Topology, correlations and superconductivity in magic angle graphene II

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Ground State and Hidden Symmetry of Magic Angle Graphene at Even Integer Filling

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arXiv:1911.02045

Berkeley



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Mike Zaletel

Two Paradigms for Correlated Electrons

Interactions energy exceeds kinetic energy (U>t)

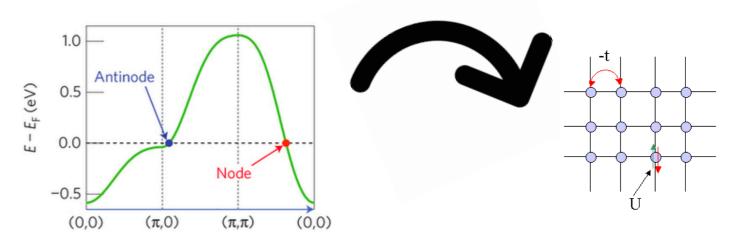




Landau Levels

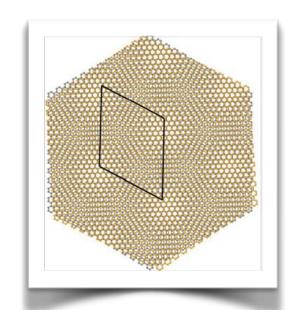
$$\psi_n = z^n e^{-\frac{|z|^2}{4}}$$

Correlated Solids eg. Cuprates



Wannier Functions

Hubbard Model





Magic angle graphene?

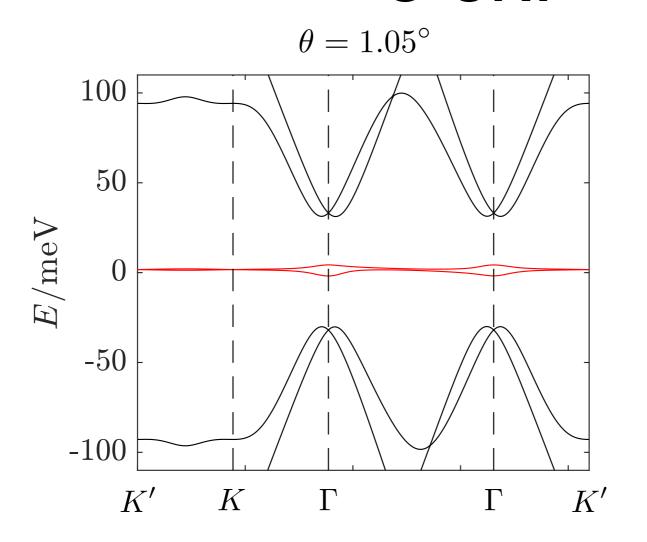
Topology:

- (i) Same chirality nodes
- (ii) Landau + usbnsJ

BUT

admits an extended Hubbard model

Magic Angle Twisted Bilayer Graphene @ CNP







Eslam Khalaf

Shang Liu

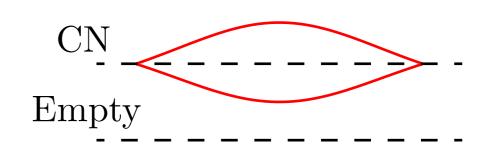
Liu, Khalaf, Lee, AV, 2019.

Bultinick, Khalaf,
Liu, Chatterjee, AV, Zaletel
arXiv:1911.02045

• The C₂T symmetry protects the band touching.

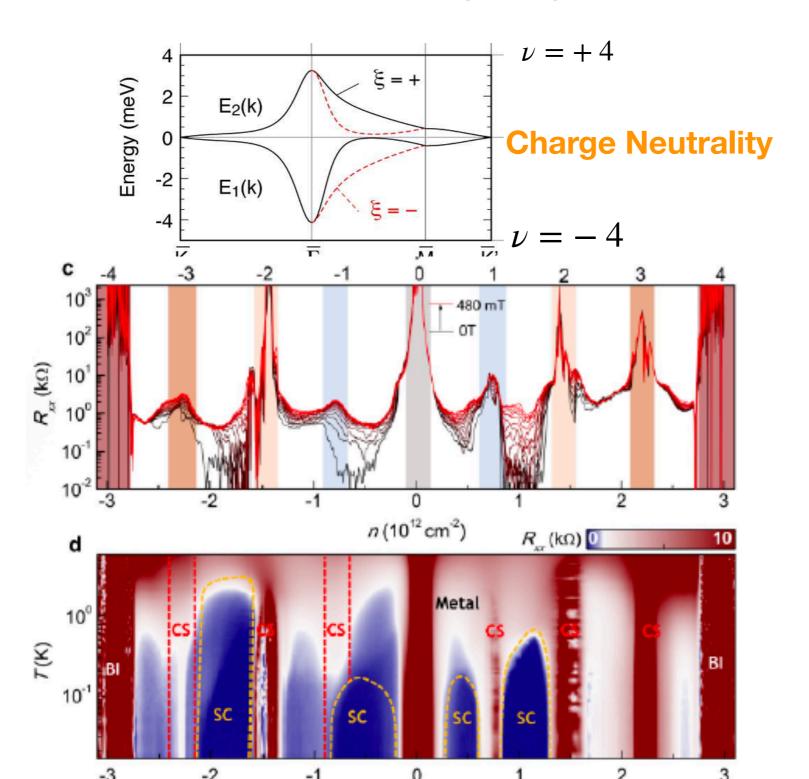
Po, Zou, AV, Senthil, 2018.

