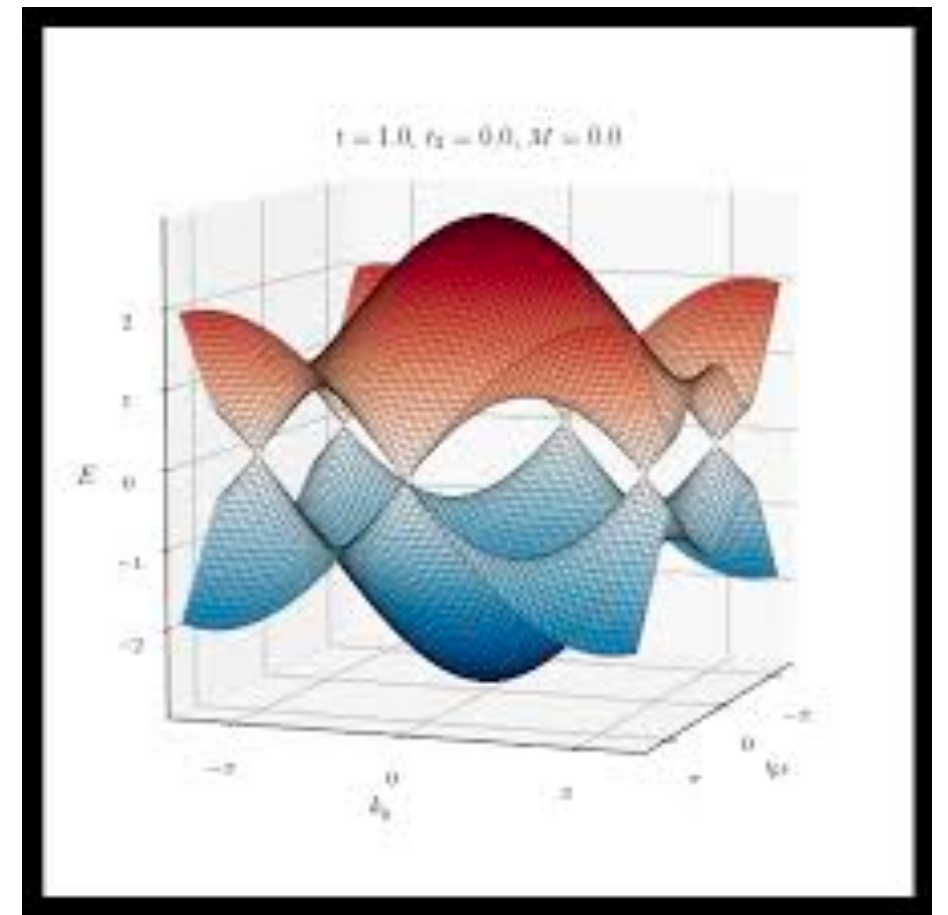
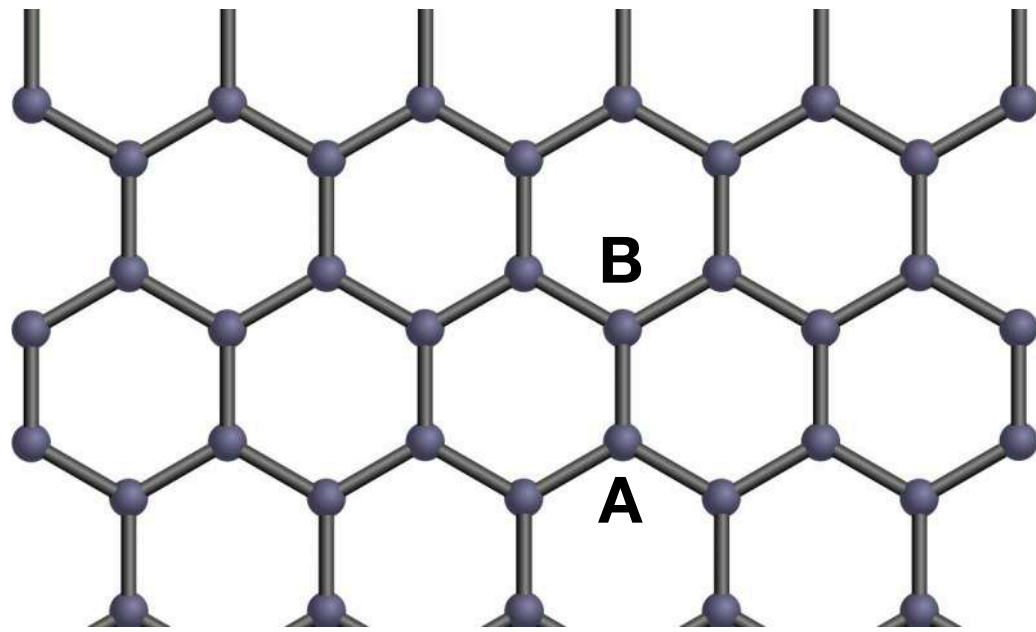


Topology, correlations and superconductivity in magic angle graphene

Ashvin Vishwanath
Harvard University

Graphene and Dirac Points



Dirac Points - vortices in d vector

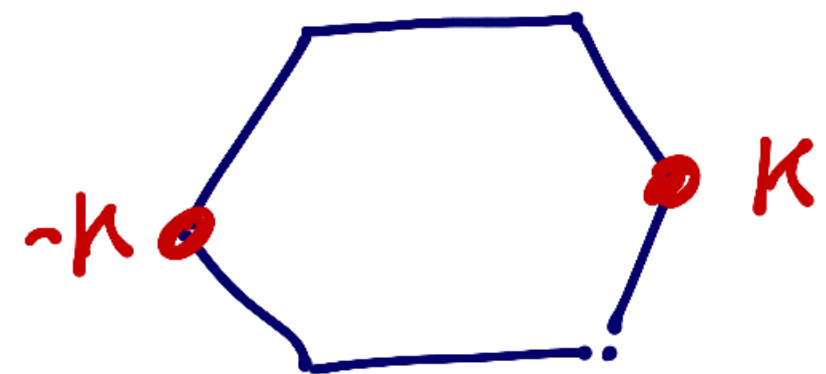
$$H_k = \vec{d}_k \cdot \vec{\sigma}$$

$$d_x = -t (\cos k \cdot a_1 + \cos k \cdot a_2 + \cos k \cdot a_3)$$

$$d_y = -t (\sin k \cdot a_1 + \sin k \cdot a_2 + \sin k \cdot a_3)$$

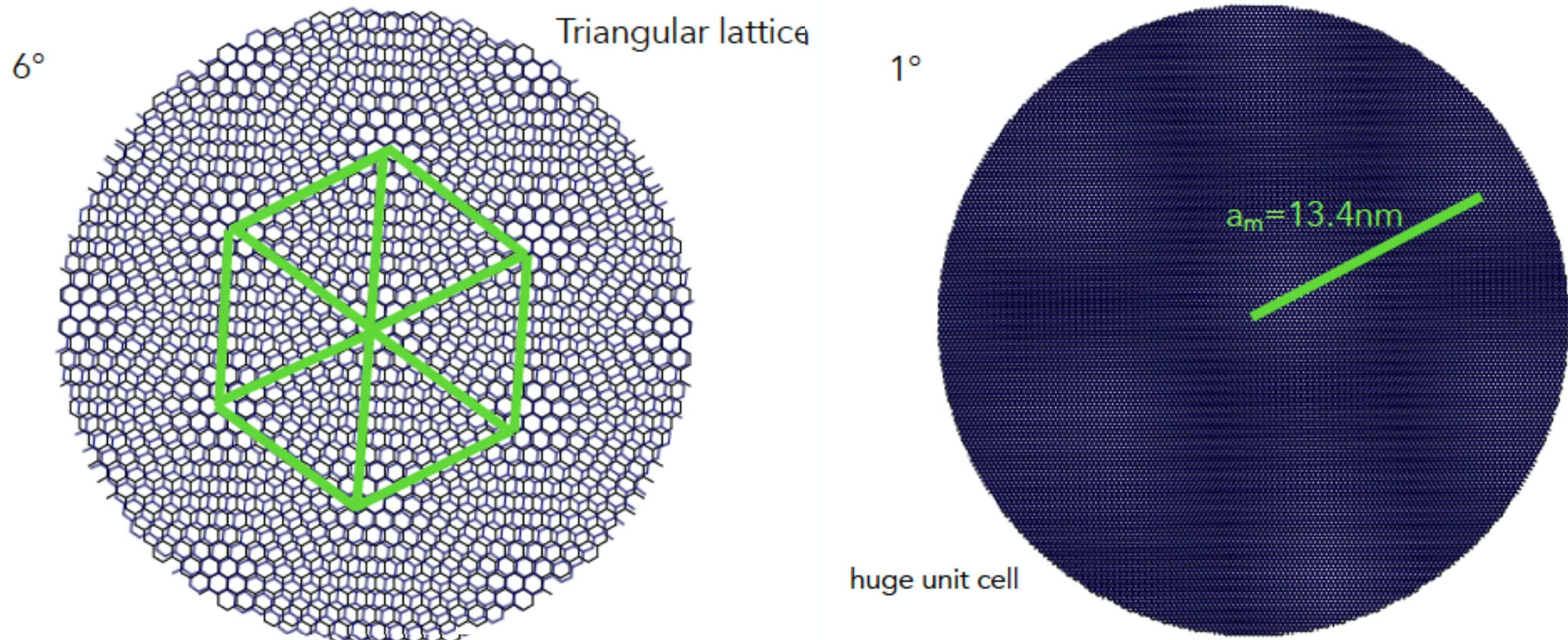
$$d_z = 0$$

$$\{\sigma_z, H_k\} = 0$$

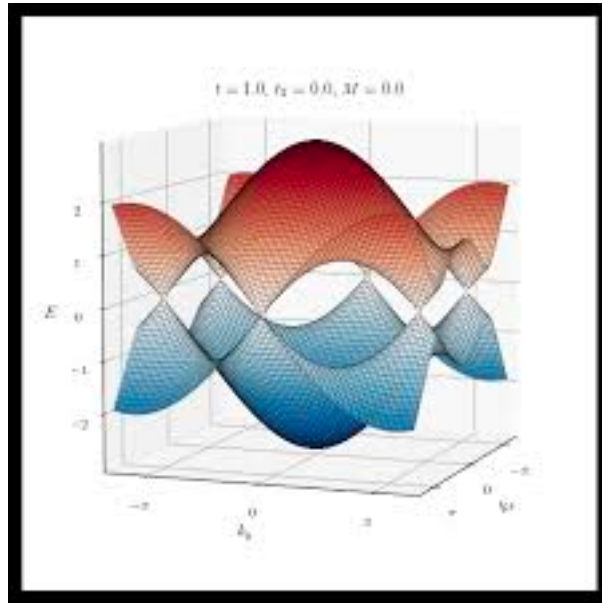


“Chiral Symmetry”

Visualizing Twisted Bilayer Graphene



Graphene and Dirac Points



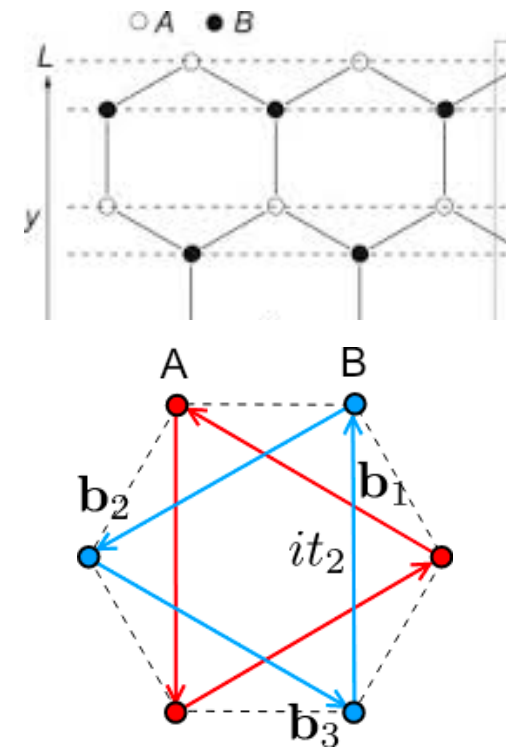
Three ways to remove Dirac Cones:

