Functional Materials II: Ferroics and Multiferroics

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Important Fields and Couplings in smart functional materials



Ferroics and Multiferroics

• Smart materials:

Sense external stimulus *(sensor)* Actuate a controlled response *(actuator)*

Memory effects
 Switchability between different states

They exhibit interesting phase transitions

INTRODUCTION

"Smart" Materials: Piezoelectrics



Ferroelastic Material



Important Fields and Couplings in Materials: *smart materials*





H Schmid, J of Physics Cond Mat 20, 434201 (2008).

Phase Transition in Ferroics



Symmetry Properties: Ferroics Symmetry of the field breaking symmetry of the high-T phase

Ascher (1966a)					Ascher (1974)	
1	1	1'	$\overline{1}'$			-
1	1	1	1	ρ	$\partial M/\partial t$, grad P	3
1	-1	1	-1	P	$\mathbf{P}, \partial v / \partial t$	Ρ
1	1	-1	-1	M	M, grad v	Μ
1	-1	-1	1	j	$j, v, p, A, \partial P / \partial t$, grad M	Т

1: identity, $\overline{1}$: inversion, 1': time reversal, $\overline{1}'$: Inv*TR

For a material to be a ferroic of given type, its symmetry group can not include the corresponding symmetries



H Schmid, J of Physics Cond Mat 20, 434201 (2008).

➢ Ferroelectric BaTiO₃



- ➢ <u>High</u> Temperature
- CUBIC Structure:
 PARAELECTRIC

≽ a = b = c



<u>Room</u> Temperature

TETRAGONAL Structure:
FERROELECTRIC

> a = b \neq c: strain

★ Ti⁴⁺ and Ba²⁺ shifted wrt O²⁻ →
<u>finite dipole moment: polar phonon</u>

Ferroelectric Phase transition(s)





Change in symmetry at T_c:

inversion symmetry broken in (a) time reversal symmetry broken in (b) Low-T state is connected with the high-T state: order parameter P and M.

Landau free energy: $G = G_0 + A (T-T_c)P^2 + B P^4$

Landau Theory:

Form of the free energy G is completely determined by symmetry.



Near a transition, susceptibility diverges: important to technology

Why PZT is one of the best ferroelectrics?



Energy or the Free Energy

u: atomic displacement, E: electric field, S: spin (or strain!), Z: effective charge of the atom displacing, L: S-phonon coupl.

$$F = \frac{1}{2}Ku^2 - ZEu - LSu + JS^2$$
$$K = \alpha\omega^2$$



Dielectric response of phonons

$$\varepsilon \propto \frac{1}{K} = \frac{1}{T - T_c}$$

Piezo or Magneto-electric response of phonons

$$\gamma \propto \frac{L}{K} = \frac{1}{\left(T - T_c\right)^{1/2}}$$

Being close to a phase transition helps: A Large Response

Why PZT is one of the best ferroelectrics?



Unifying Concept[s] in Materials

Total Energy Function

Chemistry: Z_I : Atomic numbers of atoms in a given materialStructure: R_I : Atomic positions of atoms in a given materia

$$\begin{array}{ll} & \mbox{Quantum Mechanics} & \mbox{Electrostatic Energy} \\ & E_{tot}(Z_I,R_I) = E_G^{el}(Z_I,R_I) + E_{Coulomb}^{ion}(Z_I,R_I) \\ & \mbox{Minimum energy state of electrons} \end{array}$$