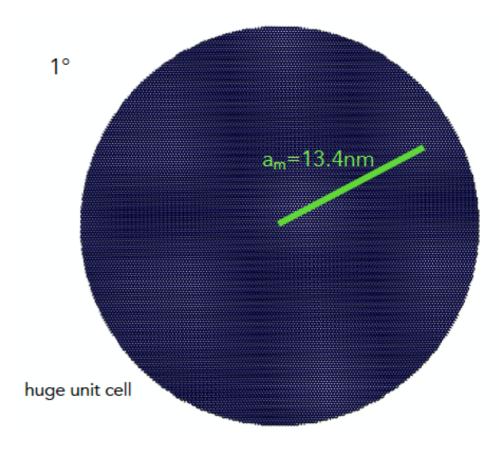
 Focus on the two flat bands at CNP. Experiments - insulator/



Brief Review of Experiment

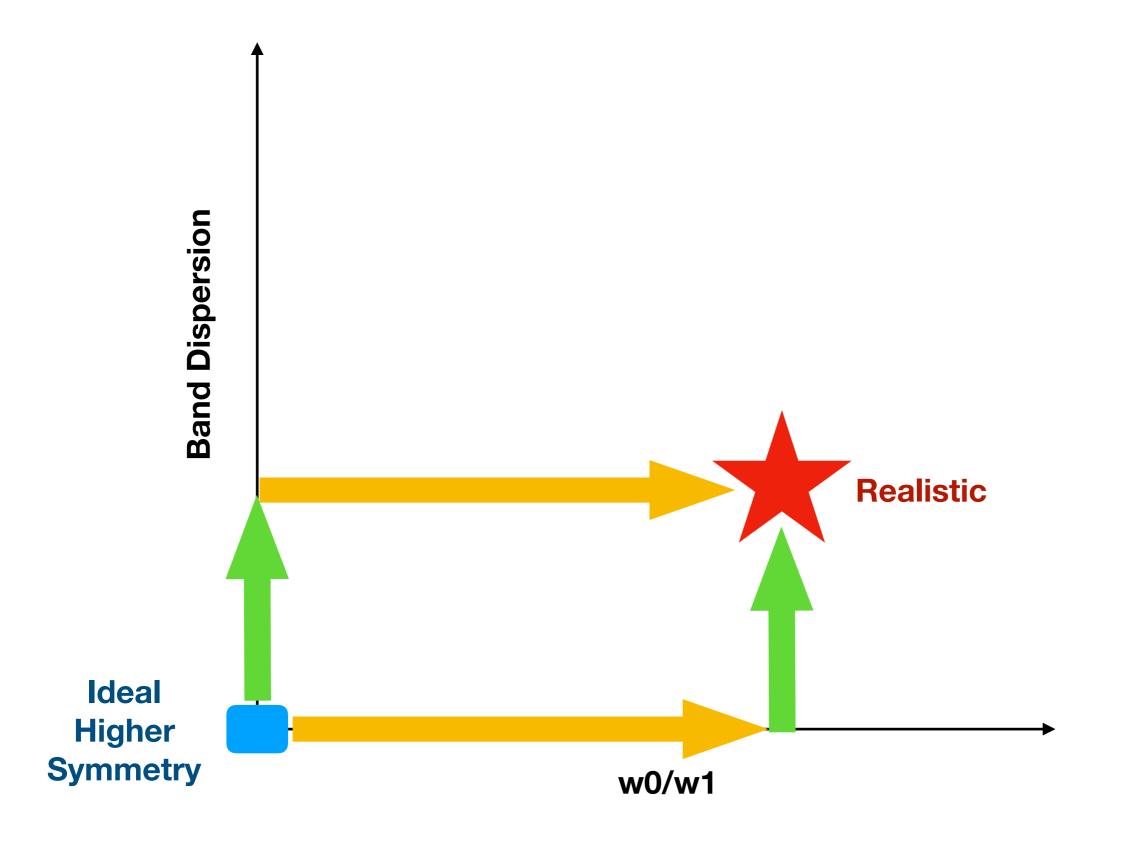
 More uniform samples: Lu et al. Nature 574, 653–657 (2019), Stepanos et al. arxiv:1911.09198 (2019)



