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THEORETICAL  
SCIENCES

TATA INSTITUTE OF FUNDAMENTAL RESEARCH

# Space-time and Gravity: From Newton to Hawking and Beyond

ICTS Einstein Lecture - Assam University, Silchar

8 October 2018

Spenta R. Wadia

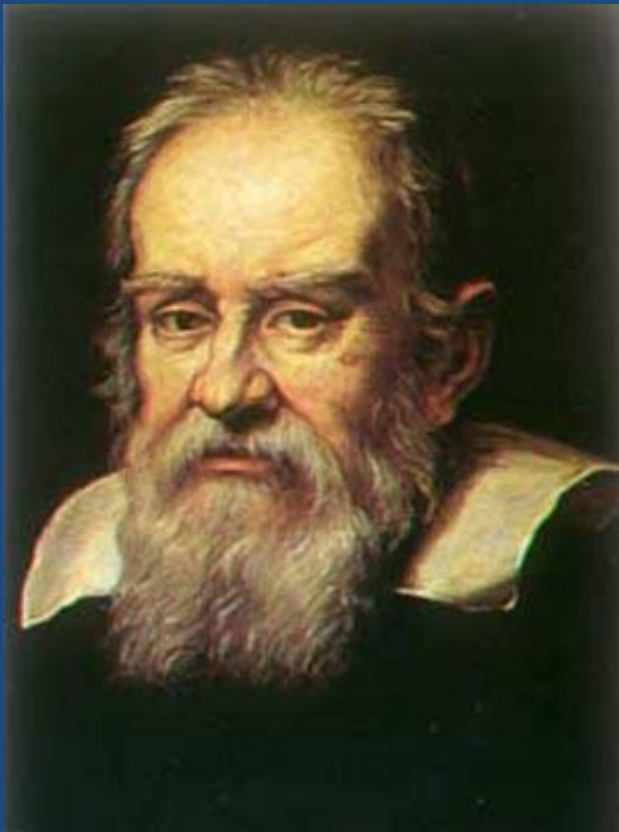
# Galileo (1564-1642)

A pioneer of the modern scientific method

Discovered a new law of gravity

Gravity acts in the same way on all bodies: they all fall in the same way independent of their mass:

$m_{\text{inertial}} = m_{\text{gravitational}}$   
( $1/10^{13}$  precision, today) Plays a key role in Einstein's theory of General Relativity

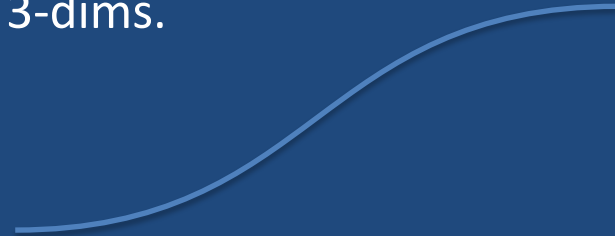




Isaac Newton (Principia  
Mathematica 1687)  
**Establishes a framework of  
mechanics**



Newton formulated the laws of motion in terms of the flow in time of the position of a point particle in 3-dims.



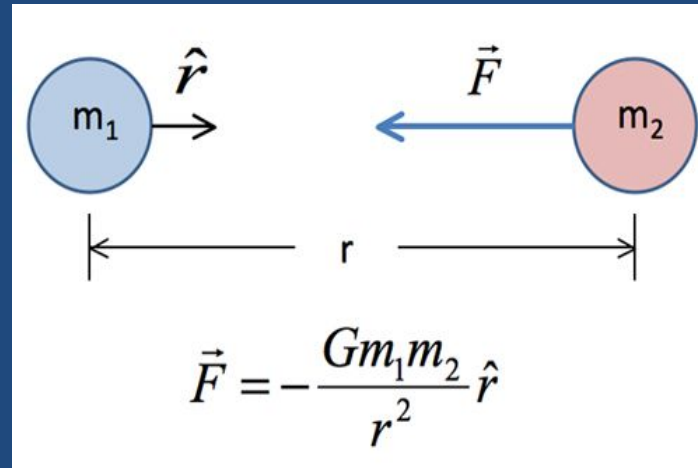
$(x(t), y(t), z(t))$

Time is absolute and the same for all observers. Coordinates may be rotated or moved with constant velocity.

**Newton's law of motion:**

**Force** =  $m_{\text{inertial}}$  **x Acceleration**

# Newton's law of Universal Gravitation



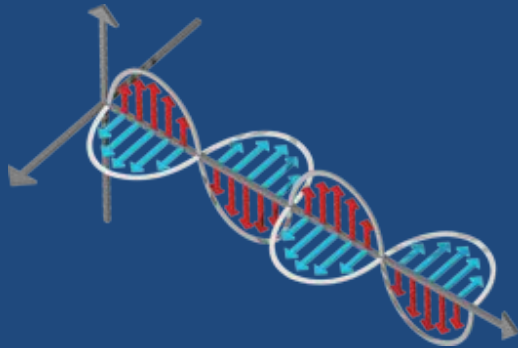
## Force acts instantaneously at a distance

*Newton (1692):* "That one body may act upon another at a distance through a vacuum without the mediation of anything else, by and through which their action and force may be conveyed from one another, is to me so great an absurdity that, I believe, no man who has in philosophic matters a competent faculty of thinking could ever fall into it."

*Newton (1713)* "I have not yet been able to discover the cause of these properties of gravity from phenomena and I feign no hypothesis. It is enough that gravity does really exist and acts according to the laws I have explained, and that it abundantly serves to account for all the motions of celestial bodies."

# Electric and Magnetic Fields and Waves

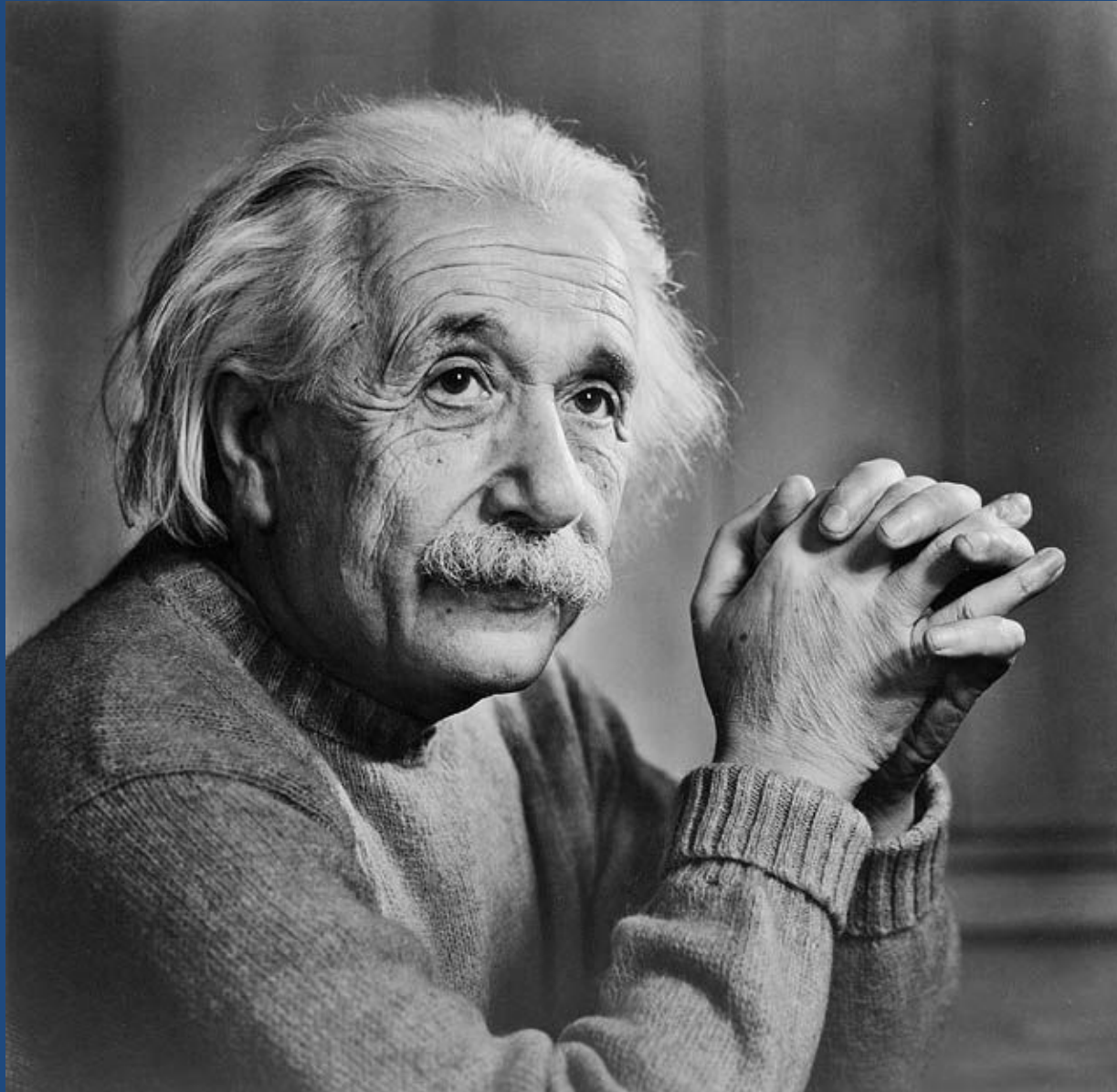
James Clerk Maxwell unified electricity and magnetism, predicted the existence of electromagnetic **waves** and **identified light as an electromagnetic wave of oscillating electric and magnetic fields** moving with a speed  $c$  (in vacuum) (1865):  $c = 3.1 \times 10^5$  kms/sec