Interatomic potential

Hamiltonian of a collection of atoms

Born-Oppenheimer Approximation

If I had access to an *infinite* computer, I would solve H quantum mechanically:

$$\hat{H} = -\sum_{i} \frac{\hbar^{2}}{2m_{e}} \nabla_{i}^{2} - \sum_{i,I} \frac{Z_{I}e^{2}}{|\mathbf{r}_{i} - \mathbf{R}_{I}|} + \frac{1}{2} \sum_{i \neq j} \frac{e^{2}}{|\mathbf{r}_{i} - \mathbf{r}_{j}|}$$

$$-\sum_{I} \frac{\hbar^{2}}{2M_{I}} \nabla_{I}^{2} + \frac{1}{2} \sum_{I \neq J} \frac{Z_{I}Z_{J}e^{2}}{|\mathbf{R}_{I} - \mathbf{R}_{J}|}$$

$$H_{e}(Z_{I}, R_{I})$$

$$Very \ small, \ because \ M_{I} >> m_{e}.$$

$$Classical \ term: E_{c}(R_{I})$$

$$H_{e}(Z_{I}, R_{I}) \Psi_{G}(Z_{I}, R_{I}) = E_{G}(Z_{I}, R_{I}) \Psi_{G}(Z_{I}, R_{I})$$

$$E_{total} \ (Z_{I}, R_{I}) = E_{G}(Z_{I}, R_{I}) + E_{c}(Z_{I}, R_{I})$$

 E_{total} has all the material-specific information except for electronic excitations. E_G is the part that is hard to determine!

Example: O₂ molecule



Energy Function: $E_{total}(d_i, Z_i) = E_G + E_c$

 $\label{eq:total} \begin{array}{ll} \mathsf{T} \neq \mathsf{0}: \mathsf{E}_{\mathsf{total}} \to \mathsf{tools} \; \mathsf{of} \; \mathsf{statistical} \; \mathsf{mechanics} \to \mathsf{free} \; \mathsf{energy} \; \mathsf{F} \\ \mathsf{Second} \; \mathsf{derivative} \; \mathsf{of} \; \mathsf{E}_{\mathsf{tot}} \; \mathsf{or} \; \mathsf{F} \; \mathsf{wrt} \qquad \mathsf{Physical} \; \mathsf{property} \end{array}$

- d_i, d_i(atomic displacement)Force spring const: phononsE, E(E-field)Dielectric constant
- ε, ε (strain) Elastic constant
- E, ε Piezo-electric constant
- E, d_i Born Dynamical charge
- ε, d_i
- H, H (magnetic field)
- Ε, Η
- Η, ε

. . . .

Strain-phonon coupling

- Magnetic susceptibility
- Magneto-electric constant
- Piezo-magnetic constant

Energy Function: E(R_i, Z_i) (contd)

T ≠ 0 properties: statistical mechanics Free energy [use Molecular Dynamics, Monte Carlo]

 $F(T, V) = -k_B T Log \int dR_I Exp(-E_{total} (Z_I, R_I)/k_B T)$

Derivatives of Free energy ↔ Physical properties at finite T

It seems that E_{total} should be adequate to determine all the macroscopic properties of a material! Except those which involve excitation of electrons (eg. Optical spectra)

How to access $E_{total}(Z_l, R_l) = E_G + E_c$?

1. Empirical approach: Have Nature solve all the equations!

2. First-principles approach: Have a computer solve all the equations!

Generalized Landau Theory: a real ferroelectric

$$G(\vec{P},T) = G_0 + A(T)\int dr |\vec{P}(r)|^2 + B_1(T)\int dr \left[P_x^4(r) + P_y^4(r) + P_z^4(r)\right]$$

+ $B_2(T)\int dr |\vec{P}(r)|^4 + C\int dr |\vec{P}(r)|^6 + D\int dr |\vec{P}(r)|^8$
+ $U\int dr |\nabla \bullet \vec{P}(r)|^2 + V\int dr |\nabla \times \vec{P}(r)|^2$ Energetics of
+ $\frac{1}{2\varepsilon^{\infty}}\int dr dr' \frac{|\nabla \bullet \vec{P}(r)||\nabla' \bullet \vec{P}(r')|}{|r-r'|}$ Fluctuations in P

Anil Kumar and U Waghmare, Phys Rev B 82, 054117 (2010) Applicable to bulk and nano-forms of a ferroelectric



Stripe domains in Films Chiral ordering on Nanotubes



Nishimatsu, Waghmare, Kawazoe and Vanderbilt, PRB (2008); Editor's suggestion.

