van den Broeck. PRL 98, 080602 (2007).

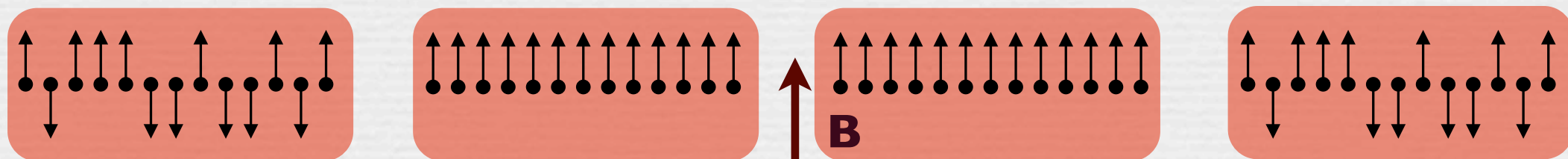


Ising model:

JMRP. Chaos 11, 725 (2001)

Coupling Field

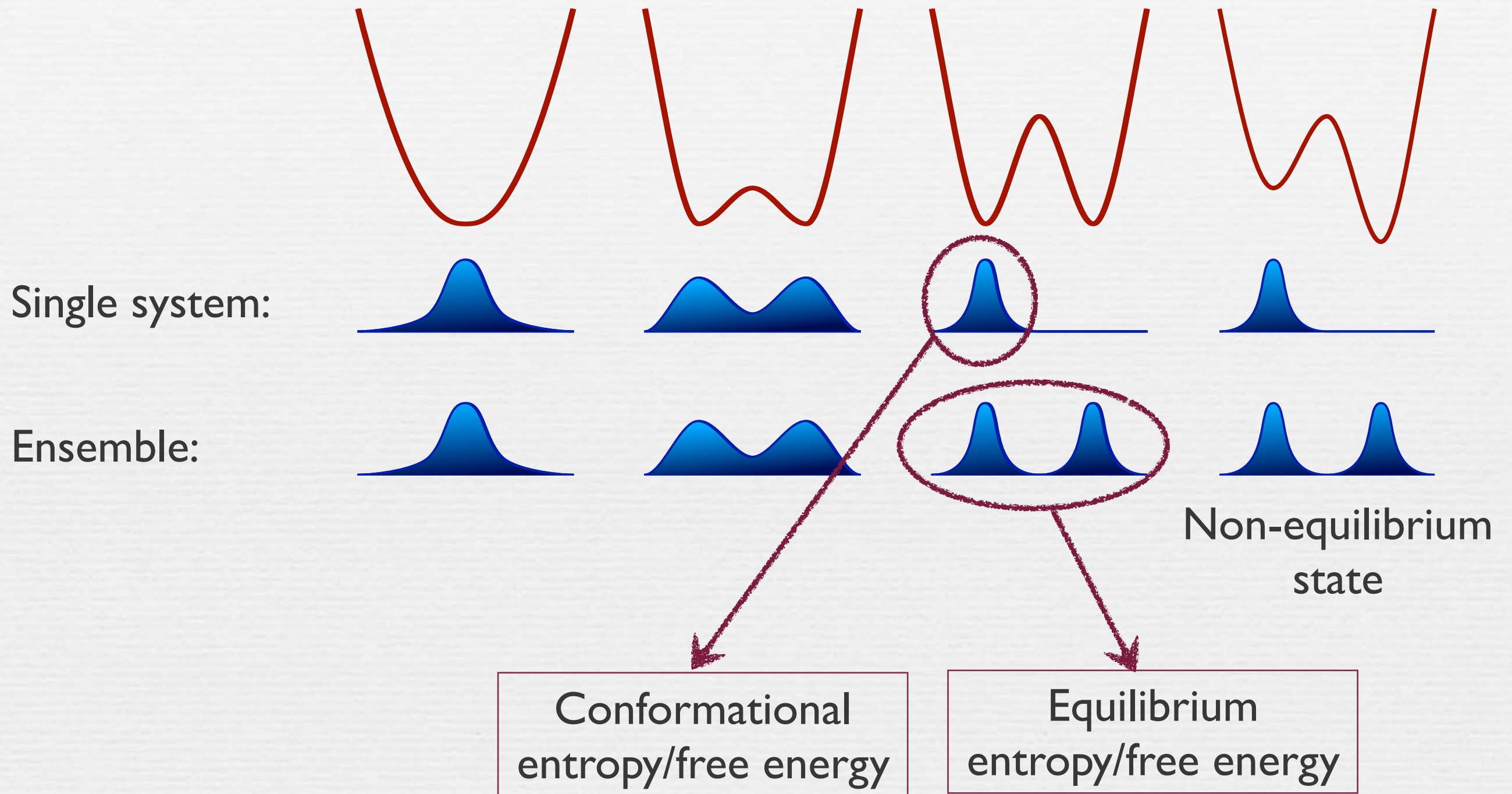
$(J, B)$



$(0, 0) \rightarrow (J, 0) \rightarrow (J, \pm B) \rightarrow (0, \pm B) \rightarrow (0, 0)$

Measurement

# Informational states

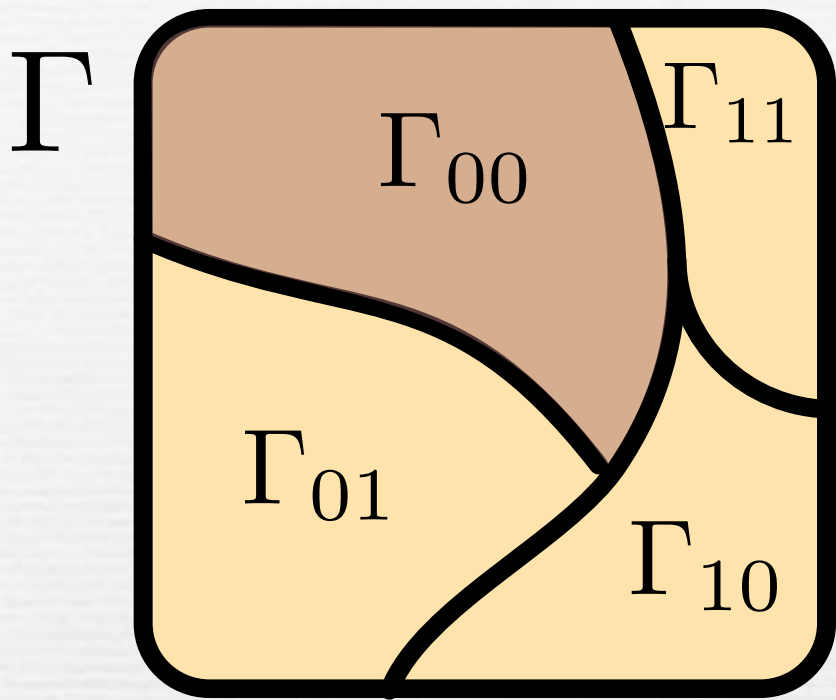


$$Z_m = \int_{\Gamma_m} dqdp e^{-\beta H(q,p)}$$

$$F_m = -kT \ln Z_m$$

$$F = -kT \ln \int_{\Gamma} dx e^{-\beta H(x)}$$

# Informational states



Probability of state  $m$ :  $p_m$

Partition function of state  $m$  ( $m=00,01,10,11$ ):

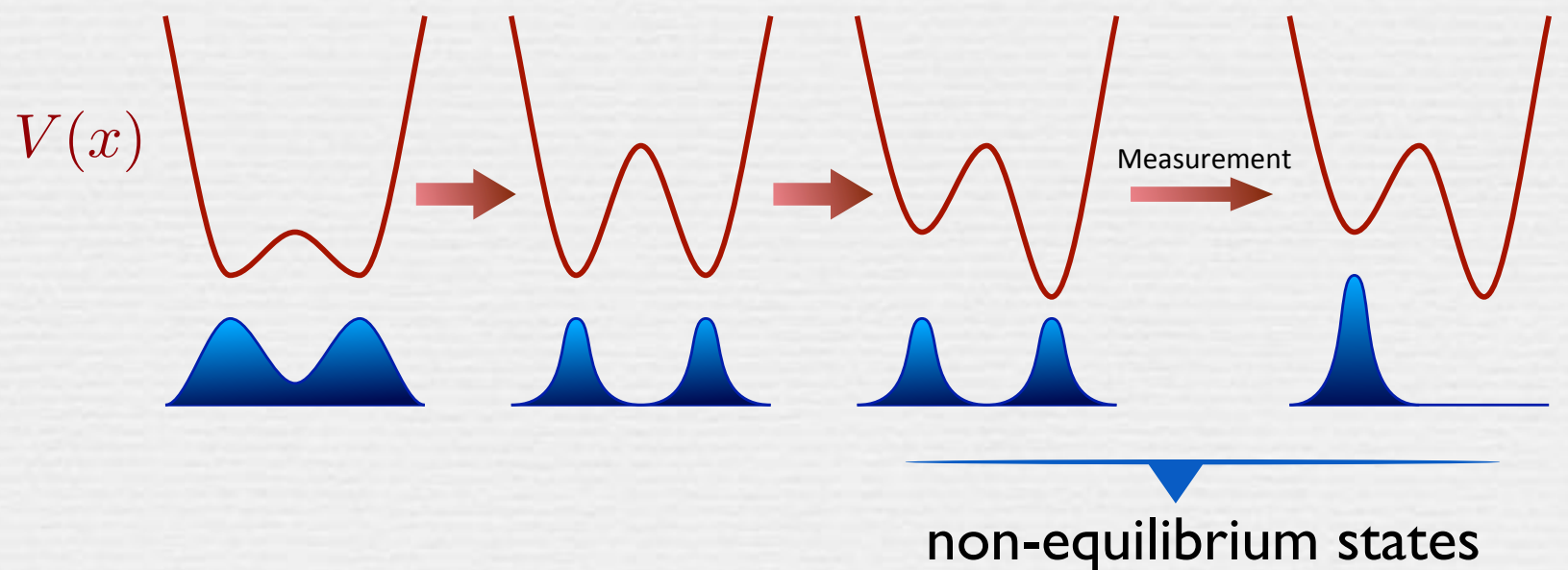
$$Z_m = \int_{\Gamma_m} e^{-\beta H(x)} dx$$