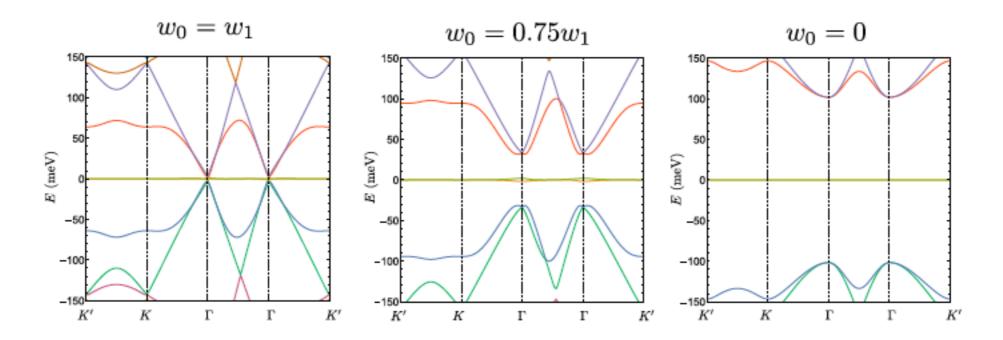


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Including Interactions



Simplified Model: Chiral Model, Flat Band @ Charge Neutrality



Valley	K	K'	Chern Number
Sublattice	Α	В	C=+1
Sublattice	В	Α	C=-1

$$\uparrow, K, A \xrightarrow{C = +1} \xrightarrow{C = -1} \uparrow, K, B$$

$$\uparrow, K', B \xrightarrow{\downarrow}, K, A \xrightarrow{\downarrow}, K', B$$

$$\downarrow, K', B \xrightarrow{\sigma_z \tau_z = +1} \xrightarrow{\sigma_z \tau_z = -1} \downarrow, K', A$$

$$\downarrow, K', B \xrightarrow{\sigma_z \tau_z = -1} \downarrow, K', A$$

$$\downarrow, K', B \xrightarrow{\downarrow}, K', A$$

Interactions have U(4) x U(4) symmetry "Generalized Ferromagnet" Fill four of the eight states -but which four?

Simplified Model: Chiral Model, Flat Band, Spinless

U(2)
$$C = +1$$

$$K, A$$

$$C_2$$

$$K', B$$

$$C_2$$

$$\sigma_z \tau_z = +1$$

$$C = -1$$

$$K, B$$

$$K', A$$

$$\sigma_z \tau_z = -1$$

Fill two of the four states

A catalog of Slater det. states:

 $Q = \pm 1$; Filled (Empty) states

$$Q_{\alpha\beta} = \left\langle \Psi | \left[c_{\alpha}, c_{\beta} \right] | \Psi \right\rangle$$

Sublattice (A/B) σ^z

Valley (K/K') au^z

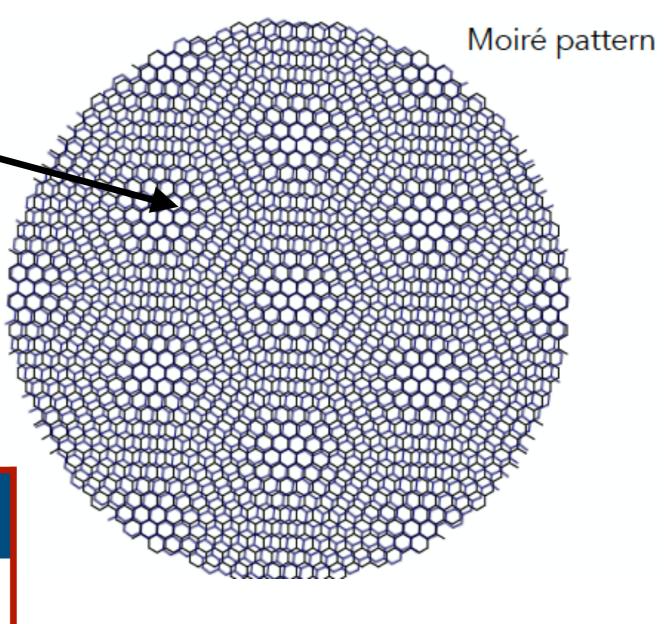
State	Q	
Valley Polarized (K or K')	$Q = \tau^z$	
Sublattice Polarized/Valley Hall Induced by hBN substrate	$Q = \sigma^z$	
Quantum Hall (C=2)	$Q = \sigma^z \tau^z$	
Inter Valley Coherence	$Q \propto au_x, au_y$	

Intervalley Coherence Order

 Breaks translation symmetry at the carbon atom scale (Triples the unit cell)

 But can have different form factors eg

IVC State	Q		
Charge density wave	$Q = \Delta_R \tau_x + \Delta_I \tau_y$		
Bond density wave (Kekule)	$Q = \sigma_{x} \left(\Delta_{R} \tau_{x} + \Delta_{I} \tau_{y} \right)$		
Modulated Hopping Phase (breaks T)	$Q = \sigma_{y} \left(\Delta_{R} \tau_{x} + \Delta_{I} \tau_{y} \right)$		

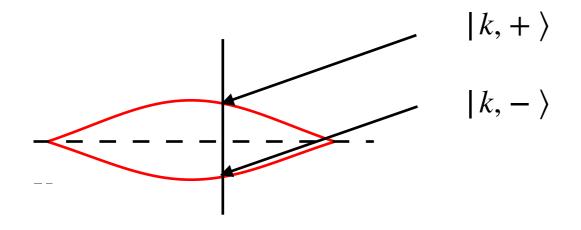


Energetics

$$\mathcal{H}_{ ext{int}} = rac{1}{2A} \sum_{m{q}} V_{m{q}} \delta
ho_{m{q}} \delta
ho_{-m{q}}, \quad \delta
ho_{m{q}} =
ho_{m{q}} - ar{
ho}_{m{q}}$$
 Screened Coulomb

Density projected into the two bands:

$$\rho(q) = \sum_{k \in BZ} c_k^{\dagger} \Lambda_q(k) c_{k+q}$$



$$\Lambda_{q}^{\alpha\beta}(k) = \langle k, \alpha | e^{iq \cdot r} | k + q, \beta \rangle$$