1. Break P ($r \rightarrow -r$) symmetry - staggered potential (B-N)

$$\Delta H = m\sigma_z$$

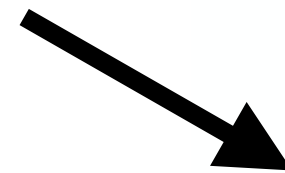
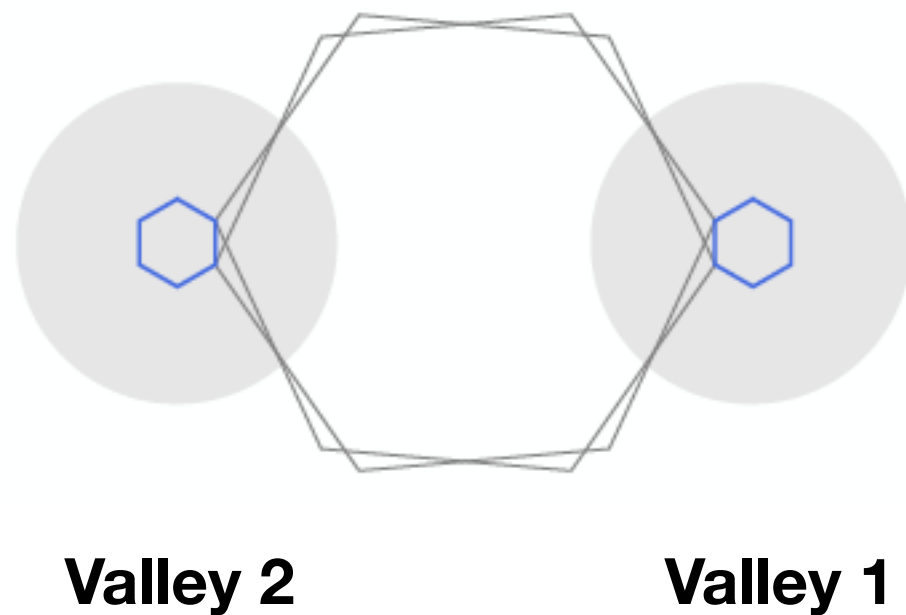
2. Break T symmetry - Haldane term

3. Break C3 rotation and annihilate Dirac points (needs finite strength)



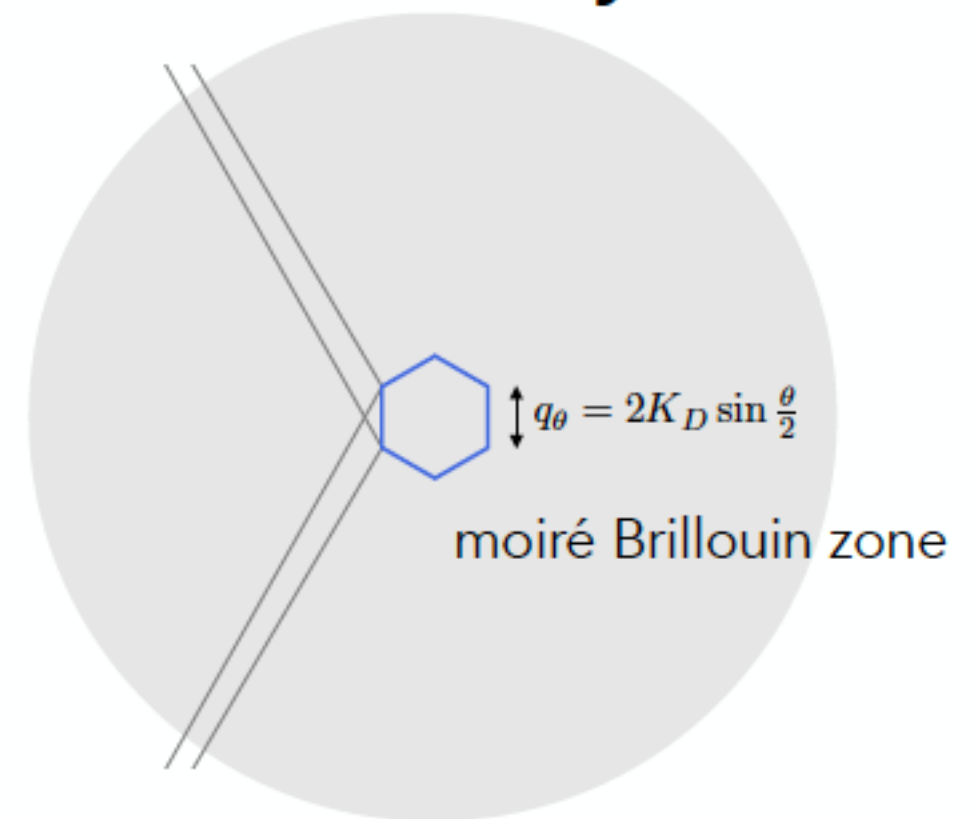
Twisted Bilayer Graphene Symmetries

Continuum Approximation - each layer Dirac points.



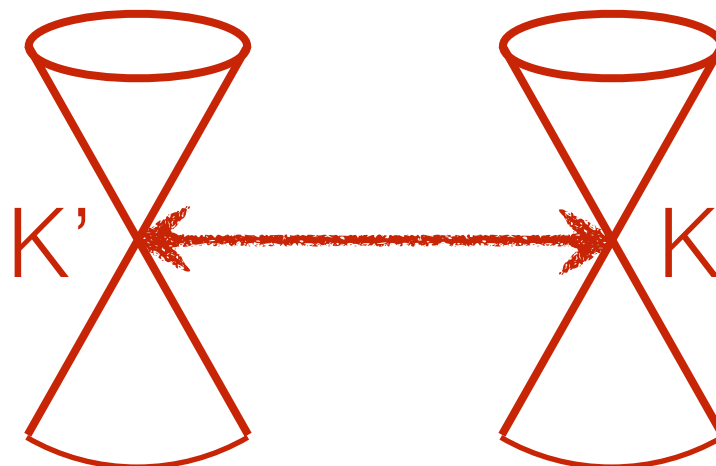
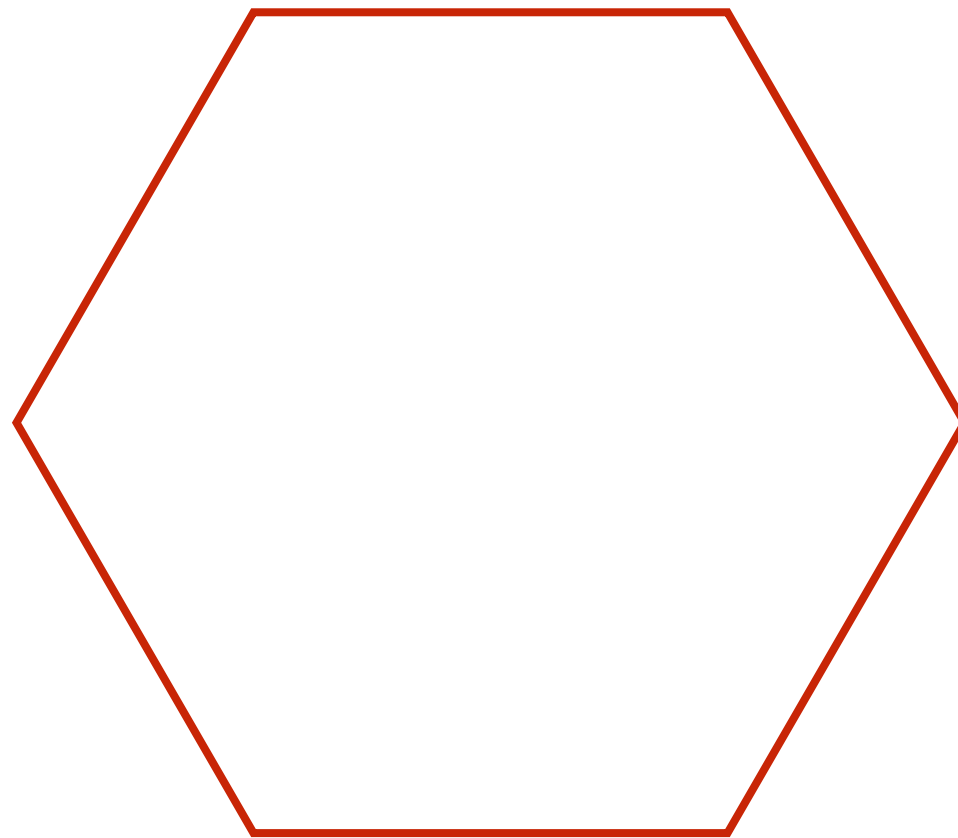
1°

One valley



“Magic”

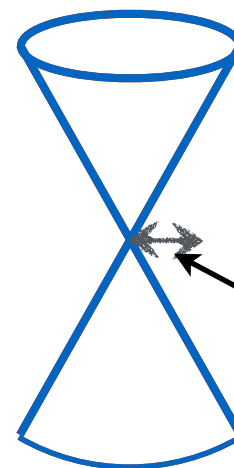
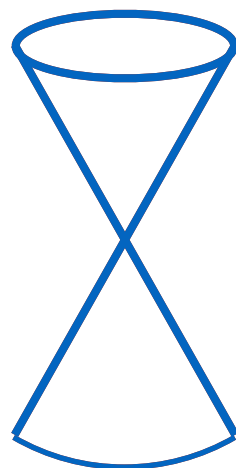
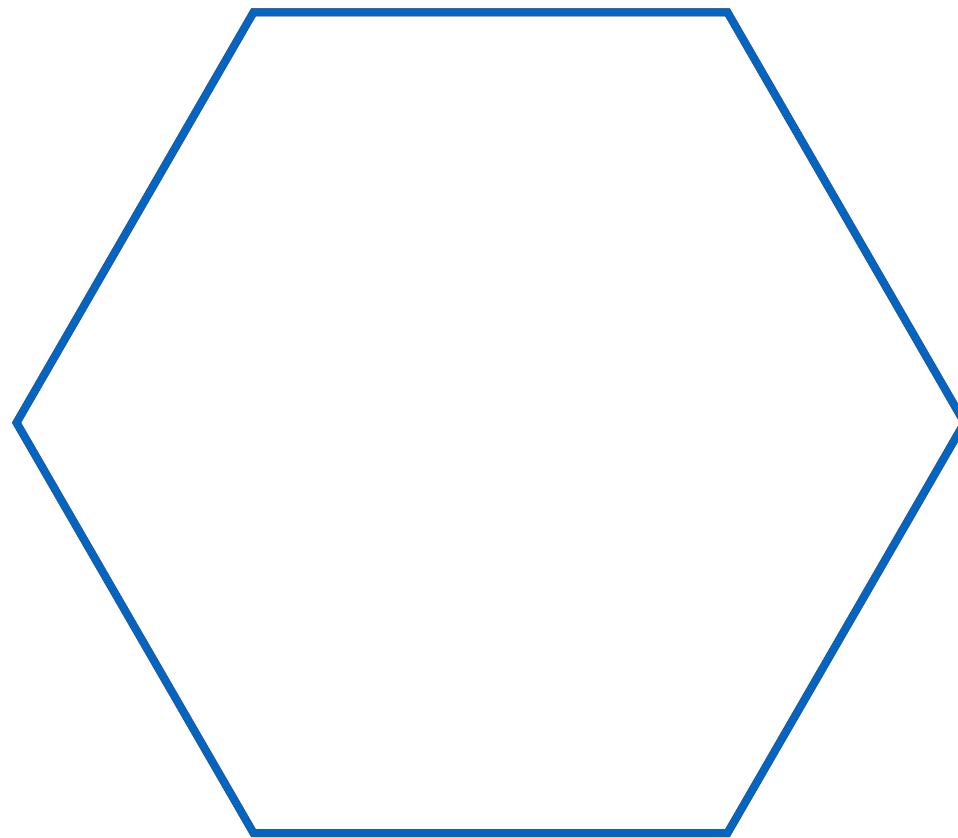
Monolayer Brillouin zone



$$\hbar v_F \frac{2\pi}{a} \simeq 16\text{eV}$$

“Magic”

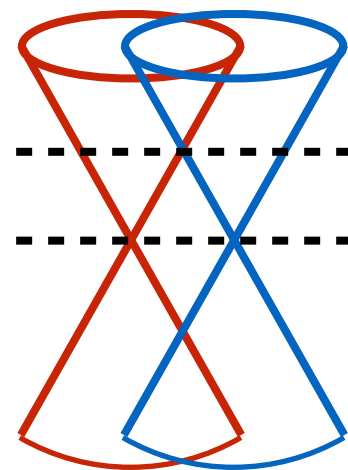
Twisted bilayer Brillouin zone



$$\sim \frac{2\pi}{a}\theta$$

“Magic”

- Coupling between **top** and **bottom** layers: ~ 0.3 eV
- Dirac energy scale:

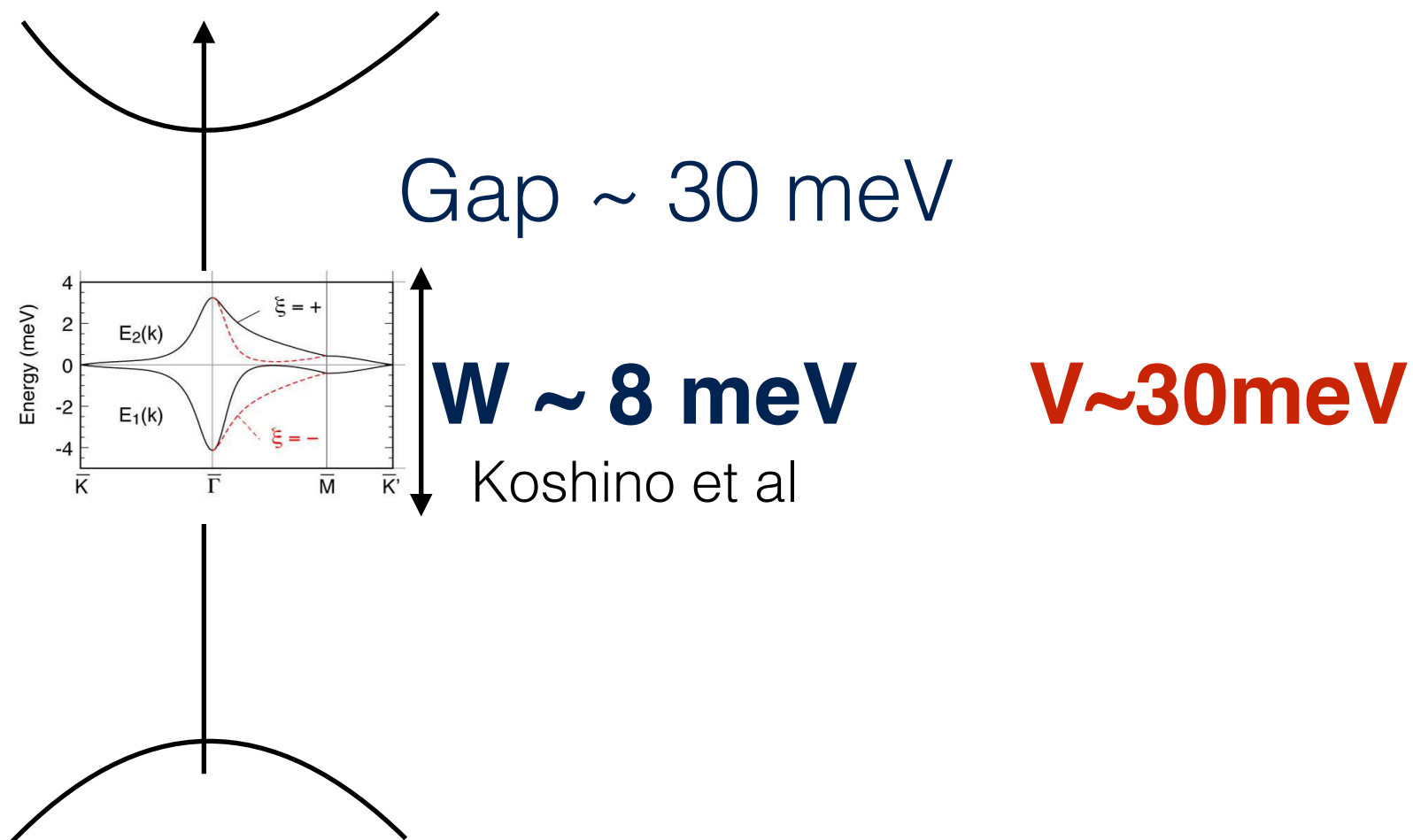

$$\sim \hbar v_F \frac{2\pi}{a} \theta = 16 \theta \text{ (eV)}$$

- For the other layer to matter...

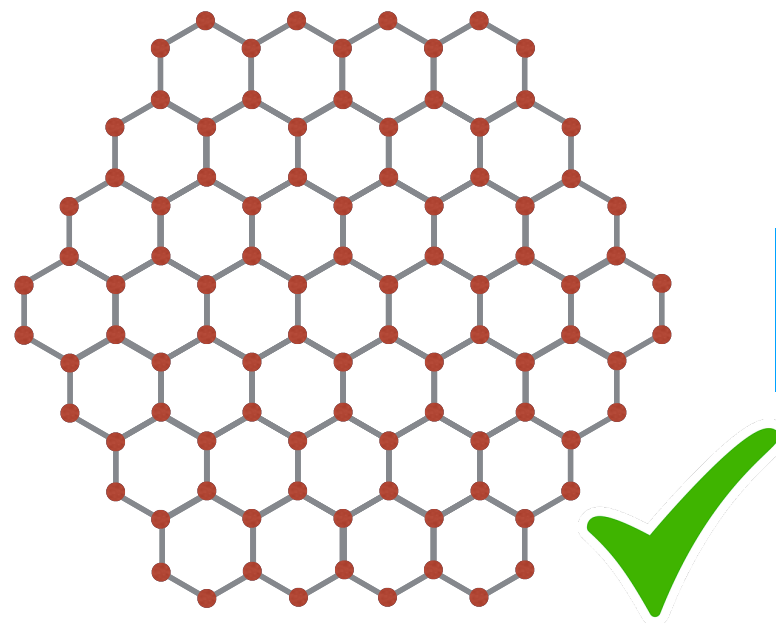
$$\theta \simeq \frac{0.3}{16} \text{ rad.} = 1.07^\circ$$

Band Structure of Twisted Bilayer Graphene

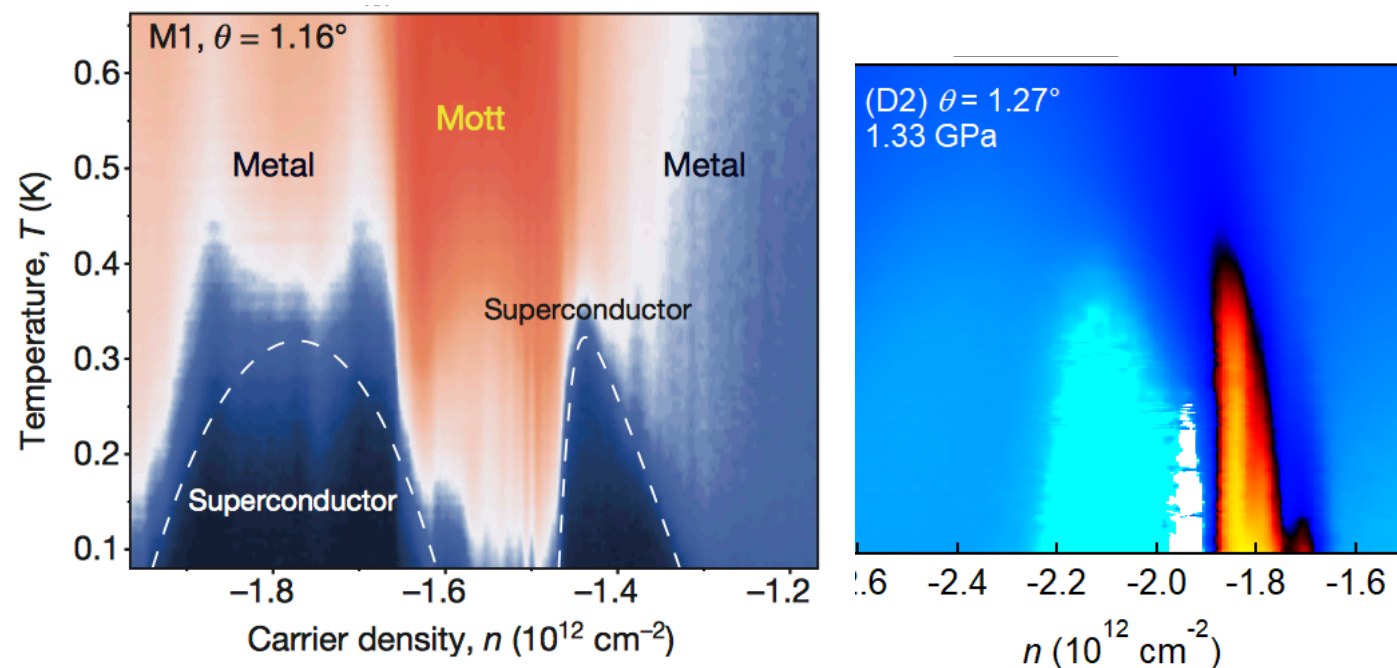
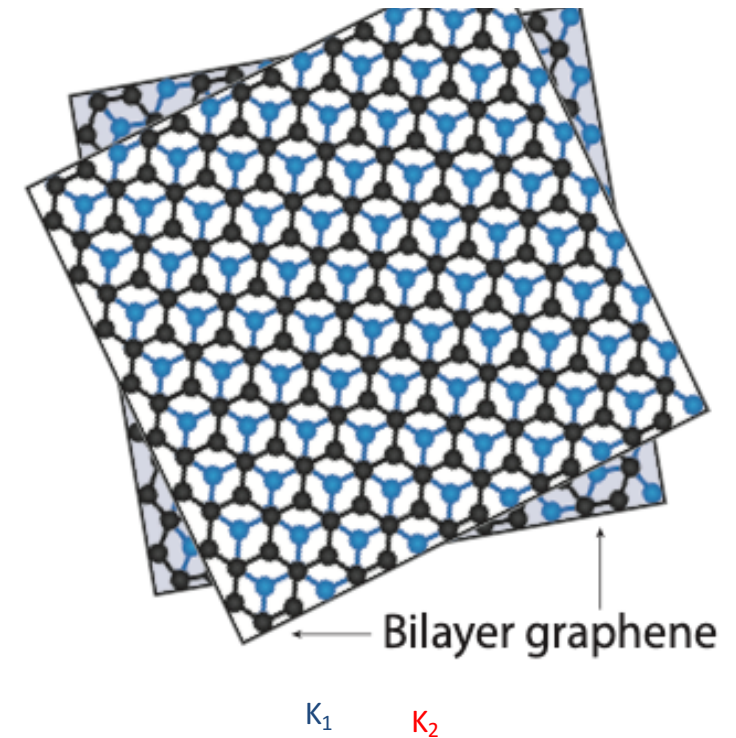
- Can produce a nearly flat band by carefully tuning the twist angle (Bistreitzer-MacDonald, Santos, Castro Neto et al.,).
- 'Magic Angle' - $\sim 1.05^\circ$
- Then, **interactions** can dominate over kinetic energy -



Moire Materials



C_2
Symmetry



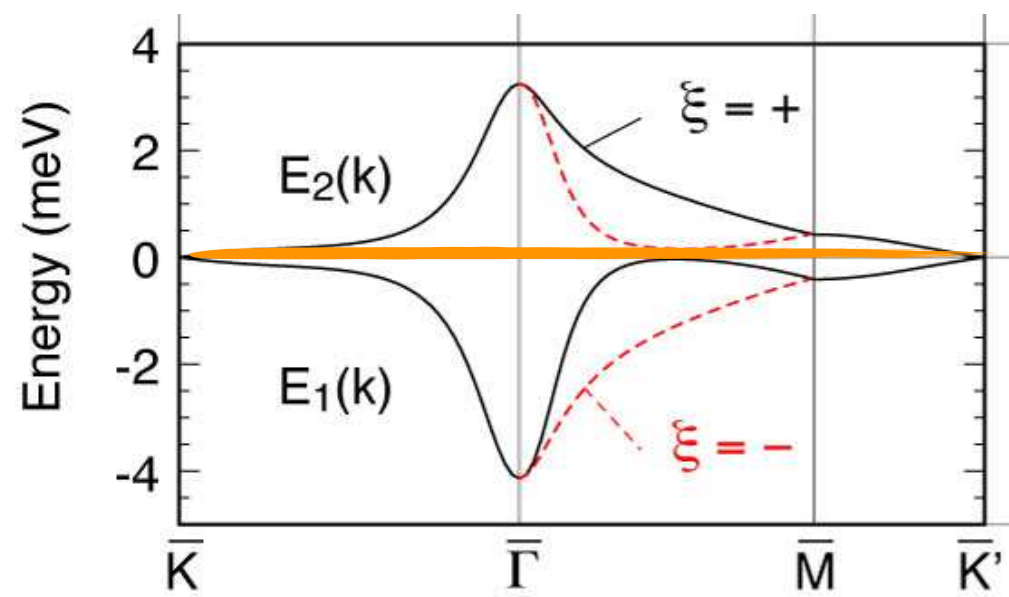
Cao, et al, Nature **556**, 43
(2018)

Yankowitz et al.
1808.07865
+ Dmitry Efetov group

Other Systems:

- (i) ABC Trilayer + hBN + D-Field
(Feng Wang Lab)
- (ii) Twisted Bilayer-Bilayer + D-Field
(Philip Kim Lab, Pablo H.J. Lab)
- (iii) Mono-Mono + hBN
(Goldhaber-Gordon Lab)