Free energy of state  $m$ :

$$F_m = -kT \ln Z_m$$

Global equilibrium state:

$$p_m^{\text{eq}} = \frac{Z_m}{Z} = \frac{e^{-\beta F_m}}{Z}$$

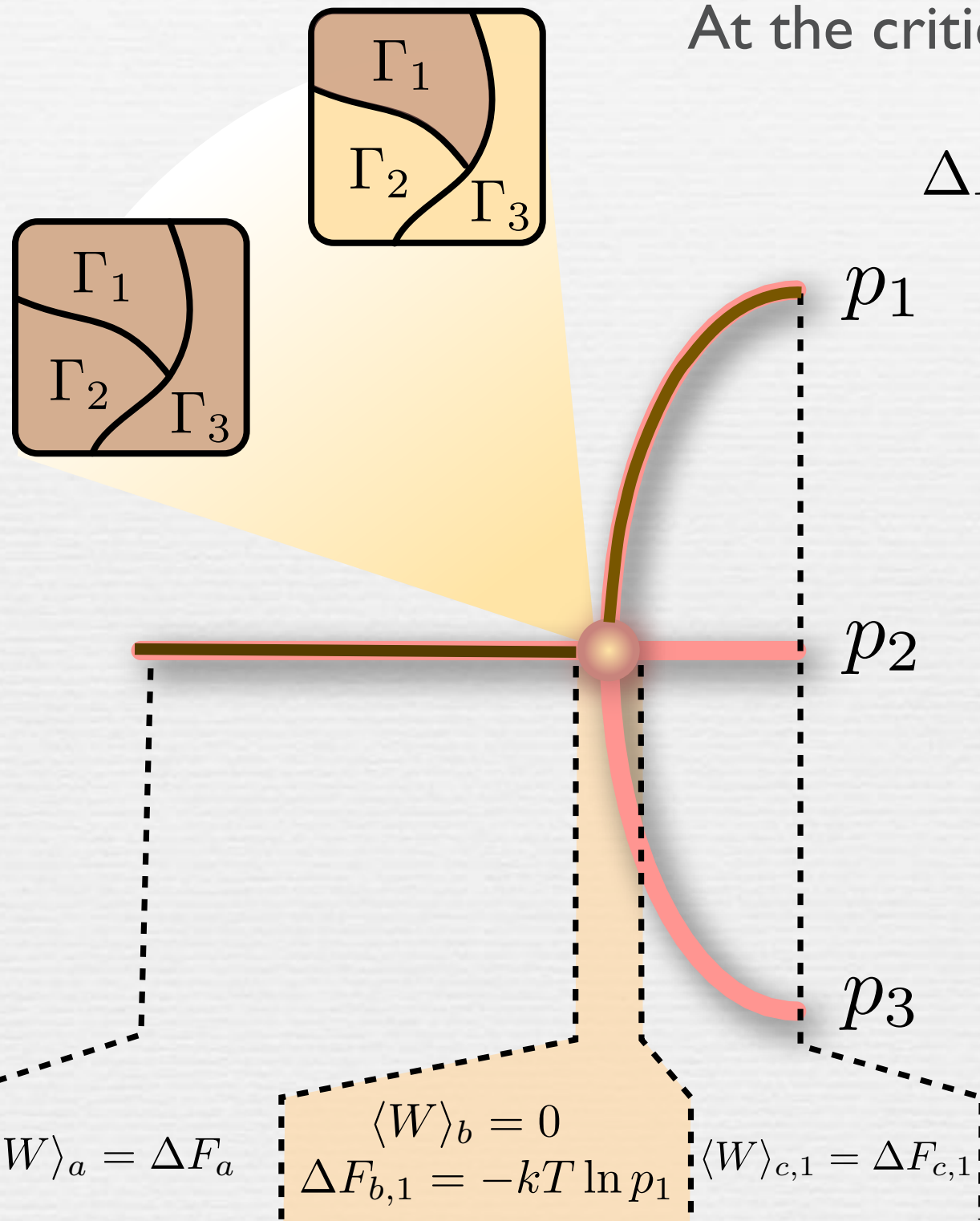




# Energetics of symmetry breaking

At the critical point the free energy changes as:

$$\Delta F_{b,1} = -kT \ln Z_1 + kT \ln Z = -kT \ln \frac{Z_1}{Z}$$



$$Z_1 = \int_{\Gamma_1} dx e^{-\beta H(x)}$$

$$Z = \int_{\Gamma} dx e^{-\beta H(x)}$$

$$p_1 = \frac{Z_1}{Z}$$

$$\Delta F_{b,1} = -kT \ln p_1$$

No work needed!



# Energetics of symmetry breaking

At the critical point the free energy changes as:

$$\Delta F_{b,1} = -kT \ln p_1$$

No work needed!

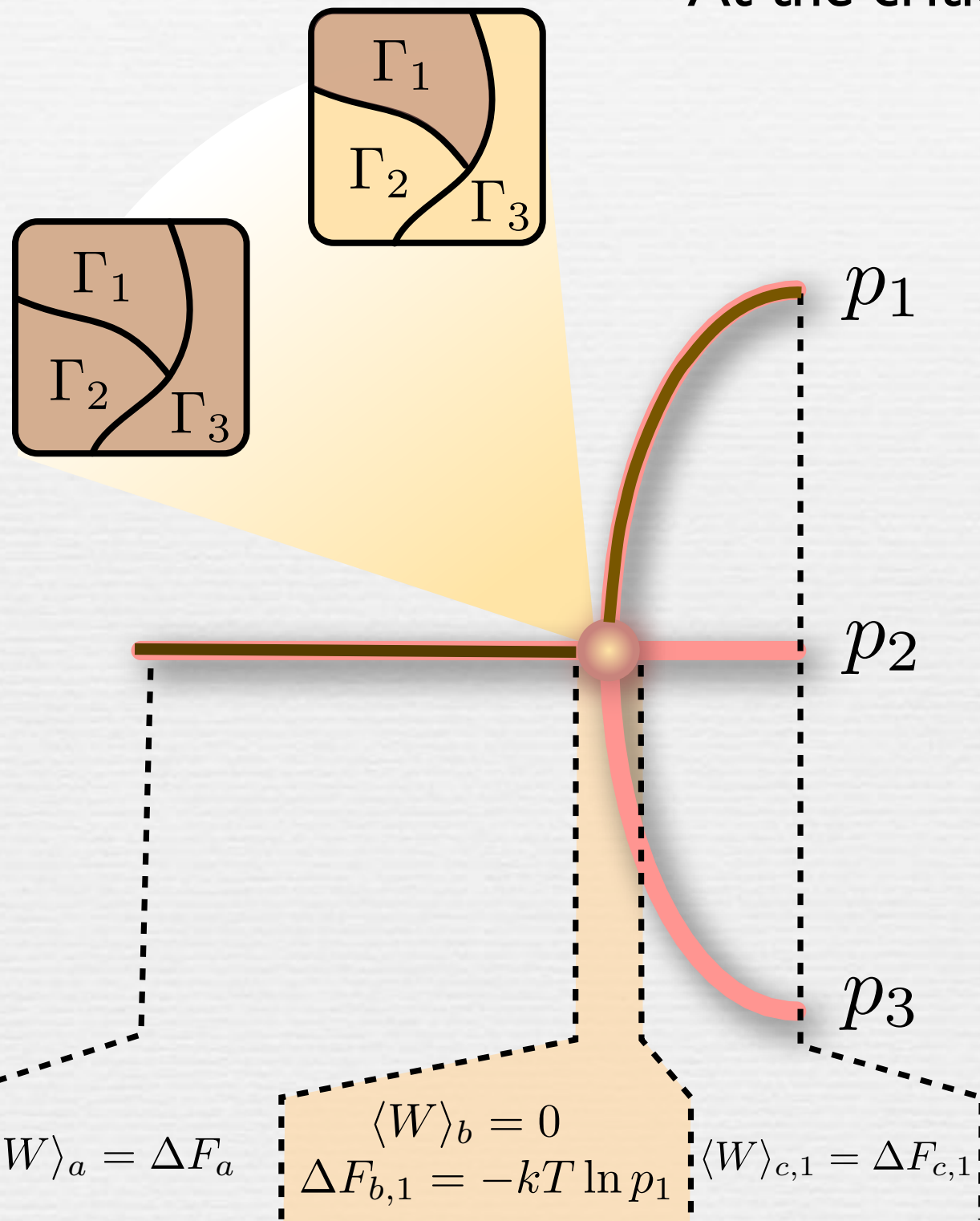
Along the whole process:

$$\langle W \rangle_i - \Delta F_i \geq -kT \ln p_i$$

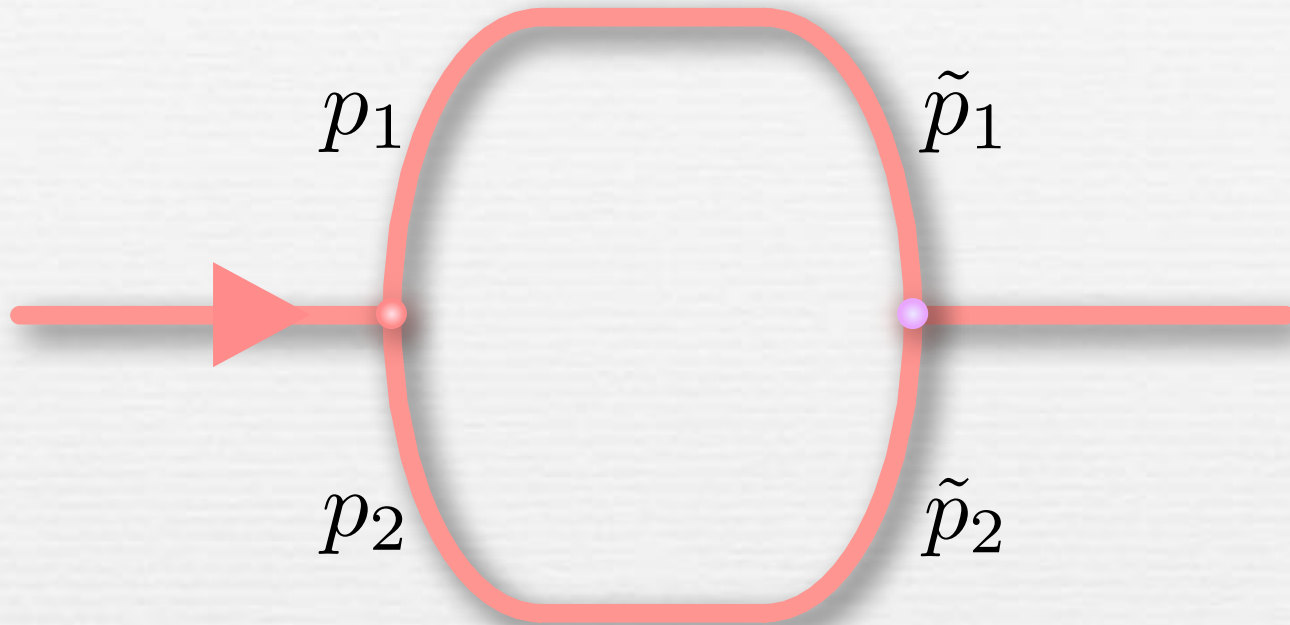
Work done  
when  $i$  is  
chosen

Probability  
that  $i$  is  
chosen

$$\Delta S_i \geq k \ln p_i \quad (<0!!) \text{ Not a proper entropy production.}$$