Form factor plays a key role

In the Chiral Model Very simple Form Factor:

$$\Lambda = F e^{i\phi\sigma_z\tau_z}$$

Ground State of Chiral Model-Generalized Ferromagnet

• Family of exact ground states - generalized ferromagnets. $[Q, \Lambda] = 0$

$$\mathcal{H}_{\mathrm{int}} = \frac{1}{2A} \sum_{q} V_{q} \delta \rho_{q} \delta \rho_{-q},$$

Argument:

$$V_q \ge 0$$
 and $\delta \rho_q | \Psi \rangle = 0$

$$C = +1$$

$$K, A$$

$$C_2$$

$$K', B$$

$$C_2$$

$$T_z = +1$$

$$C = -1$$

$$K, B$$

$$T_z = -1$$

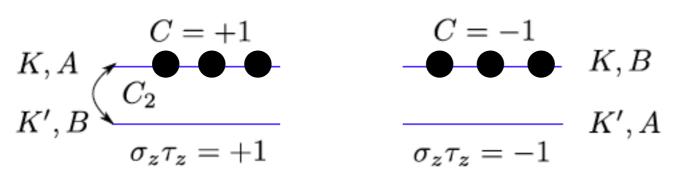
$$T_z = -1$$

$$T_z = -1$$

Ground State of Chiral Model-Generalized Ferromagnet

• Family of exact ground states - generalized ferromagnets. $[Q, \Lambda] = 0$

Valley polarized





Valley Hall

$$C = +1$$

$$K, A$$

$$C_2$$

$$K', B$$

$$C_2$$

$$T_{z\tau_z} = +1$$

$$C = -1$$

$$K, B$$

$$T_{z\tau_z} = -1$$

$$T_{z\tau_z} = -1$$



Ground State of Chiral Model-Generalized Ferromagnet

• Family of exact ground states - generalized ferromagnets. $[Q, \Lambda] = 0$

Some IVCs allowed and others ruled out

CDW
$$Q = \Delta_R \tau_X + \Delta_I \tau_Y$$



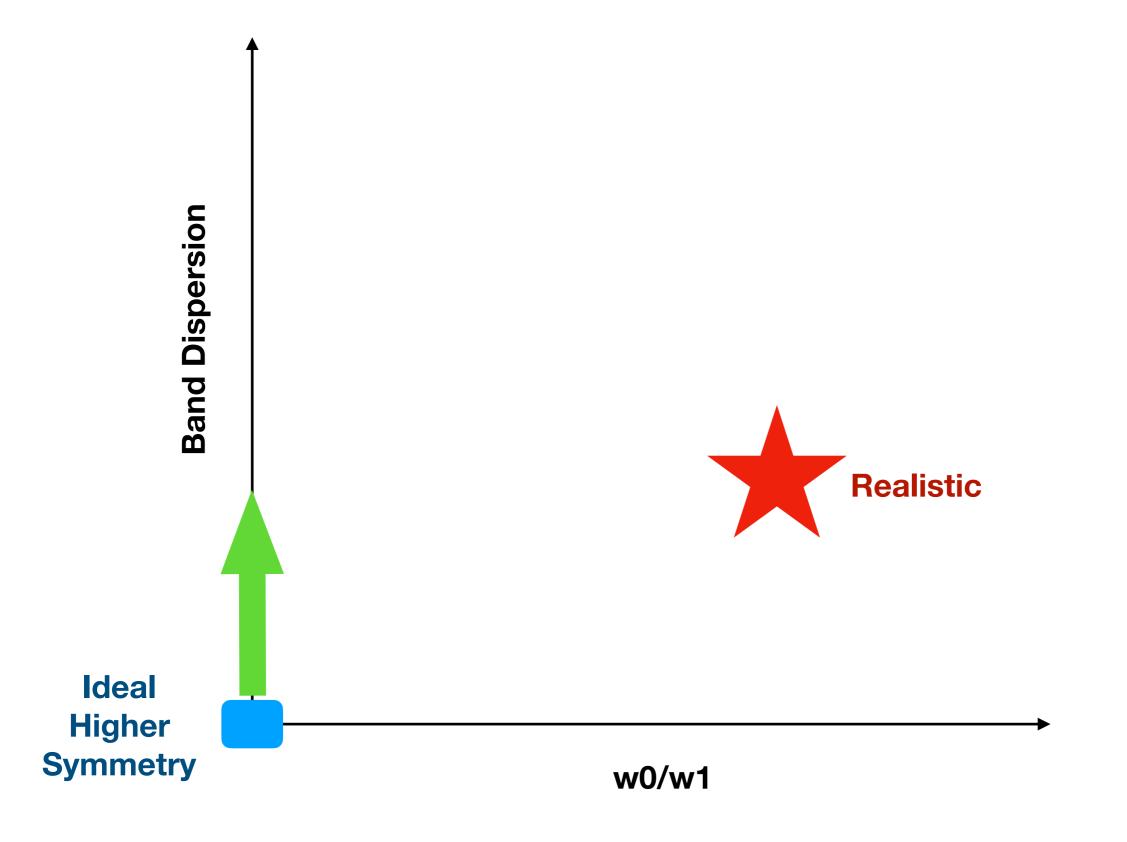
T-IVC
$$Q = \sigma_x \left(\Delta_R \tau_x + \Delta_I \tau_y \right)$$