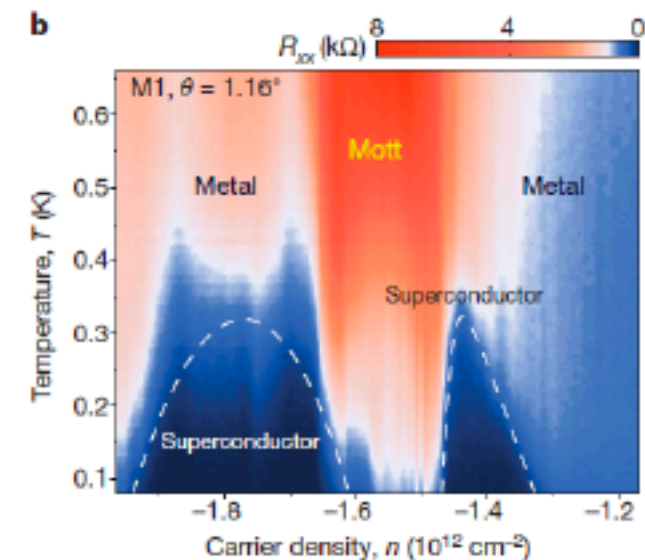
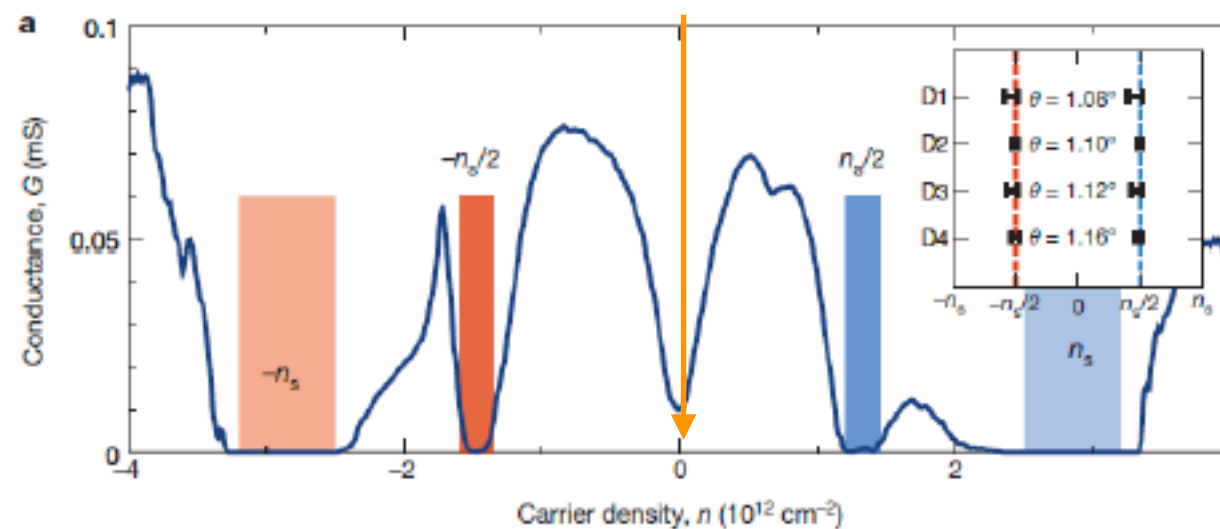
Review of Experiments



$$\nu = +4$$

Charge Neutrality

$$\nu = -4$$



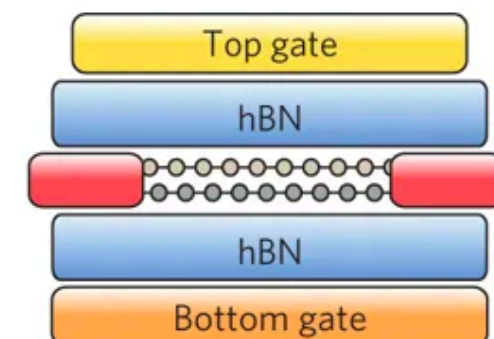
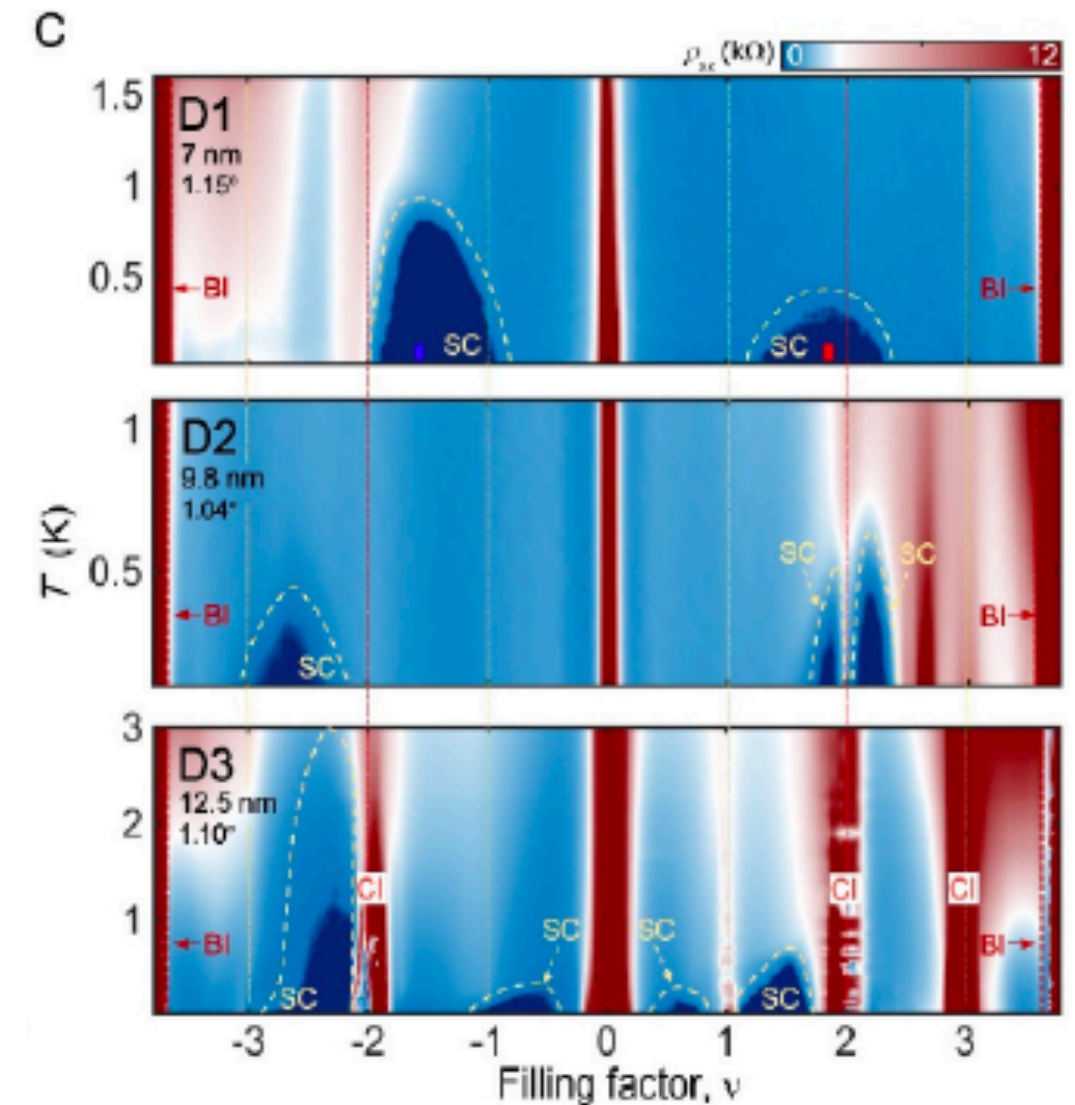
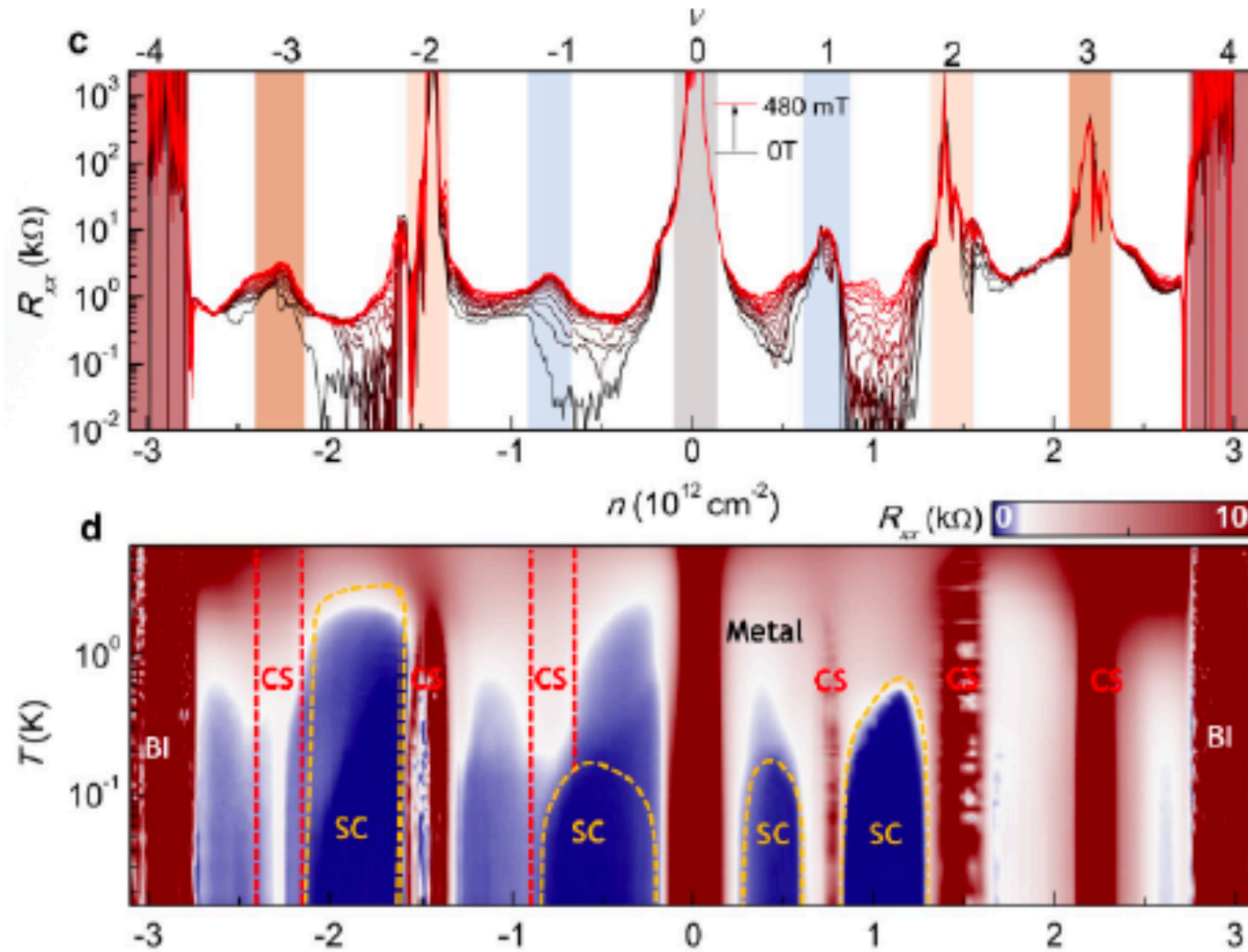
Pablo Jarillo-Herrero's group (MIT)

Cao *et al.* Nature 556, 80 (2018)

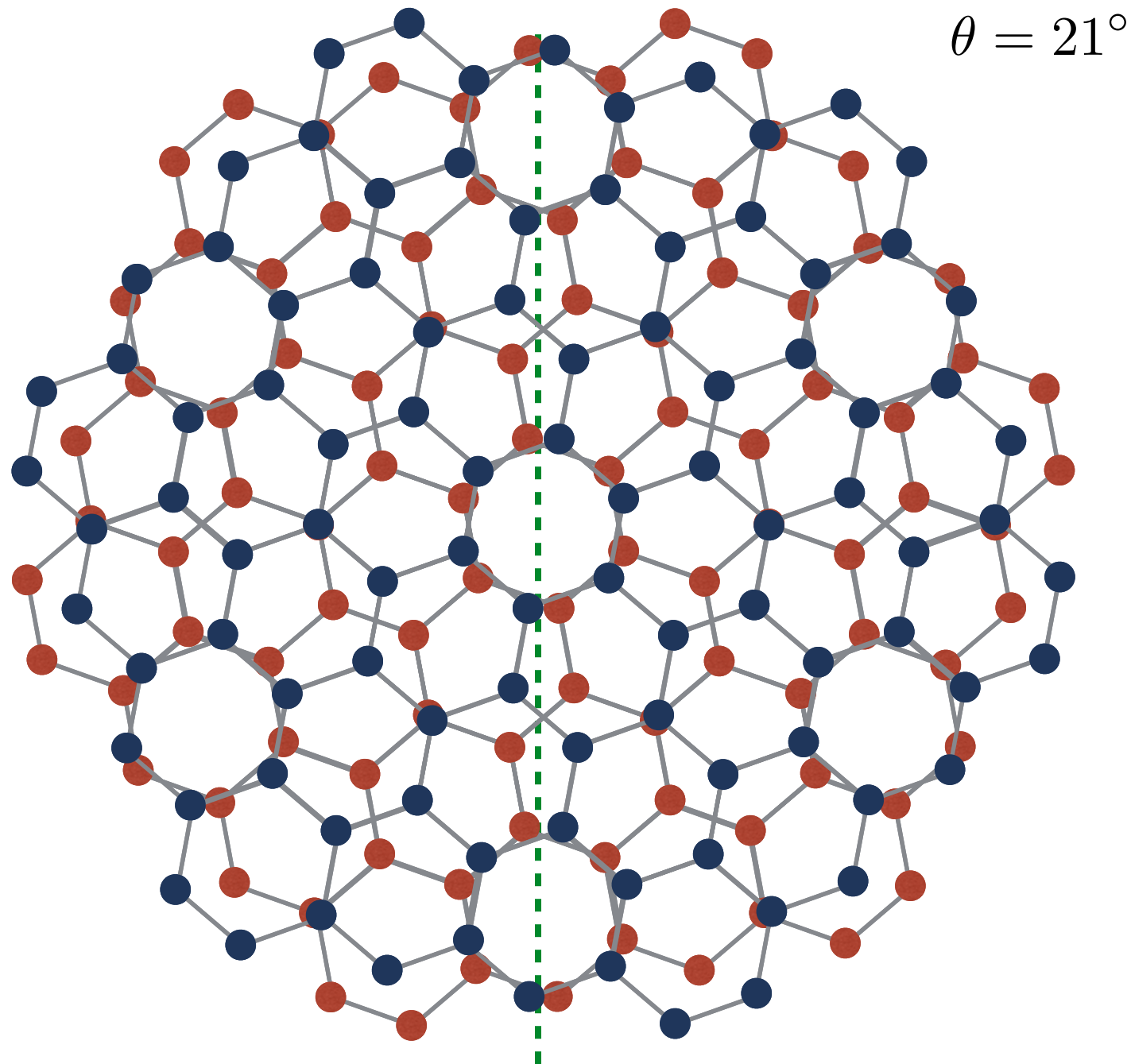
Cao *et al.* Nature 556, 43 (2018)

