

Flux periodicity in higher order topological superconductors

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Work in progress with
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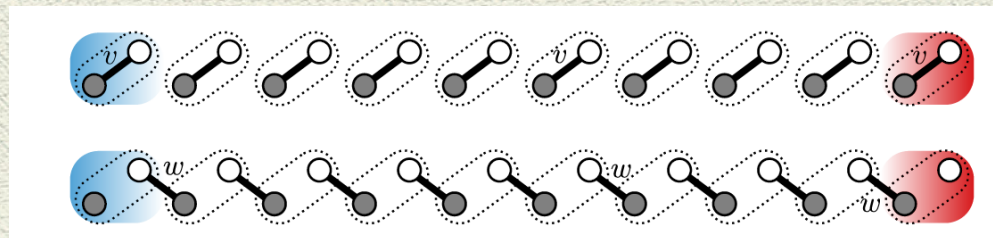
Plan of the talk

- ◆ Brief introduction to higher order topological insulators and superconductors
- ◆ Flux periodicity of superconducting rings
- ◆ Our work and results

What are topological insulators?

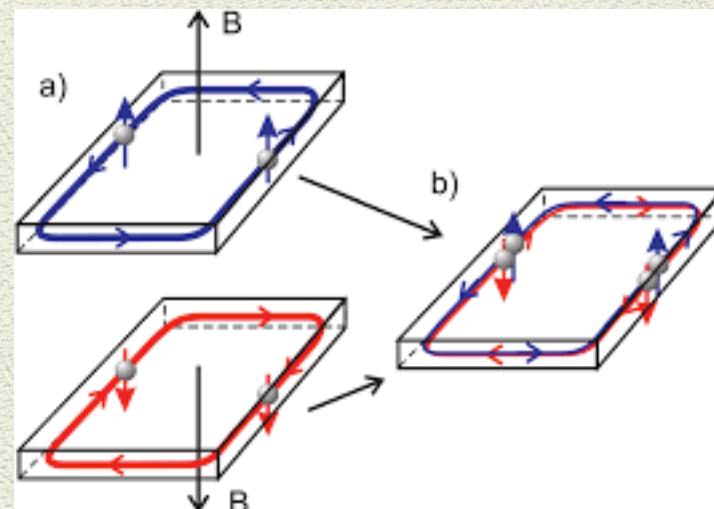
- ◆ They are insulators which have some property to which an integer can be assigned which depends only on global properties and cannot be destroyed by impurities or disorder - topological protection
- ◆ At boundaries between different topological phases, can have gapless edge or surface states

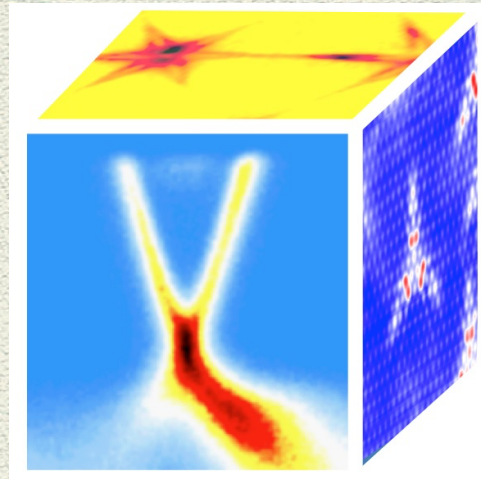
- ◆ Standard picture for topological insulators - gapped d -dimensional bulk and gapless $d-1$ dimensional edge states
- ◆ Simplest example is the Su-Schrieffer-Heeger model in one dimension ($d=1$)



- ◆ Two distinct phases, one of which has edge states ($d-1=1-1=0$ dimensional modes) and one of which does not (non-topological phase)

- Quantum Hall effect and quantum spin Hall effect in two dimensions ($d=2$) with ($d-1=1$) dimensional edge states





- ◆ Topological insulators in three dimensions ($d=3$) with $3-1 = 2$ dimensional gapless surface states

Higher order topological insulators

- ◆ d- dimensional gapped bulk with (d-n) dimensional gapless edges - n^{th} order topological insulator

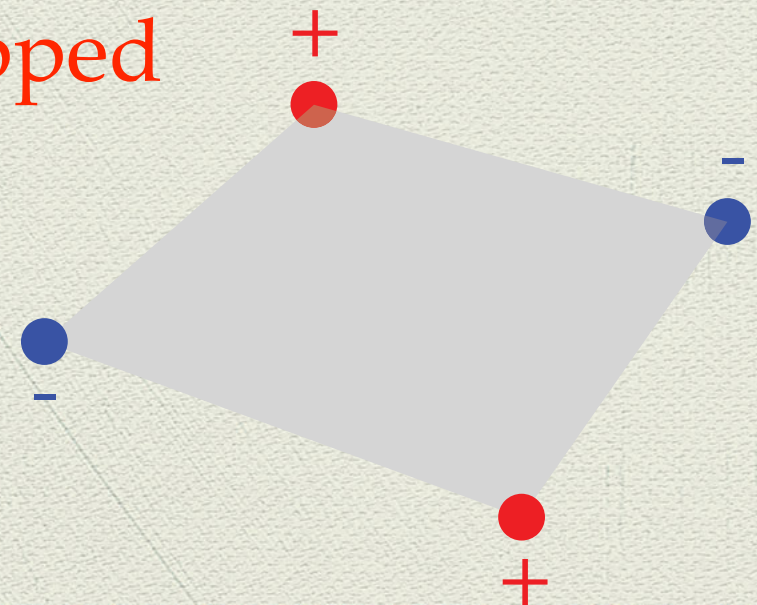
Benalcazar, Bernevig, Hughes, Science, 2017; PRB, 2017