Heinrich Hertz demonstrated existence of radio waves that were predicted by Maxwell's theory with properties exactly the same as visible light (1887)



# Albert Einstein (1879-1955)

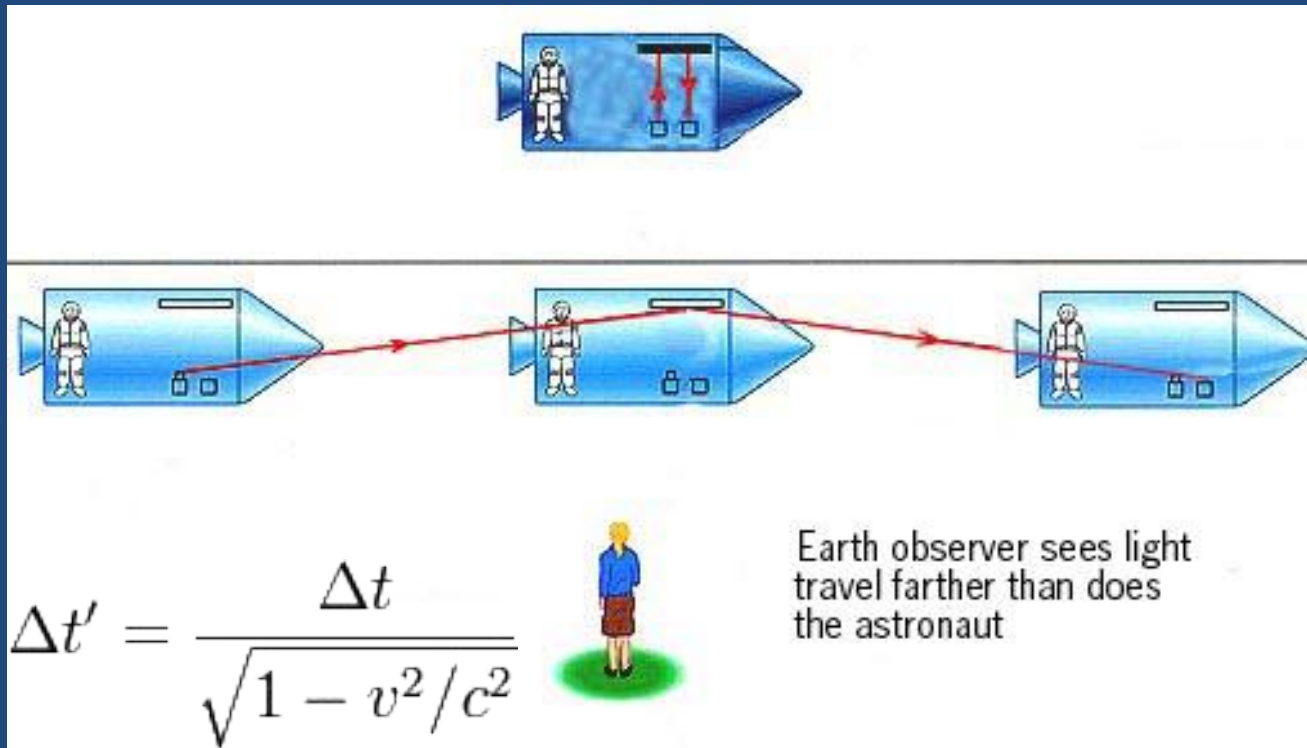


# Lorentz, Poincare, Einstein: Special Relativity (1905)

## Implications of Maxwell's theory:

Speed of light is the same whether you run towards it or away from it. Space and time have to adjust themselves to ensure this!

*Time intervals between events depend on your state of motion; things happen (according to us) more slowly for a moving observer than for us.*



# Einstein: Newton's law of gravity in conflict with special relativity and why only special relativity?

- In Newton's law of gravitation the force of gravity acts instantaneously. Not consistent with Special Relativity! Einstein would like to have the force of gravity communicated at the speed of light by a field analogous to the electro-magnetic field of Faraday and Maxwell.
- Special Relativity is restricted to frames with relative constant velocity, but the laws of physics must be valid in any reference frame including those which are accelerating...
- Minkowski- 4-dim space-time:  $ds^2 = (dx_4)^2 - (d\mathbf{x})^2$
- A possible resolution occurred in 1907: The Principle of Equivalence (*Einstein: "the happiest/luckiest thought of my life"*). From here to the discovery of the final equations of General Relativity in 1915 was a tortuous and awe inspiring journey.



# 100 years ago in Berlin

- In 1915, in a series of weekly (Thursday) presentations to the Prussian Academy in Berlin, (Nov 4, Nov 11, Nov 18, Nov 25, 1915) Albert Einstein put forth his General Theory of Relativity.
- Nov 25, 1915 is significant because it is on this day the complete and correct equations were presented for the first time:

*A. Einstein, Die Feldgleichungen der Gravitation. Sitzungsberichte der Königlich Preussischen Akademie der Wissenschaften (Berlin) 1915, 844–847 (1915)*

- GR overturned the Newtonian view where space is a static arena and time is absolute and unchanging. In GR space-time is a dynamic arena and gravitation is a consequence of the curvature of space- time.
- Einstein's theory has passed many tests in the 100 years of its existence but the most spectacular test happened in 2015...