K-IVC
$$Q = \sigma_y \left(\Delta_R \tau_x + \Delta_I \tau_y \right)$$



Including Interactions



Breaking the Degeneracy

Dispersion:

Favors states that can fluctuate. (Retains a U(2) symmetry)

$$C = +1$$

$$K, A$$

$$C_2$$

$$K', B$$

$$C_2$$

$$\sigma_z \tau_z = +1$$

$$C = -1$$

$$K, B$$

$$\sigma_z \tau_z = -1$$

$$K', A$$

$$\{Q, \sigma_x\} = 0 \quad [Q, \Lambda] = 0$$

Quantum Hall

$$Q = \sigma_z \tau_z$$



Valley Hall

$$Q = \sigma_z$$



K-IVC
$$Q = \sigma_y \left(\Delta_R \tau_x + \Delta_I \tau_y \right)$$



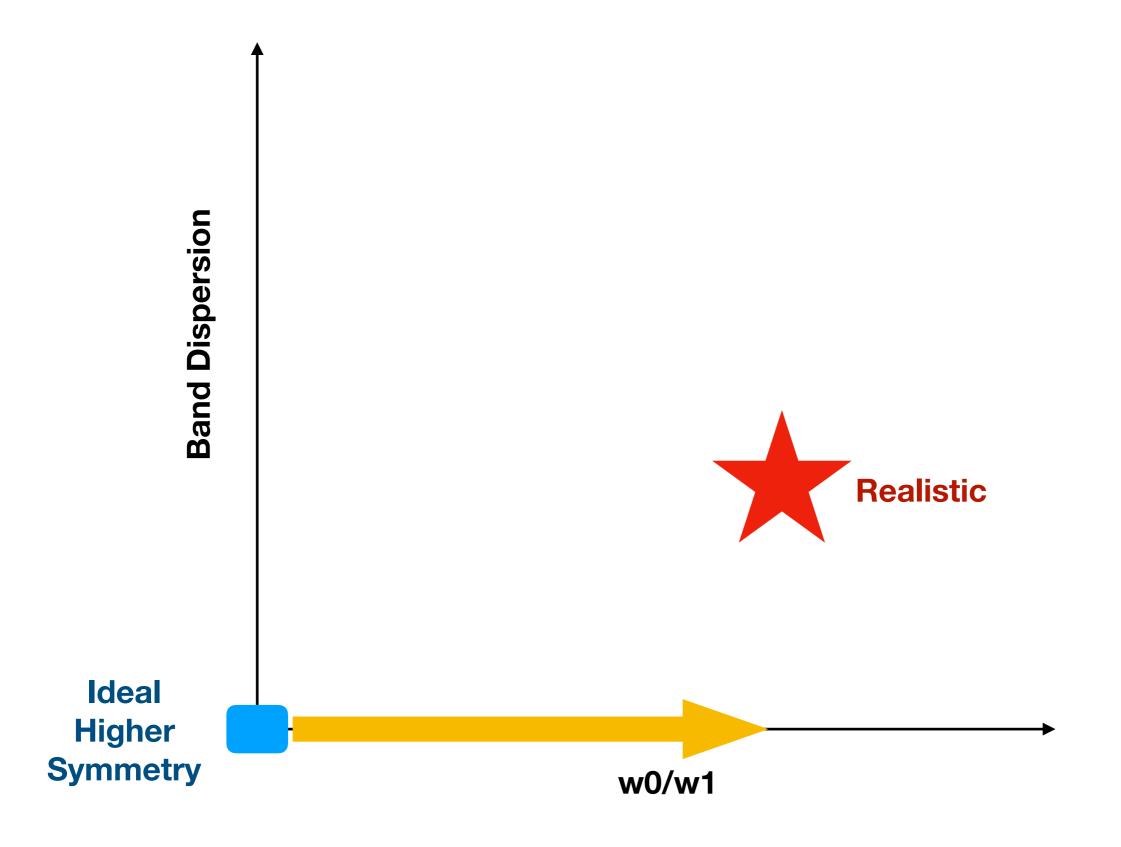
• "Antiferromagnetic" coupling $J \sim h^2/U \simeq 1$ -2 meV







Breaking the Degeneracy



Breaking the Degeneracy

Away from Chiral Limit: (Retains a different U(2) symmetry) Analysis appears to hold even if w0/w1 not small