Lecture I breaks here

Order of Phase Transition



First-order transition!

$$\Delta F = C2 P^2 + C4 P^4 + C6 P^6$$

Which coupling drives first-order transition?

Order of Phase Transition: Strain Coupling



Strain-P coupling is responsible for **first-order phase transition** in ferroelectrics!

Domain wall energies of Ferroelectrics: (from first-principles simulations)



FE properties greatly!

Magnetoelectrics

INTRODUCTION Multiferroics

- Ferroics: spontaneous property in the absence of conjugate field Ferroelectric (FE): electric polarization (P) when $\vec{E} = 0$ Ferromagnetic (FM): magnetization (M) when $\vec{H} = 0$ Ferroelastic (FS): strain (ϵ) when $\vec{\sigma} = 0$
- Domains: spontaneous property in different orientation: Symmetry-related, equi-energy states
- Multiferroics: more than one spontaneous property Ascher (1966): Ni₃B₇O₁₃I
- Magic Trinity of Symmetry, Ascher (1966): 9 point groups Kineto-electric, Kineto-mgnetic effects! (out of 122)

History

Magnetoelectric Effects

• Pierre Curie (1894) conjecture:

electric dipole induced by magnetic field and vice versa

- Peter Debye (1926): "Magnetoelectric (ME)"
- Wigner (1932): Time Reversal Symmetry R $R\vec{v} = -\vec{v}, R\vec{H} = -\vec{H}, R\vec{\rho} = \vec{\rho}, R\vec{E} = \vec{E}$
- Landau (1937): Symmetry of a crystal \leftrightarrow physical property phase transitions \leftrightarrow change in symmetry
- Dzyaloshinsky (1959) predicted: Cr_2O_3 (antiferromagnetic) \rightarrow linear ME effect M = X E
- Astrov (1960) expt: Confirmed the linear ME property of Cr₂O₃
- Ginzburg (1984): 31 point groups of ferrotoroids: linear ME e

Why multiferroics are rare?

- Ferroelectrics (FE): KNbO₃, BaTiO₃, PbTiO₃ 1+, 5+, 2⁻ 2+, 4⁺, 2⁻ 2+, 4⁺, 2⁻
 - valence (occupied) bands: p electrons of O
 - conduction (unoccupied) bands: d electrons of TM
 - d⁰-ness of TM
- Ferromagnets (FM): eg. (LaCa)MnO₃
 - d electrons of TM: local magnetic moment
- Conflicting *d*-electron occupation metal (FM) vs insulator (FE)







J Bhattacharjee and U V Waghmare, PCCP 12, 1564 (2010)



Mechanism of anomalous effective charge:

Transfer of a small fraction of electrons from one Ti to the neighboring one is facilitated by the oxygen *p* orbitals perpendicular to the -Ti-O-Ti- chain.

Bhattacharjee, Waghmare (2010)

Like "charge superexchange"

Mechanisms in multiferroic ((A)FM+FE)

- Lone pair of A atom: FE and d electrons of B atom: FM Eg. BiMnO₃, BiFeO₃ (Ref. Wang et al, Science 299, 1719 (2003))
 - 2. Geometric (Structural) ferroelectricity in a magnetic compound, Eg. YMnO₃, InMnO₃ (hexagonal) (Ref. Van Aken et al, Nature Mat. 3, 164 (2004)).
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 - Proposed Mechanism: Superposition of two different charge-ordered states to give a dipole moment.
 Eg. La_{1-x}Ca_xMnO₃, x<0.5 (Ref. Efremov at al, Nature Mat 3, 853 (2004)).


Chemical origin of FE in BiFeO₃



Why is P so small?

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InMnO₃, YMnO₃: Improper ferroelectric (Geometric FE)



Primary order parameter involves rotation of the MnO polyhedra

It induces polarization as a secondary order parameter

Consequences: Weak dielectric response, P-switching is difficult!