On 14 September 2015, at the LIGO sites gravitational waves were detected



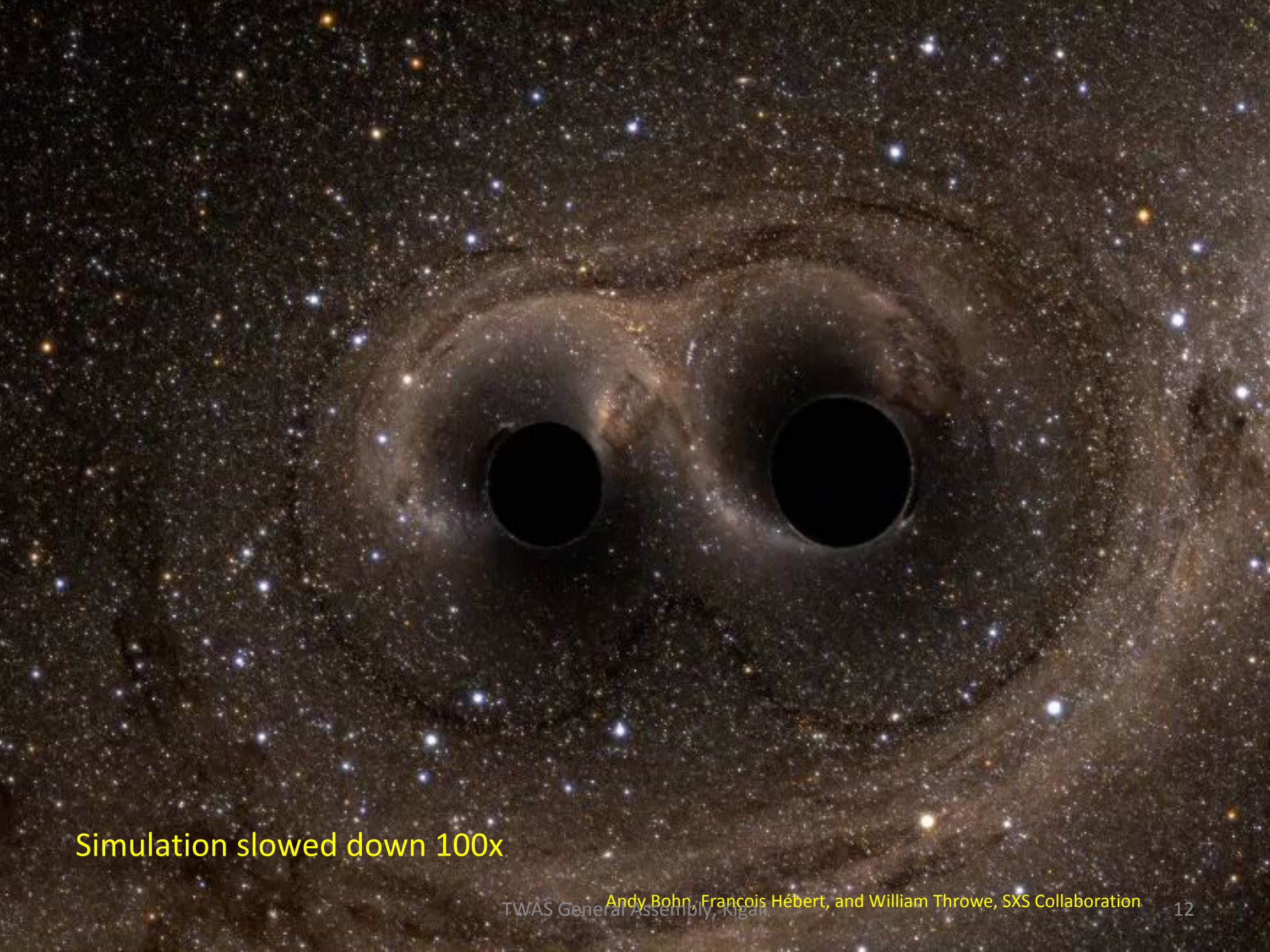


# Two Black Holes 1.3 Billion Years Ago (Give or Take)

Black Hole #1  
36X more massive than the Sun  
210 km in diameter

Black Hole #2  
29X more massive than the Sun  
170 km in diameter





Simulation slowed down 100x



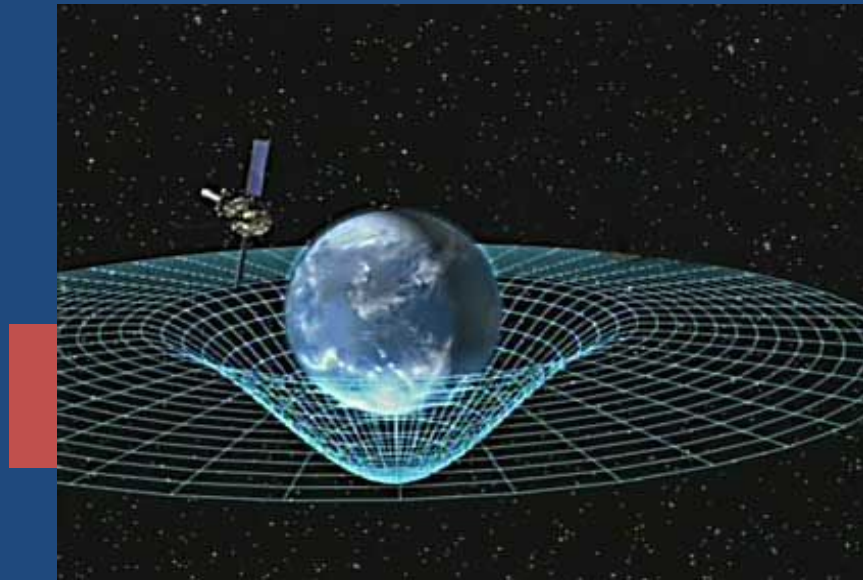
# General Relativity

Einstein 1915

The equations of GR describe the shape changes of the geometry or fabric of space-time caused by massive objects in which other objects move.

In a curved space-time an object follows a path that maximizes the time in the frame of the object (proper time).

In GR the space-time grid is 'elastic', communicative and causal...but very very stiff!



# Einstein's Equations of General Relativity

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi \frac{G}{c^4} T_{\mu\nu}$$

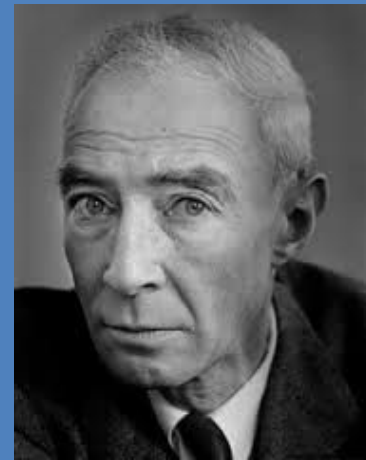
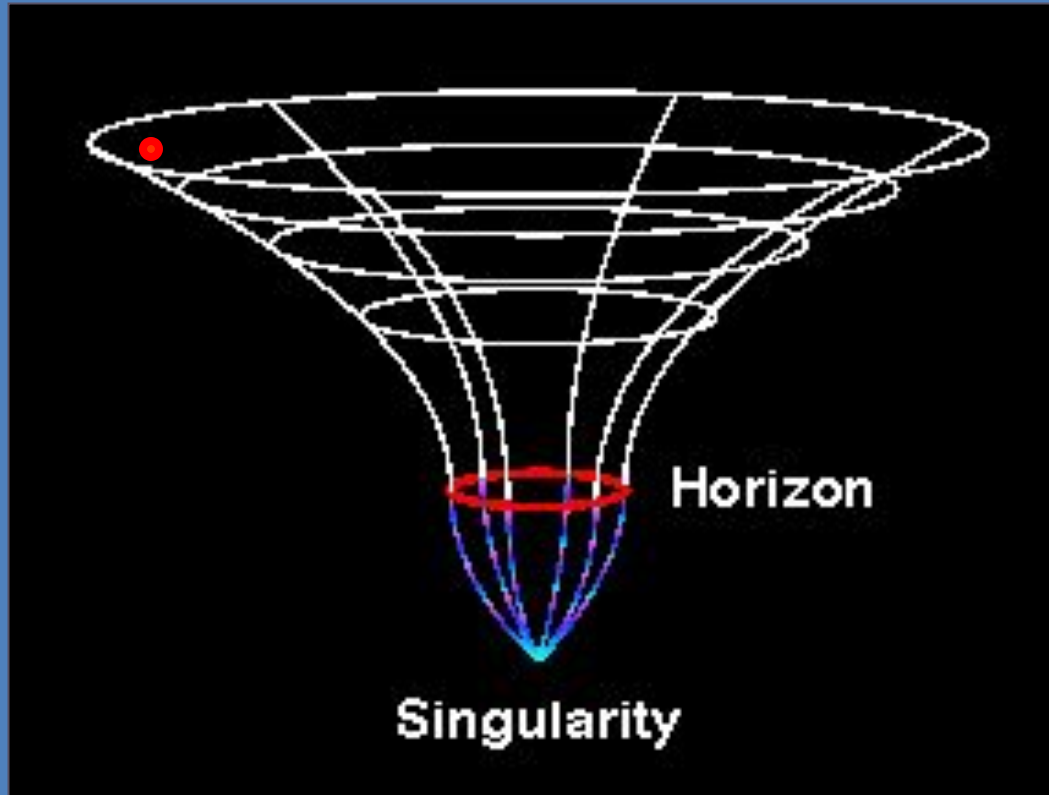
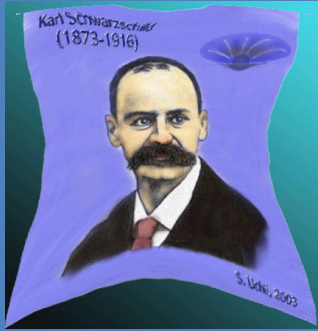
Note:  $G/c^4 \sim 10^{-43}$  in appropriate units

# Solutions of Einstein's equations

- Black Hole solution (Schwarzschild; 1916)
- Expanding and accelerating space-time (Friedman, LeMaitre, Robertson, Walker; 1922, 1927 )
- Gravitational waves (Einstein; 1916)