$$\left[Q, \frac{\sigma_{x}\tau_{z}}{}\right] = 0$$

$$\left[Q, \Lambda\right] = 0$$

Valley Hall

$$Q = \tau_z$$



K-IVC

$$Q = \sigma_{y} \left(\Delta_{R} \tau_{x} + \Delta_{I} \tau_{y} \right)$$

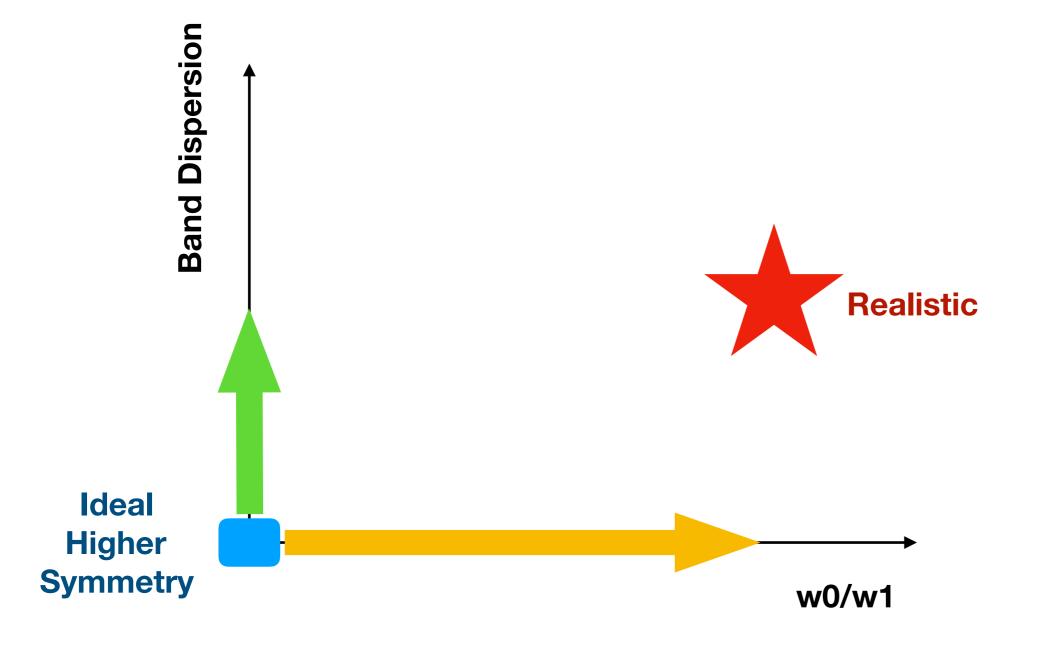


Ground State - Kramers IVC

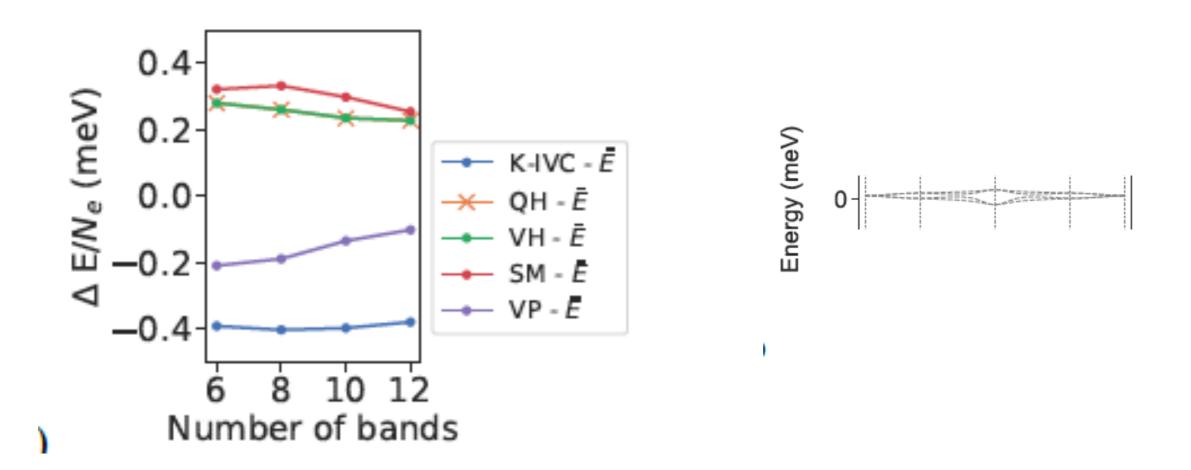
Both perturbations pick the same state Unfrustrated -

K-IVC

$$Q = \sigma_{y} \left(\Delta_{R} \tau_{x} + \Delta_{I} \tau_{y} \right)$$



Hartree Fock Numerics



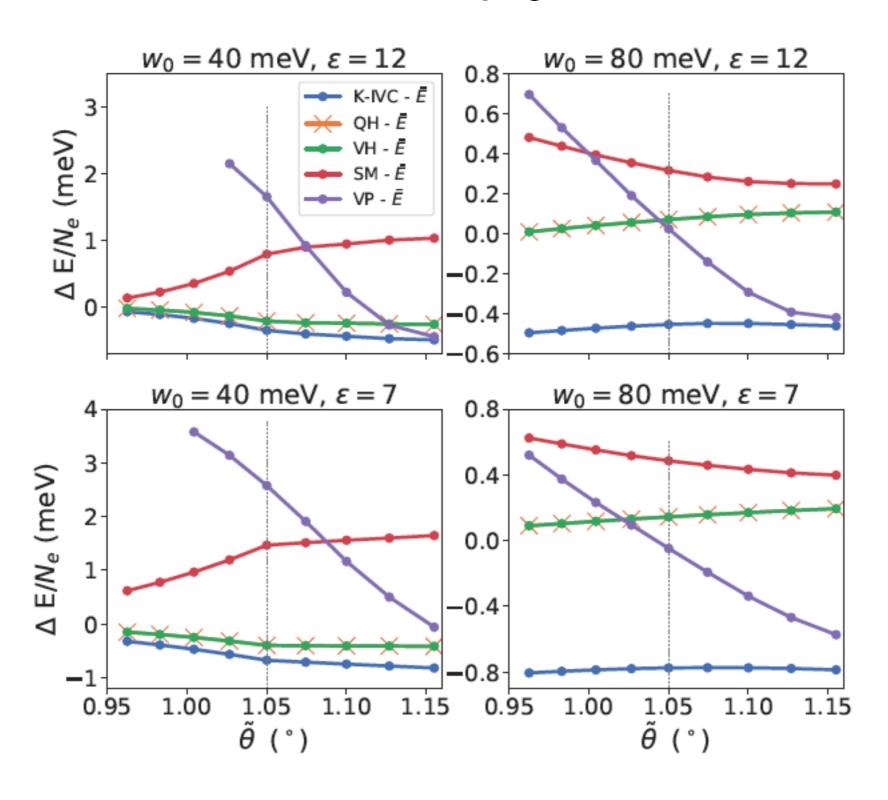
Keeping remote bands (not important for CNP)