Spaldin et al, Nature Mater. 3, 164 170 (2004). CNR Rao et al, J of Appl. Phys 100, 076104 (2006).

YMnO₃ Van Aken et al, Nat. Mat. 3, 164 (2004).



InMnO₃

- P=19 µC/cm² (as obtained from Berry phase) But the reference paraelectric str:
 - P = half integer quantum = 27 μ C/cm²

$$P(1) - P(0) = \int_0^1 \frac{\partial P}{\partial \lambda} d\lambda$$

• Measured P = 8 μ C/cm²

Energy difference (FE-PE) = 0.368 eV/f.u.
 eg. For PbTiO3 dE=0.1 eV/f.u.

P-switching expected to be a lot harder.

InMnO₃: Origin of ferroelectricity (geometric)

$$F = \alpha Q_{\Gamma}^2 + \alpha' (T - T_c) Q_K^2 + \beta Q_{\Gamma}^4 + \beta' Q_K^4 + \gamma Q_K^3 Q_{\Gamma} + \mu Q_K^2 Q_{\Gamma}^2$$

 Q_{K} : Tilting of MnO₅ polyhedra (unstable) Q_{Γ} : Ferroelectric mode (stable)

Born charges: Nominal (In, 3+), (Mn, 3+). Off-centering of In and Mn is not favored ~ 8 and 15 eV/A² (very stable)

 K_3 mode: is the *primary instability* (electrostatics) Γ mode feels a force once K_3 is frozen in.

InMnO₃: Response to Strain



Main structural response is through the K_3 mode which is the primary instability (soft mode)

Hence, the piezoelectric response is relatively weak ~ 0.15 C/m²

The same is expected in the dielectric response

"Geometric" ferroelectricity would *not* yield properties good for technology.

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Khomskii, Physics 2, 20 (2009). Cheong and Mostovoy, Nature Materials 6, 13 (2007)

Multiferroic BiFeO₃

BiFeO₃

What is known about multiferroic BiFeO₃?

Perovskite: Bi A sites; FeO₆ octahedra

- Rhombohedral *R3c* (10 atoms/cell)
- [Large distortions from cubic perovskite]
- Ferroelectric: Ps ~ 6 μ C/cm², T_c ~ 1120 K
- Bi³⁺(6s²6p⁰), Fe³⁺ (3d⁵) states
- Weak FM insulator, T_{AFM} ~ 650 K
- G-type, rock-salt spin order + spiral structure (~620 Å period)
- No optical measurements available





Properties of Bulk BiFeO₃





Bi: 0.62Å along (111) Fe: 0.23Å along (111) O: 0.30Å rotating about (111)

C. Michel et al, Solid State Communications, 1969 Spontaneous Polarization Ps=6.2µC/cm², Ec=20kV/cm Measured at liquid nitrogen T

J. R. Teague, et al. Solid State Communications, 8, 1970

Metallic nonmagnetic & ferromagnetic cubic perovskite structure



G-type antiferromagnetism in the cubic phase

Spins are rock-salt ordered

DOS remains high at E_F



Rotation about the [111] axis opens the band gap



Breaking inversion symmetry lowers energy



Perovskite design by epitaxy

- Are epitaxial thin films better materials?
- Perovskite oxides:
 - Unparalleled variety of electronic properties
 - Structural consistency
- Why epitaxy?
 - Atomic-level manipulation and control of structure
 - Order can be a good thing (fundamentals & applications)
 - Experiment and theory at the same length scale
- An example of previous success:
 - BST superlattices with enhanced polarization



A tetragonal phase of BiFeO₃?





R Ramesh s group Experiment finds: a=3.935 A c/a =1.01~1.02. α=90±0.1°.

Film could be tetragonal or monoclinic.





