

## Lecture I: Giant Resonances (GR) – Introduction

Phenomenology, properties, main facts

Classification schemes

Theoretical description – concepts

What we (might) learn from GR's

History – milestones

Tools (reactions) for GR excitation

## Lecture II-IV: Giant Resonances – selected topics

Spin-flip resonances : Gamow-Teller puzzle

Isoscalar GMR and GDR : nuclear matter (in)compressibility

Multi-phonon Giant Resonances

Multipole Strength in (neutron-rich) 'exotic' nuclei

Pygmy resonances, soft modes

Symmetry energy

Neutronstar – neutronskin and pygmy

Outlook

# Outline

## I. exp. tools/reactions for GR studies

not discussed: experimental techniques

## II. selected topics / results (1990 -- 2000 -- 2010)

*Today:*

- *puzzle of missing Gamow-Teller strength*
- *Isoscalar Giant Monopole Resonance & isoscalar Giant Dipole Resonance and the nuclear (in)compressibility*

# I. tools/reactions for GR studies

remember :

We'd like to have probes being selective with regard to

multipolarity, spin- , and isospin-transfer  
( $\Delta L, \Delta S, \Delta T$ )

notice: strength distributions of various modes overlap in excitation energy to large extent,  
Only selective probes allow to disentangle !

## Microscopic classification of giant resonances

|              | $\Delta S = 0$<br>$\Delta T = 0$ | $\Delta S = 0$<br>$\Delta T = 1$   | $\Delta S = 1$<br>$\Delta T = 0$           | $\Delta S = 1$<br>$\Delta T = 1$                  |
|--------------|----------------------------------|------------------------------------|--|---|
| $L = 0$      |                                  | $\sum \tau_i$<br>IAS               |  | $\sum \bar{\sigma}_i \tau_i$<br>GTR               |
| Second order | $\sum r_i^2$<br>ISGMR            | $\sum r_i^2 \tau_i$<br>IVGMR       | $\sum r_i^2 \bar{\sigma}_i$<br>ISSMR       | $\sum r_i^2 \bar{\sigma}_i \tau_i$<br>IVSMR       |
| $L = 1$      |                                  | $\sum r_i Y_m^1 \tau_i$<br>IVGDR   | $\sum r_i Y_m^1 \bar{\sigma}_i$<br>ISSDR   | $\sum r_i Y_m^1 \bar{\sigma}_i \tau_i$<br>IVSDR   |
| Second order | $\sum r_i^3 Y_m^1$<br>ISGDR      |                                    |  |   |
| $L = 2$      | $\sum r_i^2 Y_m^2$<br>ISGQR      | $\sum r_i^2 Y_m^2 \tau_i$<br>IVGQR | $\sum r_i^2 Y_m^2 \bar{\sigma}_i$<br>ISSQR | $\sum r_i^2 Y_m^2 \bar{\sigma}_i \tau_i$<br>IVSQR |
| $L = 3$      | $\sum r_i^3 Y_m^3$<br>ISGOR      | $\sum r_i^3 Y_m^3 \tau_i$<br>IVGOR | $\sum r_i^3 Y_m^3 \bar{\sigma}_i$<br>ISSOR | $\sum r_i^3 Y_m^3 \bar{\sigma}_i \tau_i$<br>IVSOR |

# Selective Probes : Photoabsorption

*i.e., standard tool for ivGDR*

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Consider a 10 MeV gamma-ray

- as a wave: wavelenght  $\approx 100$  fm  
compare to nucl. radius  $\sim 10$  fm  
 $\implies$  nucleus essentially feels a dipole field
- as a photon: orbital angular momentum  $\leq 0.25 \hbar$   
but photon spin =  $1\hbar \implies$  dipole exc.  
and  $\Delta T = (0), 1$

$\implies$  ideal, i.e., very selective probe for ivGDR excitation  
and, moreover, since photon is a pure electromagnetic probe,  
the transition operator is well understood

# other electromagnetic probes: **VIRTUAL** Photons

Low-energy ( $< E_{c.b.}$ ) HI-Coul. Exc.  $\rightarrow$  rotational states, surface vibrations  
*see P. Reiter*

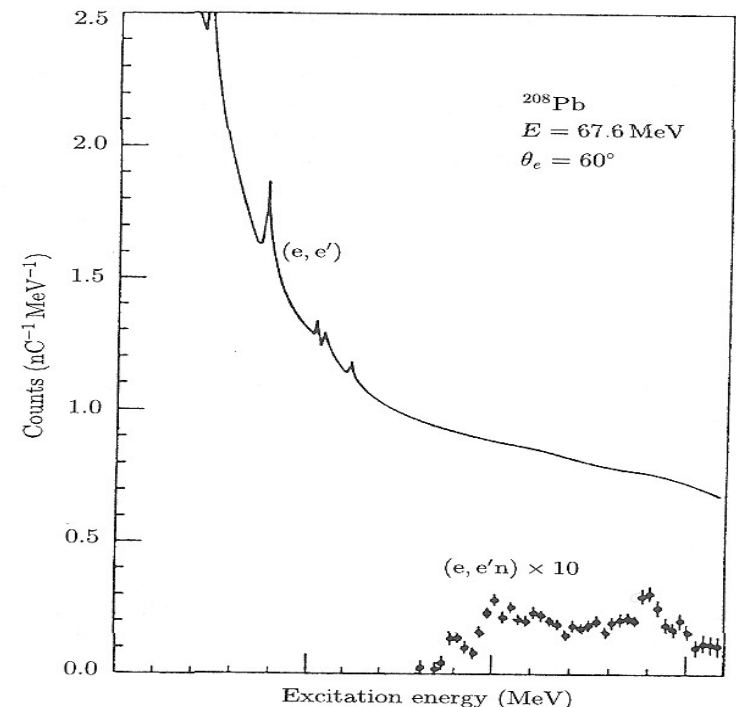
Relativistic Heavy-Ion Coulomb Excitation  $\Rightarrow$  (multiple) GDR  
*(see T. Aumann and below)*

## Inelastic Electron Scattering

- well-known electromagnetic interaction
- multi-step excitations negligible
- form factor  $F_L(q)$  depends strongly on  $L$  ( $q = \text{momentum transfer}$ )

## Disadvantages:

- bremsstrahlung radiation 'tail'  $\rightarrow$   
requires coincidence measurement
- not selective



# inelastic electron scattering

PWBA:

$$d\sigma / d\Omega = \sigma^{\text{Mott}} / \eta \cdot [ |F^{\text{C}}(\mathbf{q})|^2 + (\frac{1}{2} + \tan(\vartheta/2)) \cdot ( |F^{\text{E}}(\mathbf{q})|^2 + |F^{\text{M}}(\mathbf{q})|^2 ) ]$$

Charge  
*longitudinal*

Electric      Magnetic  
*transversal*

longitudinal form factor  $F^{\text{C}}(\mathbf{q})$

interaction of virtual photons longitudinally polarized  
with respect to momentum transfer  $\mathbf{q}$

transverse form factors  $F^{\text{E}}(\mathbf{q}), F^{\text{M}}(\mathbf{q})$

interaction of virtual photons polarized perpendicular to  $\mathbf{q}$

Rosenbluth separation method

Measurements at various angles and electron energies (keeping  $q$  constant)

Spin-flip transitions at backward scattering angles

( $\sim$  relativistic electron  $\Rightarrow$  spin  $\parallel$  momentum  $\Rightarrow$  helicity conservation)

# Selective probes: isoscalar non-spin-flip

## Inelastic $\alpha$ scattering

$T = 0 \Rightarrow$  isoscalar excitations  
(except Coulomb exc.)

$S = 0 \Rightarrow$  non-spin-flip

*i.e., for a  $J^\pi = 0^+$  g.s. nucleus :*

$$\mathbf{J}^\pi_{\text{final}} = \Delta\mathbf{L} \text{ with } \pi = (-1)^{\Delta L}$$

Note the strong  $\Delta L = 0$   
excitation at  $0^\circ$

$\Rightarrow$  isoscalar monopole  
resonance isGMR

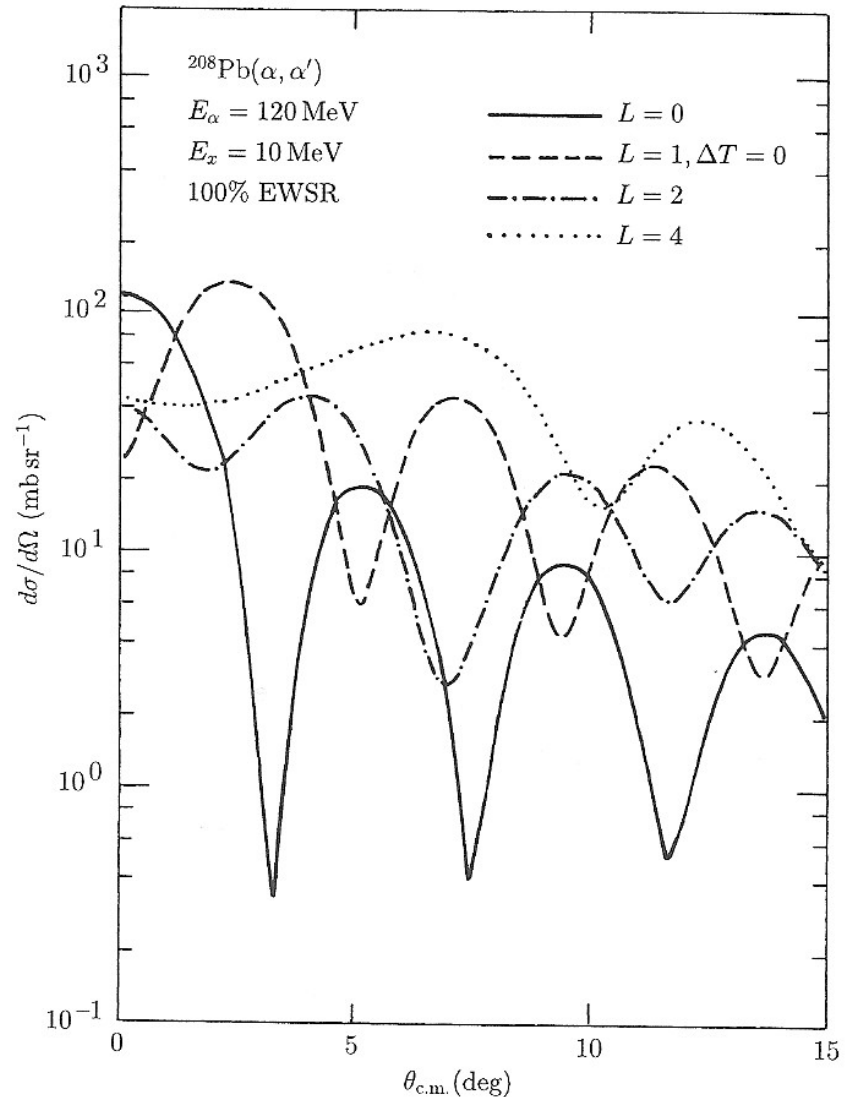


Fig. 3.1. DWBA predictions for the differential cross sections corresponding to various multiplicities exhausting 100% of the EWSR for  $^{208}\text{Pb}$  for inelastic  $\alpha$  scattering at 120 MeV