Review of Experiments

- More uniform samples: Lu *et al.* Nature 574, 653–657 (2019), Stepanos *et al.* arxiv:1911.09198 (2019)

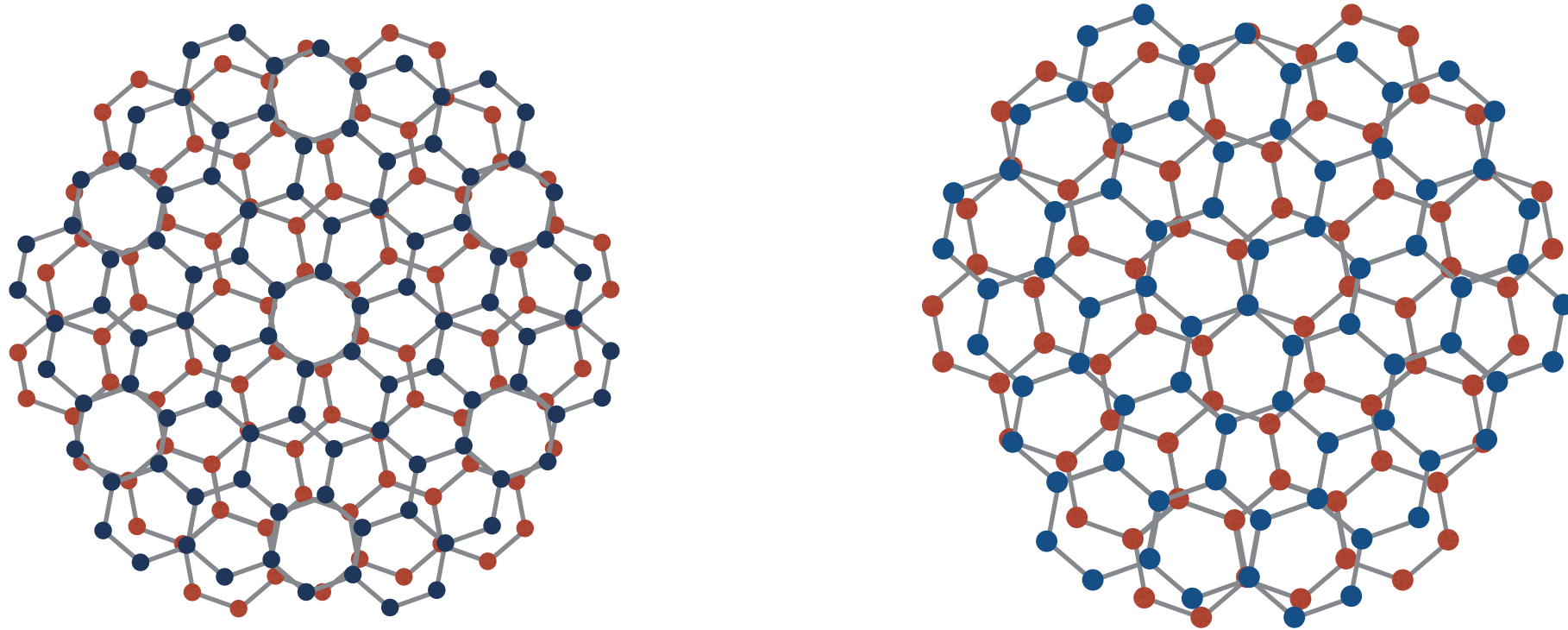


Symmetries of Twisted Bilayer Graphene



Symmetries: **C6** and Mirror **M_x** (interchanges layers) = **D6**

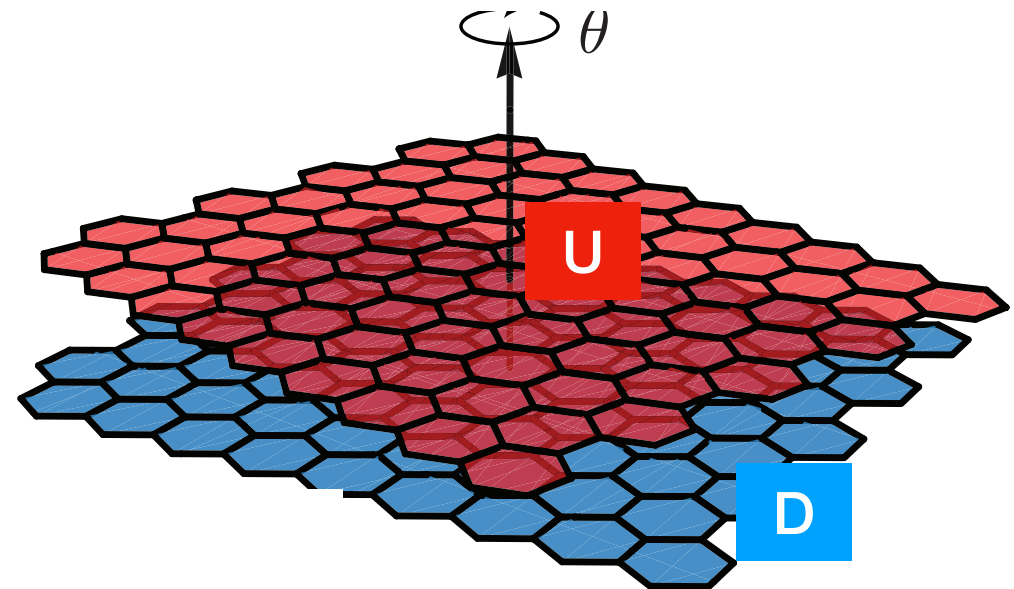
Symmetries of Twisted Bilayer Graphene



- Left structure has D6. Right has D3. Even less symmetry possible.
- However at *small angles* distinction is irrelevant - emergent C6 symmetry. Valley U(1) symmetry.
- Commensurate vs incommensurate also irrelevant.

The Continuum Model

Two layers of graphene with
a relative twist

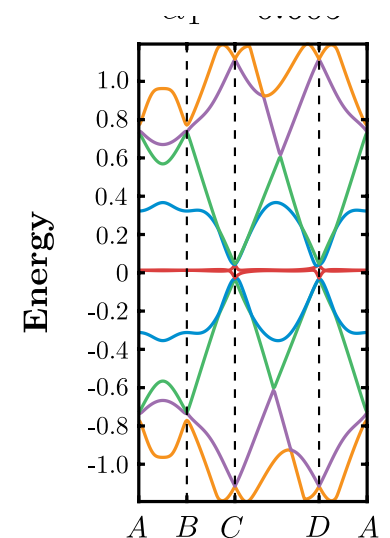


$$H = \begin{pmatrix} -iv_0 \boldsymbol{\sigma}_{\theta/2} \nabla & T(\mathbf{r}) \\ T^\dagger(\mathbf{r}) & -iv_0 \boldsymbol{\sigma}_{-\theta/2} \nabla \end{pmatrix} T(\mathbf{r}) = \begin{pmatrix} w_0 U_0(\mathbf{r}) & w_1 U(\mathbf{r}) \\ w_1 U^*(-\mathbf{r}) & w_0 U_0(\mathbf{r}) \end{pmatrix} \begin{matrix} \text{A} \\ \text{B} \end{matrix}$$

$$\alpha = \frac{w_{0,1}}{2v_F k_D \sin \theta/2}$$

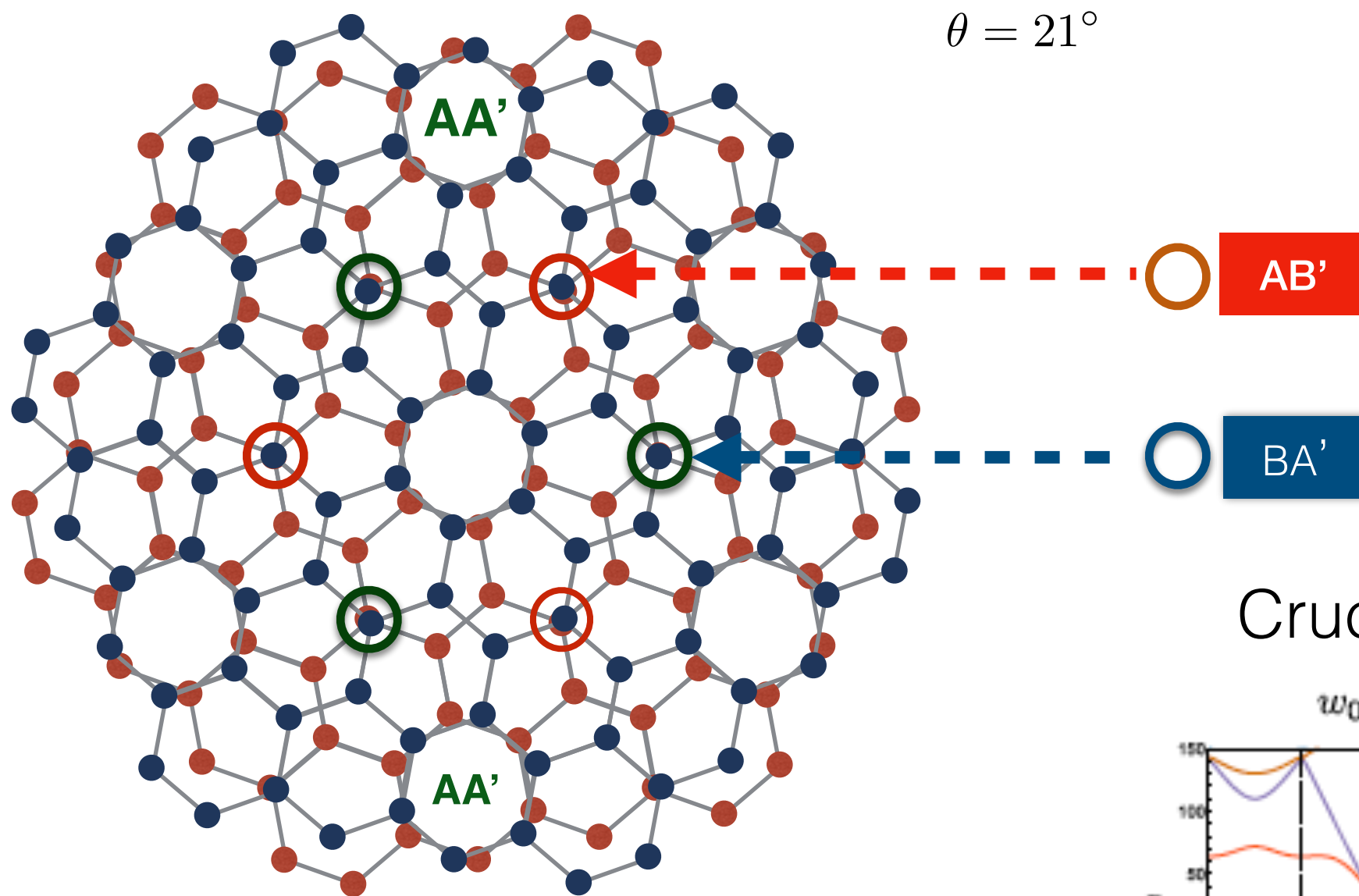
Dimensionless ratio $\alpha \sim 0.6$ “Magic angle”