Schindler *et al*, Science Advances, 2018

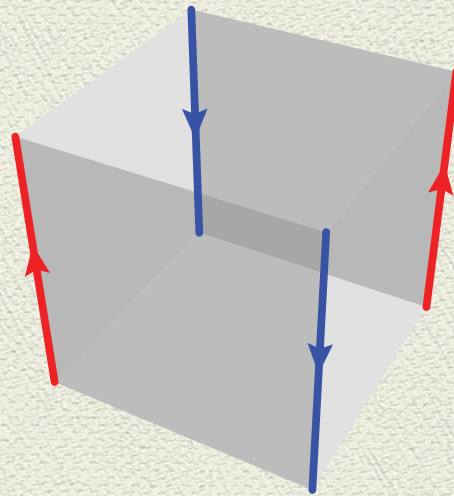
Langbehn *et al*, PRL, 2017

Zhida *et al*, PRL, 2017

- ◆ Examples - two dimensional lattices with zero dimensional gapless corner states - edge states gapped



- ◆ Three dimensional lattices with hinge states



- ◆ Here surfaces are gapped, only states localised on the hinges are gapless

- ◆ So even if band structure of material appears to be topologically trivial at first order, it can still have non-trivial topology
- ◆ In a certain sense, the bulk is gapped, and edges are also gapped, but are themselves topological, so that they have edge states - 2nd order topology
- ◆ Needs crystalline symmetries in the bulk to exist

- ◆ Recent claim that Bismuth is a higher order topological insulator
- ◆ Not surface of the crystal, but the hinges of the crystal host topologically protected conducting states

Schindler *et al*, Nat. Phys., 2018

Higher order topological superconductors

- ◆ Generalise to Majorana modes at corners of two dimensional topological superconductors and chiral hinge Majorana modes at edges of three dimensional topological superconductors
- ◆ Start with a toy model - Hamiltonian in terms of Majoranas similar to Kitaev model written in terms of Majoranas

`Usual' topological
superconductors

Brief introduction to 'usual' topological superconductors and Majorana modes

- ◆ Simplest case is to study a toy model proposed by Kitaev

$$H = -\mu \sum_{x=1}^N c_x^\dagger c_x - \frac{1}{2} \sum_{x=1}^N (t c_x^\dagger c_{x+1} + \Delta c_x c_{x+1} + h.c.)$$

- ◆ Here μ is the chemical potential, t is hopping and Δ is the pairing term

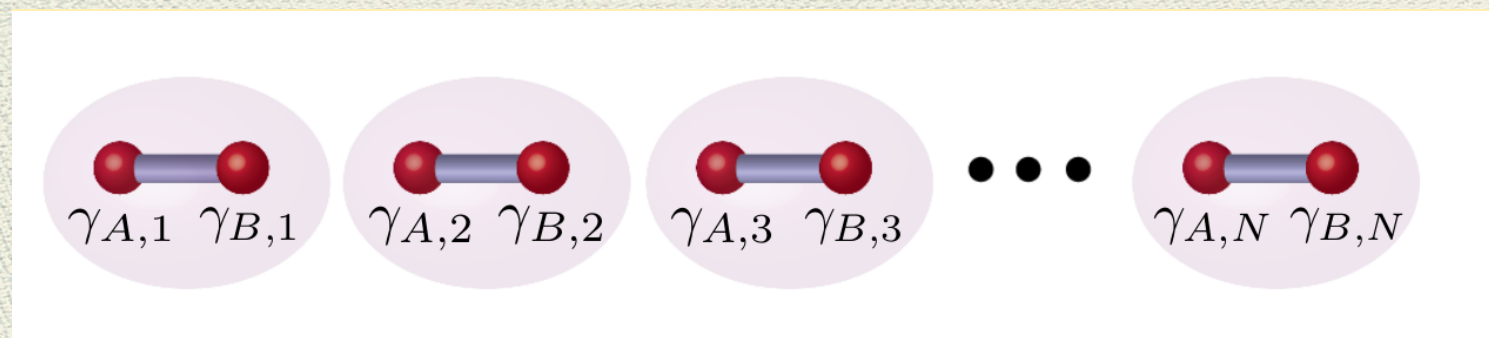
- ◆ Can rewrite model as follows

$$c_x = \frac{1}{2}(\gamma_{A,x} + i\gamma_{B,x})$$

$$c_x^\dagger = \frac{1}{2}(\gamma_{A,x} - i\gamma_{B,x})$$

- ◆ Here $\gamma_{A,x}$ and $\gamma_{B,x}$ are Majorana modes
- ◆ They anti-commute like fermions, and are hermitian $\gamma_{A,x} = \gamma_{A,x}^\dagger$ and satisfy $\gamma_{A,x}^2 = \gamma_{B,x}^2 = 1$ whereas the fermion operators satisfy $c_x^2 = 0$
- ◆ Pairs of Majoranas form normal fermions