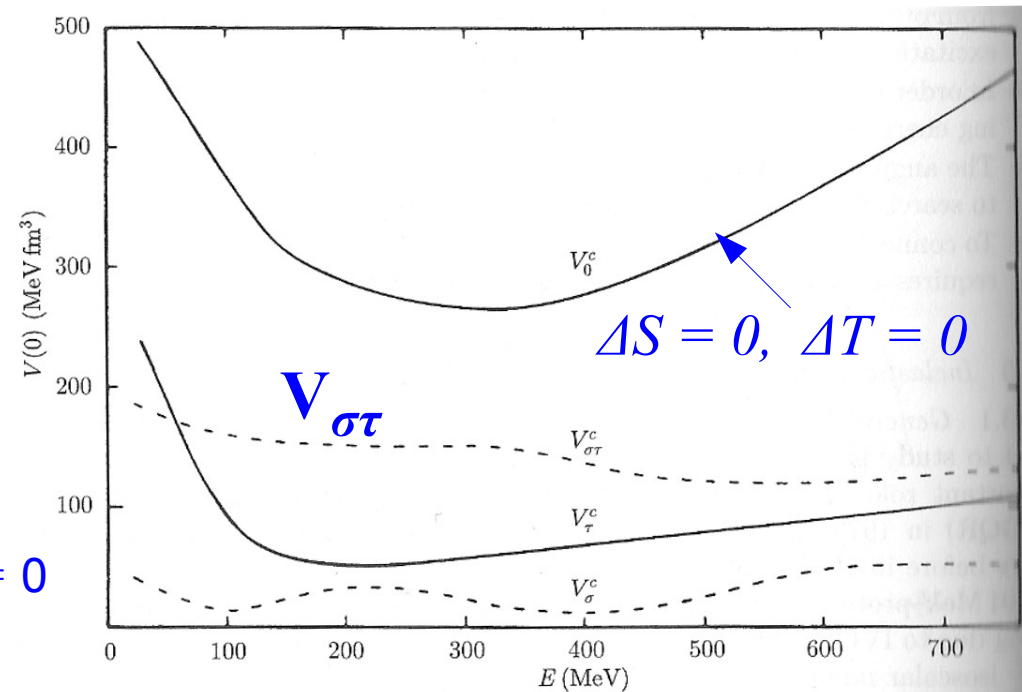
# strongly interacting probes

## Inelastic proton scattering (*discovery of isoscalar GQR*)

$S = 1/2$ ,  $T = 1/2 \Rightarrow \Delta S = 0, 1$  and  $\Delta T = 0, 1$   
*but  $\Delta S = 0, \Delta T = 0$  predominant at low  $q$*

## Inelastic heavy ion scattering (similar properties, but strong absorption)

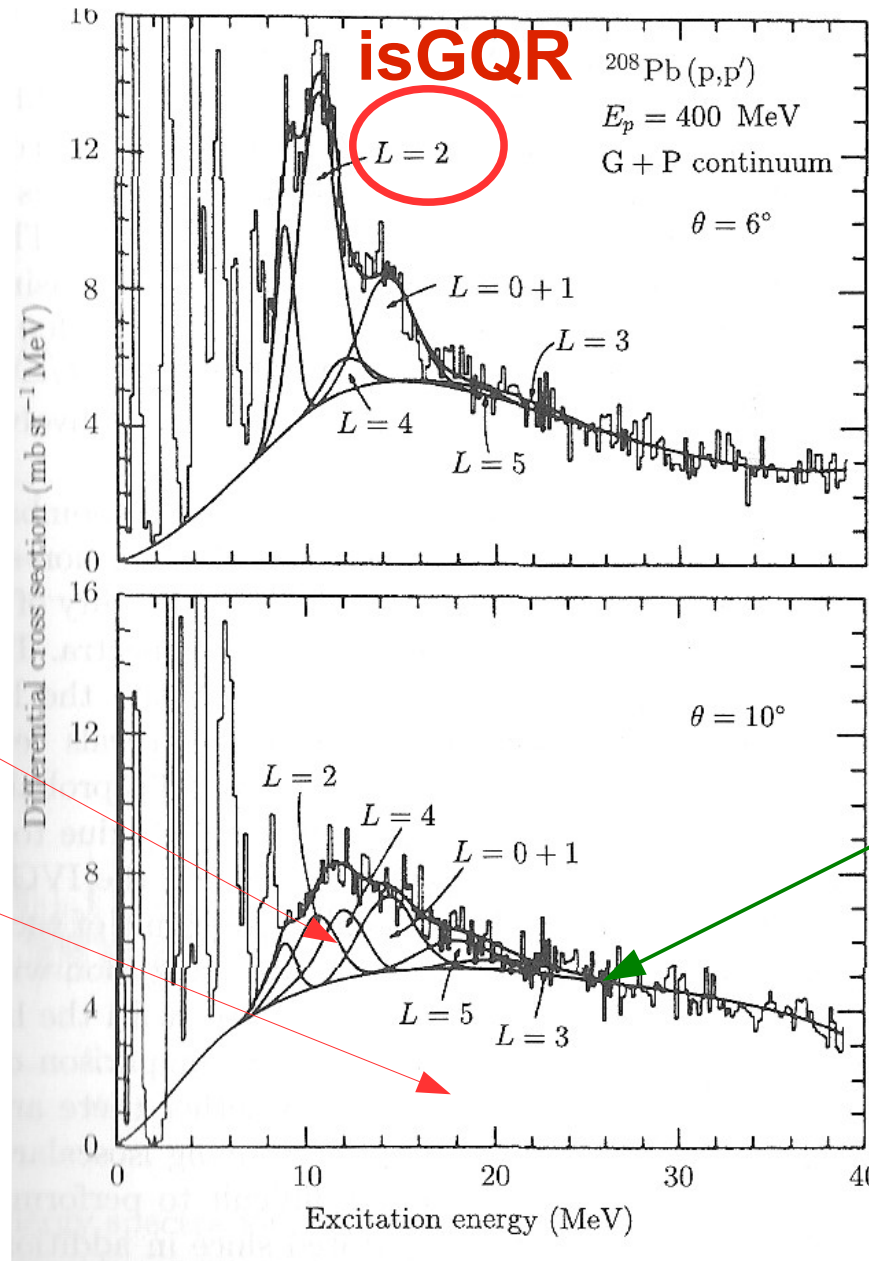
*central parts of eff. T-matrix,  $q = 0$*



# $^{208}\text{Pb} (p,p')$

$E_p = 400 \text{ MeV}$

multipole decomposition  
+  
continuum 'background'



# probes - **isovector** non-spin-flip

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$\Delta S = 0, \Delta T = 1$  studied by electromagnetic probes (see above)

charge-exchange reactions (p,n), ( $^3\text{He},t$ ) ...

$\Delta S = 0$  and  $\Delta S = 1$

PION charge-exchange reactions ( $\pi^+, \pi^0$ ) , ( $\pi^-, \pi^0$ )

*pion:  $S = 0$  and  $T = 1$*

*+ spin-orbit interaction small at forward sc. angle*

*==>  $\Delta S = 0, \Delta T = 1$  preferentially*

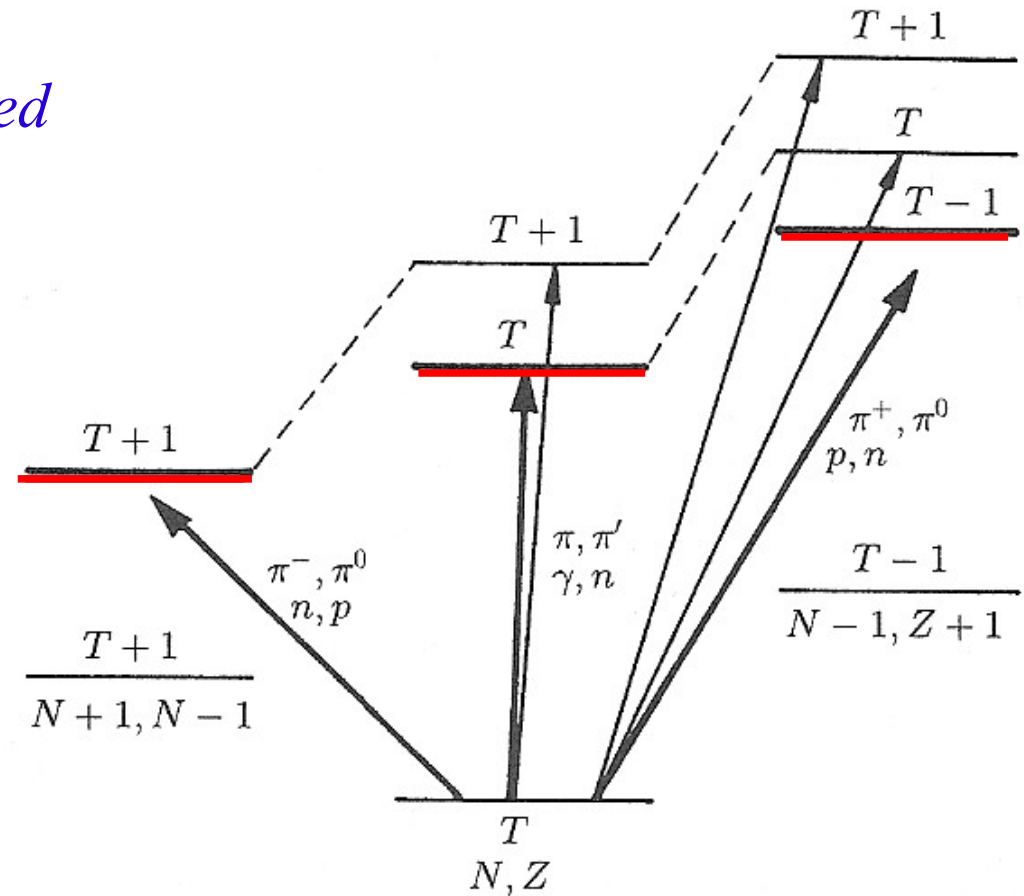
**Problems: secondary beam and  $\pi^0$  detection**  
*(LAMPF , Los Alamos)*