Bistritzer-MacDonald



Importance of Lattice Relaxation

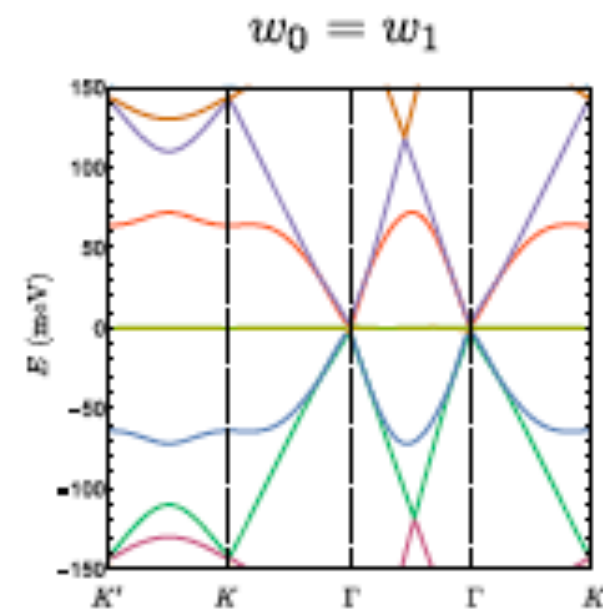
Lattice Relaxation reduces AA coupling vs AB coupling



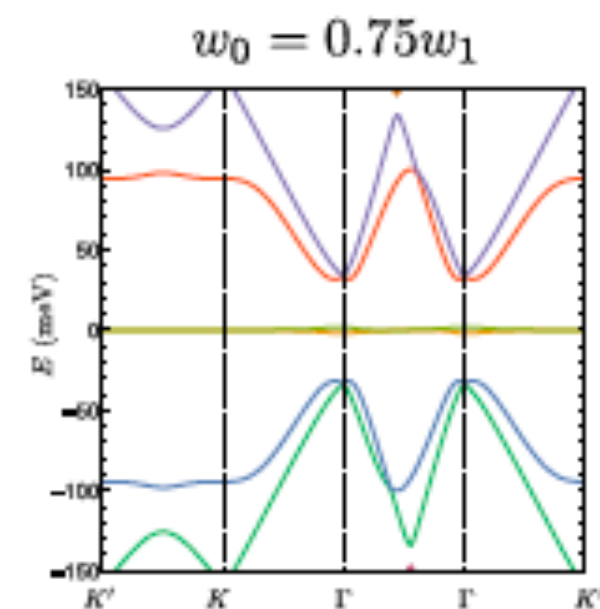
Crucial to obtaining gaps.

$$T(\mathbf{r}) = \begin{pmatrix} \downarrow w_0 U_0(\mathbf{r}) & w_1 U(\mathbf{r}) \\ w_1 U^*(-\mathbf{r}) & w_0 U_0(\mathbf{r}) \downarrow \end{pmatrix}$$

Carr 19, Nam and Koshino 18)



BM Model



Relaxed Model

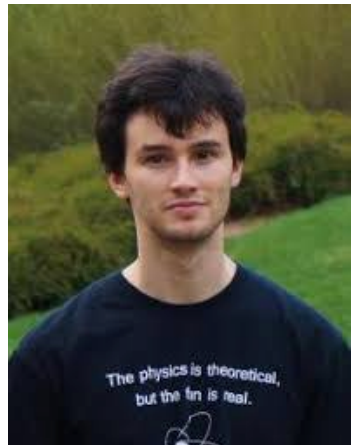
Chiral Model

Tarnopolski, Kruchkov, AV PRL 2019

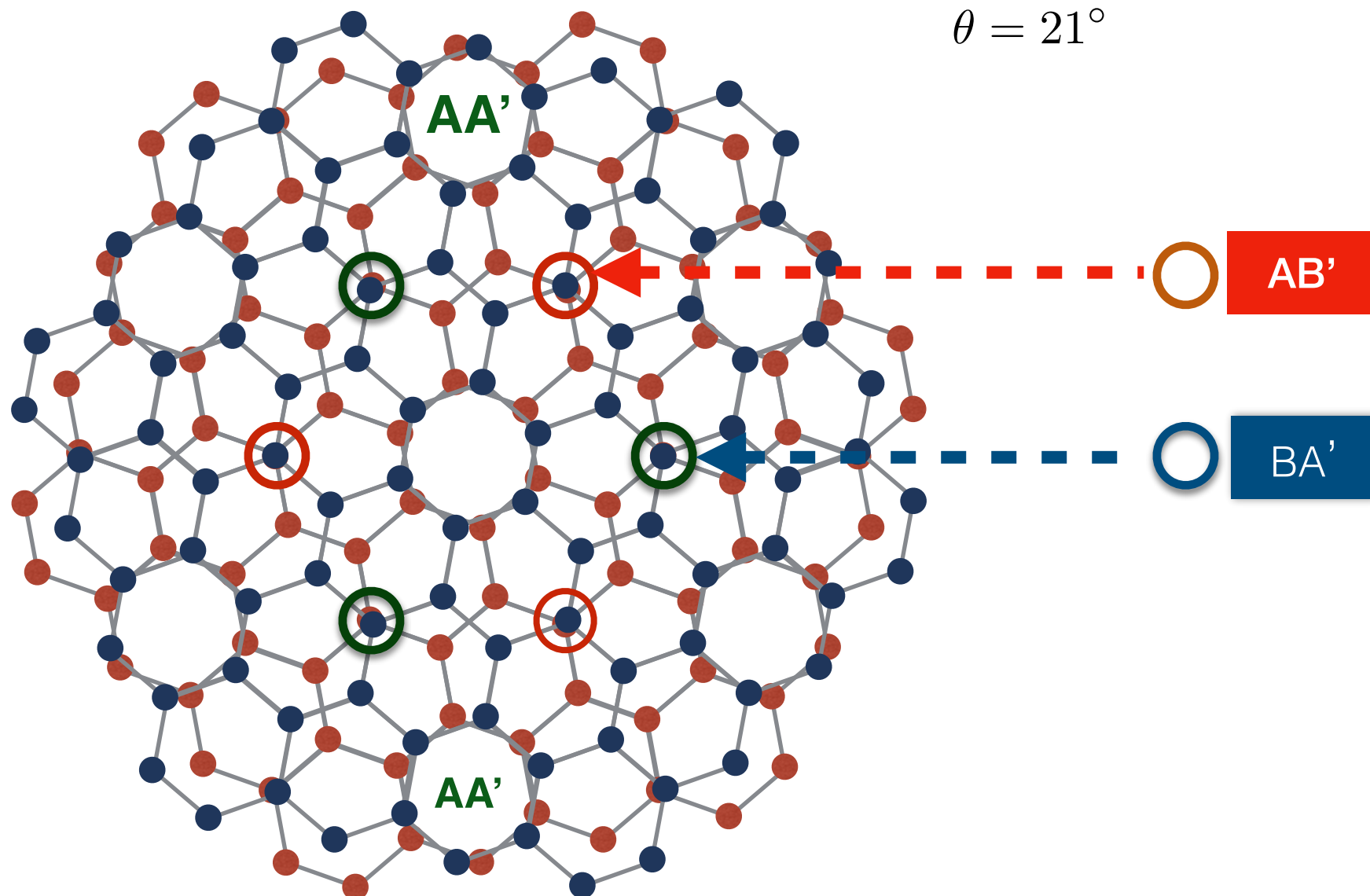
Switch off AA coupling. Only AB coupling

$$T(\mathbf{r}) = \begin{pmatrix} w_0 \cancel{J_0(\mathbf{r})} & w_1 U(\mathbf{r}) \\ w_1 U^*(-\mathbf{r}) & w_0 \cancel{J_0(\mathbf{r})} \end{pmatrix}$$

$$\theta = 21^\circ$$



Grisha Tarnopolski
Harvard



Chiral Symmetry

$$\{\sigma_z \otimes 1, \mathcal{H}\} = 0$$

Chiral Model

Tarnopolski, Kruchkov, AV PRL 2019

Switch off AA coupling. Only AB coupling

$$\mathcal{H} = \begin{pmatrix} \overset{\text{A}}{0} & \overset{\text{B}}{\mathcal{D}^*(-\mathbf{r})} \\ \mathcal{D}(\mathbf{r}) & 0 \end{pmatrix}, \quad \mathcal{D}(\mathbf{r}) = \begin{pmatrix} \overset{\text{U}}{-2i\bar{\partial}} & \overset{\text{D}}{\alpha U(\mathbf{r})} \\ \alpha U(-\mathbf{r}) & \overset{\text{D}}{-2i\bar{\partial}} \end{pmatrix} \begin{matrix} \overset{\text{U}}{} \\ \overset{\text{D}}{} \end{matrix}$$

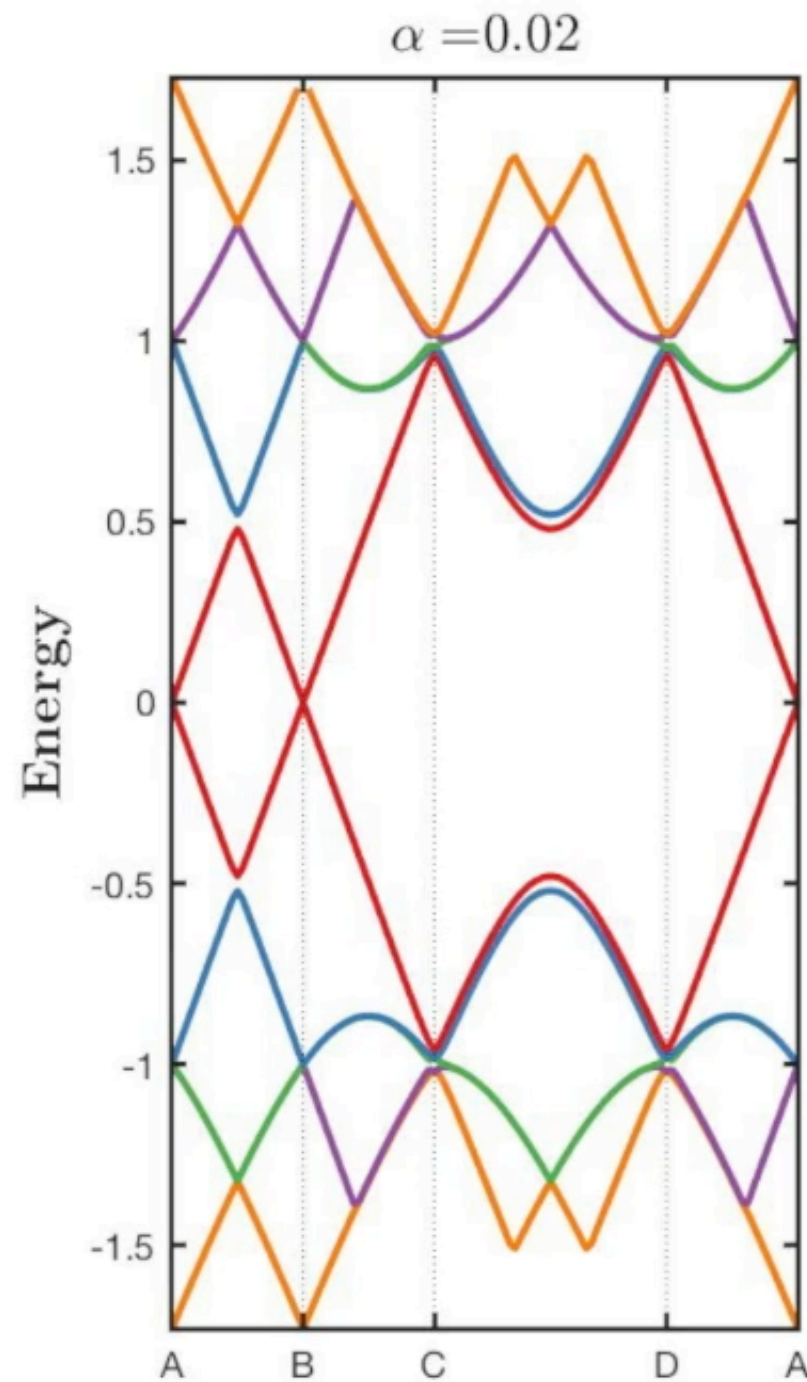
$$\bar{\partial} = \frac{1}{2}(\partial_x + i\partial_y) \quad \alpha = w_1 / (2v_0 k_D \sin(\theta/2))$$

Can be viewed as Dirac fermions in a non-abelian SU(2) gauge field

$$H = \vec{\sigma} \cdot \left(-i\vec{\nabla} - \vec{A}_a \tau^a \right) \leftarrow \text{Layer}$$

$$\vec{A} = \frac{U(r) + U(-r)}{2} \tau^x + i \frac{U(r) - U(-r)}{2} \tau^y$$

Perfectly Flat Bands in the Chiral Model

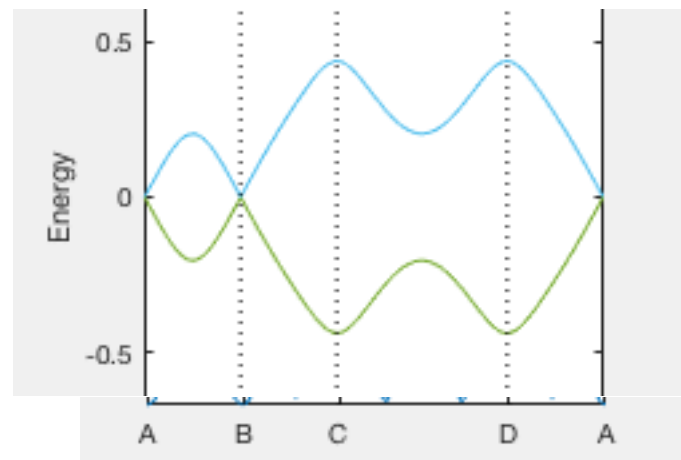


Exactly Flat Bands

Look for *exactly* zero energy states:

$$\mathcal{D}(r) \psi(r) = 0$$

For all angles there exists a zero-mode solution at K point $\mathcal{D}(\mathbf{r})\psi_K(\mathbf{r}) = 0$



$$\begin{pmatrix} -2i\bar{\partial} & \alpha U(\mathbf{r}) \\ \alpha U(-\mathbf{r}) & -2i\bar{\partial} \end{pmatrix} \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix} = 0$$

Generate new zero modes
- flat band?