Hypothetical tetragonal phase



But: a polarization path is yet to be identified

Summ	ary: BiFeO				B a=3.935 Å				
BUIK			Bi Fe	03	D		0 ₁	Ep	itaxial
	Rhombohedral R3c (P _s [111])				Tetragonal P4mm (P _s [001])				
		LSDA	Expt			LSDA	Expt		
	Bi (2a) x Fe (2a) x O (6b) x y z	0 0.2308 0.5423 0.9428 0.3980	0 0.22077 0.5279 0.9333 0.3948		Bi (1a) z Fe (1b) z O ₁ (1b) z O ₂ (2c) z	0 0.41 -0.101 0.367			
	a (Å) α (°) V (Å ³) P_s(μC/cm²)	5.4590 60.36 115.98 6.61	5.6343 59.348 124.601 6.1		a (Å) c/a V (Å ³) P_s(μC/cm²)	3.935 [*] 1.016 [*] 61.91 [*] 63.2	3.935 1.016 61.91 50-60		

Fig. 3. Schematic of the prototypical rhombohedral (**A**) and tetragonal (**B**) BiFeO₃ unit cells. (I that computations were performed with a 10-atom body-centered tetragonal unit cell, which doubled to accommodate the G-type antiferromagnetic ordering.) The corresponding at positions and spontaneous polarizations from first-principles calculations are shown in (**C**) and respectively. Structural parameters for the rhombohedral R3c phases were taken from (13). asterisks in (D) indicate that lattice parameters were fixed to the experimental values of 200-nm film.

Neaton, Spaldin, Rabe, Ramesh et al, Science 299, 1719 (2003)

With

Chemical origin of FE in BiFeO₃



Why is P so small?

YCrO₃

(with Serrao, Kundu, Krupanidhi and C. N. R. Rao, Phys. Rev. B 72, 220101 (R) 2005).

- Known since 1955 (Katz, Acta Cryst. 55)
- Monoclinic structure P2₁/n (spg no: 14): Centrosymmetric!
- An AFM with weak ferromagnetism (T_N=140 K) (Judin and Sherman, <u>SSC (66)</u>).
- Experiments at JNCASR: Signs of ferroelectricity with a weak polarization.
 0.01 C/m² Tc ~ 425 K.

Questions: Is it ferroelectric?

What drives its ferroelectricity?



First-principles Determination of Structure

Lattice constants kept fixed at the experimental values.

Two paths:

- A Initial structure: $P2_1/n$ Break inversion symmetry and relax.
- B Initial structure: cubic perovskite Break symmetry with small random atomic displacements Relax the structure.

We get the same structure with inversion symmetry and space group no. 1. This structure is *lower* in energy than $P2_1/n$ by 0.025 eV/fmu. YCrO₃: Structure





No displacements of Cr.

 $\begin{array}{l} \mathsf{R}_{25} \text{ and } \mathsf{M}_{3} \text{ modes dominate (O)} \\ \text{(octahedral rotations)} \end{array} \\ \text{Weak component of } \mathsf{X}_{5}\text{'}, \, \mathsf{M}_{5}\text{'} \text{ and } \Gamma_{15} \text{ (Y and O)} \text{ -> } \\ \textbf{Ferroelectricity} \end{array}$

Electron Density of States: YCrO₃



Large crystal field and exchange splitting: d³

FM

AFM

YCrO₃: Born effective charges

Y: 4.52 (compare with 3.9 of Pb in PbTiO₃)
Cr: 3.52
O: -3.64, -2.24

Y at A-site drives ferroelectricity.

> We distorted cubic perovskite structure (G-AFM) with only Y displacements and find energy lowering of 0.09 eV/fmu!

Unstable phonons of the double-cubic structure:

- ~ 300 i cm⁻¹ (R25, octahedral rotation)
- ~ 100 i cm⁻¹ (Γ 15, ferroelectric mode with Y-O distortions)

Ferroelectricity is quite weak (0.03 C/m²). (with Serrao, Kundu, Krupanidhi and C. N. R. Rao, Phys. Rev. B 72, 220101 (R) 2005).