Ground state & Gap

$$Q = \sigma_{y} \left(\Delta_{R} \tau_{x} + \Delta_{I} \tau_{y} \right)$$

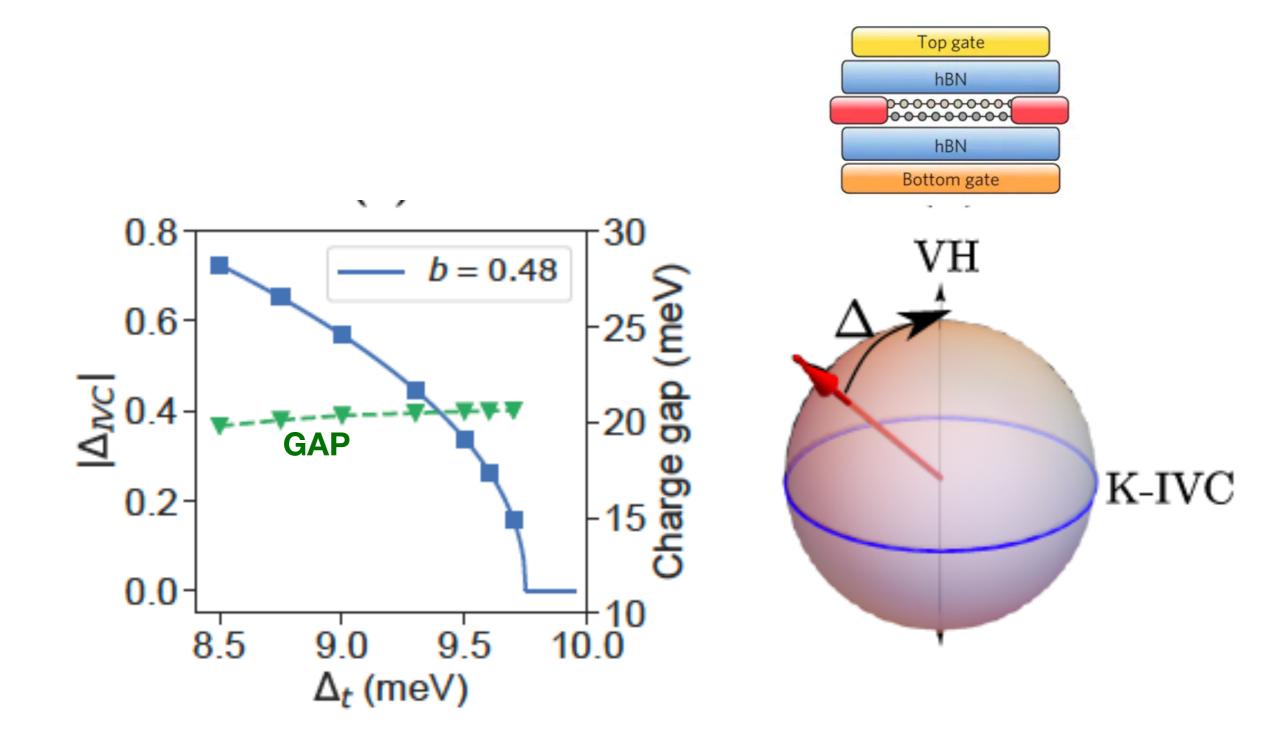
Hartree Fock Numerics

Ground state and low lying excited states



Quantum Phase Transition

Drive a quantum phase transition by hBN substrate which induces Valley Hall



Kramers IVC - Properties

Kramers time reversal and topological phase.

$$Q = \sigma_{y} \left(\Delta_{R} \tau_{x} + \Delta_{I} \tau_{y} \right)$$

• Spontanuously breaks \mathcal{T} , but preserves a combination of \mathcal{T} and π valley rotation $\mathcal{T}' = \tau_z \mathcal{T} = \tau_y \mathcal{K}$.