# Breaking and restoring symmetries



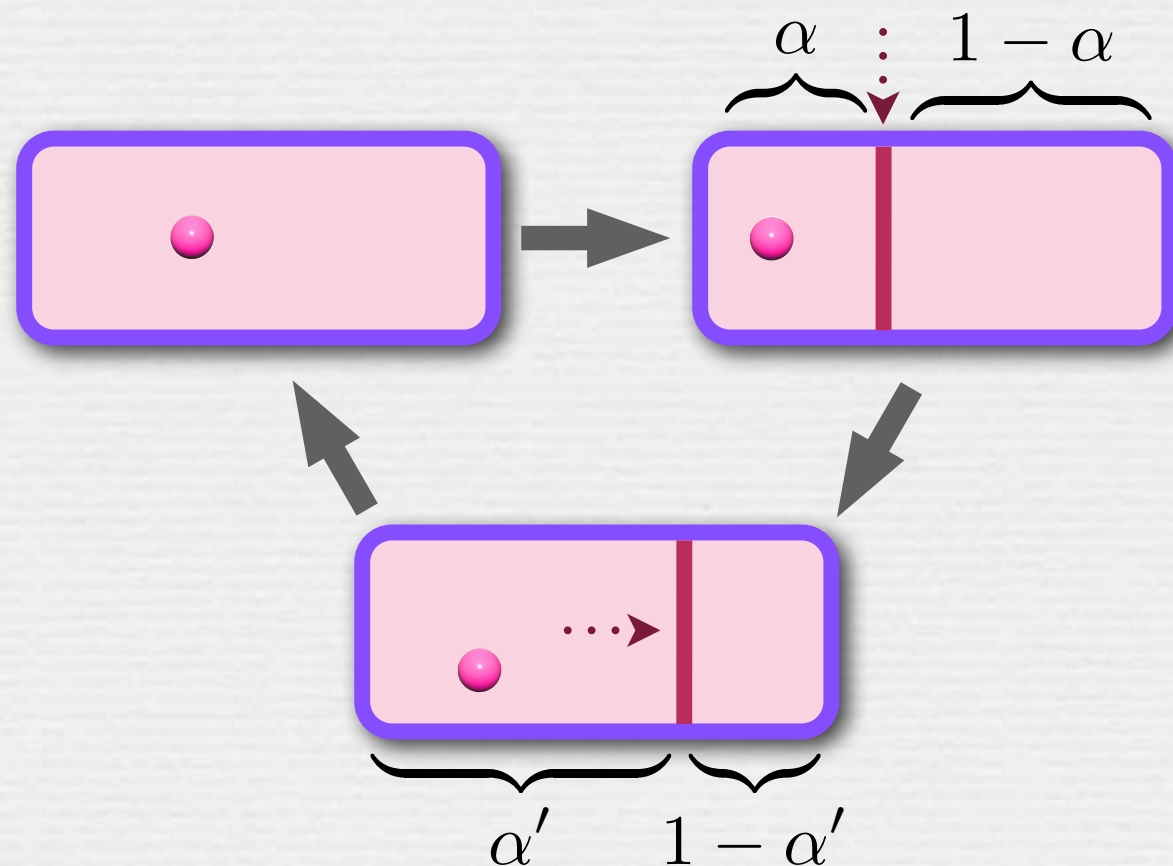
- Breaking the symmetry:

$$\langle W^{\text{br}} \rangle_i - \Delta F_i^{\text{br}} \geq -kT \ln p_i$$

- Restoring the symmetry:

$$\langle W^{\text{res}} \rangle_i - \Delta F_i^{\text{res}} \geq kT \ln \tilde{p}_i$$

Probability of choosing  $i$  in the **backward** process  $\uparrow$



In a cycle:

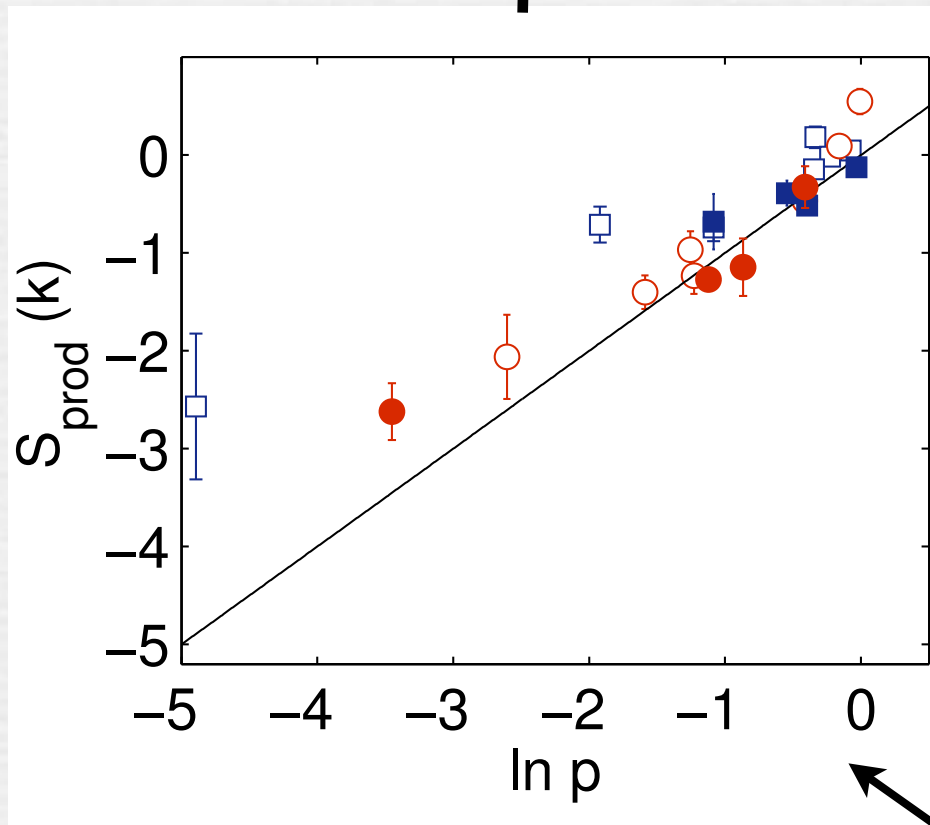
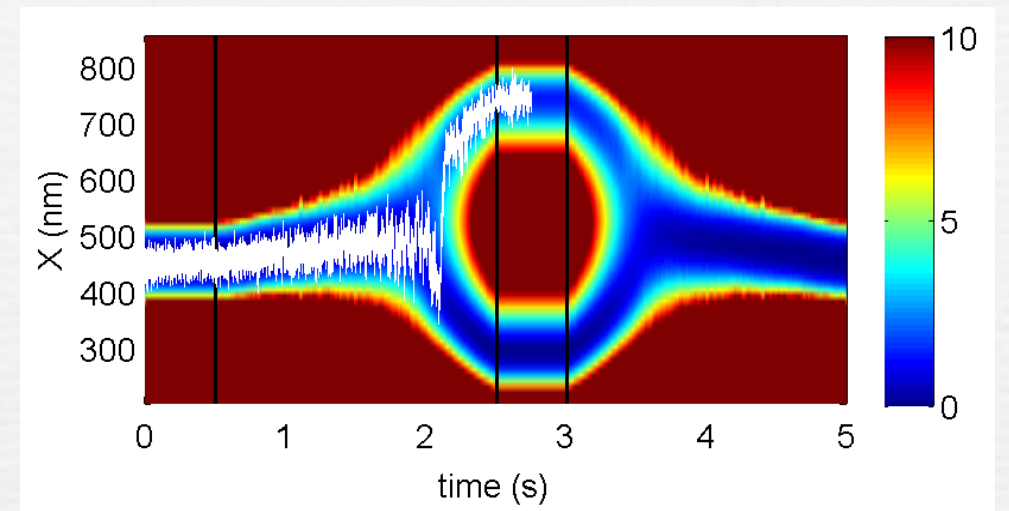
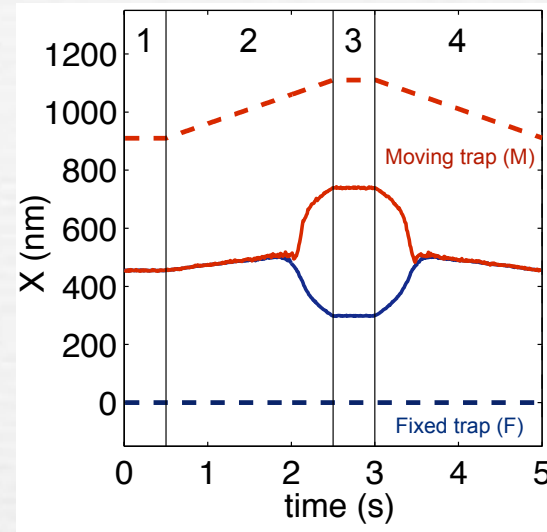
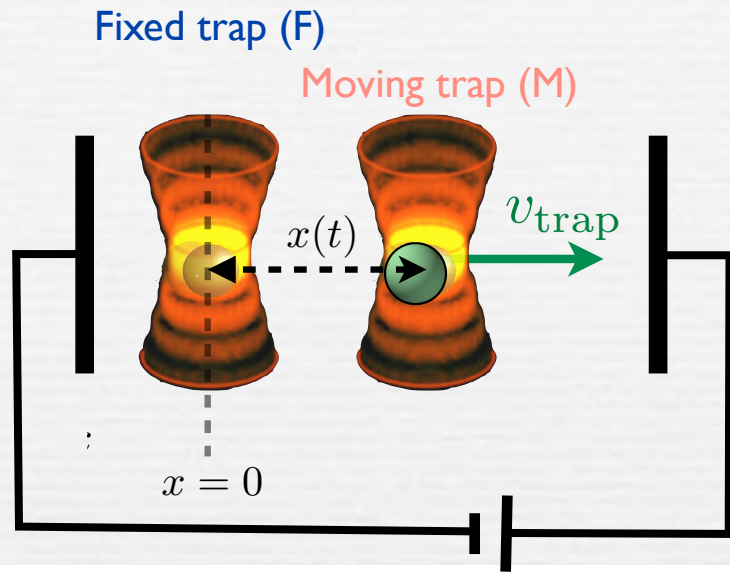
$$\langle W \rangle_i \geq kT \ln \frac{p_i}{\tilde{p}_i}$$