YCrO₃: interaction between Y and O

p and *d* states of Y couple with *p* states of O



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 Eg. La_{1-x}Ca_xMnO₃, x<0.5 (Ref. Efremov at al, Nature Mat 3, 853 (2004)).
- → 5. Defects (vacancies) in a ferroelectric

Oxygen Vacancies in BaTiO₃

Mangalam RVK, Ray N, Waghmare UV, A Sundaresan, CNR Rao, Sol. St. Comm 149, 1 (2009)

Multiferroic nature of BaTiO₃ nanoparticles

Multiferroic?

Materials that exhibit coupled

electric and magnetic order

Surface ferromagnetism & core ferroelectricity



With V. K. Mangalam, N. Ray, A. Sundaresan, U. V. Waghmare and C. N. R. Rao

Bulk BaTiO₃

Prepared by sintering nanoparticles at 1200 °C in O₂ atmosphere



BaTiO₃ Nanoparticles (50 nm)

Prepared by sintering nanoparticles at 500 °C in O₂ atmosphere






We consider 1.2 nm thick slab of BaTiO₃

• Seven [100] atomic planes terminated with TiO_2 planes

• Periodic supercell consisting of slab and vacuum layers with 2x2 unit cells periodicity of in-plane

FM state is lower in energy, FE arises from the core, spin-phonon coupling is at the surface



Result

- Oxygen vacancies at the surface has lower energy by about 1.2 eV per vacancy than bulk
- Ferromagnetic state is lower in energy by more than 10 meV with 2 μ_{B} per vacancy
- Ferroelectricity is weak at the surface
- Spin-phonon coupling: magnetocacitance

V. K. Mangalam, N. Ray, A. Sundaresan, U. V. Waghmare and C. N. R. Rao Solid state communication 149 (2009) 1-5

Opposite example: BaFeO_{3- δ} See Poster 69 by Pan, Bhowmik and Waghmare



BaFeO₃ transforms from FM state to AFM state with increasing oxygen vacancies (δ)

Other Materials with Magneto-electric Couplings

La₂NiMnO₆

Room temperature magneto-capacitance of La₂NiMnO₆ Double perovskite ε FM insulator $T_c \sim 280$ k dielectric anomaly \sim 200 H=1TH=Т 250 K

M A Subramanian et al, Advanced Materials 17, 2225 (2005).

La₂NiMnO₆: a ferromagnetic insulator





Superexchange interaction: FM between e_g^2 of Ni²⁺ and e_g^0 of Mn⁴⁺ -25 meV AFM between e_g^2 of Ni²⁺ and t_{2g}^3 of Mn⁴⁺ 19 meV

80

Spin-phonon Coupling



Vibrational motion results in change in Ni-O-Mn bond angles Changes in super-exchange interaction energy

Change in magnetic ordering

- Change in force constant between Ni, Mn and O
- \blacktriangleright Change in ω



Soft IR-active modes upon change in magnetic ordering

> Super-exchange Strong coupling between spin and soft phonon: Magnetocapacitanc ~ 20-40 %



Das, Waghmare, Saha-Dasgupta, Sarma, Phys Rev Lett (2008).

FeAIO₃



Anti-site disorder between Fe and AI: difference in ionic size change in the spin state





High spin state $\approx (4\mu_{\scriptscriptstyle B})$

Low spin state $\approx (1\mu_{\scriptscriptstyle B})$

With out disorder: FeAlO₃ is AFM
Disorder > Ferrimagnetism
Size mismatch AI:Fe
▶ Spin-phonon coupling

Experiments of CNR Rao and A Sundaresan: magneto-capacitance Work with Sharmila Shirodkar (2010)

SUMMARY

- Interesting Physics of Magnetoelectrics Different routes to *multiferroics* Spin-phonon coupling
- Sensitivity to Chemistry, Structure (strain), Disorder, Vacancies Vicinity to different instabilities, phase transitions
- Challenge:

To develop a material with significant ME-coupling at RT