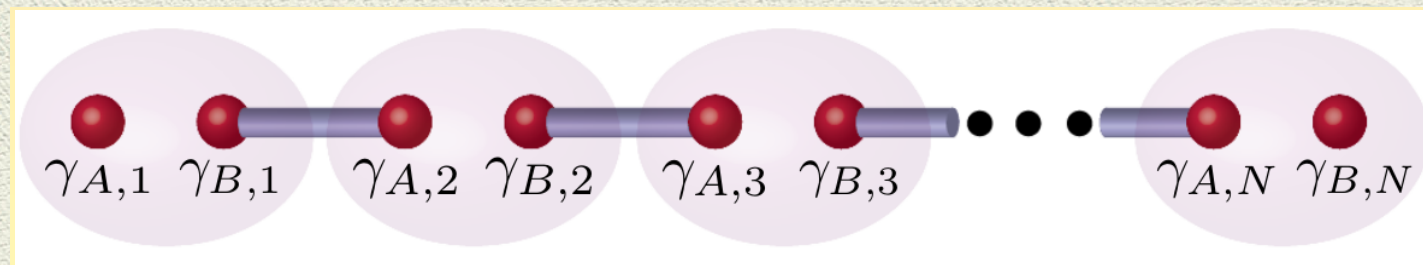
- ◆ One limit : When $\mu < 0$ and $t = \Delta = 0$
 - ◆ Only bonds between Majoranas at same x
- $$H = -\frac{\mu}{2} \sum_{x=1}^N c_x^\dagger c_x = -\frac{\mu}{2} \sum_{x=1}^N (1 + i\gamma_{A,x}\gamma_{B,x})$$
- ◆ Ground state is unique and ends of the chain do not play any special role



- ◆ Second limit : When $t = \Delta \neq 0$ and $\mu = 0$

$$H = -\frac{t}{2} \sum_{x=1}^N (c_x^\dagger c_{x+1} + c_x c_{x+1} + h.c.)$$

$$= -i \frac{t}{2} \sum_{x=1}^{N-1} \gamma_{B,x} \gamma_{A,x+1}$$

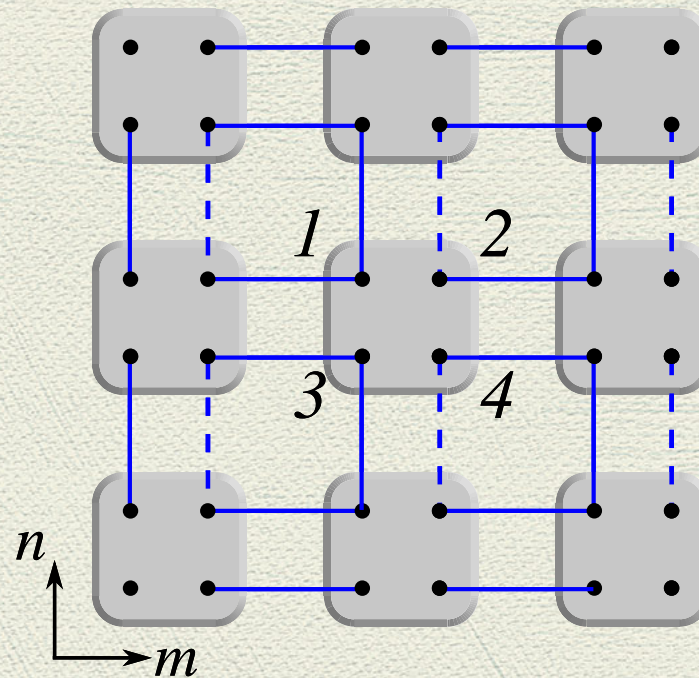


- ◆ Here bonds are between Majoranas at adjacent sites, but unpaired Majoranas $\gamma_{A,1}$ and $\gamma_{B,N}$ at the two ends

- ◆ Can make a non-local fermion from these two Majoranas - double degeneracy depending on whether or not the state is occupied
- ◆ Main point - when the model is written in terms of Majoranas, very easy to identify the end states

Back to higher order
topological superconductors

Wang, Lin, Hughes, 2018



$$H = -2it \sum_{m,n} [\gamma_{m,n}^2 \gamma_{m+1,n}^1 + \gamma_{m,n}^4 \gamma_{m+1,n}^3 - \gamma_{m,n}^2 \gamma_{m,n+1}^4 + \gamma_{m,n}^1 \gamma_{m,n+1}^3]$$

- ◆ Each unit cell has 4 Majoranas
- ◆ Hoppings chosen so that one Majorana is left out at each corner - corner Majorana modes
- ◆ Pi flux in each plaquette because of change in sign in one hopping

- ◆ Pi flux needed to ensure quantisation of the quadrupole moment, which is the essential physics of higher order topological insulators in two dimensions
- ◆ Edges are like Kitaev chains with Majoranas at the end (but with a common edge Majorana at corner, not two with one each coming from the x and y edges)