An example:

$$\langle W \rangle_L \geq kT \ln \frac{\alpha}{\alpha'}$$

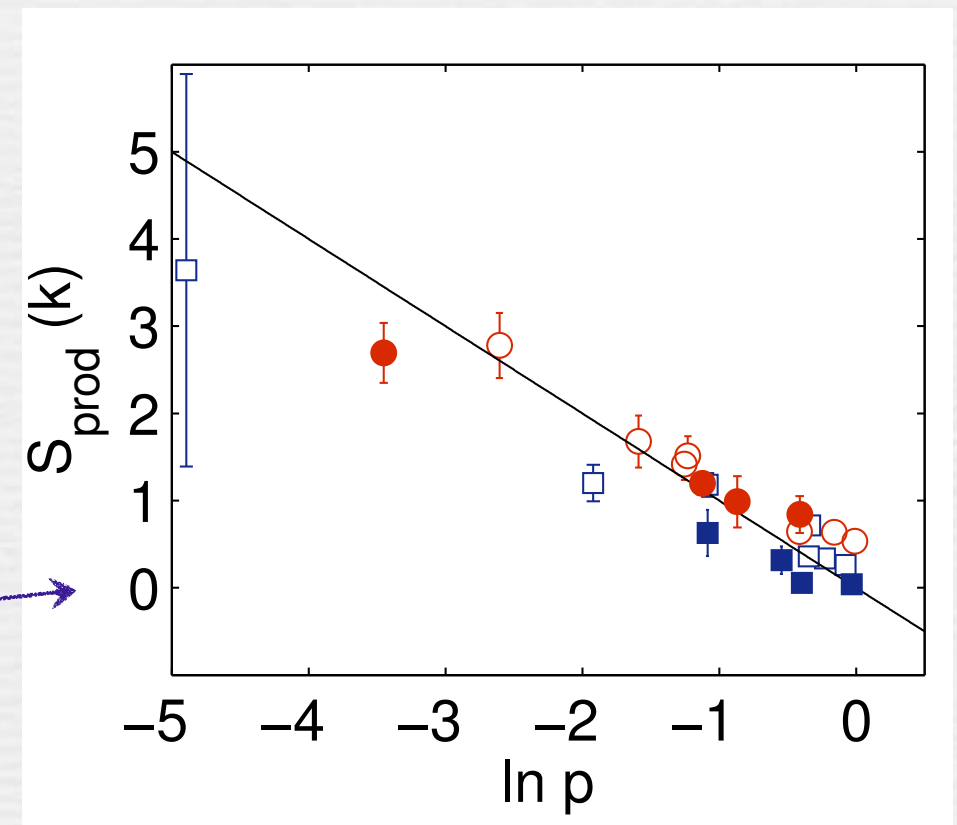
$$\langle W \rangle_R \geq kT \ln \frac{1 - \alpha}{1 - \alpha'}$$

# An experiment (D. Petrov, ICFO)



Symmetry breaking

Symmetry restoration

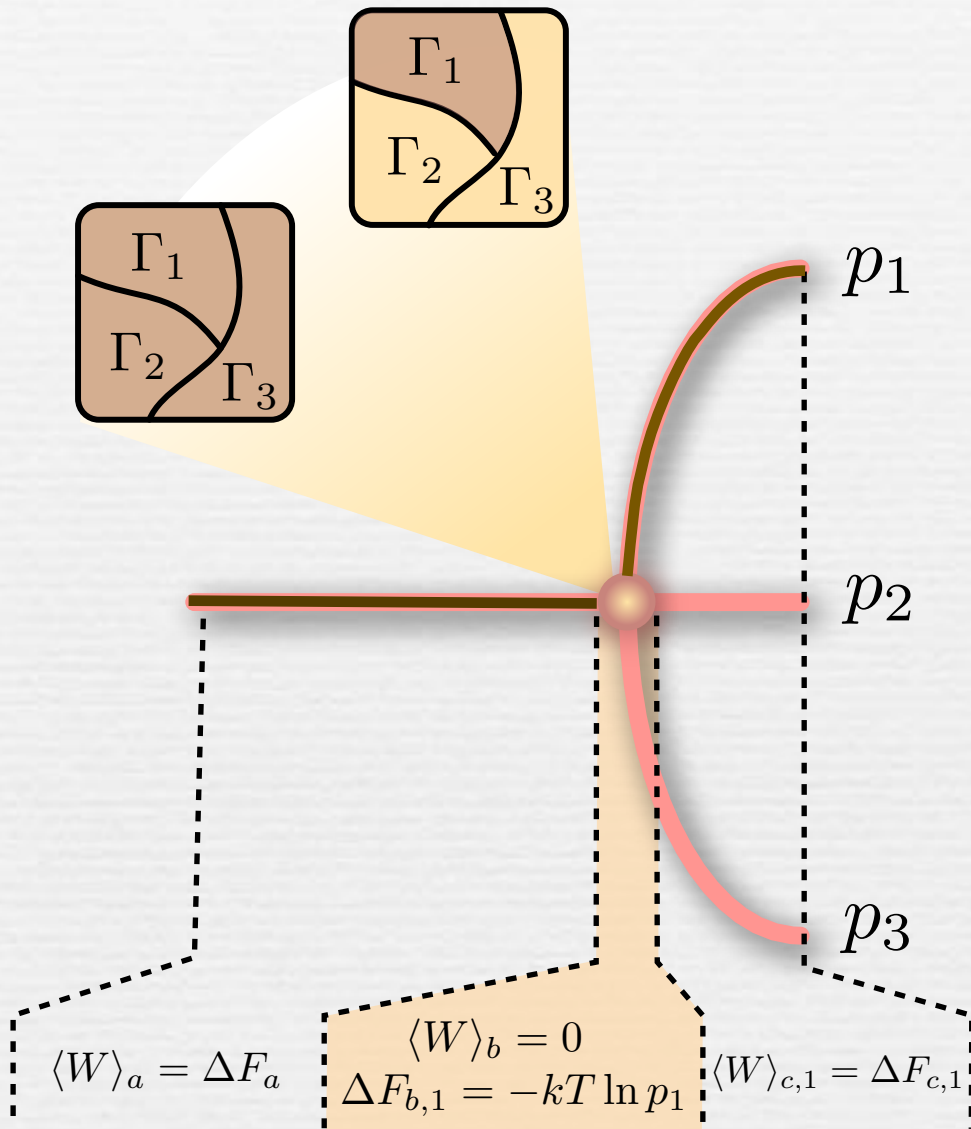


$$\Delta S_i \geq k \ln p_i$$

$$\Delta S_i \geq -k \ln \tilde{p}_i$$



# Does this matter?



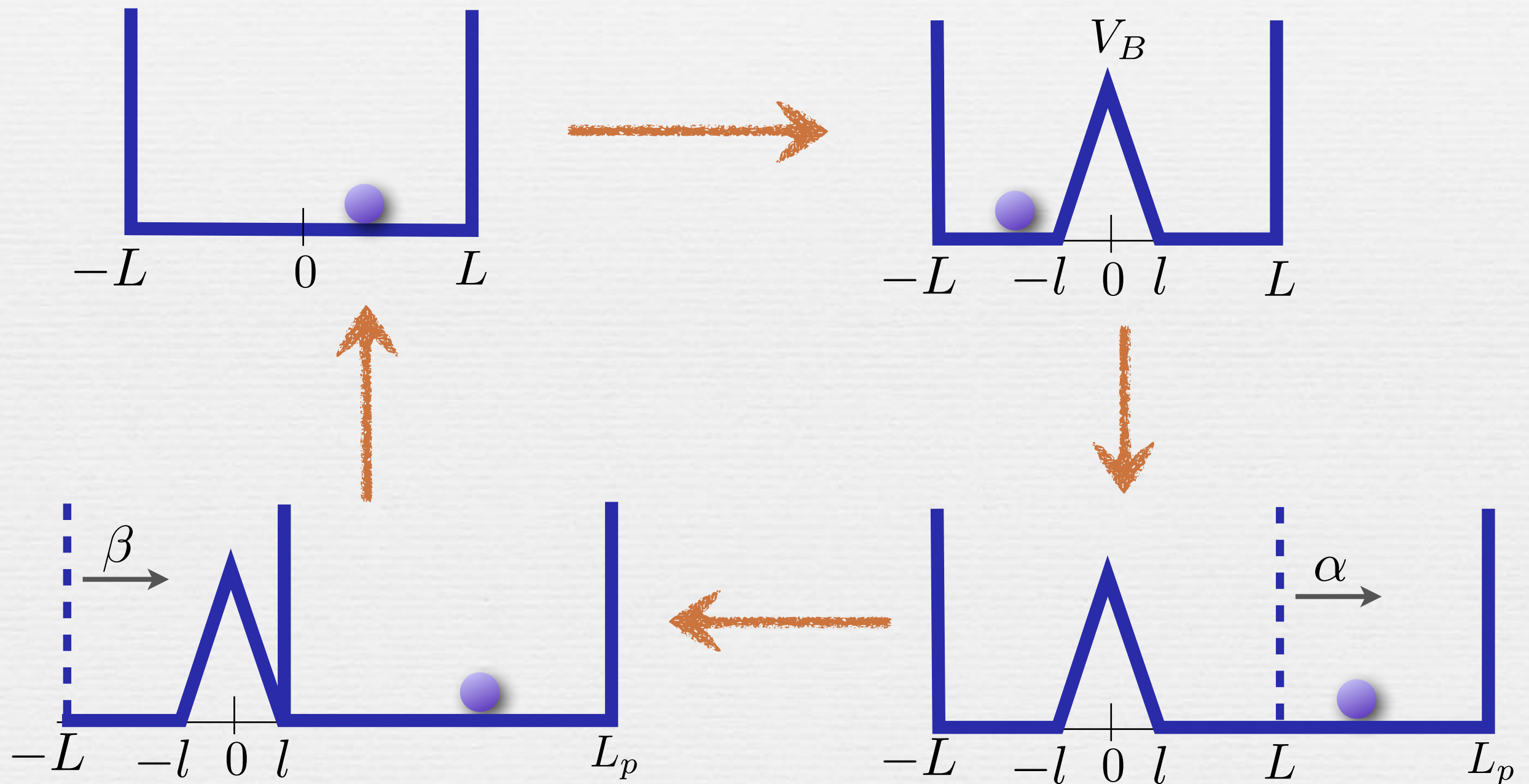
- Any meso- or macroscopic degree of freedom is the result of a symmetry/ergodicity breaking.

- Biological evolution: each DNA sequence is the result of a symmetry/ergodicity breaking.