# isovector $\Delta T = 1$

*in product nucleus*

*Isospin Triplet excited  
(but  $T \geq T_z$ )*

*due to Clebsch-Gordan coeff.,  
lowest  $T$  component (strongly) favored  
(heavy nuclei)*



# spin-flip transitions (*only marginally discussed here*)

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*Electron scattering (see above)*

*Inelastic scattering (polarized proton beams)*

*Tool for  $\Delta T = 1$ ,  $\Delta S = 1$  transitions:*

*charge-exchange reactions (p,n), (n,p), ( $^3\text{He}$ ,t) ...*

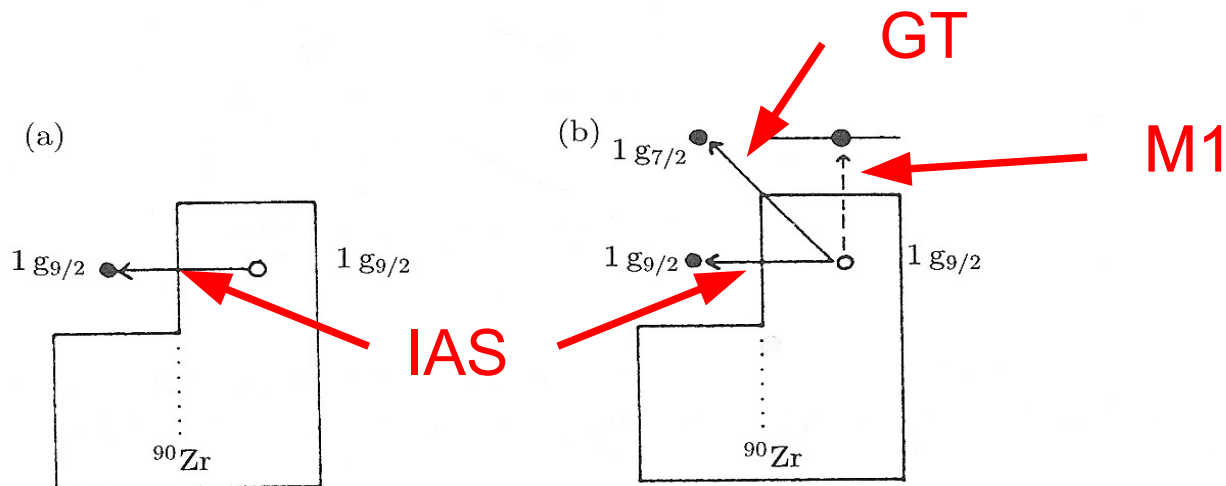
## SPIN-FLIP OR MAGNETIC RESONANCES

*Possible low-multipolarity isovector spin-flip transitions.*

| $\hbar\omega$ | $\Delta L$ | $\Delta J^\pi$  | $T_z$       | Name                 | Status |
|---------------|------------|-----------------|-------------|----------------------|--------|
| 0             | 0          | $1^+$           | $T_0$       | M1                   | ***    |
| 0             | 0          | $1^+$           | $T_0 \pm 1$ | Gamow-Teller (GT)    | ***    |
| 1             | 1          | $0^-, 1^-, 2^-$ | $T_0$       | M0, E1, M2           | *      |
| 1             | 1          | $0^-, 1^-, 2^-$ | $T_0 \pm 1$ | spin-flip dipole     | **     |
| 2             | 0          | $1^+$           | $T_0$       | M1                   | ?      |
| 2             | 0          | $1^+$           | $T_0 \pm 1$ | spin-flip monopole   | *      |
| 2             | 2          | $1^+, 2^+, 3^+$ | $T_0$       | M1, E2, M3           | ?      |
| 2             | 2          | $1^+, 2^+, 3^+$ | $T_0 \pm 1$ | spin-flip quadrupole | *      |
| $\geq 3$      |            |                 |             |                      | ?      |



from [HAR01]



## II. Giant Resonances – Results

the puzzle of missing Gamow-Teller strength



Gamow-Teller (GT) resonance –  $\Delta L=0, \Delta S=1, \Delta T=1$

$$\rightarrow J_f^\pi = 1^+ \quad (\text{for } 0^+ \text{ g.s.})$$

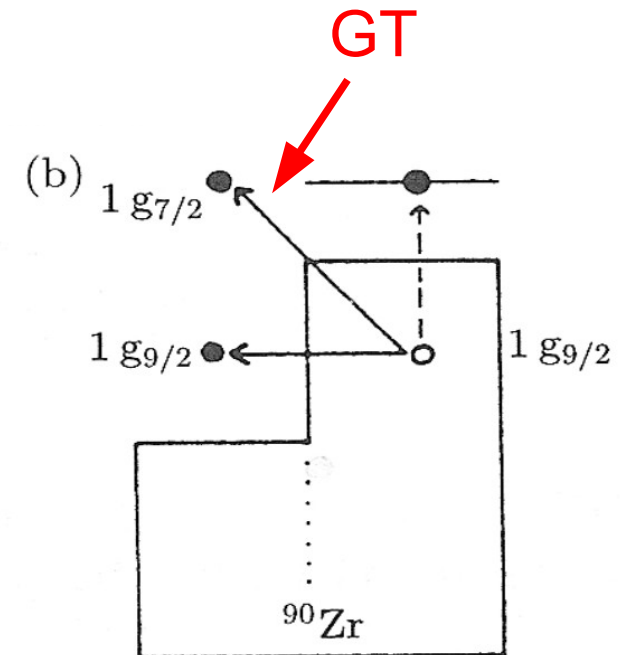
One-body operator:  $O^{+-} = \sum_i \sigma_i \tau_i^{+-}$

$$\sigma^+ |p\rangle = |n\rangle$$

$$\sigma^- |n\rangle = |p\rangle$$

proton  $\leftrightarrow$  neutron

*equivalent to allowed GT transitions in  $\beta$ -decay,  
but not restricted in excitation energy*



Gamow-Teller (Ikeda) sum rule:  $S_{-}(\text{GT}) - S_{+}(\text{GT}) = 3(N-Z)$

$$S \equiv \sum_f \langle f | O^{GT} | i \rangle$$

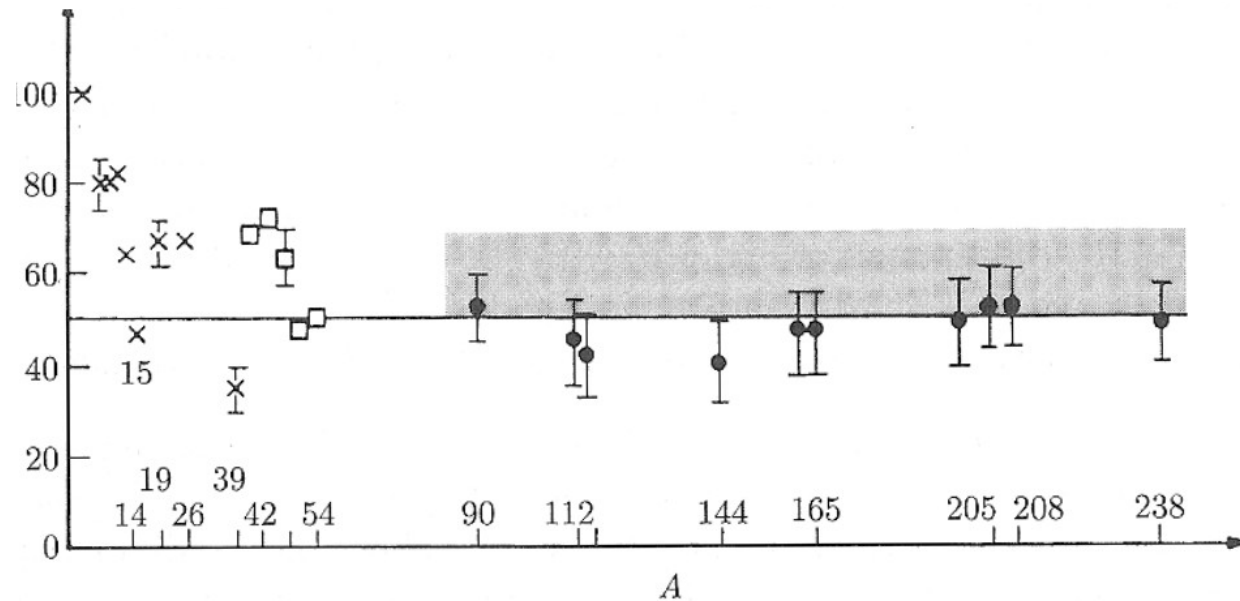
$$S_{+} : p \rightarrow n$$
$$S_{-} : n \rightarrow p$$