- ◆ Here Majorana corner modes by construction
- ◆ Choice of grouping the Majoranas to make two complex fermions decides how Hamiltonian splits into normal state band and superconducting pairing gaps.
- ◆ So try to choose groupings that give gapless normal bands and pairing terms describing intrinsic superconducting tendency

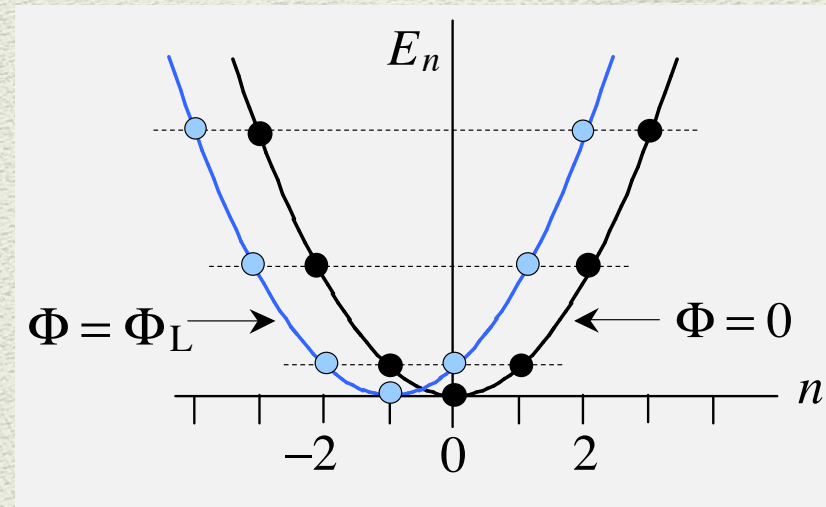
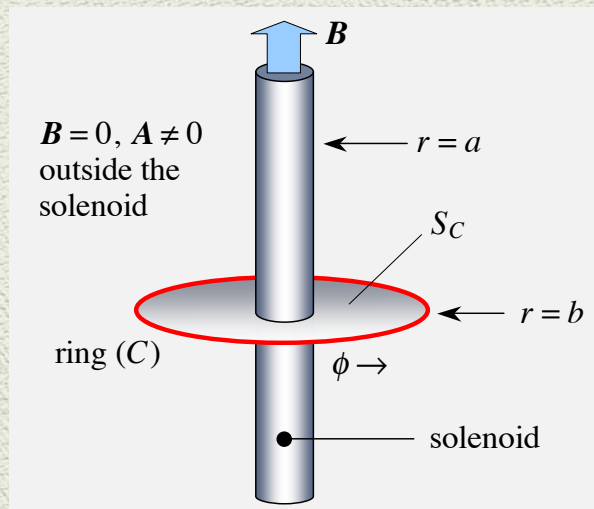
$$H = \int d\mathbf{k} \psi_k^\dagger \mathcal{H}(\mathbf{k}) \psi_k \quad \text{with} \quad \psi_k = (c_k, c_{-k}^\dagger)^T \quad \text{and}$$

$$\mathcal{H}(\mathbf{k}) = t(\cos k_x \sigma_x \tau_z + \cos k_y 1 + \sin k_x \sigma_x \tau_y + \sin k_y \sigma_x \tau_x)$$

- ◆ Can check that this Hamiltonian is the same as the earlier one - has corner Majorana modes by construction
- ◆ Can show that the model continues to have corner modes even after perturbations are added provided particle-hole symmetry and some mirror symmetries are respected

Flux periodicity in superconductors

Aharonov-Bohm periodicity in metal rings



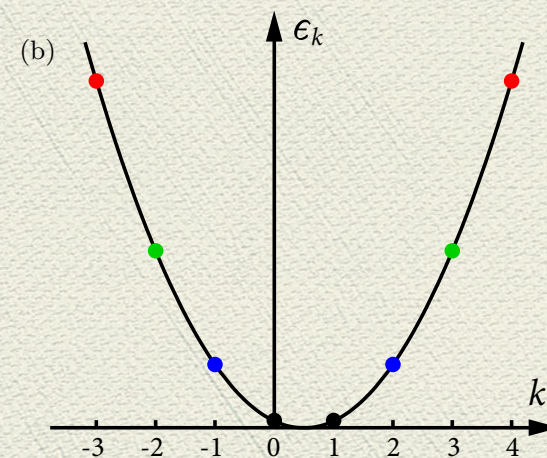
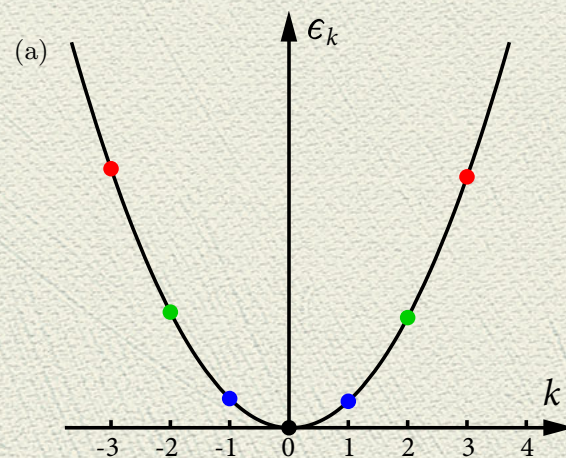
- Current through thin metal ring oscillates with periodicity $\phi_L = \phi_0 = hc/e$