GR becomes a framework to discuss black holes, cosmology and gravitational waves

# Einstein's theory predicts Black Holes

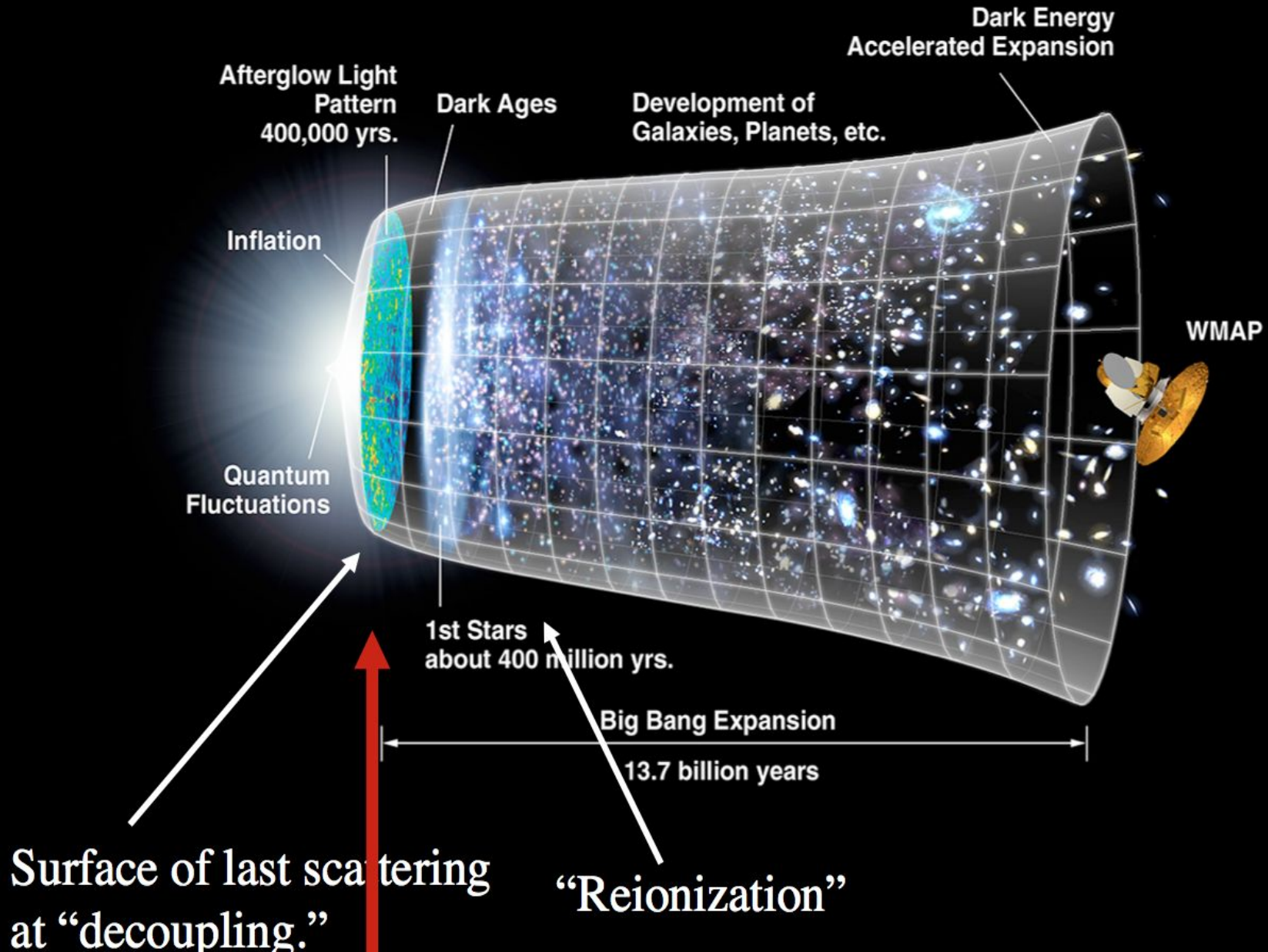


Schwarzschild

Chandrasekhar & Oppenheimer



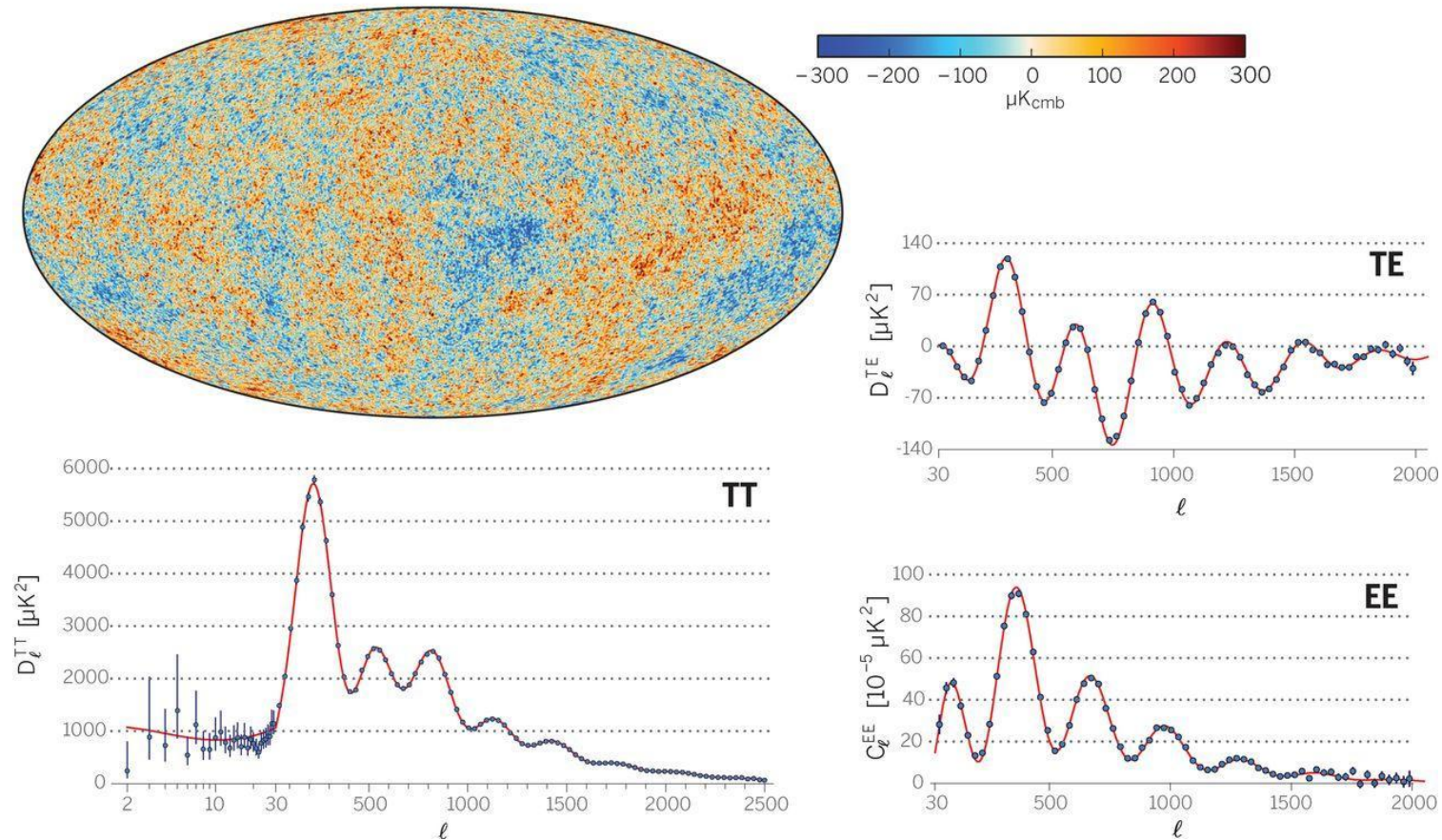
# The Standard Model of Cosmology



# Recent microwave background data from the Planck satellite.(Top left) The best fitting map of the temperature fluctuations.

## Microwave background data from the Planck satellite

Supports the application of general relativity, including the cosmological constant, on cosmological scales

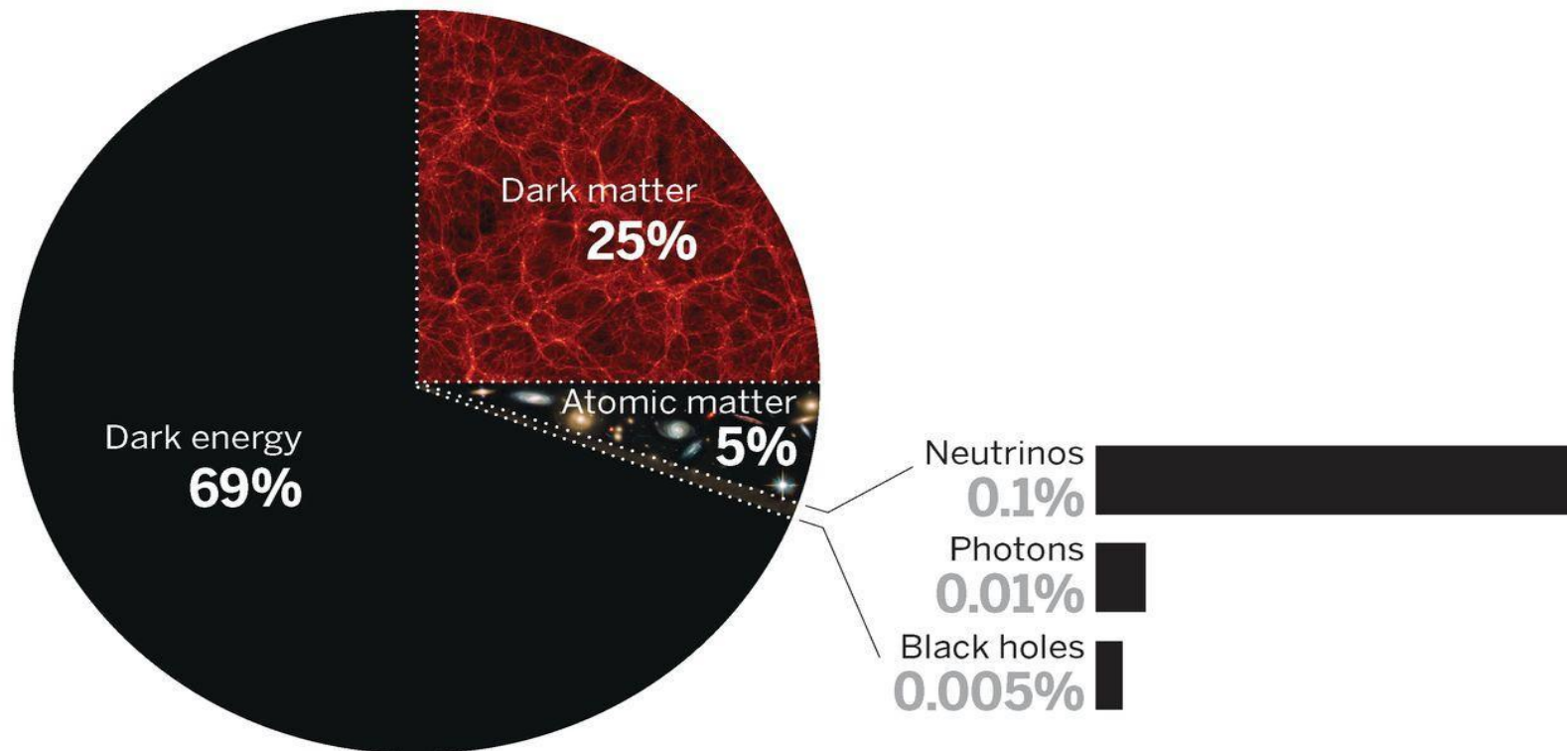


R. D. Blandford Science 2015;347:1103-1108

The multiple components that compose our universe. Dark energy comprises 69% of the mass energy density of the universe, dark matter comprises 25%, and “ordinary” atomic matter makes up 5%.