Model-independent if nucleons are structure less

*in heavy nuclei  $S_{+} = 0$  because of Pauli blocking !*

# missing GT strength

fraction of GT sum rule observed in (p,n) reactions,  $E_x \leq 20$  MeV

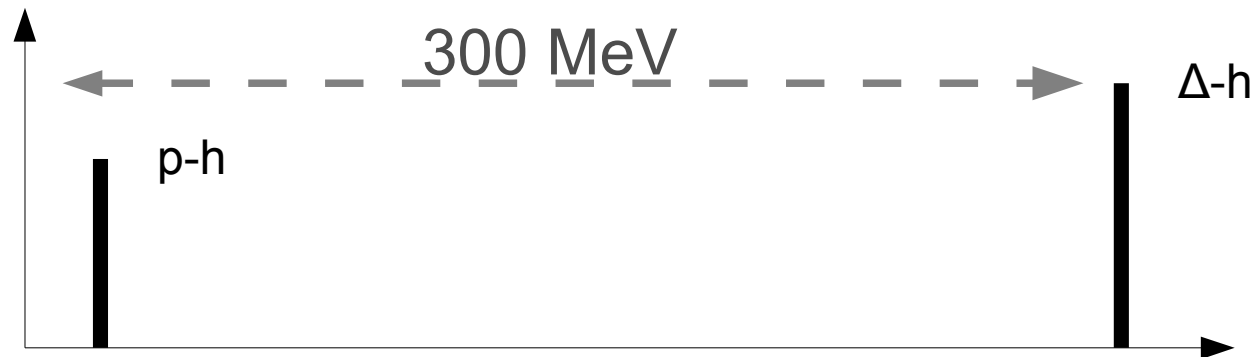


[GAA85]

Explanation - scheme A :

nucleons are not structure less  
→ GT strength moved into  $\Delta$ -Isobar region  
*nucleon  $N \rightarrow \Delta(1232)$  (quark spin flip)*

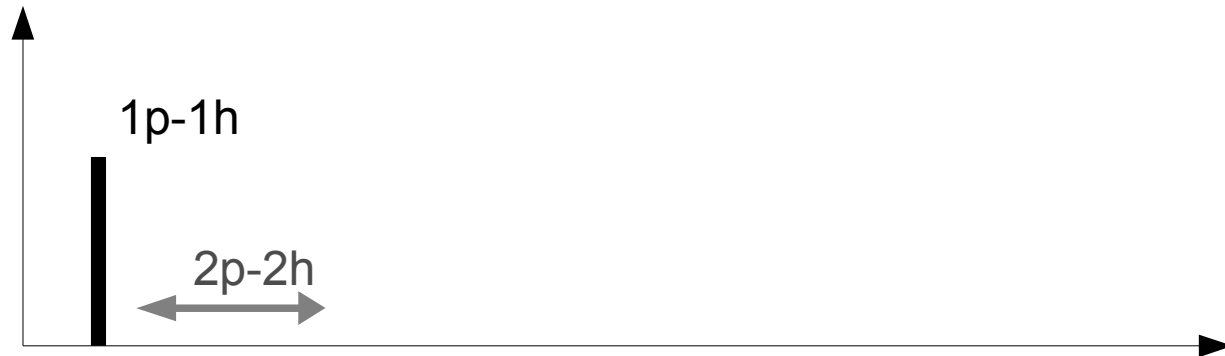
*i.e. 'ordinary' p-h excitations mixed with  $\Delta$ -h excitations  
~ 300 MeV shift*



Explanation - scheme B :

1p-1h excitations mixed with 2p-2h

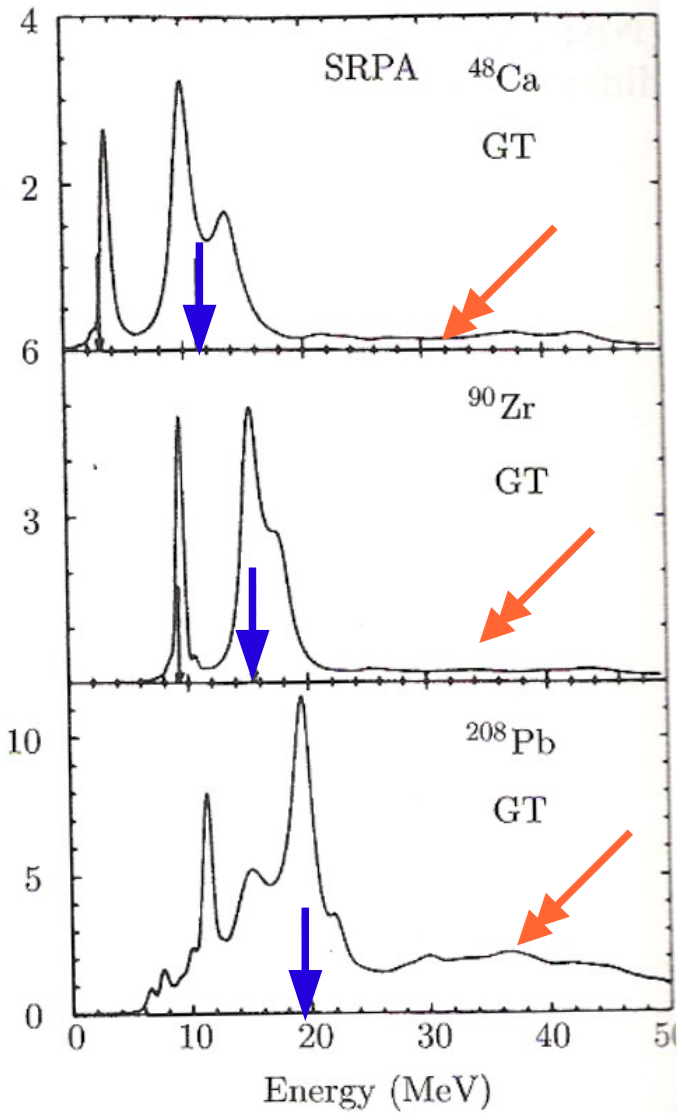
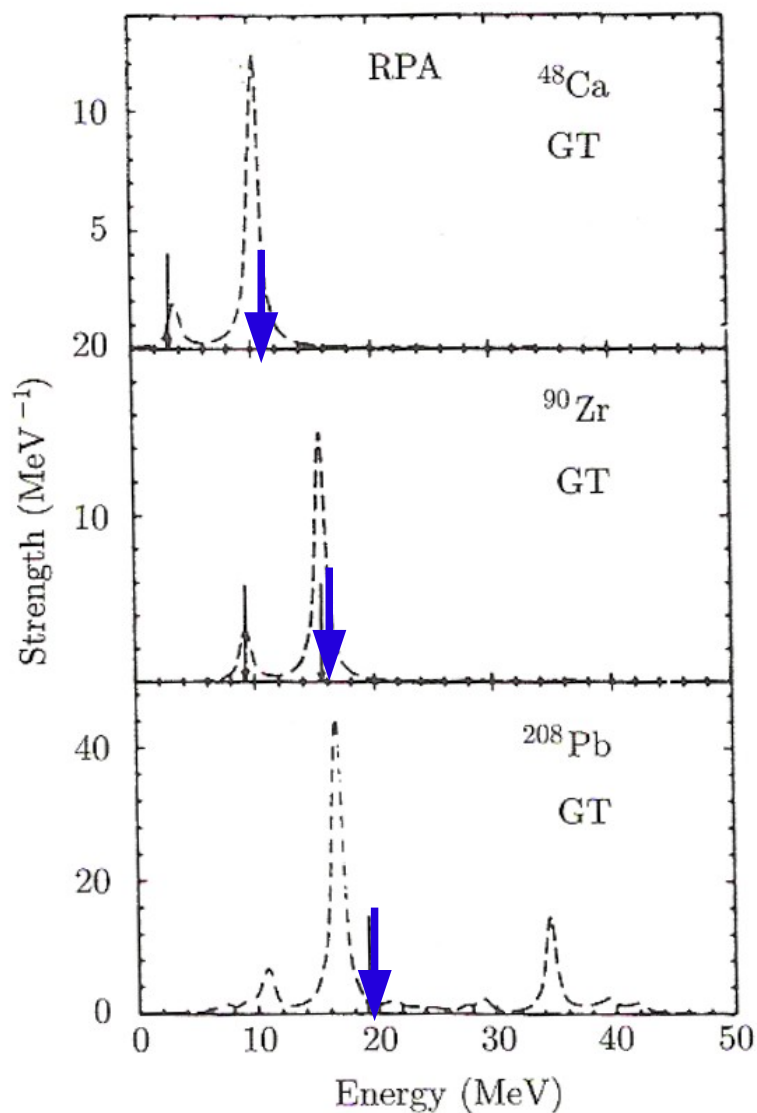
*i.e. GT strength partly moved into continuum above GT peak*