- From $E_n = \frac{\hbar^2}{2mb^2} (n + \phi/\phi_0)^2$ and $I = -\frac{\partial E_n}{\partial \phi}$

Periodicity in superconducting ring

- ◆ But flux periodicity in superconducting rings was seen to be $hc/2e$
- ◆ Naively factor of $2e$ due to Cooper pairs carrying twice the charge
- ◆ Not correct, because Cooper pairs not tightly bound and not clear whether they traverse the ring separately - need better argument

- Two classes of superconducting wave-functions
- Pairing of electrons with momenta k and $-k$ leading to condensate with $q = 0$ for $\phi = 0$ and with momenta k and $-k+1$ leading to condensate with $q=1$ for $\phi = \phi_0/2$



Byers and Yang, Onsager

- ◆ But this simple picture not always true
- ◆ s -wave superconducting rings with diameter smaller than coherence length shows hc/e periodicity
Loder *et al*, 2007
- ◆ High temperature d -wave superconducting rings shows hc/e periodicity
Loder *et al*, 2007
- ◆ Topological superconducting rings have hc/e periodicity
Liu, Cole, Sau, 2019

Our work and results

Model and symmetries

- ◆ We start with a four band model defined by

$$\mathcal{H}(\mathbf{k}) = (b_x + \lambda \cos(k_x))\tau_z\sigma_x + \lambda \cos(k_y)1_\tau\sigma_y \\ + \Delta \sin(k_x)\tau_y\sigma_x + \Delta \sin(k_y)\tau_x\sigma_x,$$

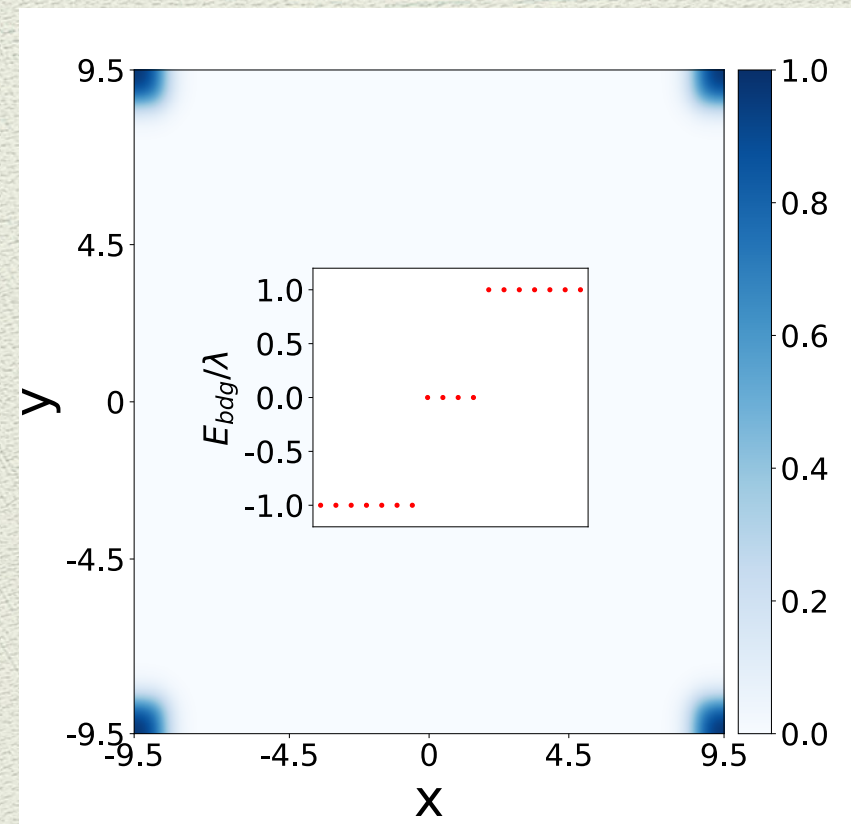
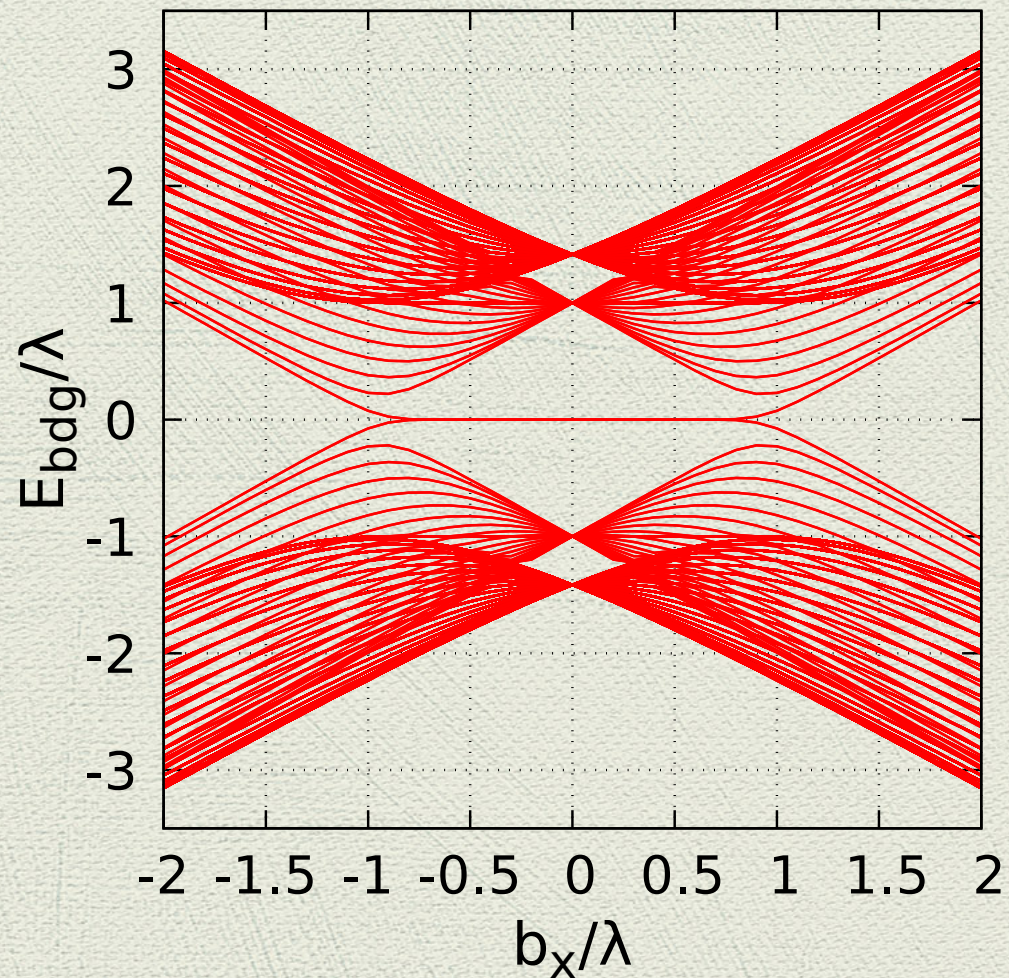
- ◆ σ, τ denote operators in spin/Nambu space