## The multiple components that compose our universe

Current composition (as the fractions evolve with time)



David N. Spergel Science 2015;347:1100-1102



# Gravitational Waves

- Gravitational waves (GWs), ripples in the fabric of space-time, were predicted by Einstein in 1916 and detected in 2015.
- Indirect observation in binary pulsar (Hulse & Taylor, 1974)
- **GWs will profoundly influence our knowledge of the universe and its past...it will see what 'light' cannot.**
- **Waves need to be understood in terms of 'gravitons'.**

accelerating  
charges  
(time-varying  
dipole  
moment)

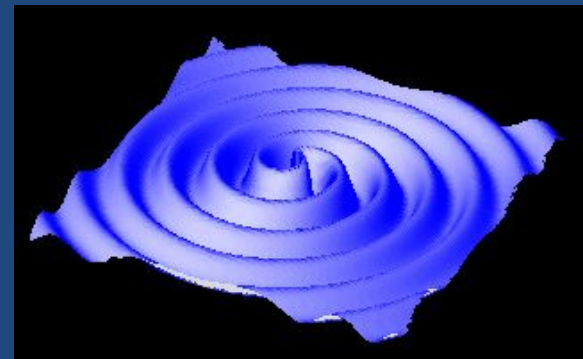


electromagnetic  
etc waves  
→ photons

accelerating  
masses  
(time-varying  
quadrupole  
moment)



gravitational  
waves →  
gravitons





The observations by LIGO verified  
two spectacular predictions of  
classical General Relativity:

Gravitational waves ... ripples in  
the fabric of space-time ...

and

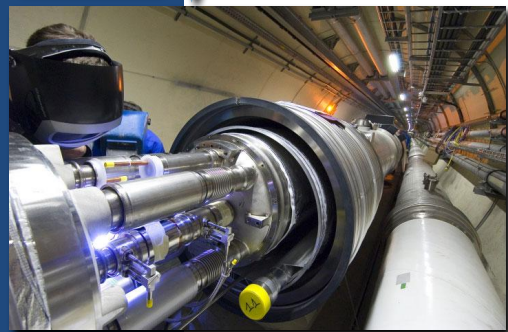
Black Holes

# Quantum Mechanics (early 20<sup>th</sup> century)

Physics at small scales or rather the real theory of the natural world.

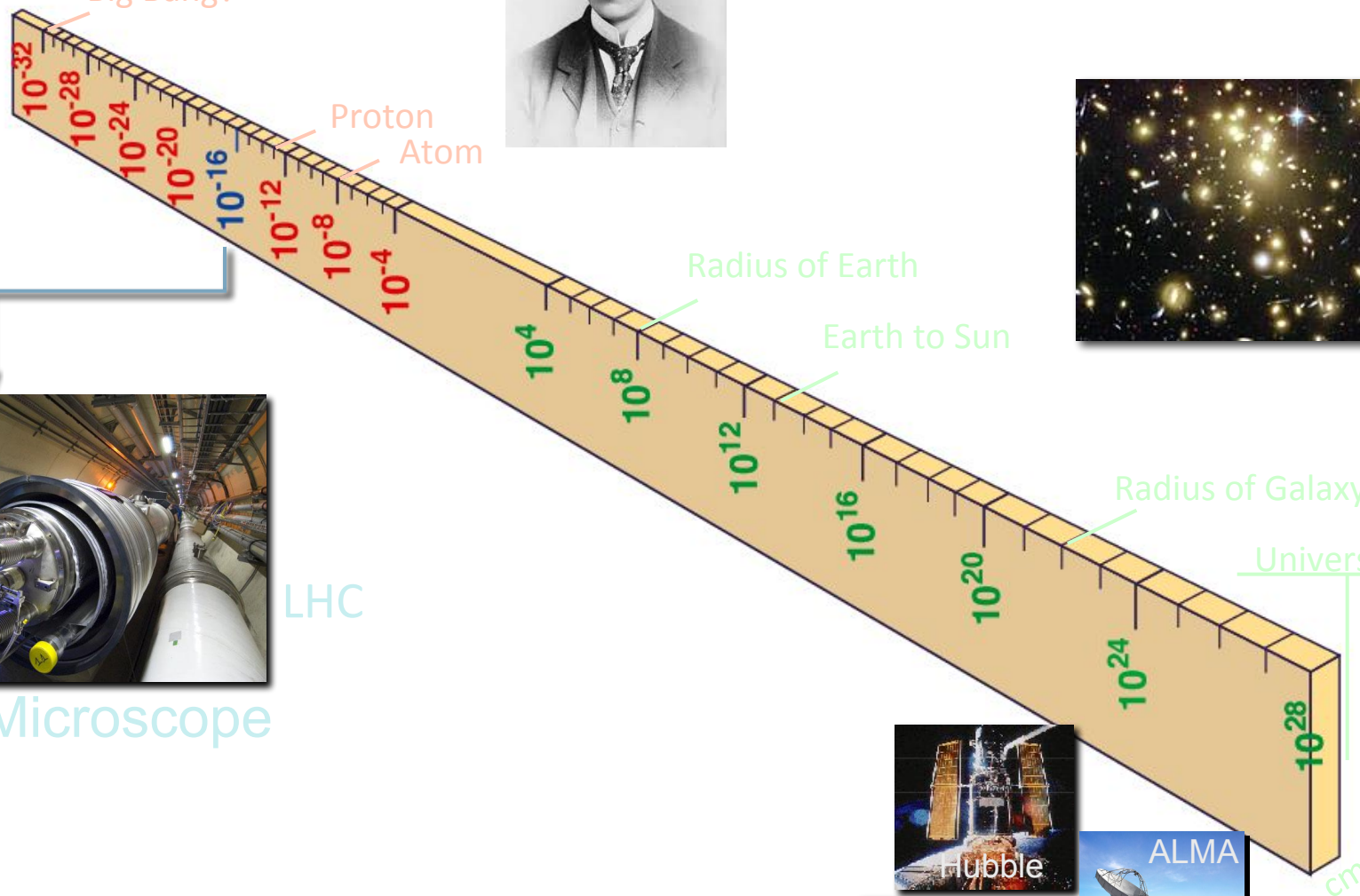
# Quantum Gravity

Big Bang?



LHC

Super-Microscope



Hubble



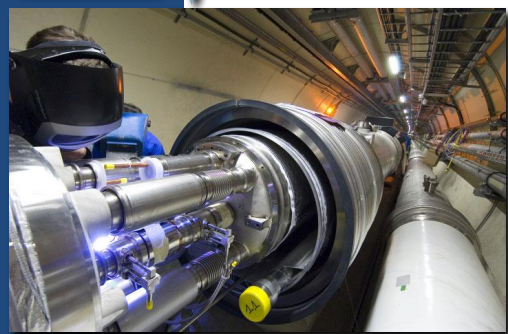
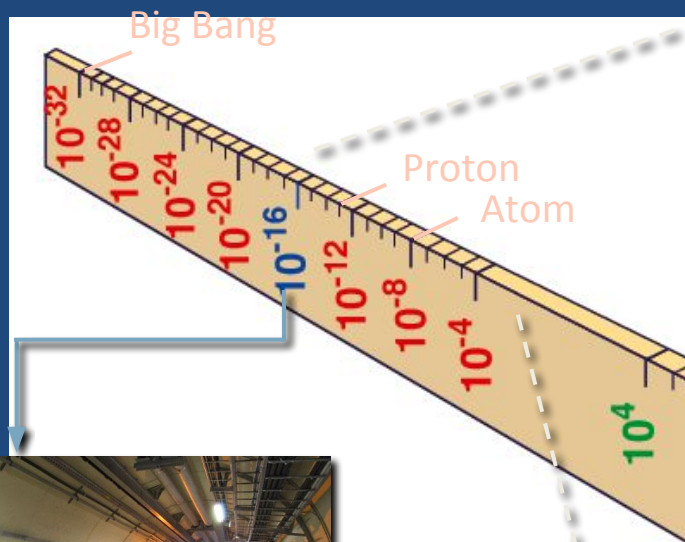
JAMS