# missing GT strength

RPA 1p-1h

SRPA 1p-1h, 2p-2h



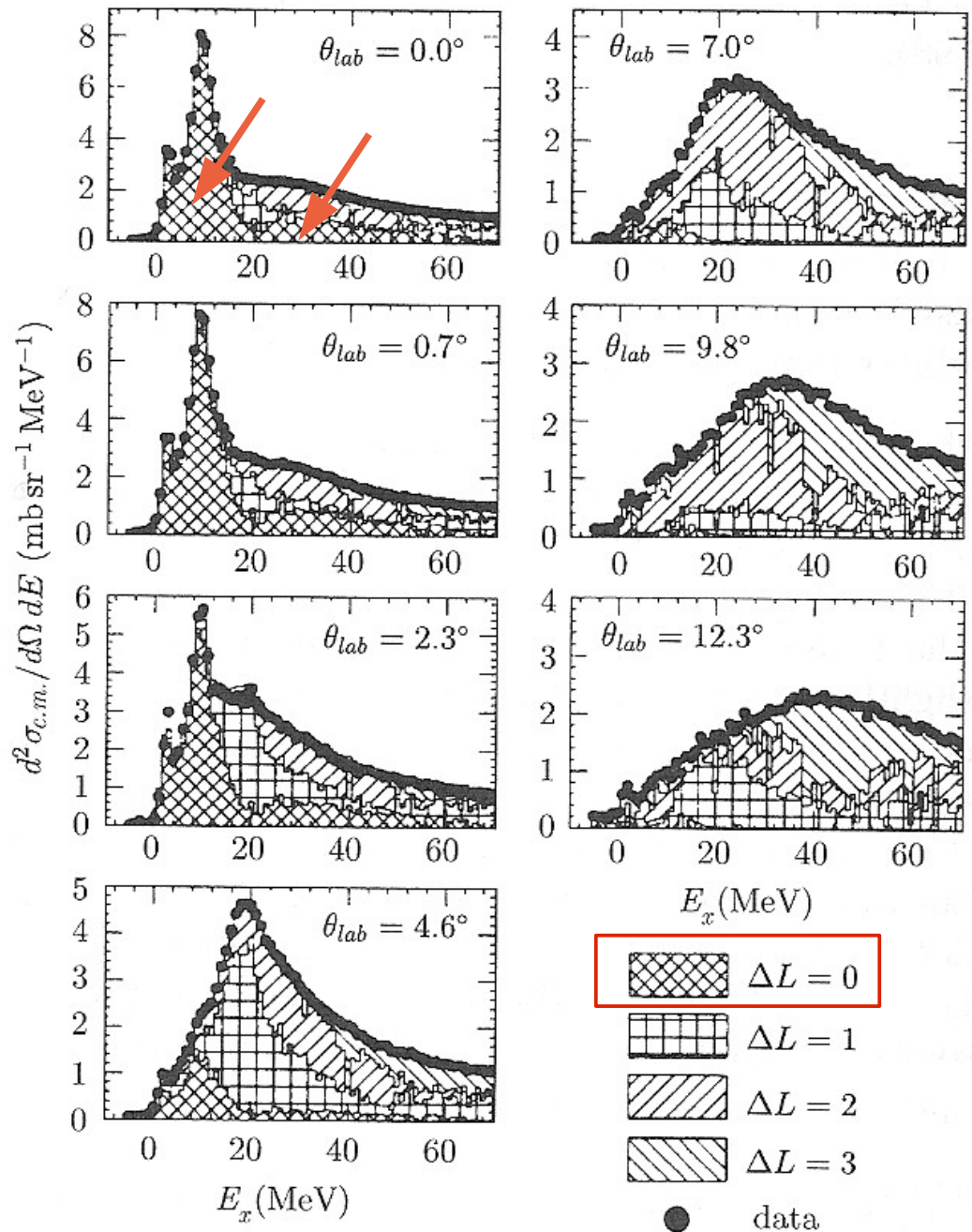
[Drozd et al.,  
PL B189, 27]

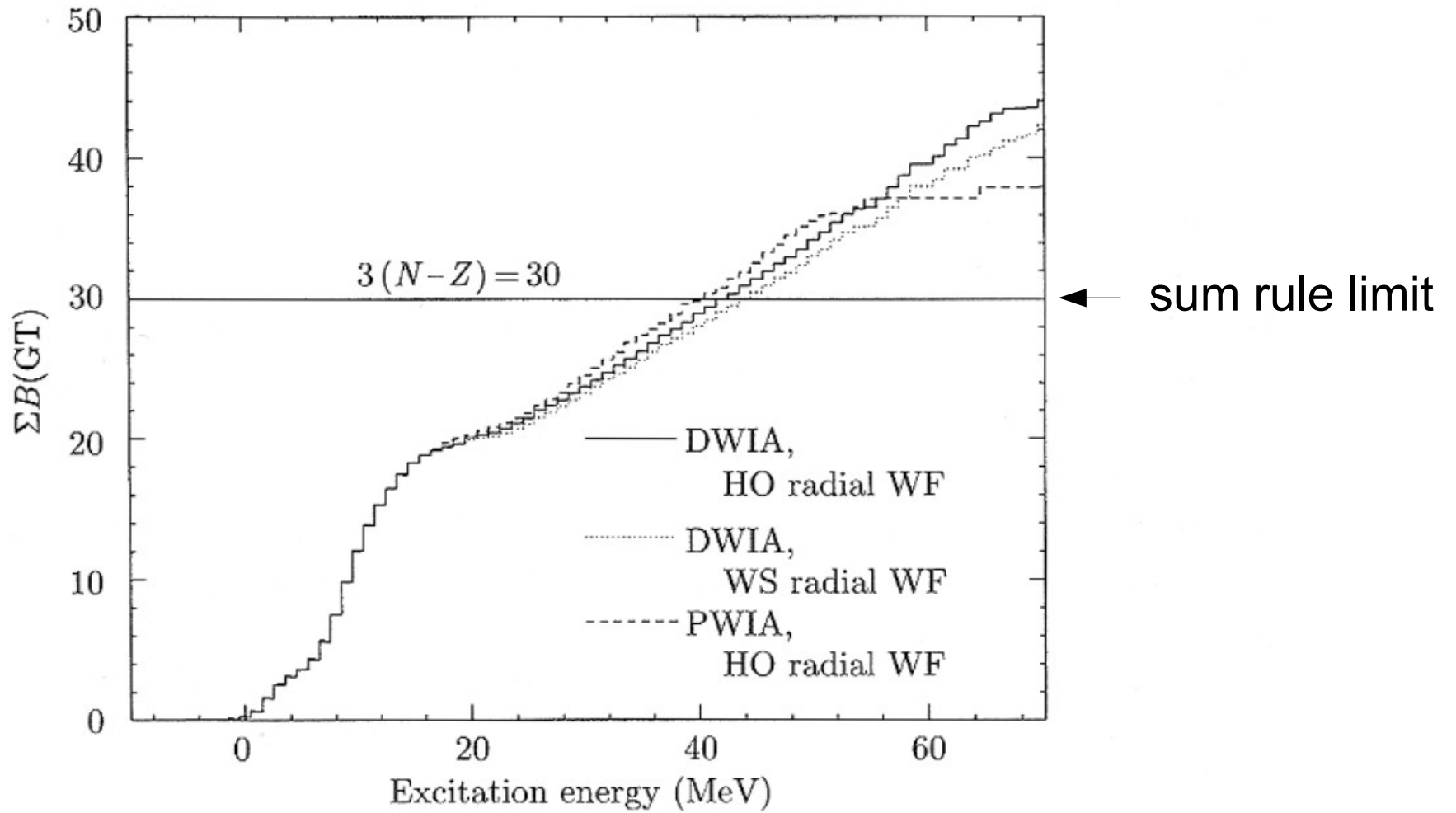
↓ exp. centroid energy

## Multipole Decomposition Analysis (MDA)

Requires high-quality data,

here,  $^{90}\text{Zr}(p,n)$ ,  $E_p = 200 \text{ MeV}$   
 from [Wakasa et al., PRC 55, 2909], Osaka





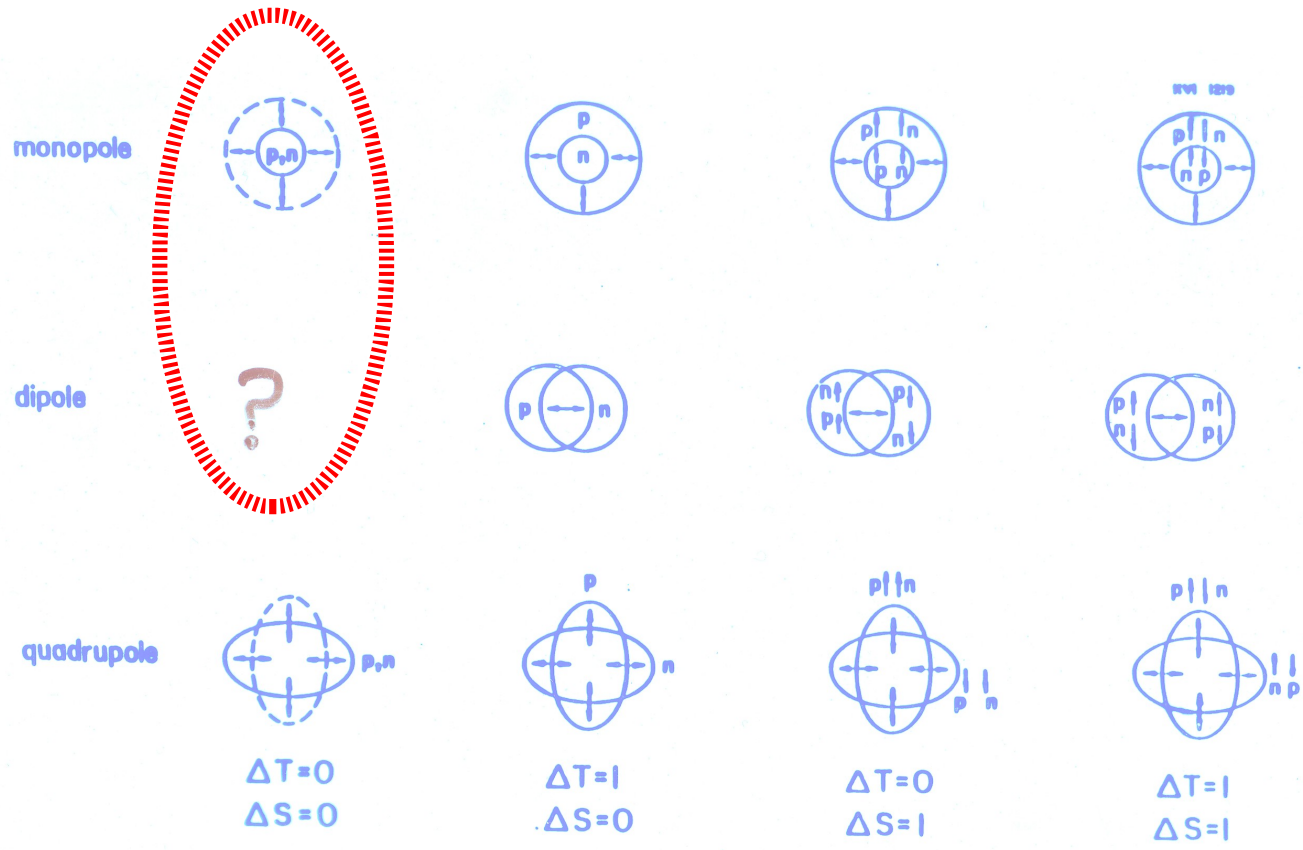
accumulated  $B(\text{GT})$  from MDA of  $^{90}\text{Zr}(p,n)$