$$\mathcal{T}^2 = -1$$

$$K, A$$

$$C_2$$

$$K', B$$

$$\sigma_z \tau_z$$

$$U(1)_{\text{valley}}$$
 $i = i \frac{\pi}{2} \tau$

$$i\tau_z = e^{i\frac{\pi}{2}\tau_z}$$

$$C = -1$$

$$K, B$$

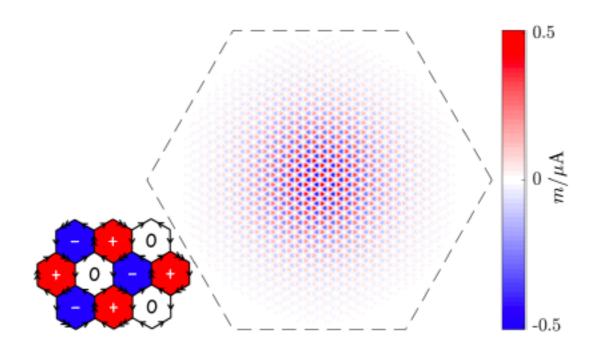
$$\sigma_z \tau_z = -1$$

$$K', A$$

Same symmetries as topological insulator

Involves opposite Chern number band Nontrivial Z2 topology!

Ground State- "Kramers" IVC



Spontaneous currents in the ground state U(1)_{valley} spontaneously broken - Goldstone modes Edge states? Requires `smooth' edge since we invoke U(1)_{valley}

Kramers IVC & Superconductivity

Kramers time reversal and Anderson theorem

Superconductivity coexisting with K-IVC?

In solids - Anderson's theorem guarantees protection of Cooper pairs dues to Kramers Time reversal.

Here, effective Kramers time reversal implies **K_IVC** + **spin triplet superconductor** may be robust to small angle impurity scattering.

Interactions that lead to K_IVC also lead to superconductivity? Role of Phonons?

Reintroducing Spin

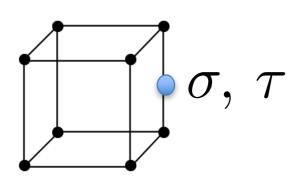
- $\nu = 0$: U(2) manifold of K-IVC states including spin-singlet $Q \propto s_0$ and spin-triplet $Q \propto \mathbf{n} \cdot \mathbf{s}$.
- $\nu = \pm 2$: spin-polarized K-IVC states spanning U(1) \times $S^2 \times S^2$.

Locked by internally Hund's coupling (sign important)!

Conclusions

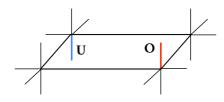
- 1 particle physics of twisted bilayer graphene is nontrivial, topology & symmetry important to model building.
 - Origin of flat bands intriguing connection to topology.
- Nature of the Mott insulator and superconductor?
 - Opportunity to understand central questions in solid state physics - ferromagnetism vs anti ferromagnetism, novel superconductors ...
- The Kramers-IVC, a subtle symmetry breaking state with nontrivial topology appears to be the ground state in all our calculations on pristine TBLG. Relation to experiments?

Surface Topological order in an Exactly Soluble Model

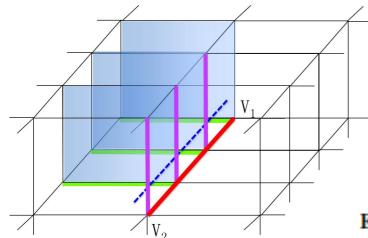


$$H = -\sum_{V} A_{V} - \sum_{P} B_{P}$$

$$A_V = \left(\prod_i \sigma_i^x + \prod_i \tau_i^x\right)$$



$$B_P = [\sigma^x]_O \prod_{\square} \sigma^z [\sigma^x \tau^x]_U + [\sigma^x \tau^x]_O \prod_{\square} \tau^z [\tau^x]_U$$

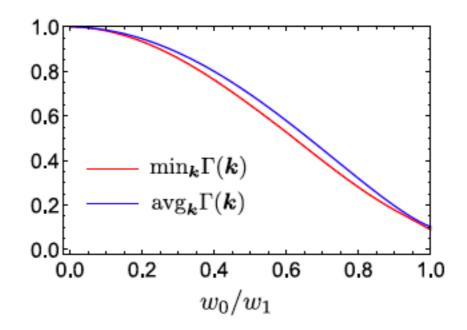


Point defects *confined* in the bulk but *deconfined* on surface. 3-fermion Z2 surface state is realized.

Exactly Soluble Model of a 3D Symmetry Protected Topological Phase of Bosons with Surface Topological Order

Away from the chiral limit

- $w_0 = 0.75w_1$ not very small
- Sublattice polarization $\Gamma(k) = \sqrt{\frac{1}{2} \operatorname{tr} \langle u_k | \sigma_z | u_k \rangle^2}$ remains finite
- Breaks $U(4) \times U(4) \to U_{\mathcal{C}}(4)$ with the extra generator \mathcal{C}



• Intrasublattice form factor Λ^+ larger than intersublattice one Λ^-

$$\mathcal{H} = \mathcal{H}_+ + \mathcal{H}_-, \qquad U_-/U_+ \sim 0.2 \text{-} 0.3$$

- Projection onto the low-energy manifold $\mathcal{H}_- \sim U_-^2/U_+ \sim 1$ -2 meV
- Minimize \mathcal{H}_{-} , $[Q, \sigma_{v}] = 0$.
- Sublattice symmetry still holds approximately for dispersion $\{h, \sigma_z\} \approx 0$