- ◆ Particle-hole symmetry $\tau_x \mathcal{H}^T(-k) \tau_x^{-1} = -\mathcal{H}(k)$
and mirror symmetries $\mathcal{M}_{x,y} \mathcal{H}(\mathbf{k}) \mathcal{M}_{x,y}^{-1} = \mathcal{H}(\hat{m}_{x,y} \mathbf{k})$
Mirror symmetries anti-commute $\{\mathcal{M}_x, \mathcal{M}_y\} = 0$

- ◆ For $b_x = 0$ and $\lambda = \Delta = t$, this reduces to the earlier model. In fact, can be shown to be topological for $|b_x| < \lambda$
- ◆ Normal state of this model, $\Delta = 0$ corresponds to 2 dimensional Dirac metal with 4 mirror symmetric Dirac nodes
- ◆ Can then include pairing

- ◆ Non-commuting mirror symmetries which are needed to generate higher order topology, only possible for $p_x + ip_y$ superconductors since superconducting gap has to transform non-trivially in both directions.
- ◆ Will not work for other possible pairings such as s, d, p_x, p_y

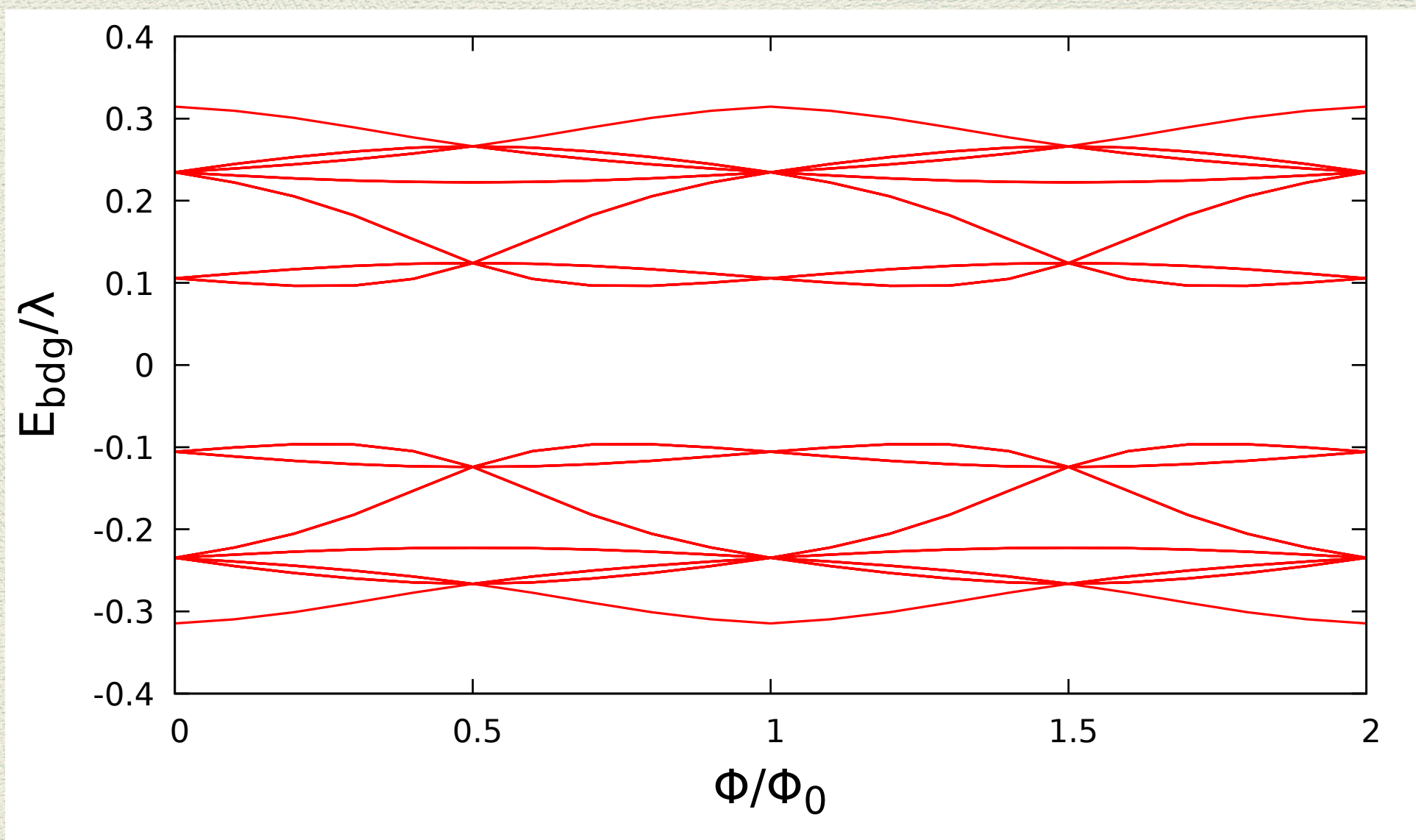
Spectrum



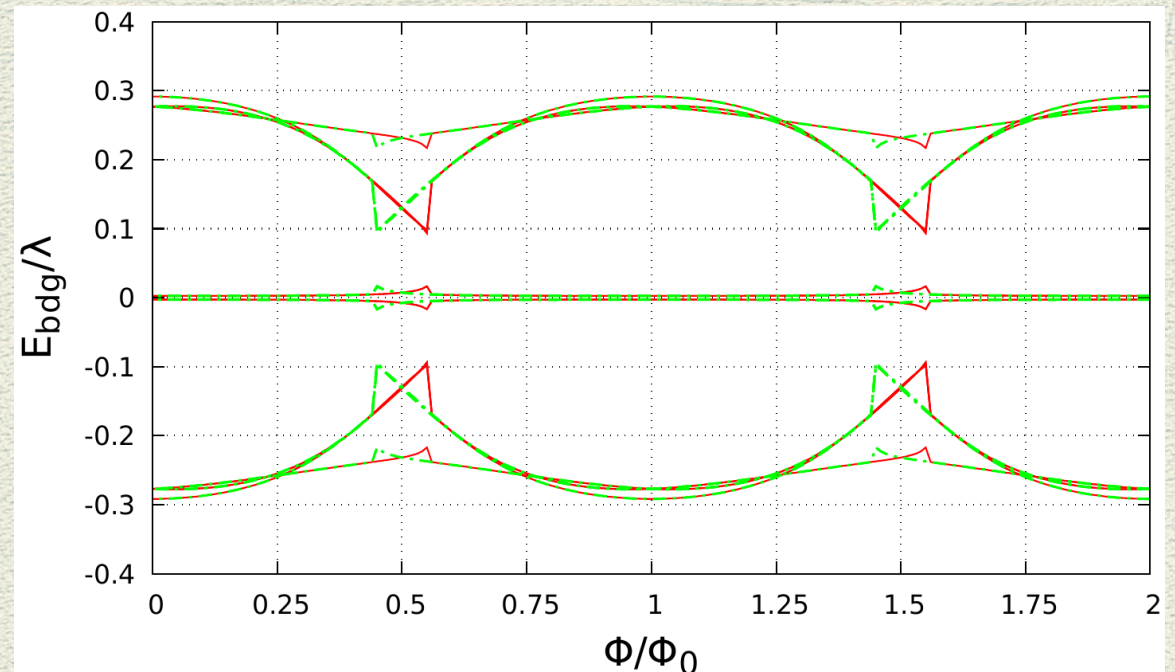
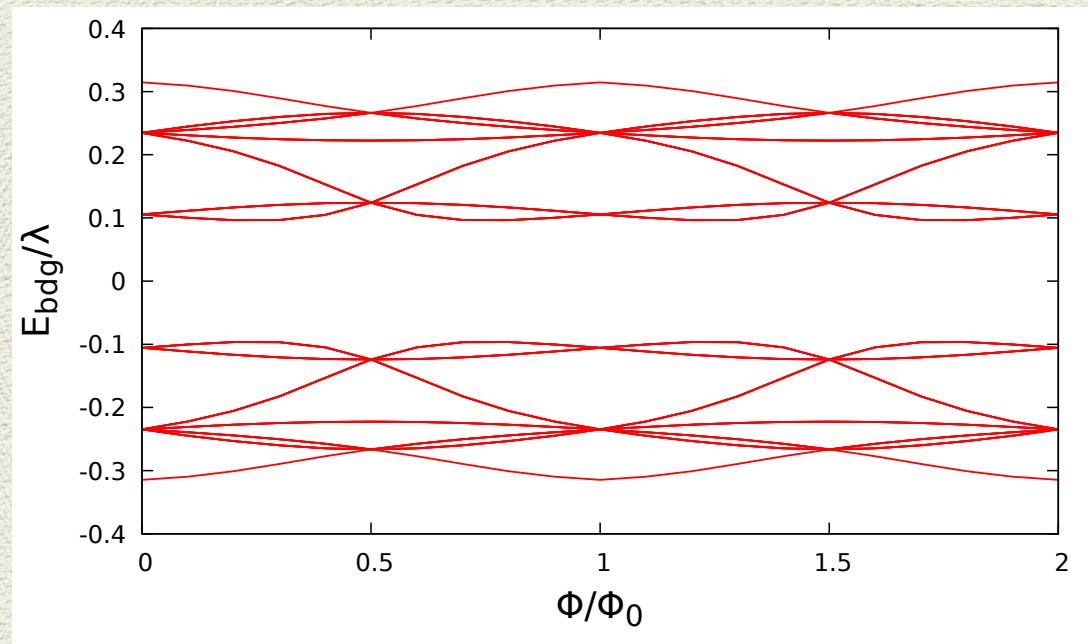
- For $b_x/\lambda < 1$ second order topological TSC_2 superconductor with four corner states