# Isoscalar Giant Monopole and Isoscalar Giant Dipole Resonance

and the **(in)compressibility of nuclear matter**

# electric



# isoscalar

compression modulus  $K$   
( 'scaling' model )

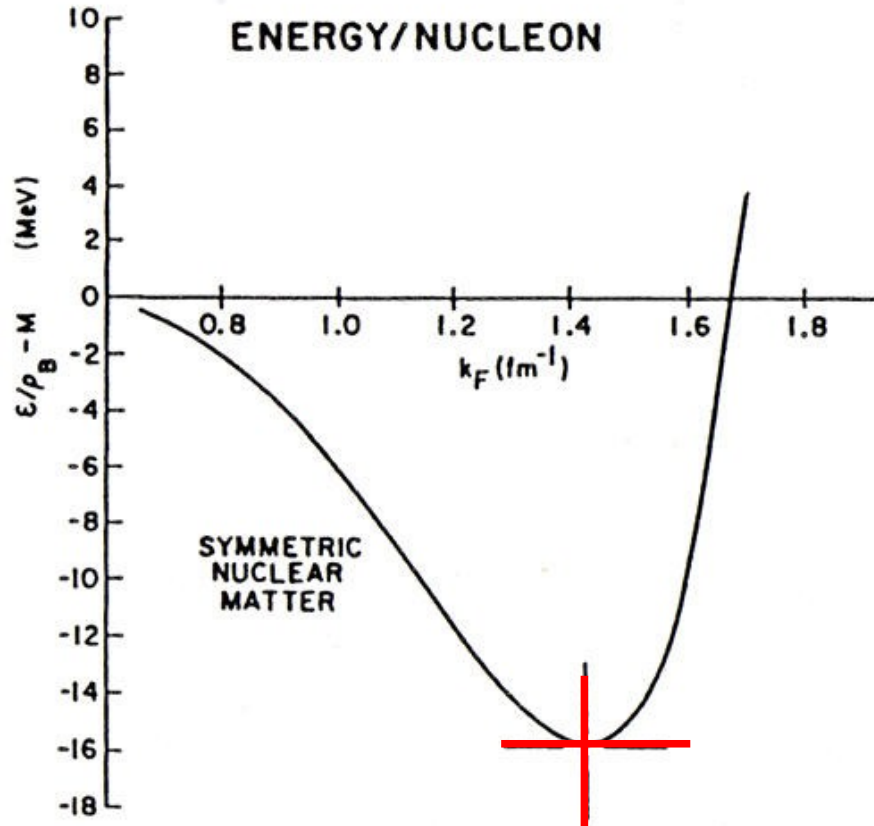
GMR  
'breathing' mode

$$E_{GMR} = \hbar \sqrt{\frac{K_A}{m \langle r^2 \rangle}}$$

isGDR  
'squeezing' mode

$$E^S = \sqrt{\frac{7}{3} \hbar^2 \frac{K_A + (27/25) \epsilon_F}{m \langle r^2 \rangle}}$$

infinite symmetric (N=Z) nuclear matter – compression modulus  $K_\infty$



$\rho_0 \equiv$  nucleon density

$K_F \equiv$  Fermi momentum

$$\underline{K_\infty} = K_F^2 \frac{\partial^2}{\partial K^2} \left( \frac{E_B}{A} \right)_{K=K_F}$$

$$K_F = \left( \frac{3\pi^2}{2} \rho_0 \right)^{1/3}$$

The excitation energy of the GMR is expressed in the scaling model as:

$$E_{GMR} = \hbar \sqrt{\frac{K_A}{m \langle r^2 \rangle}} \quad (1)$$

$K_A \equiv$  nucleus (mass  $A$ )

$K_\infty \equiv$  nuclear matter

where  $K_A$  can be expressed as:

$$\underline{K_A} \approx \underline{K_\infty} (1 + cA^{-1/3}) + K_\tau ((N - Z)/A)^2 + K_{Coul} Z^2 A^{-4/3}. \quad (2)$$

$$c \sim -1.$$

$K_{Coul}$  is, basically, model-independent

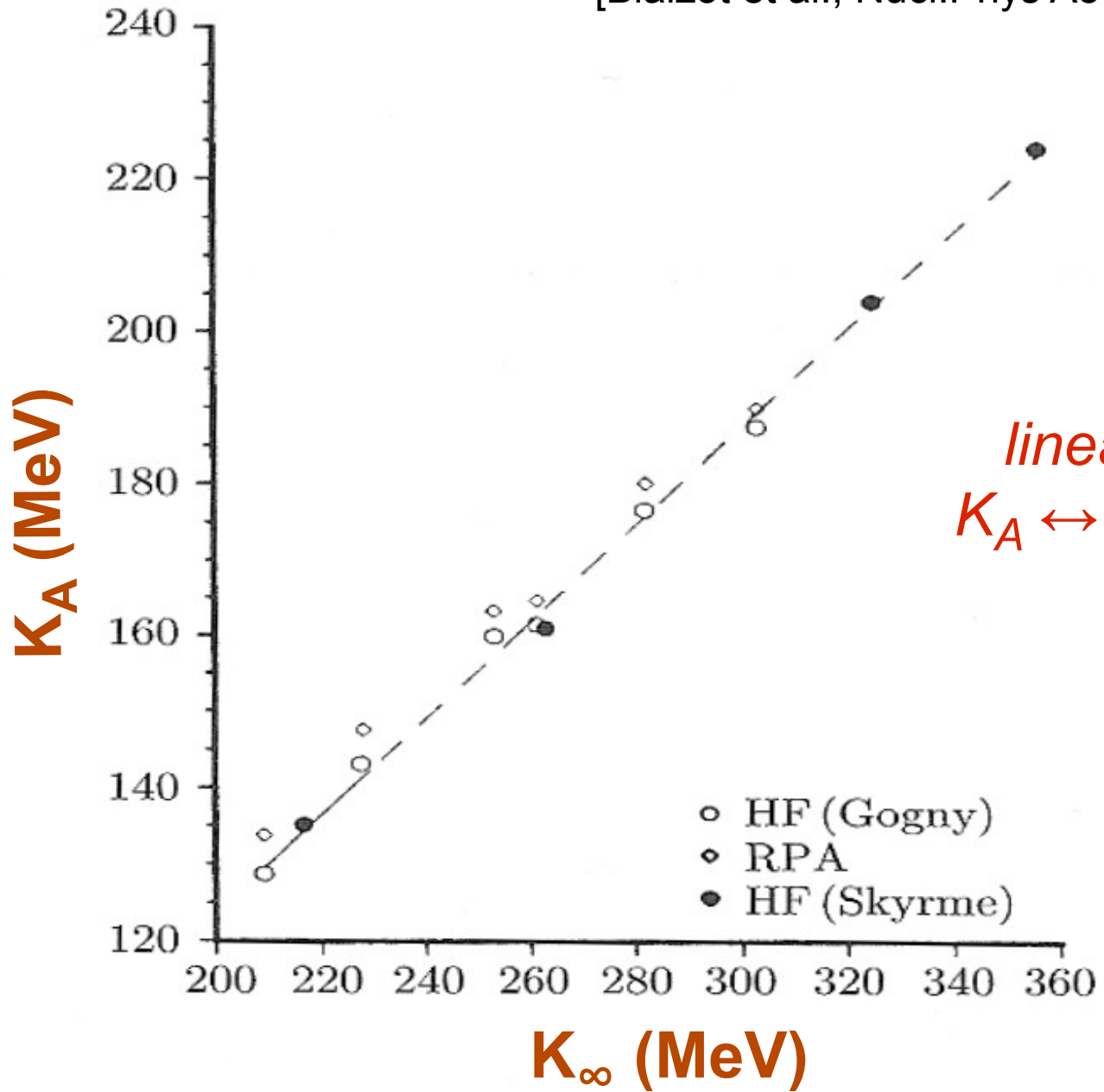
$K_\tau$  ?? **see below**

It is estimated that the difference in  $K_\tau$  would be observable in the differences in  $E_{GMR}$  of  $^{112}\text{Sn}$  and  $^{124}\text{Sn}$ .