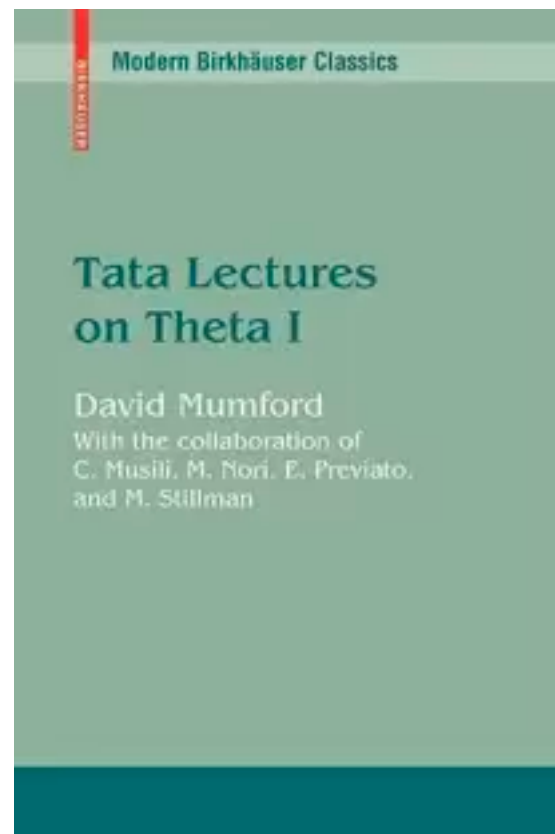
$$?? \begin{pmatrix} \psi_{k1} \\ \psi_{k2} \end{pmatrix} = f(z) \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix} ??$$

Theory of Exactly Flat Band

$$\begin{pmatrix} \psi_{k1} \\ \psi_{k2} \end{pmatrix} = f(z) \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix}$$

another Zero mode? -
but needs correct periodicity

$$f(z) \sim \frac{\theta_{a',b'}(z|\tau)}{\theta_{a,b}(z|\tau)}$$



Theta functions

$$\theta_{a=\frac{1}{2}, b=\frac{1}{2}}(z|\tau) = -\theta_1(z|\tau)$$

$$\vartheta_1(u|\tau) = -i \sum_{n=-\infty}^{\infty} (-1)^n e^{\pi i \tau (n+\frac{1}{2})^2 + \pi i (2n+1)u}$$

ROOTS

$$\theta_1(u) = 0 : \quad u = n + m\tau,$$

Theory of Exactly Flat Band

Theta functions

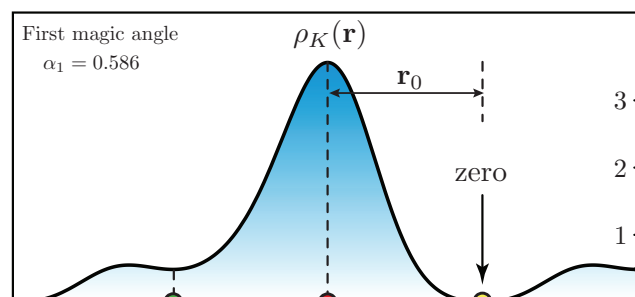
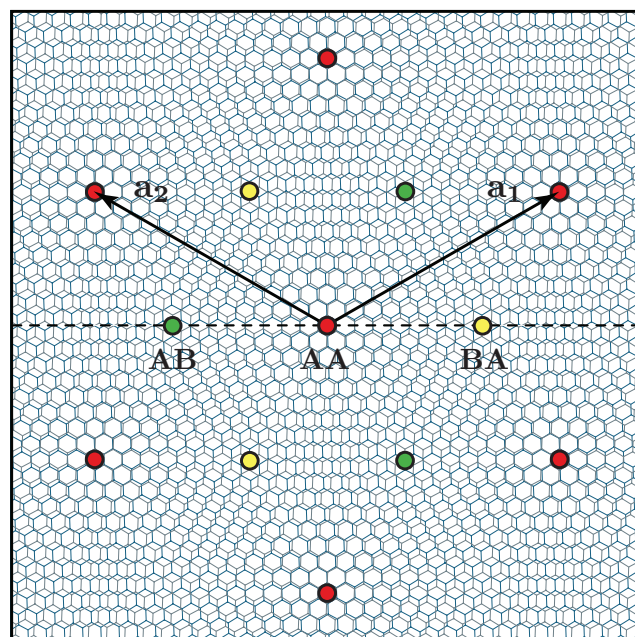
$$\begin{pmatrix} \psi_{k1} \\ \psi_{k2} \end{pmatrix} = f(z) \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix}$$

Ratio of theta functions gives correct periodicity

$$f(z) \sim \frac{\theta_{a',b'}(z|\tau)}{\theta_{a,b}(z|\tau)}$$

BUT requires wave-function zero
to cancel pole in denominator

At special (magic) angles,
the spinor wfn.
vanishes at **points** in the unit cell



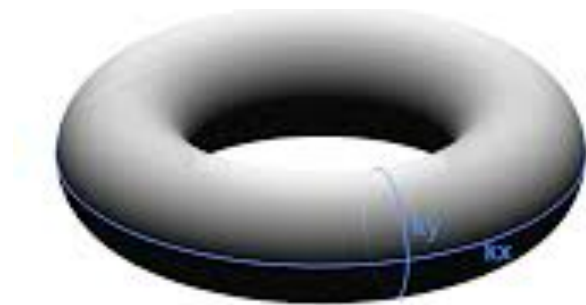
Flat band wave functions

$$u_{\mathbf{k}}(r) = e^{-2\pi i r_2 k / b_2} \frac{\vartheta_1 \left(\frac{z - z_0}{a_1} - \frac{k}{b_2} | \omega \right)}{\vartheta_1 \left(\frac{z - z_0}{a_1} | \omega \right)} \psi_K(\mathbf{r}).$$

Exactly Flat Bands and Landau Levels

Related to quantum Landau Level
Wins on Torus

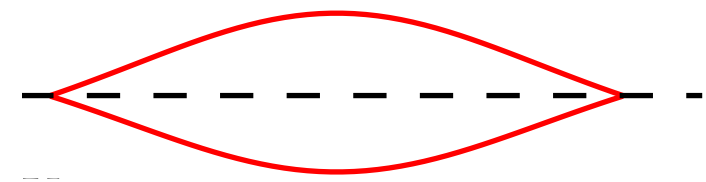
$$\psi_{\text{LLL}} = e^{i\pi\tau N_s y^2} f(z)$$



Sublattice polarization like
Graphene in B field
BUT
Here single valley

Chiral Zero Modes in TBG

$$\psi(\mathbf{r}) = \frac{f(z)}{\vartheta_1((z - z_0)/a_1|\omega)} \psi_K(\mathbf{r}),$$

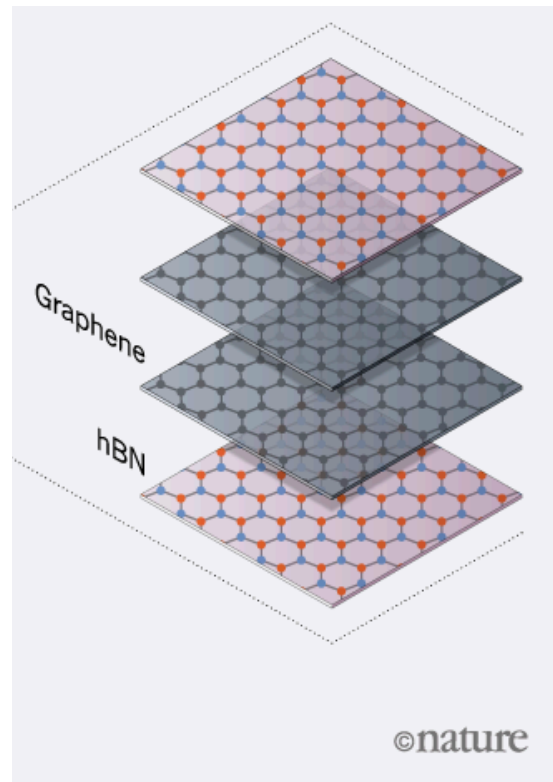


$$\frac{C = +1}{C = -1} \begin{matrix} \text{A} \\ \text{B} \end{matrix}$$

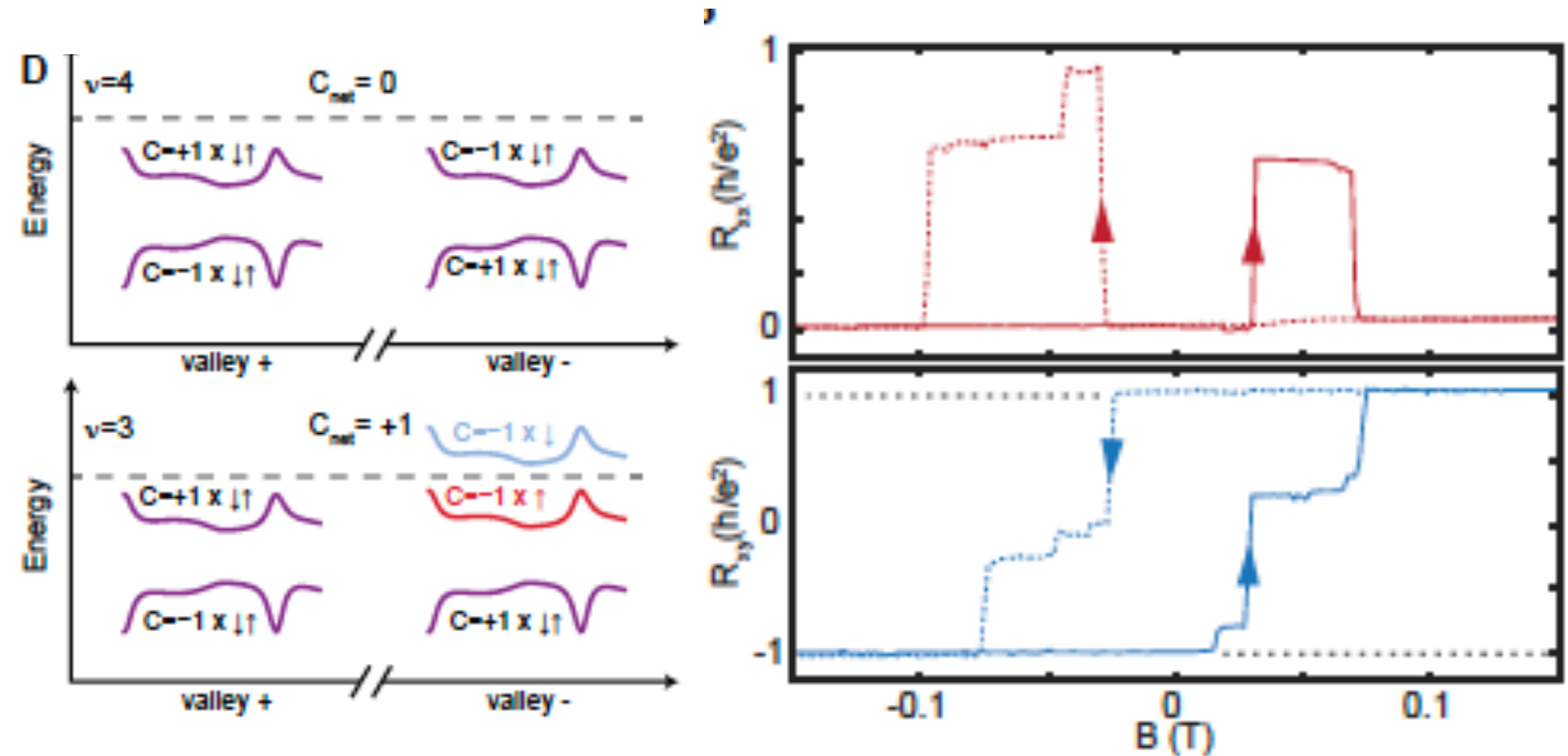
IDEA: add a sub lattice potential - should get Chern insulator
(if spin and valley are polarized and unit filling)

Intrinsic quantized anomalous Hall effect in a moiré heterostructure

M. Serlin,^{1,*} C. L. Tschirhart,^{1,*} H. Polshyn,^{1,*} Y. Zhang,¹ J. Zhu,¹ K. Watanabe,² T. Taniguchi,² L. Balents,³ and A. F. Young^{1,†}



Aligned h-BN substrate



Fractional Filling - Fractional Chern?