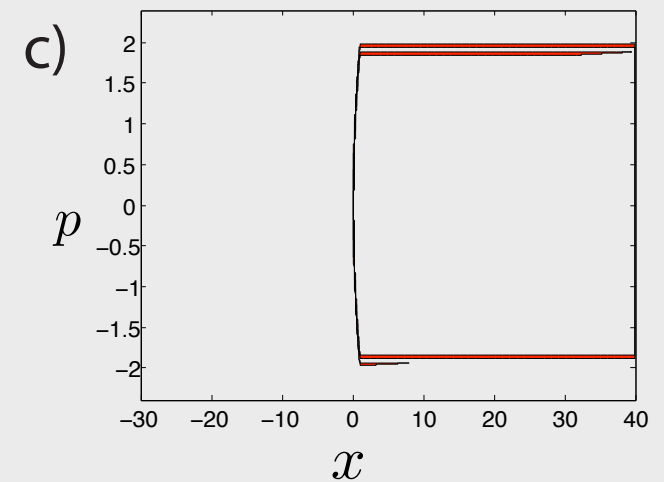
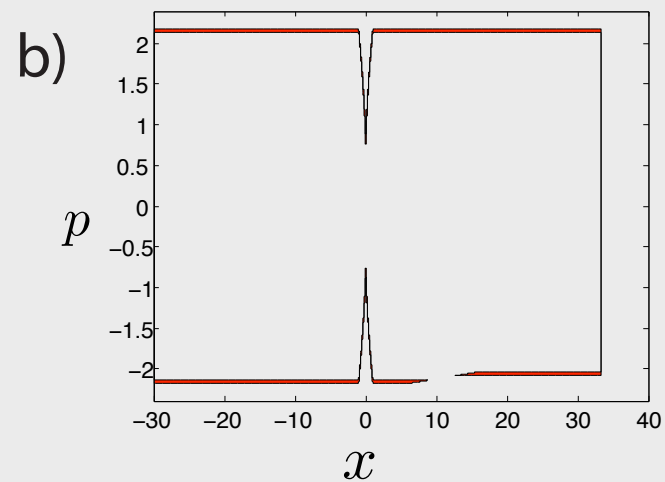
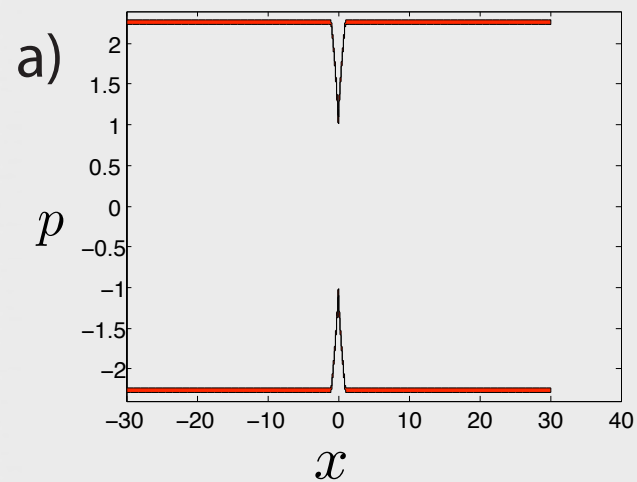
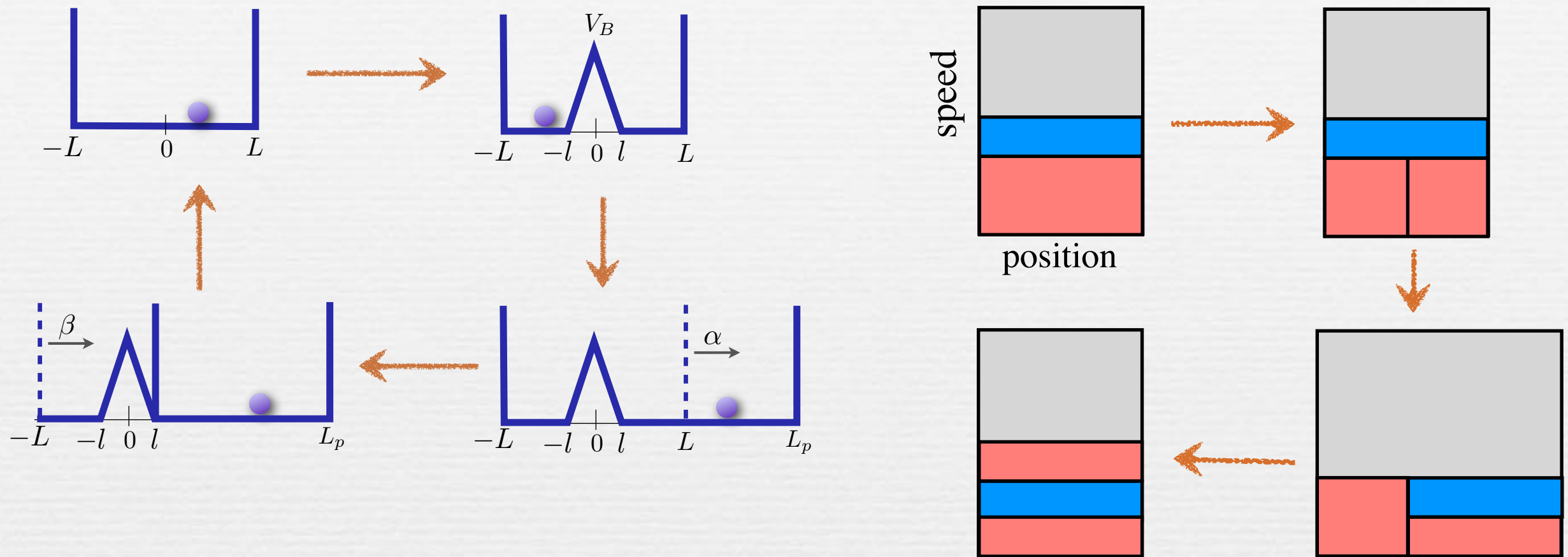
# 9.1. Microcanonical Szilard engines

Marathe, JMRRP, PRL 2010.

A single isolated particle obeying Newtonian dynamics

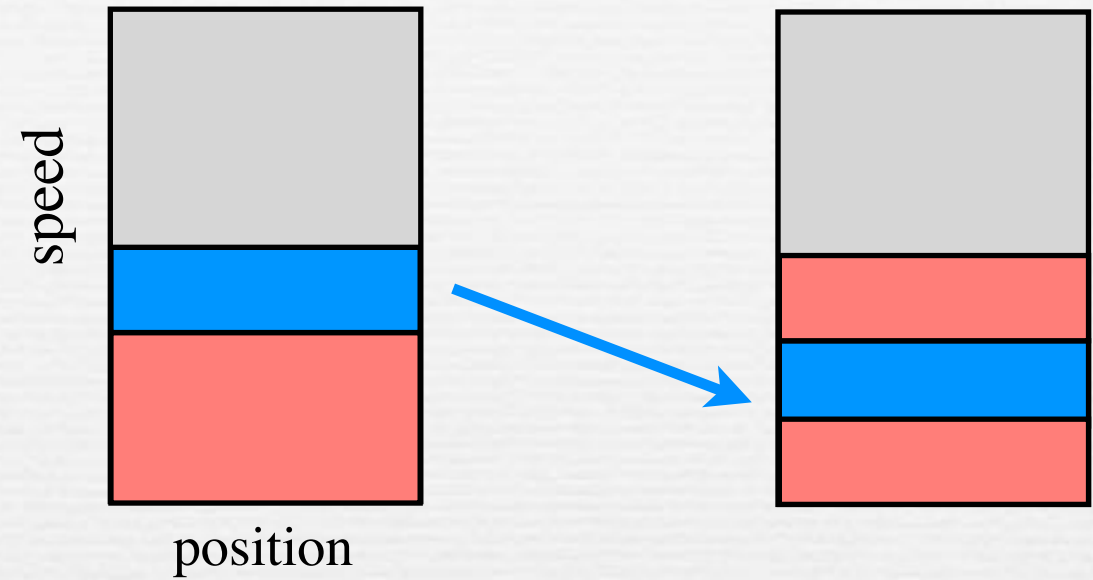
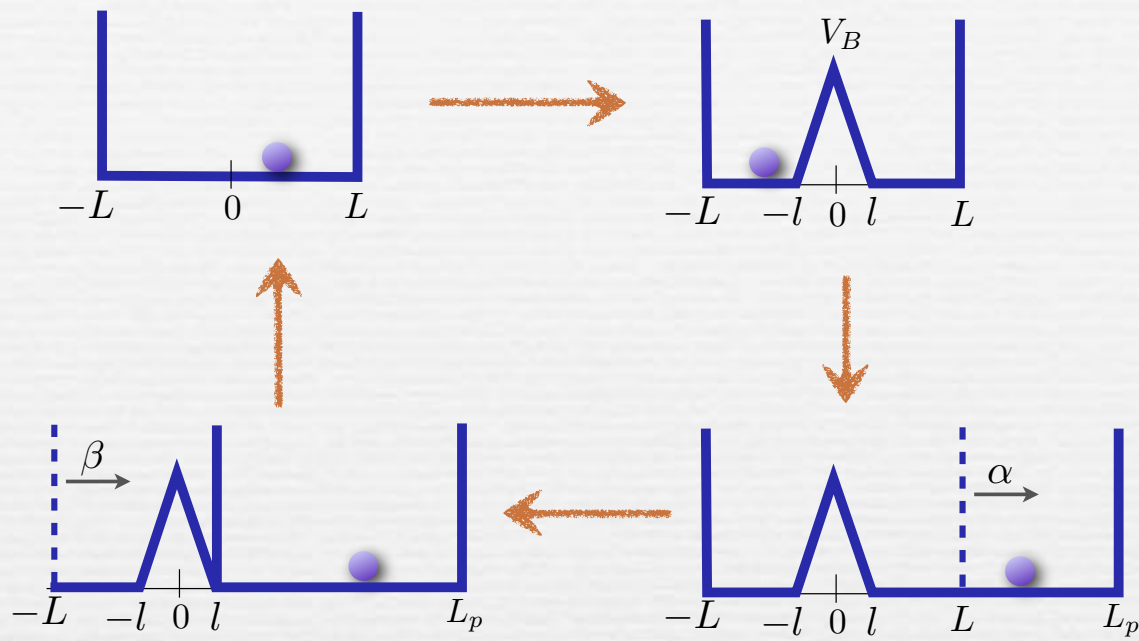