corrections for

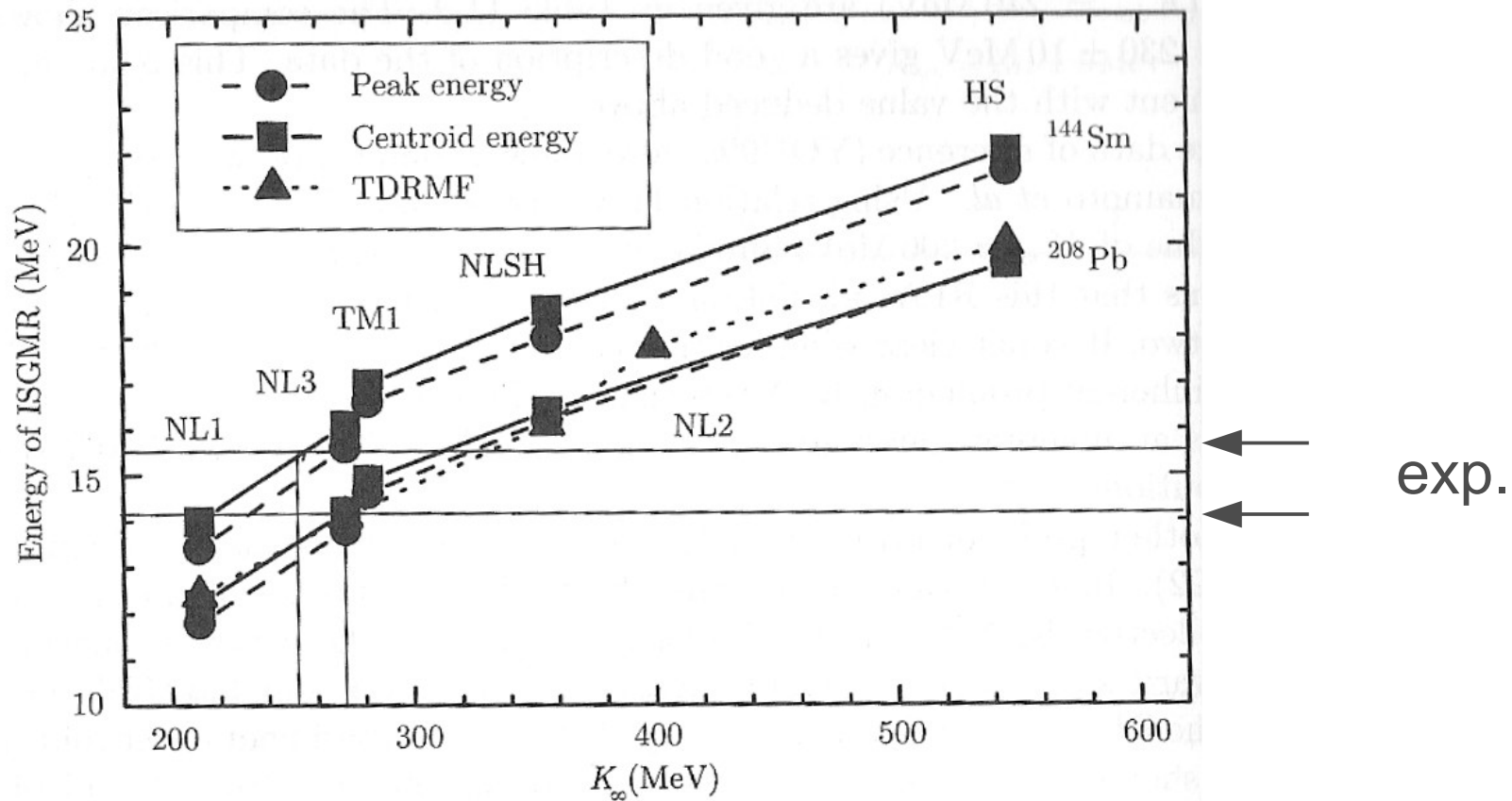
- surface effects
- N-Z asymmetry
- Coulomb effects

[Blaizot et al., Nucl.Phys A595, 435]



*linear relation  
 $K_A \leftrightarrow K_\infty$  inferred*

isGMR energy vs.  $K_\infty$   
 calculated with various relativistic RPA Lagrangians  
 [GIAI-99]



# Experimental methods and results

*exp. GMR slides below by  
courtesy of U. Garg,  
Notre Dame*

*U. Garg*



# Inelastic $\alpha$ scattering

$T = 0 \Rightarrow$  isoscalar exc.

$S = 0 \Rightarrow$  non-spin-flip

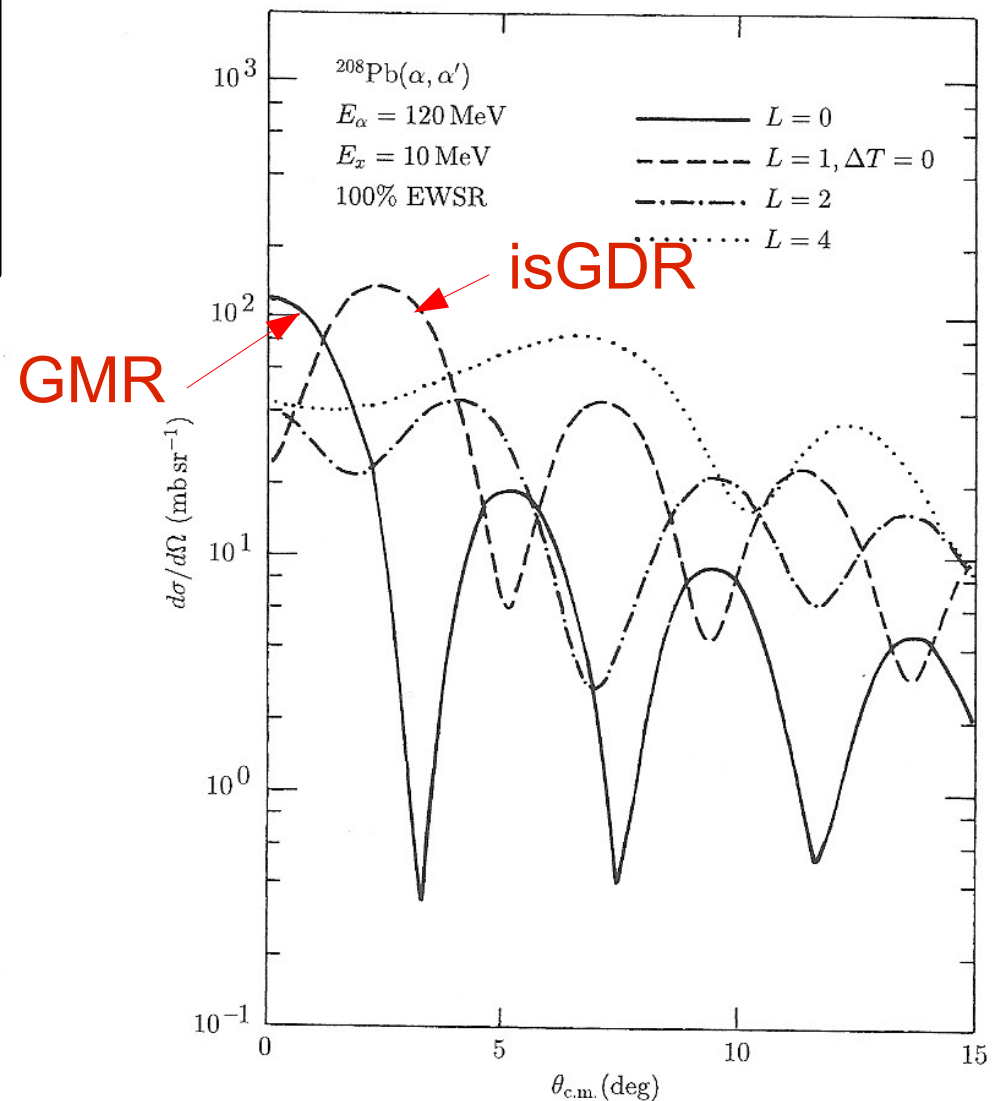
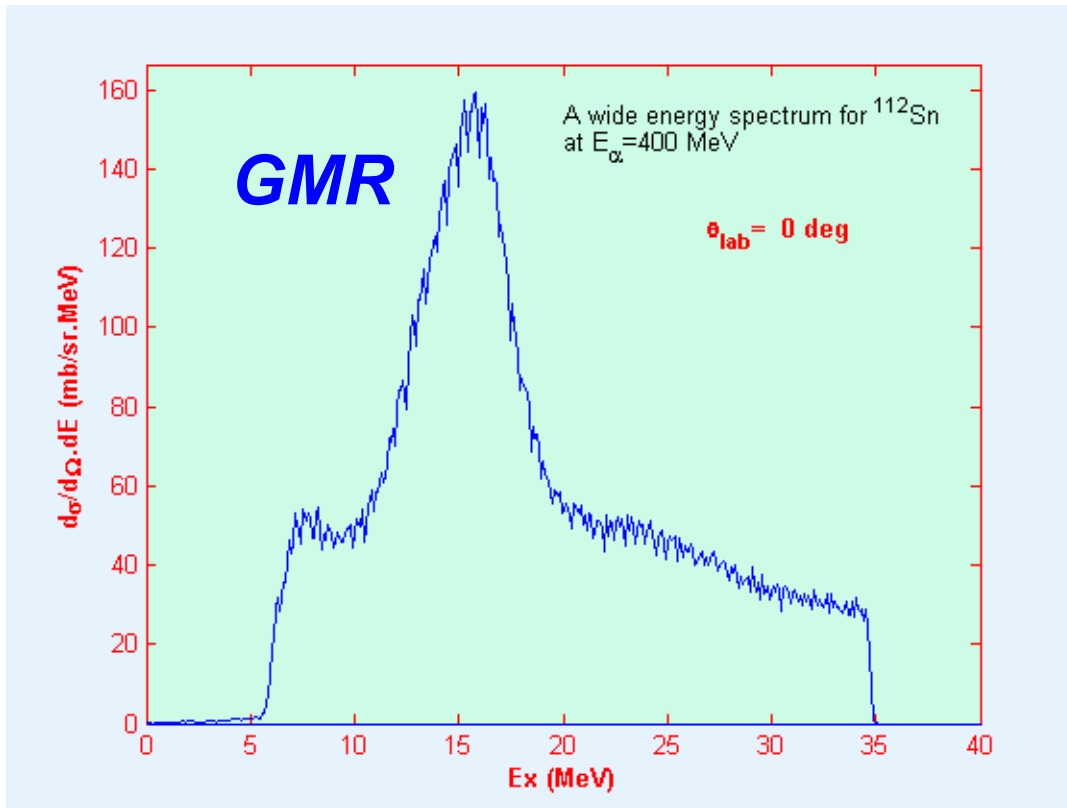