Quantum Metric of flat bands:

$$\eta(k) = \begin{pmatrix} 1 & i \\ -i & 1 \end{pmatrix} \Omega(k)$$

Fractional Chern Insulator States in Twisted Bilayer Graphene: An Analytical Approach

Patrick J. Ledwith, Grigory Tarnopolsky, Eslam Khalaf and Ashvin Vishwanath
 Department of Physics, Harvard University, Cambridge, MA 02138, USA
 (Dated: December 23, 2019)

Interaction Effects in Pristine Magic Angle Graphene

Two Paradigms for Correlated Electrons

- Interactions energy exceeds kinetic energy ($U > t$)

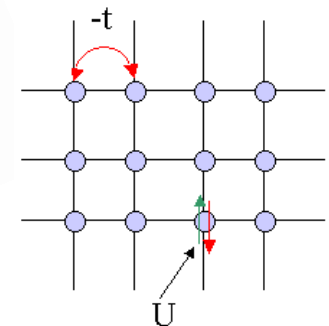
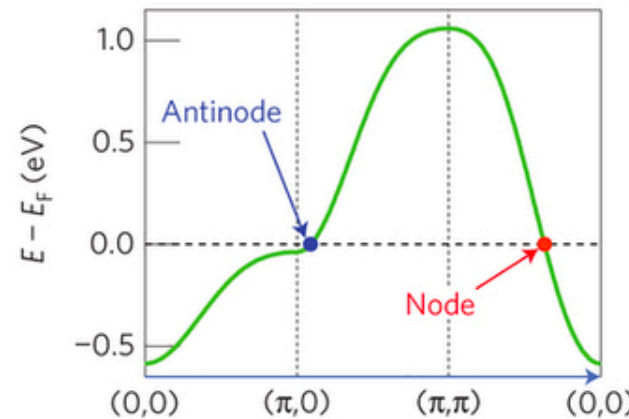
Quantum Hall



Landau Levels

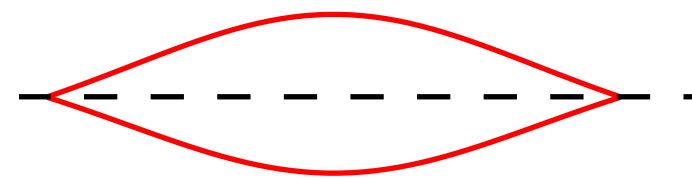
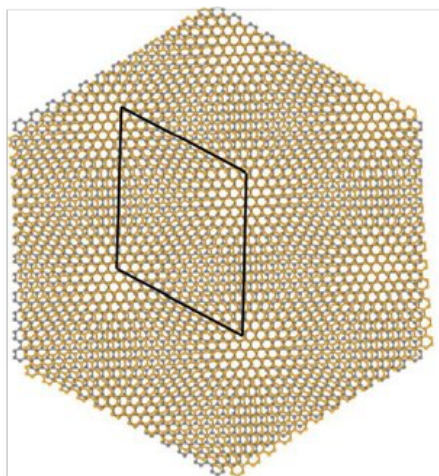
$$\psi_n = z^n e^{-\frac{|z|^2}{4}}$$

Correlated Solids eg. Cuprates



Wannier Functions

Hubbard Model



Magic angle graphene?

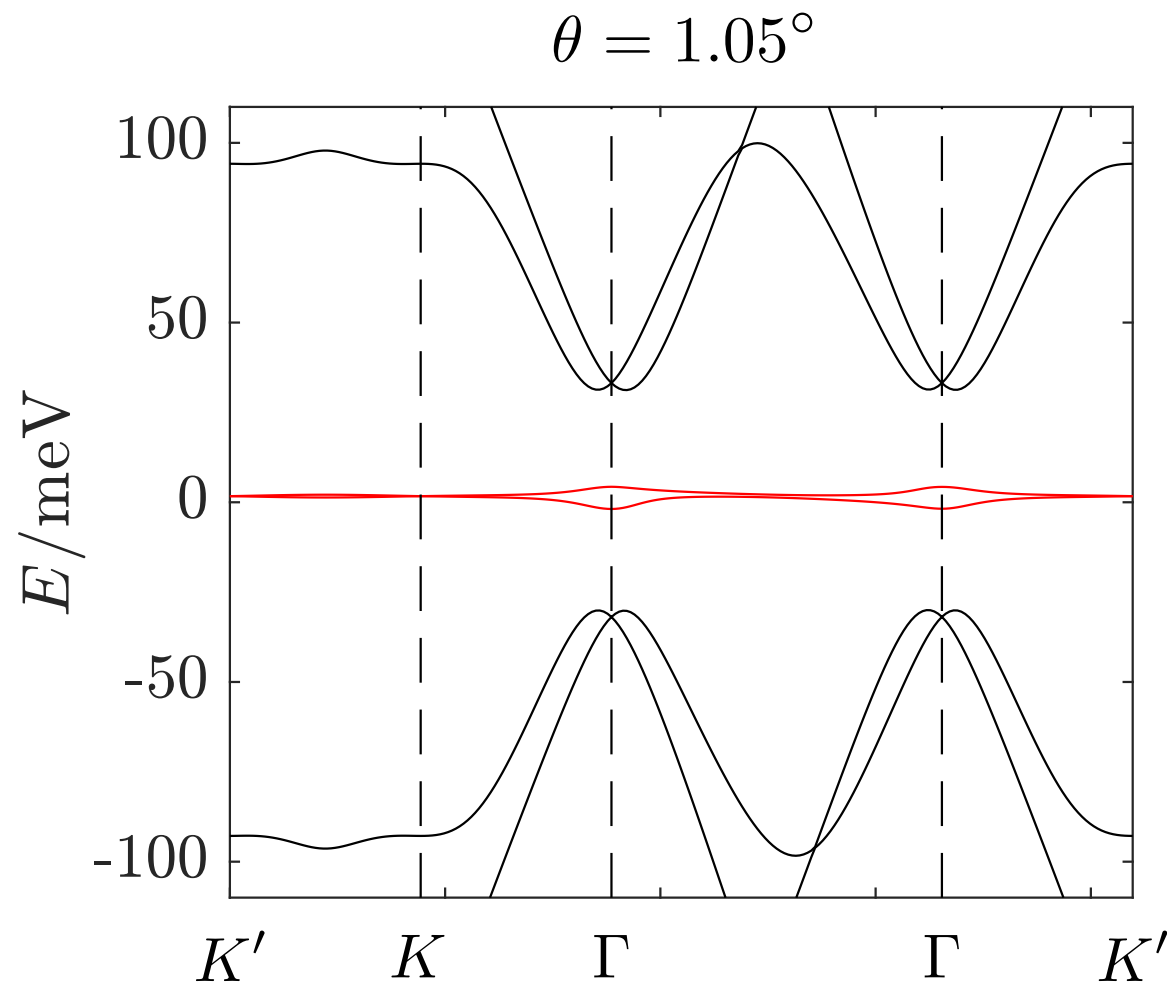
Topology:

- (i) Same chirality nodes
- (ii) Landau + usbns

BUT

admits an extended
Hubbard model

Magic Angle Twisted Bilayer Graphene @ CNP



Eslam Khalaf



Shang Liu

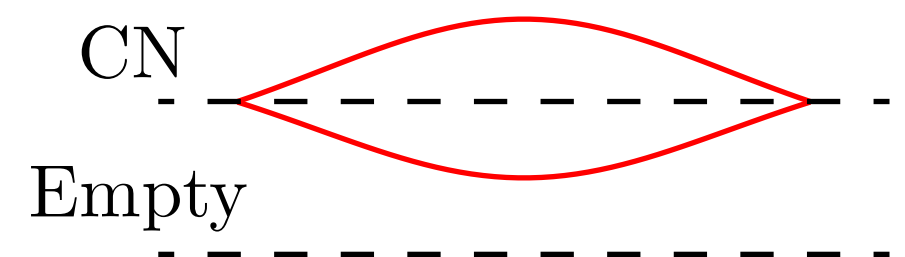
Liu, Khalaf, Lee, AV, 2019.

Bultinick, Khalaf, Liu, Chatterjee, AV, Zaletel

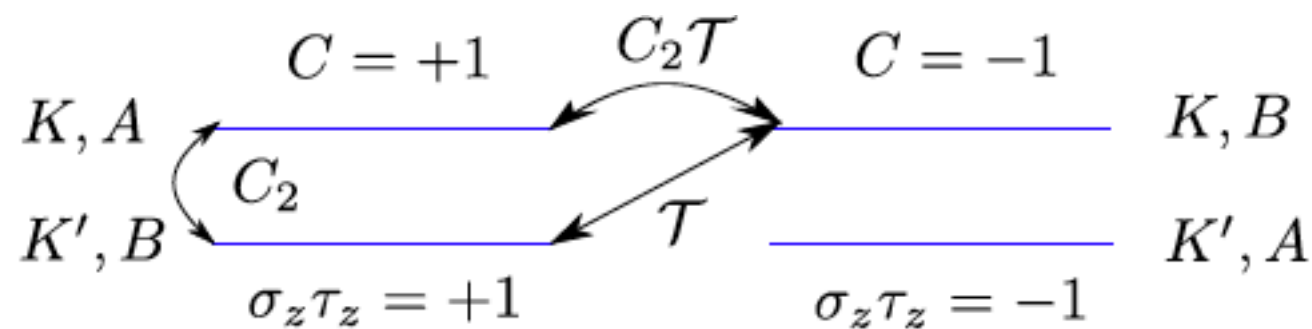
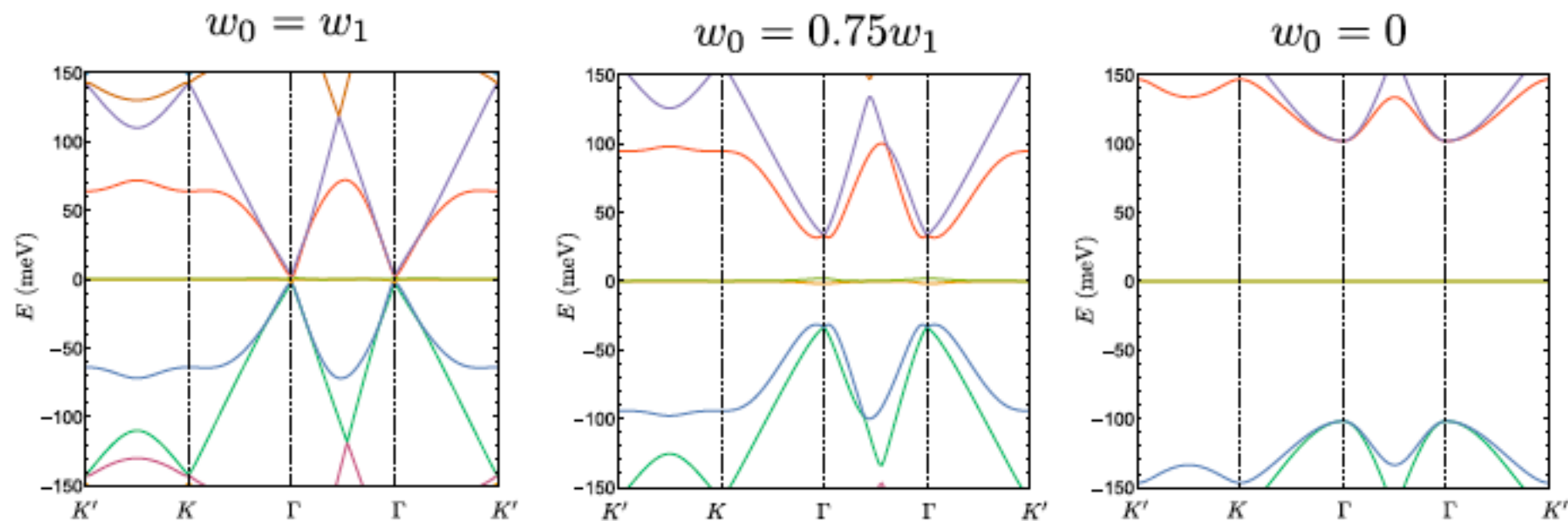
arXiv:1911.02045

- The C_2T symmetry protects the Dirac points (same chirality).
- Focus on the two flat bands at CNP. Experiments - insulator/

Bistritzer, MacDonald, 2011.
Po, Zou, AV, Senthil, 2018.



Simplified Model: Chiral Limit; Spinless Fermions



Fill two of the four states -but which two?
To be continued...

Conclusions

- 1 particle physics of twisted bilayer graphene is nontrivial, topology & symmetry important to model building.
 - Origin of flat bands - intriguing connection to topology.
- Nature of the Mott insulator and superconductor?
 - Opportunity to understand central questions in solid state physics - ferromagnetism vs anti ferromagnetism, novel superconductors ...

Ground State- “Kramers” IVC