# 9.1. Microcanonical Szilard engines

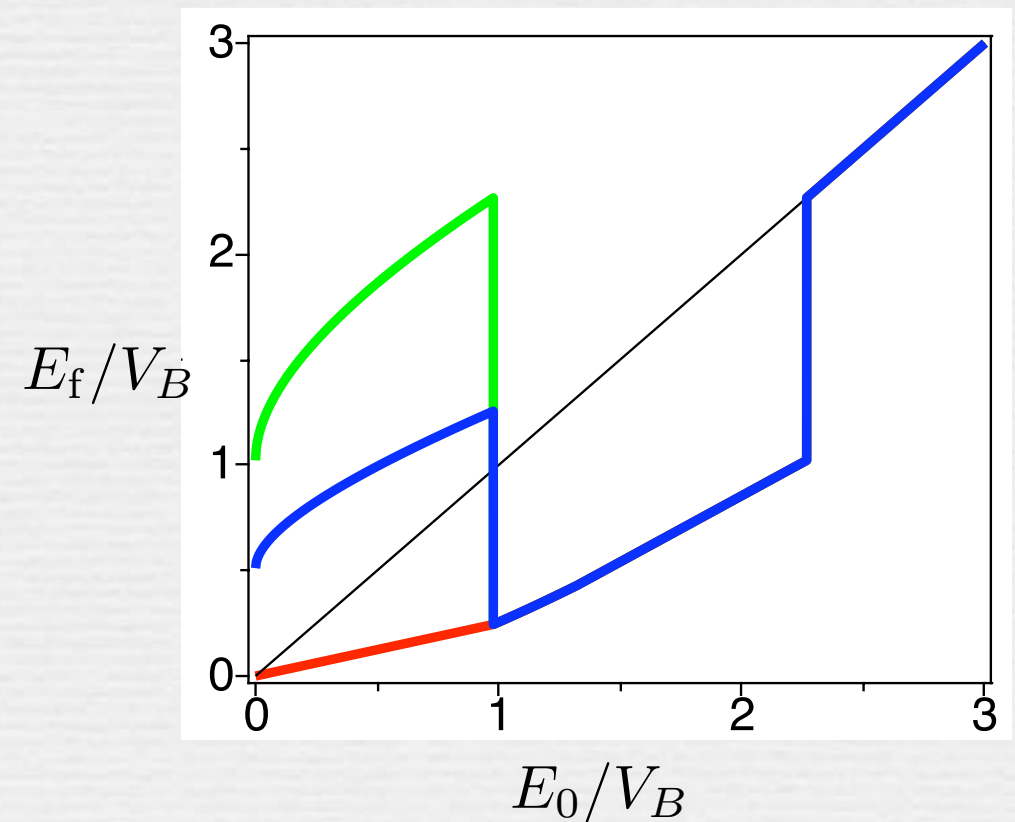




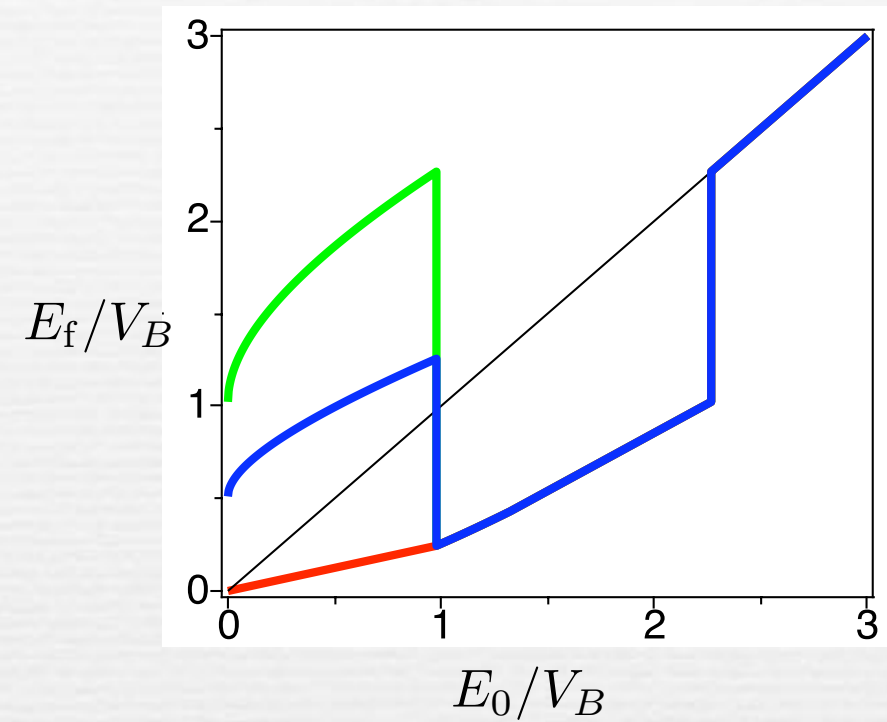
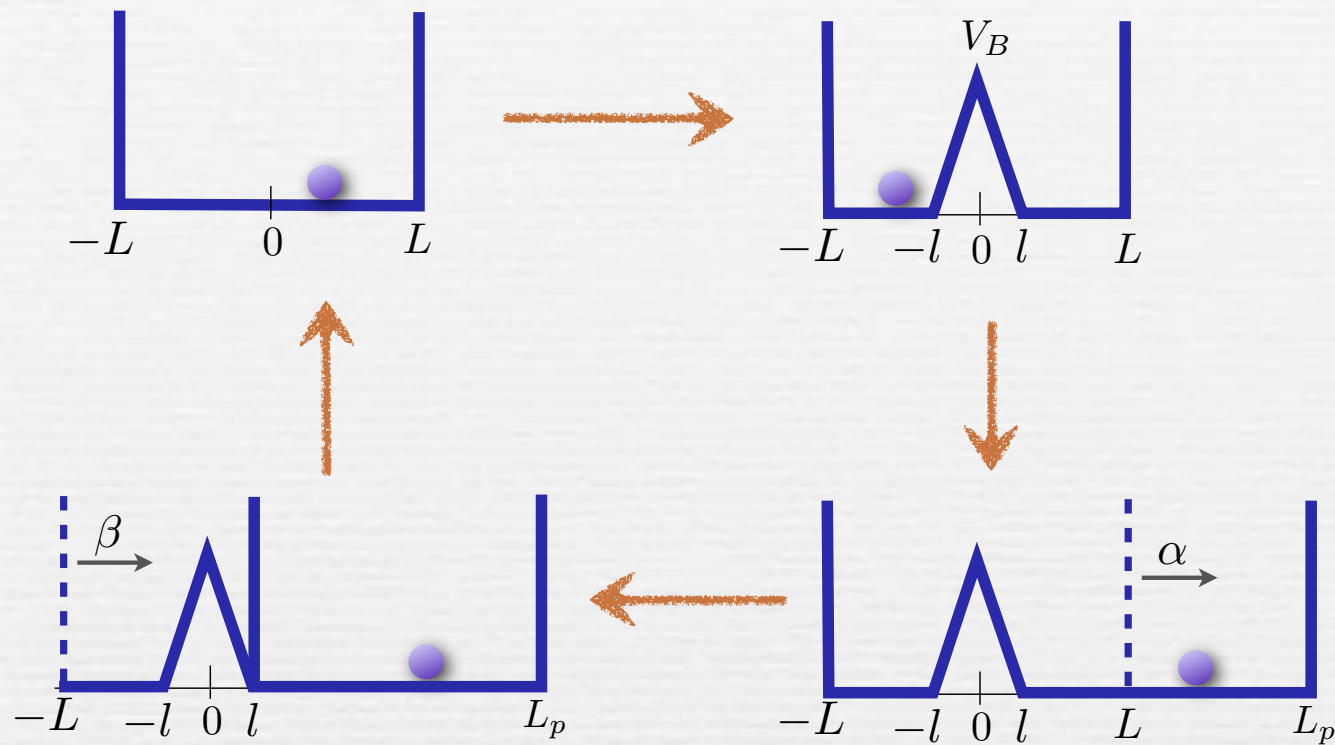
# 9.1. Microcanonical Szilard engines