ALMA



VLT



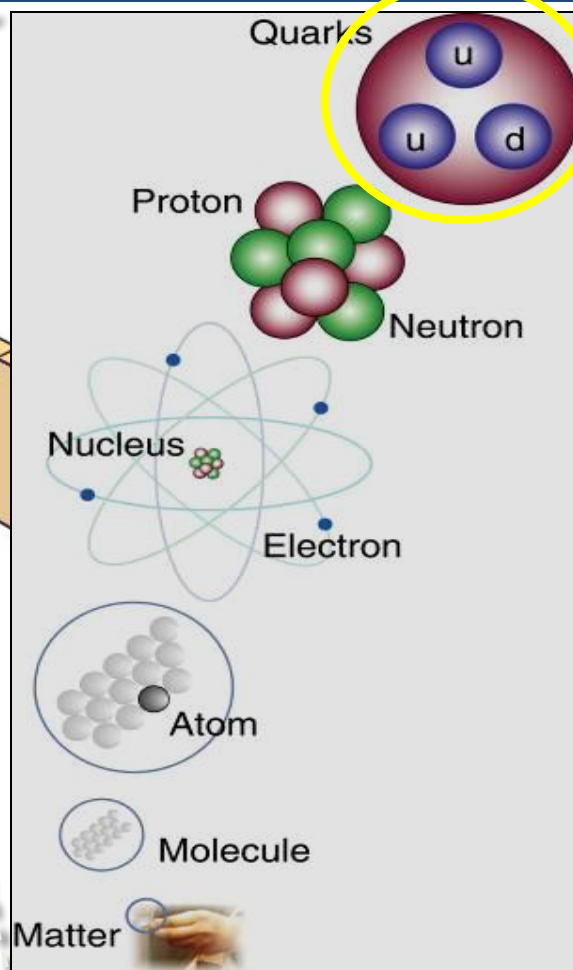
LHC

Super-Microscope



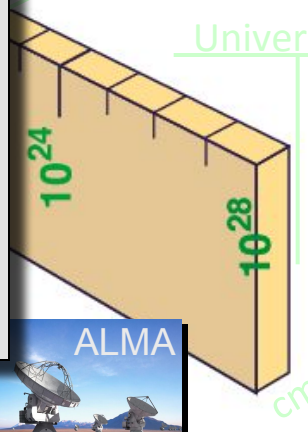
Univers

Astrophysics and Cosmology



Radius of Galaxy

Universe



AMS



ALMA

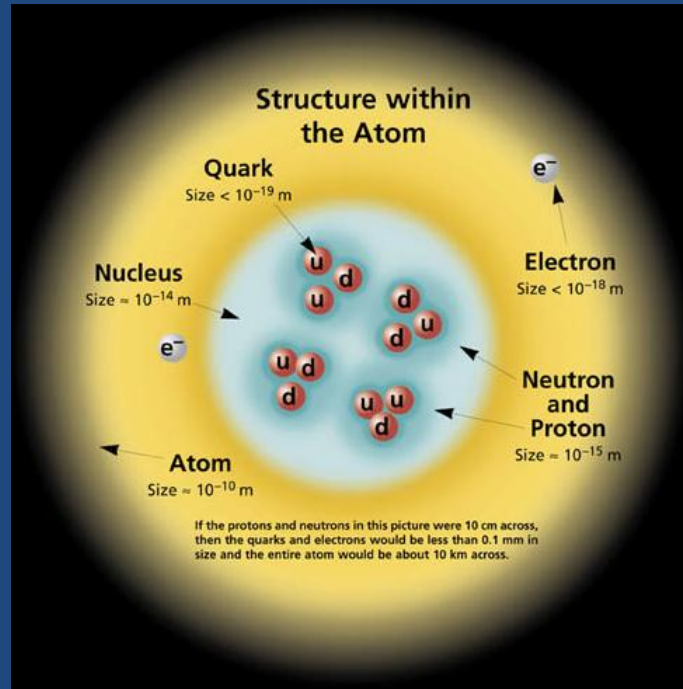


VLT



# Quantum Mechanics

- A 20<sup>th</sup> century scientific revolution. New laws for all microscopic particles



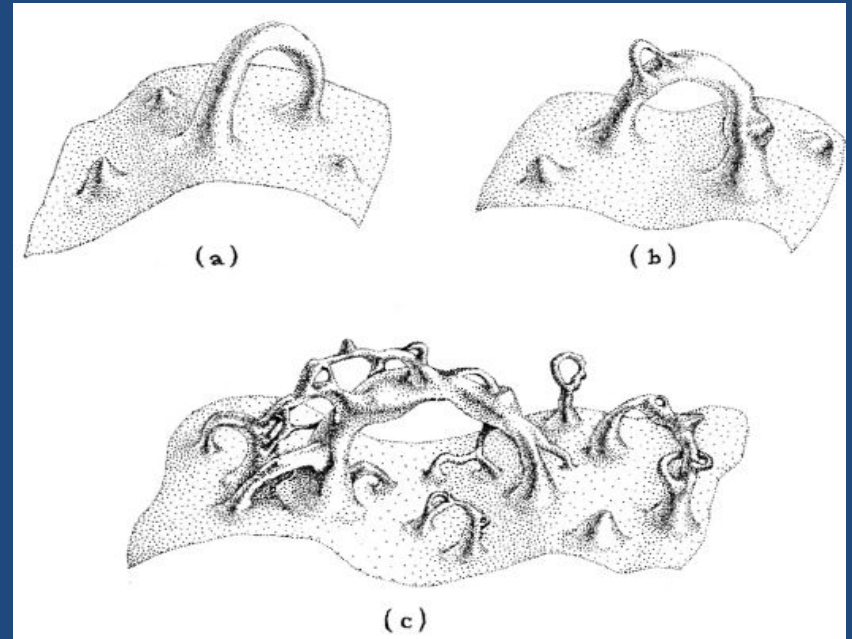
- Electronic devices, lasers, colliding elementary particles in the LHC in Geneva all follow the laws of quantum mechanics ... tested to  $10^{-16}$  cms. Macroscopic effects...Superfluidity

# GR and Quantum Mechanics

QM (the uncertainty principle) implies that the fabric of space-time cannot be smooth at Planck space-time scales, and the Einstein theory breaks down at extremely short distances ( $10^{-33}$  cm) and short times ( $10^{-44}$  s). Quantum fluctuations (jitters) do not allow sensible calculations in GR treated as a standard quantum field theory.

We need a quantum theory of gravity to understand: the big bang and gravity waves that will carry messages from the earliest times in the universe when there was no light; to resolve black hole conundrums; to address the energy budget of the universe ...which is mostly dark energy ...

- Einstein's theory CANNOT be quantized consistently!



- Perhaps not the right Question?
- Classical gravity emerges in an entirely different way?

## Emergent Picture: Einstein's theory is an effective geometrical theory analogous to a fluid description of water



Drop a big pebble in a calm lake

It will cause a wave (distortion) to travel outwards from where it was dropped.

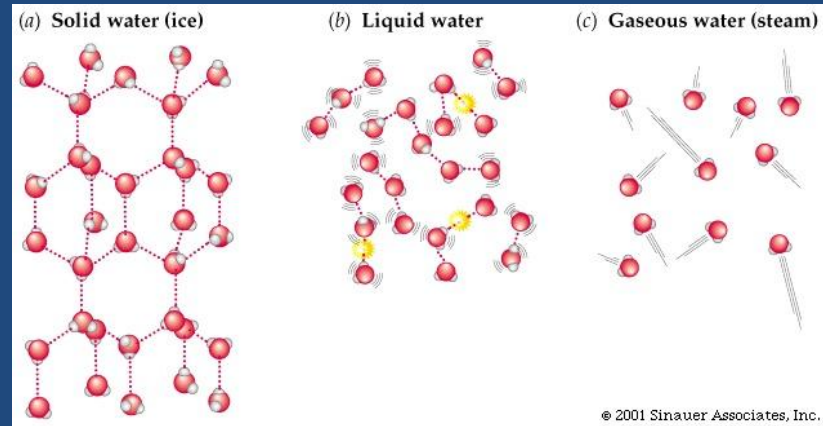
The wave will travel at the speed of sound in water and its effect will be felt a distance away.

The passing wave will wiggle a small sized object floating in the water!

There is a cause and an effect communicated by a wave traveling at a definitive speed...analogous to gravitational waves set off by colliding black holes.

# The hidden structure of water/space-time?

- Water has a molecular structure underlying its smoothness... and various phases!



What is the hidden structure underlying the 'smooth' geometry of space-time?