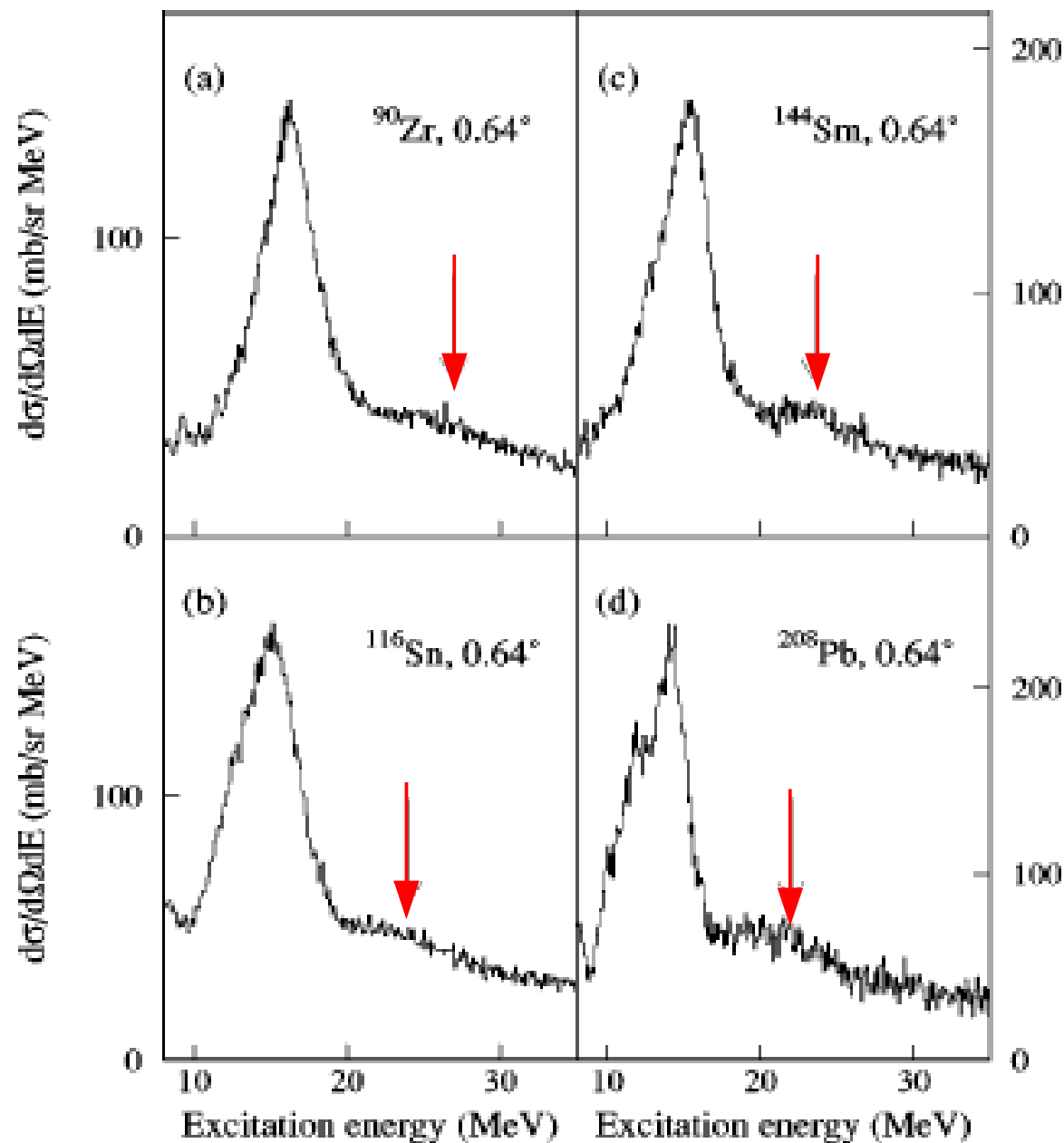
FIG. 3.1. DWBA predictions for the differential cross sections corresponding to various multipolarities exhausting 100% of the EWSR for  $^{208}\text{Pb}$  for inelastic  $\alpha$  scattering at 120 MeV and at  $0^\circ$ .



- General property of nuclei
- Excitation energy varies smoothly with mass
 
$$E_x \propto A^{-1/3}$$
- Exhausts a large fraction of sum rule strength

- Generally well localized in energy,  $\Gamma \sim 2 - 3$  MeV

U. Garg



Isoscalar  
GDR

FIG. 1. Excitation energy spectra for  $^{90}\text{Zr}$ ,  $^{116}\text{Sn}$ ,  $^{144}\text{Sm}$ , and  $^{208}\text{Pb}$  at  $\theta = 0.64^\circ$ . The arrows indicate the locations of the HE ISGDR. Note that the  $^{144}\text{Sm}(\alpha, \alpha')$  spectrum from our previous work [21] is also included.

## Conclusion

from GMR data on  $^{208}\text{Pb}$  and  $^{90}\text{Zr}$

$$\underline{K_\infty = 240 \pm 20 \text{ MeV}}$$

*This number is consistent with both GMR and ISGDR data and with non-relativistic and relativistic calculations*

# Isospin dependence – $K_\tau$

$$K_{\text{vol}} = K_\infty$$

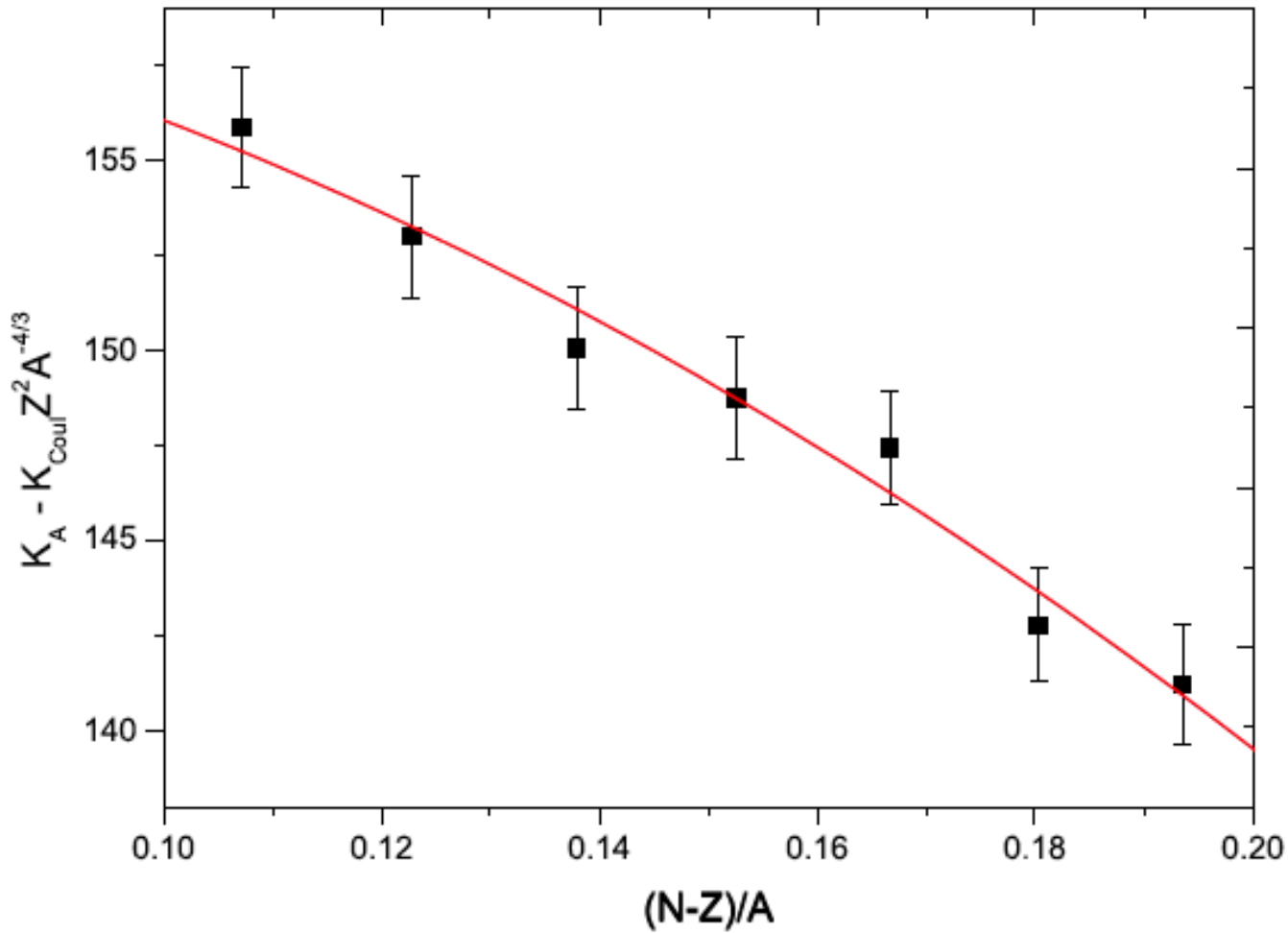
$$K_A \sim K_{\text{vol}} (1 + cA^{-1/3}) + K_\tau ((N - Z)/A)^2 + K_{\text{Coul}} Z^2 A^{-4/3}$$

$$\underline{K_A - K_{\text{Coul}} Z^2 A^{-4/3}} \sim K_{\text{vol}} (1 + cA^{-1/3}) + K_\tau ((N - Z)/A)^2$$

$$\sim \text{Constant} + \underline{K_\tau ((N - Z)/A)^2}$$

use  $K_{\text{Coul}} = -5.2 \text{ MeV}$  (from Sagawa)

# result for Sn isotopes (U.Garg)



$K_T = -550 \pm 100 \text{ MeV}$

## Towards very neutron-rich nuclei

❖  $K_{\tau}$

❖  $K_{core}$  and  $K_{skin}$

“soft GMR” akin to pigmy GDR’s.

❖ Need inverse reactions

${}^2\text{H}$ ,  ${}^4\text{He}$ , or  ${}^6\text{Li}$  targets

beams of 35-100 MeV/A

❖ First experiment performed at  
GANIL

${}^{56}\text{Ni} + {}^2\text{H}$ , with active target MAYA

**The End**