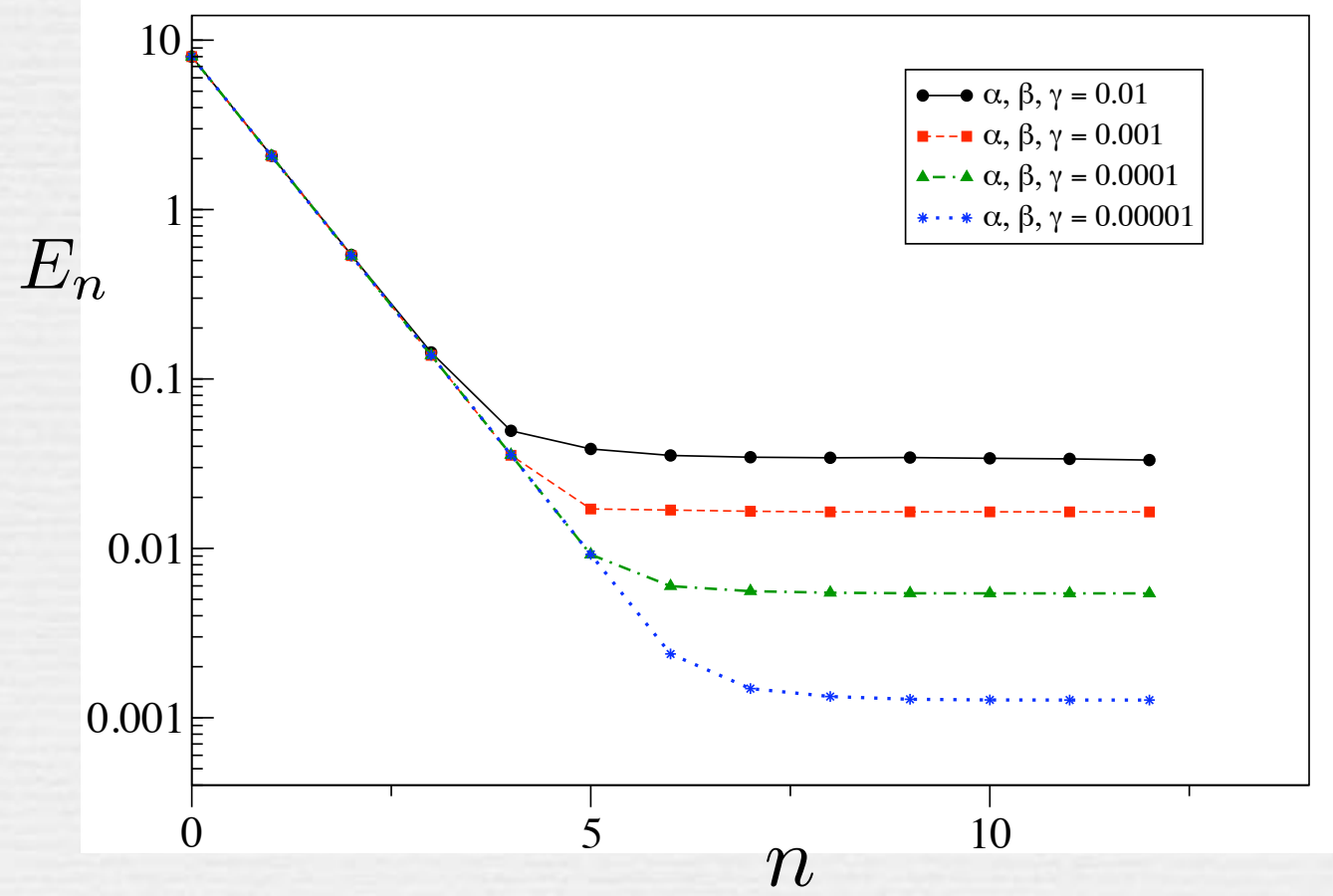
Final energy



# 9.1. Microcanonical Szilard engines



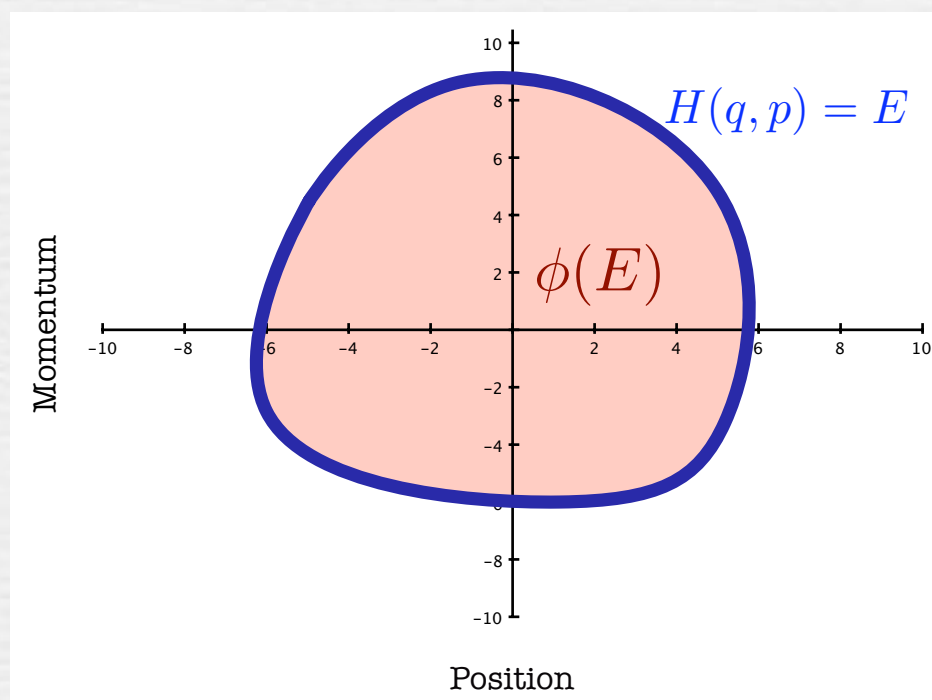
$$V_B^{(n)} = \kappa^n E_0, \text{ with } \kappa = [1/2 + l/(6L)]^2$$



# 9.2. Maxwell demons in phase space

Two properties of Hamiltonian dynamics closely related with the second law:

- 1) Volume in phase space is invariant.
- 2) The volume enclosed by an energy shell is an **adiabatic invariant**:



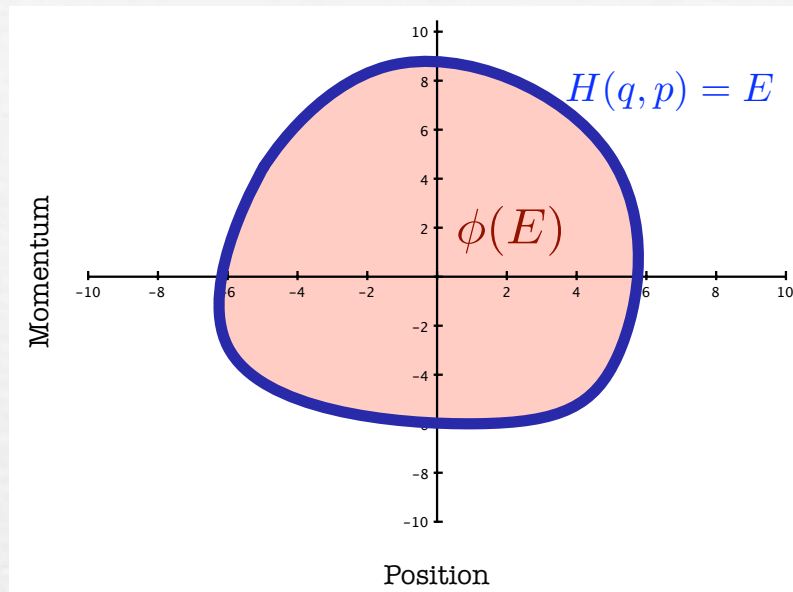
$$\phi(E) = \int_{H(q,p) < E} dq dp$$

Then, in a quasi-static cycle the final energy and the initial energy are the same, i.e., **work is zero**.



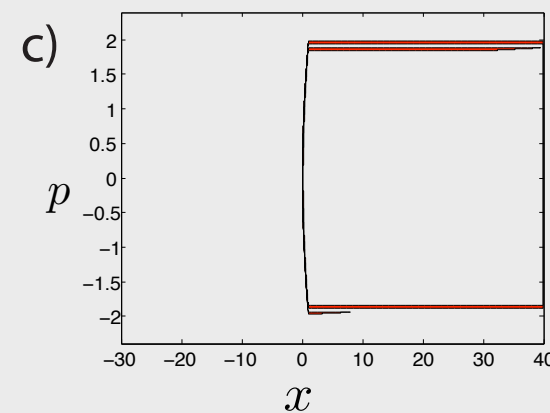
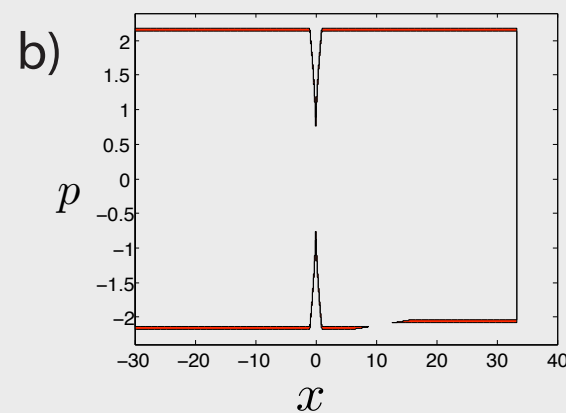
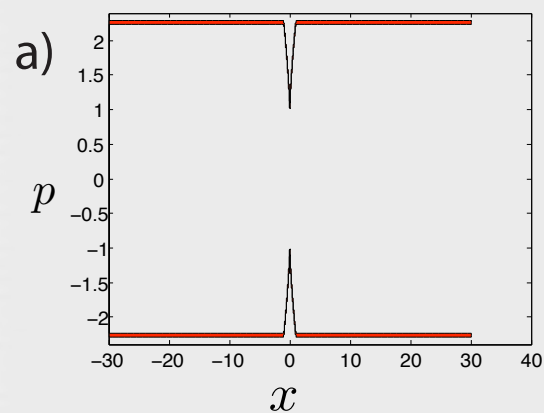
# 9.1. Microcanonical Szilard engines

The phase space volume is an adiabatic invariant:

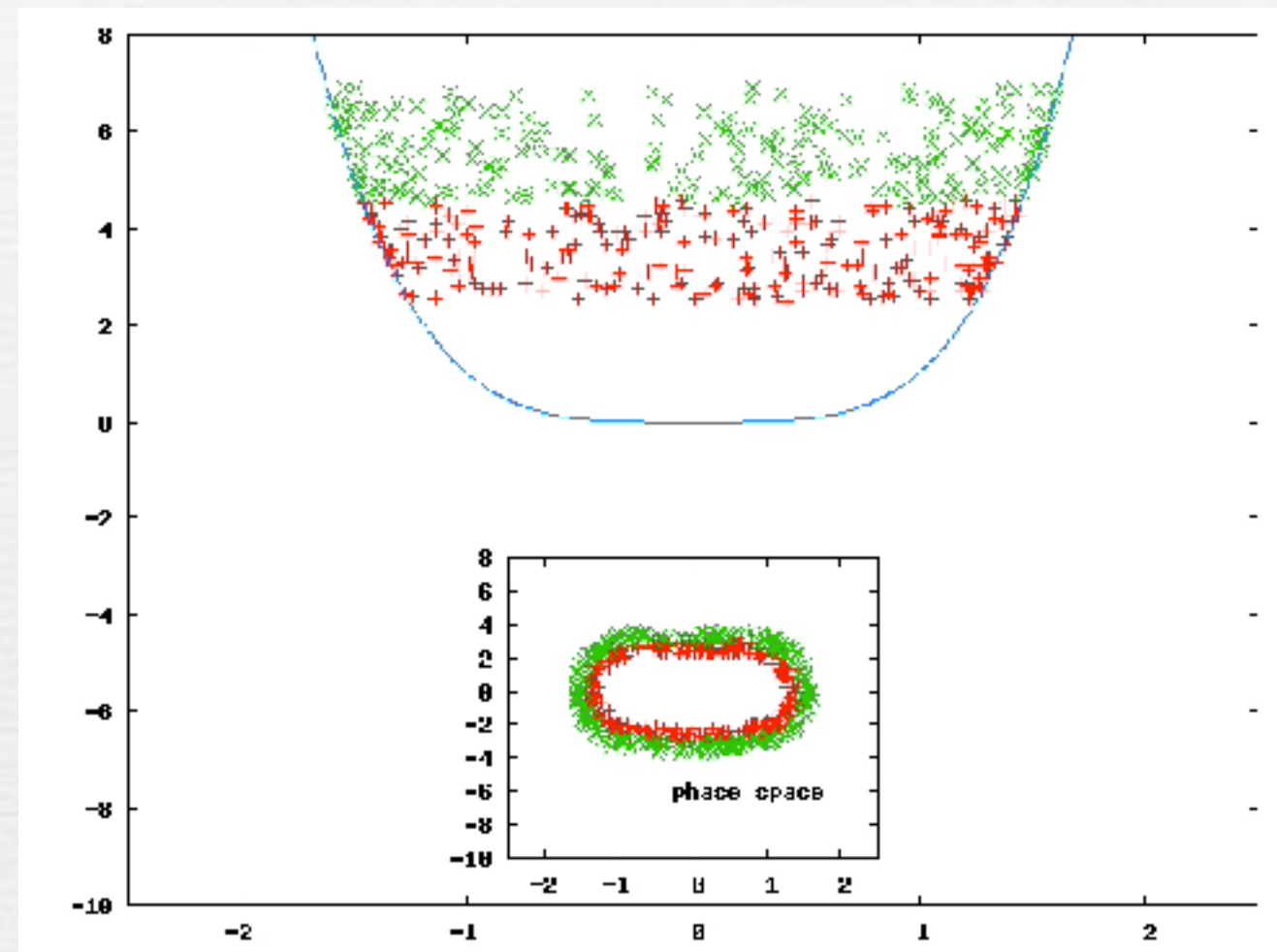
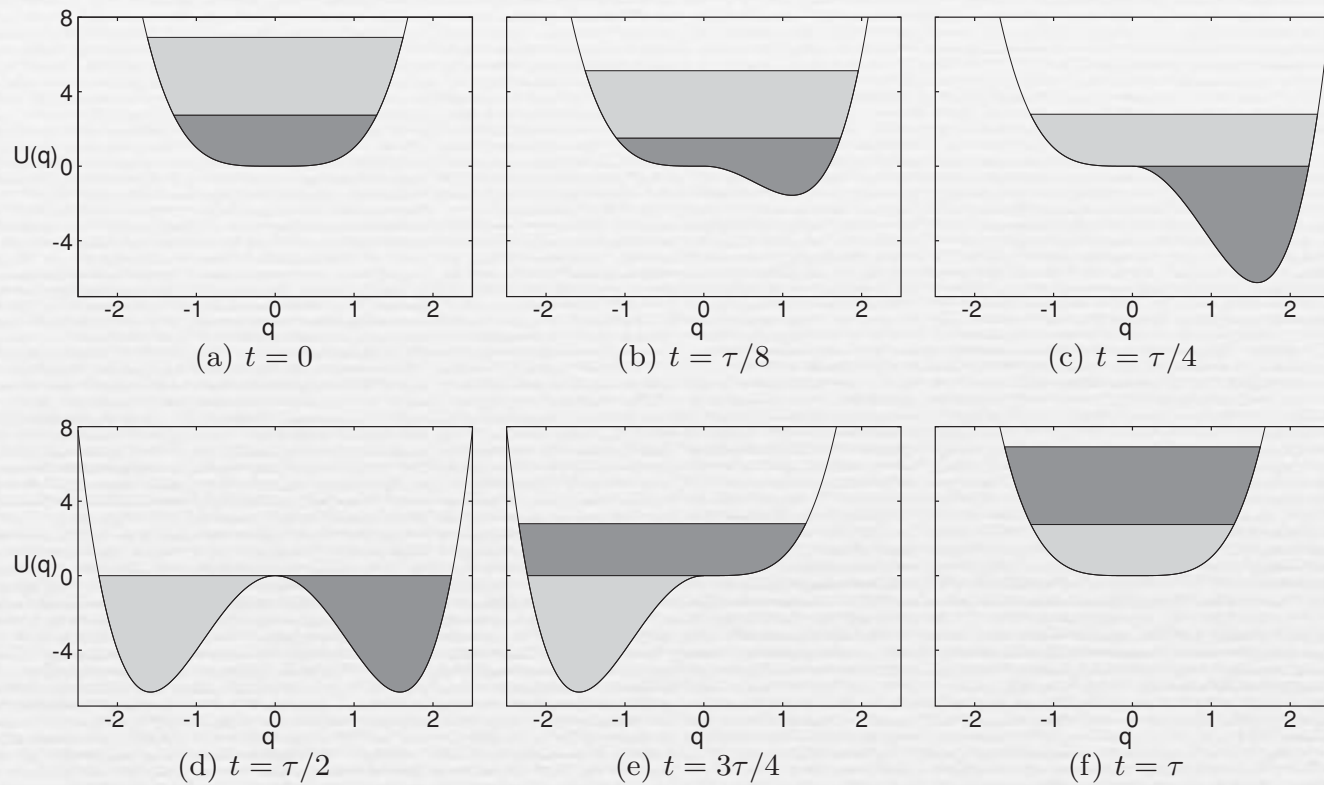


$$\phi(E) = \int_{H(q,p) < E} dqdp$$

Adiabatic invariance breaks down if orbits collapse or split



# 9.1. Microcanonical Szilard engines



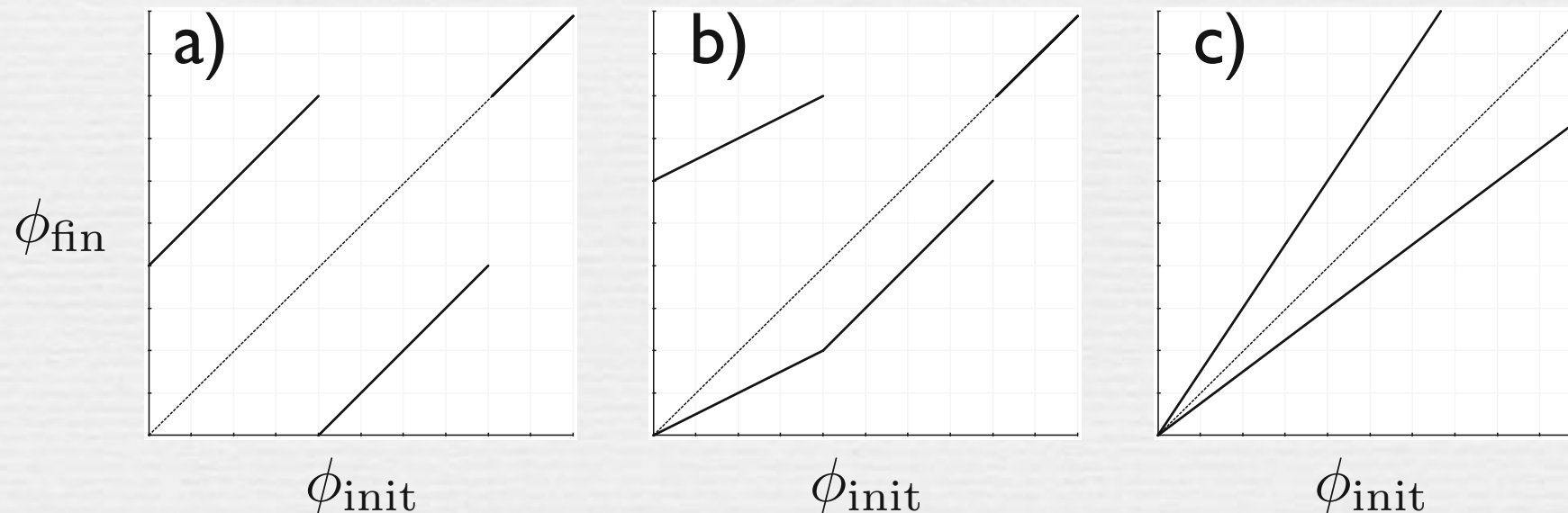
Vaikuntanathan, Jarzynski, PRE  
(2011).

# 9.2. Maxwell demons in phase space

JMRP, Granger. Eur. Phys. J. (2015)

$$\mathcal{U}'_i(\phi_{\lambda_0}(E)) = \frac{p_i(E)}{\tilde{p}_i(E)}$$

$$\phi(E) = \int_{H(q,p) < E} dqdp$$



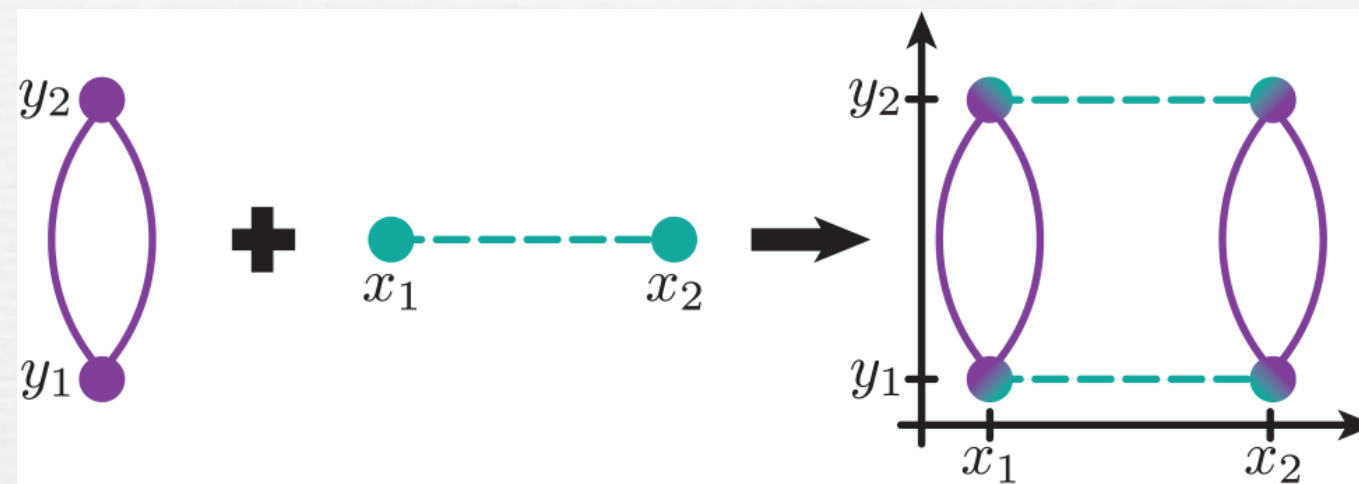
**Fig. 3.** Three examples of the transformation of the volume enclosed by energy shells. The initial volume  $\phi_{\text{init}} \equiv \phi_{\lambda_0}(E)$  is mapped into  $\phi_{\text{fin}} \equiv \phi_{\lambda_\tau}(E + W_i(E))$ : a) corresponds to the microcanonical Szilard engine introduced by Vaikuntanathan and Jarzynski [13] with  $p_i = \tilde{p}_i = 1$  (see Fig. 4); b) corresponds to the microcanonical Szilard engine introduced by Marathe and Parrondo [12] with  $p_i = 1/2$  ( $i = L, R$ ) and  $\tilde{p}_i = 1$  (see Fig. 5); c) corresponds to a Szilard engine in contact with a thermal bath at temperature  $T$  with  $p_L = p_R = 1/2$  and  $\tilde{p}_L = 2/3$ ,  $\tilde{p}_R = 1/3$  (see Fig. 6). It is easy to check that in all cases the slopes verify Eq. (9). The diagonal is depicted in the three cases to guide the eye.



# 10. Information flows

Horowitz, Esposito, PRX 2014.

Bipartite systems:



$$\dot{S}_{\text{tot}}^X = \dot{S}(\rho(x)) + \dot{S}_{\text{res}}^X - k\dot{I}^X \geq 0$$

Changes due to  $x$  transitions

$$\dot{S}_{\text{tot}}^Y = \dot{S}(\rho(y)) + \dot{S}_{\text{res}}^Y - k\dot{I}^Y \geq 0$$

Changes due to  $y$  transitions

# What is information?

- **Metastable states, ergodicity breaking, large separation of time scales.**
- **Creation and annihilation of correlations**