- What are the analogues of the molecules of water in Einstein's theory gravity?
- Clue** in the study of **black holes** (which are predicted by Einstein's theory and exist in nature)... in **String Theory**



In Quantum Mechanics black holes are hot due to the presence of a horizon (Hawking):

- $T_{\text{sun}} = 3.6 \cdot 10^{-7} \text{ K}$
- $T_{\text{earth}} = 0.1 \text{ K}$
- $T_{M=10^{18} \text{ kg}} = 7000 \text{ K}$  (white light)
- Hot bodies have energy in the form of heat which is measured by a quantity 'S' called 'Entropy'.
- Bekenstein-Hawking:
- 'Area' is of the horizon of the black hole

$$T_H = \frac{\hbar c^3}{8\pi G k_B M}$$

$$S = \frac{\text{Area}}{(10^{-33} \text{ cm})^2}$$



# Hawking Radiation

Like all hot bodies black holes radiate.

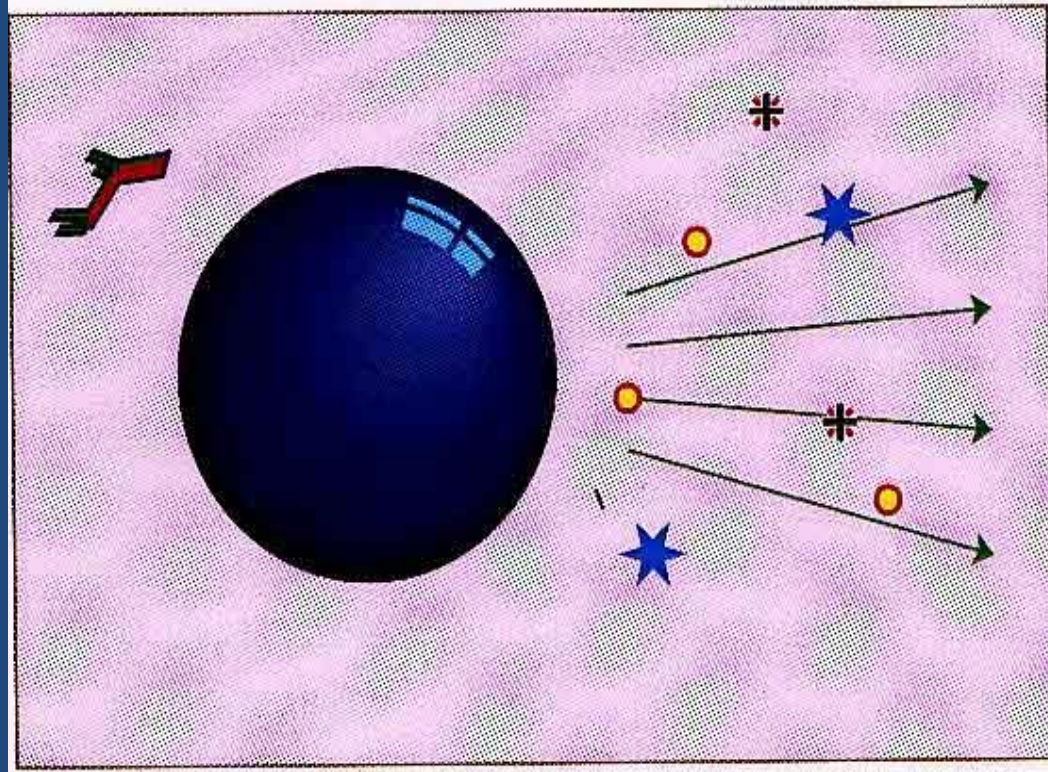
A black hole forms in various ways, but it always evaporates in the same way leading to **information loss**

as there is no memory of how it was formed!

Area theorems of GR:

Bh1+Bh2  $\rightarrow$  Bh(12)

$$A_{12} \geq A_1 + A_2 \quad S_{BH} = \frac{kc^3}{4\hbar G} A$$

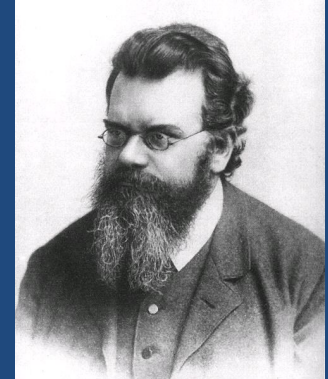


Black holes + radiation satisfy all the laws of thermodynamics



# Information loss

Boltzmann



Statistical Mechanics:

**Information loss is not consistent with quantum mechanics. It is understood as due to a averaging process when there are a large number of internal states N.**

Entropy is a measure of the number of internal states that make up the system:  $S_{\text{boltzmann}} = k \log N$ .

Is it true that  $S_{BH} = \frac{kc^3}{4\hbar G} A = k \log N$  ? **YES**

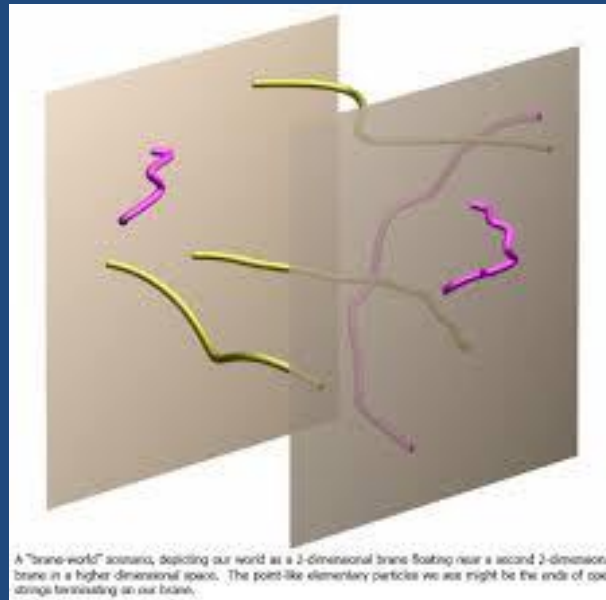
# String Theory...1960...1994/95.. 1996...1997...

- In the 1960s a framework was serendipitously discovered in attempts to understand the spectrum of strongly interacting elementary particles....it's basic degrees of freedom are extended objects the simplest being the one dimensional string...
- String theory is consistent with quantum mechanics

# Black Hole Micro-states

- String theory has the degrees of freedom beyond the gravitons of Einstein's theory that underlie the smooth geometric description. These are 'mem-branes' of various dimensions: 0, 1, 2, ..., 9. Special ones are D-branes (Polchinski)

e.g. 2 D-branes  
Interacting via open  
String exchange.



- They are 'analogous' to the molecules of water which are the underlying bits of the smooth fluid.



Black holes can be built out of more elementary degrees of freedom: branes

Andrew Strominger and Cumrun Vafa found this astonishing fact in 1996 and provided the first concrete evidence in a calculable model that the black hole space-time is a sort of a hydrodynamic description of more basic underlying constituents!

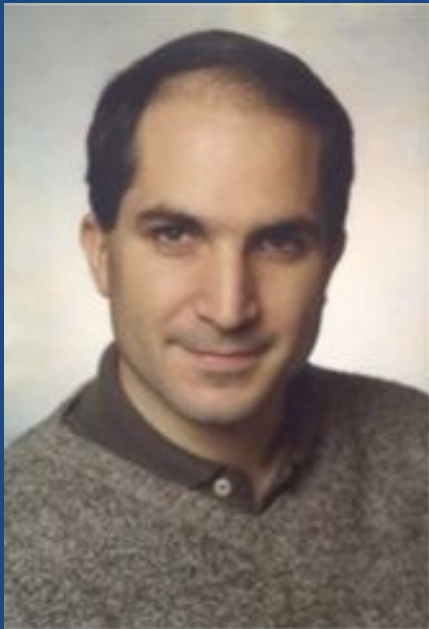
Hawking radiation and BH thermodynamics can be calculated in the framework of statistical mechanics in this constituent model of the black hole! (Dhar, Mandal, SW, Das, Mathur, Callan, Maldacena)

$$S_{\text{BH}} = \text{Area}/4A_{\text{pl}} = k_b \log N = S_B \text{ is true!}$$

- Micro-state counting gives rise to  $N$  and hence  $S_{\text{BH}}$ . Slightly excited black holes Hawking radiate due to interaction of micro-states with elementary string quanta like gravitons...
- We have found a model microscopic theory in which Einstein's space-time is a coarse grained and long wavelength description!! Just like flowing water is a coarse grained description of a large number of water molecules.
- It is in this sense quantum mechanics and the new degrees of freedom of quantum gravity make Einstein's theory an approximation to an underlying reality that we have just begun to explore.
- Insights from black holes paved the way for another development which provides a powerful conceptual and calculational tool: the Holographic Principle.

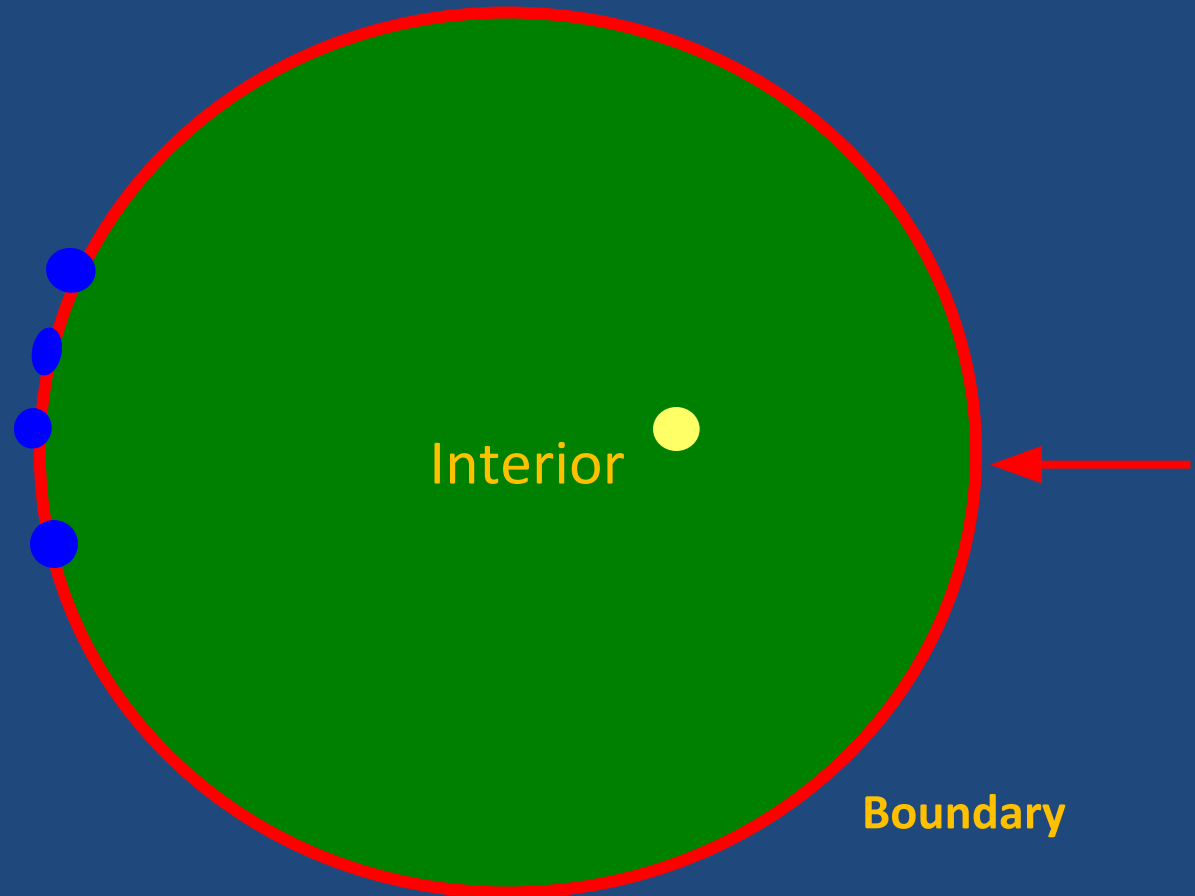
# Precise Holography conjecture: A conceptual and computational tool

Juan Maldacena (1997)



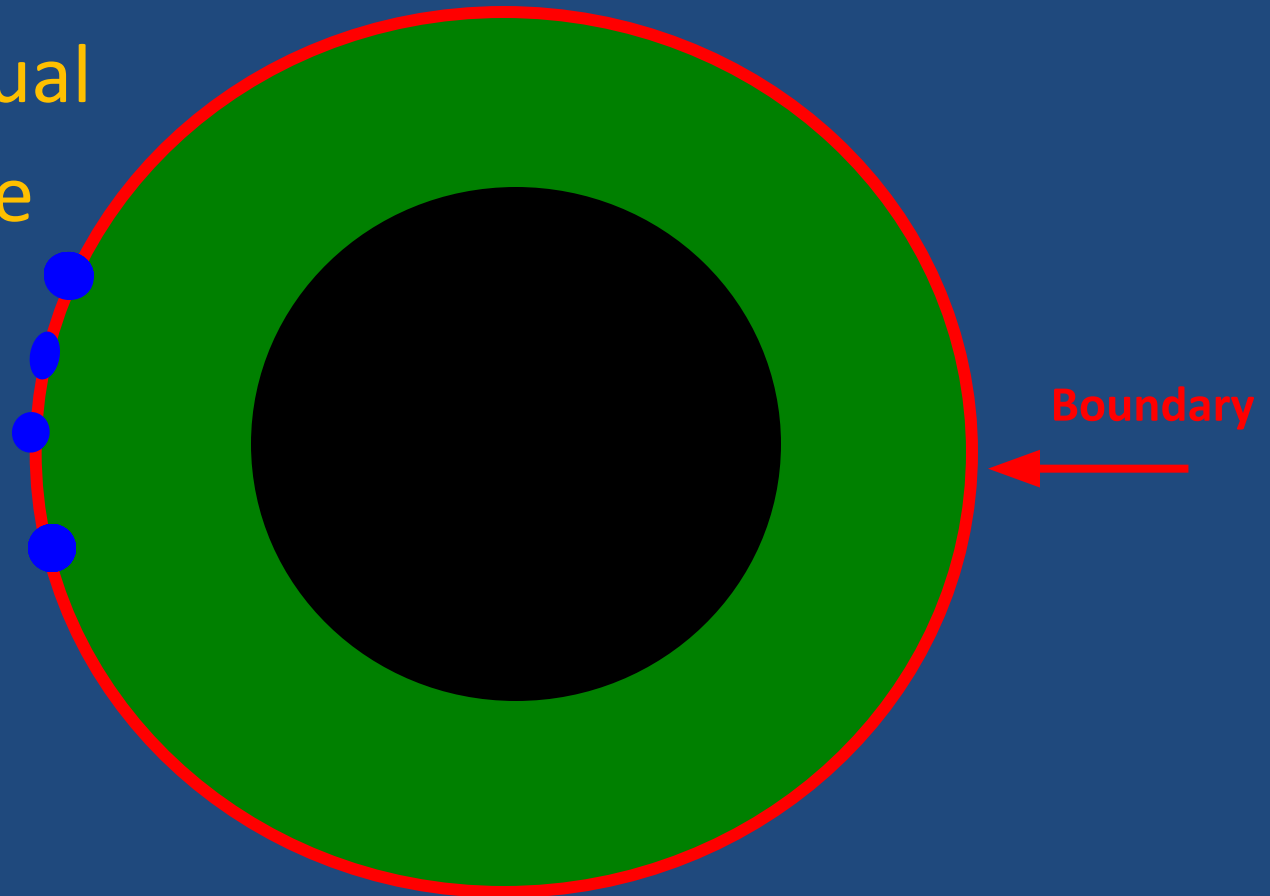


Gravity in the interior →  
Described by interacting particles on the boundary.



Black holes correspond to a large number of particles on the boundary

BH in AdS is dual to QFT at finite temperature



Temperature and entropy → motion of particles on the boundary

# Applications of AdS/CFT

- Strongly coupled systems and fluid dynamics corresponds to dynamics of BH horizons:

$$\eta/s = (1/4\pi)\hbar/k_b \quad (\text{Policastro, Starinets, Son})$$

Fluid dynamics equations are derivable from small motion of black hole horizon (Bhattacharya, Minwalla et al)

Entanglement Entropy of strongly coupled systems and Ryu-Takayanagi-Hubeney-Rangamani formulas

Applications to quantum chaotic systems:  $\lambda \leq 2\pi kT/\hbar$   
(Maldacena, Shenker, Stanford,, Kitaev...)



# Information Puzzle within AdS/CFT

What are the internal degrees of freedom of a black hole as perceived from the view point of the theory on the boundary?

(Papadodimas-Raju)

Worm holes and solution to information puzzle.

(Maldacena et al)

Can one study BH formation and calculate the Choptuik exponent?

Can be done in topy models like SYK....(to appear)

# Concluding remarks

In string theory, quantum mechanics of black holes points to a revolutionary fact that space-time has more degrees of freedom than the metric. This fact leads to intriguing connections of string theory with different parts of physics and mathematics.

In particular the 'holographic' description of Maldacena besides providing an exact description of quantum gravity enables the string theory framework to make contact and contribute to fluid dynamics, superfluidity, thermalization in large systems, strongly coupled quark gluon plasma, condensed matter systems...and mathematics.

It also has the tenet to present a unified theory of the physical world and the universe at large.

# The Legacy of Einstein for Theoretical Physics

- i) The fundamental role of symmetry in the formulation of the laws of physics. This was an important motivation in arriving at the gauge principle which is at the foundation of the standard model of elementary particles.
- ii) The discovery of the laws of nature **by logical invention based on general principles**, which can subsequently meet the test of experiment. e.g. the Dirac equation for the electron.
- iii) String theory carries forward the legacy of Albert Einstein. Its goal is to present a unified theory of all elementary physical laws that govern our universe (or even other universes!)

# Acknowledgement

- Stan Whitcomb for slides pertaining to LIGO
- Juan Maldacena for the animation about black holes
- Various sources, including 'Einstein Online' of MPI, Albert Einstein Institute for illustrations.



# Thank You