Vortex at the origin

- ◆ Introduce solenoid at origin of 2D sample, so that any closed loop encircling the origin encloses a flux ϕ
- ◆ First, we set $\Delta = 0$ - i.e. we turn off superconductivity
- ◆ Spectrum shows standard periodicity with respect to ϕ/ϕ_0

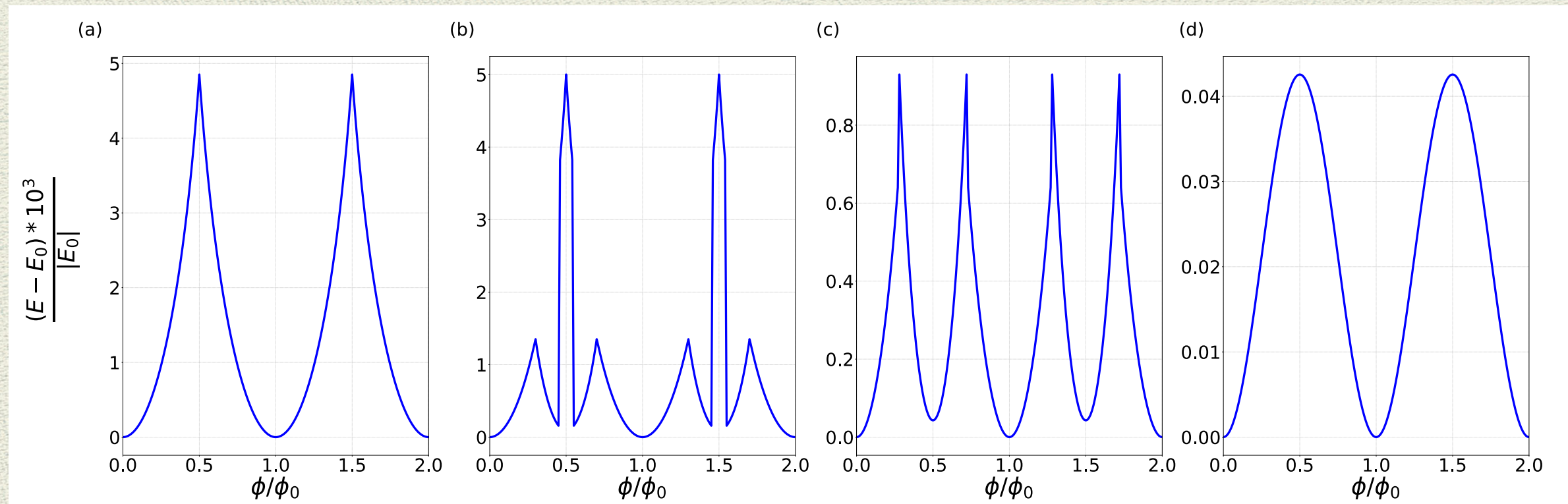


- ◆ $\Delta \neq 0$, constant Δ not even qualitatively correct in the presence of vortex
- ◆ Need to find self-consistent Δ by solving the BdG equations in the presence of the vortex self-consistently
- ◆ Close to $\phi = \phi_0/2$, find two self-consistent solutions (reminiscent of two classes of wave-functions)

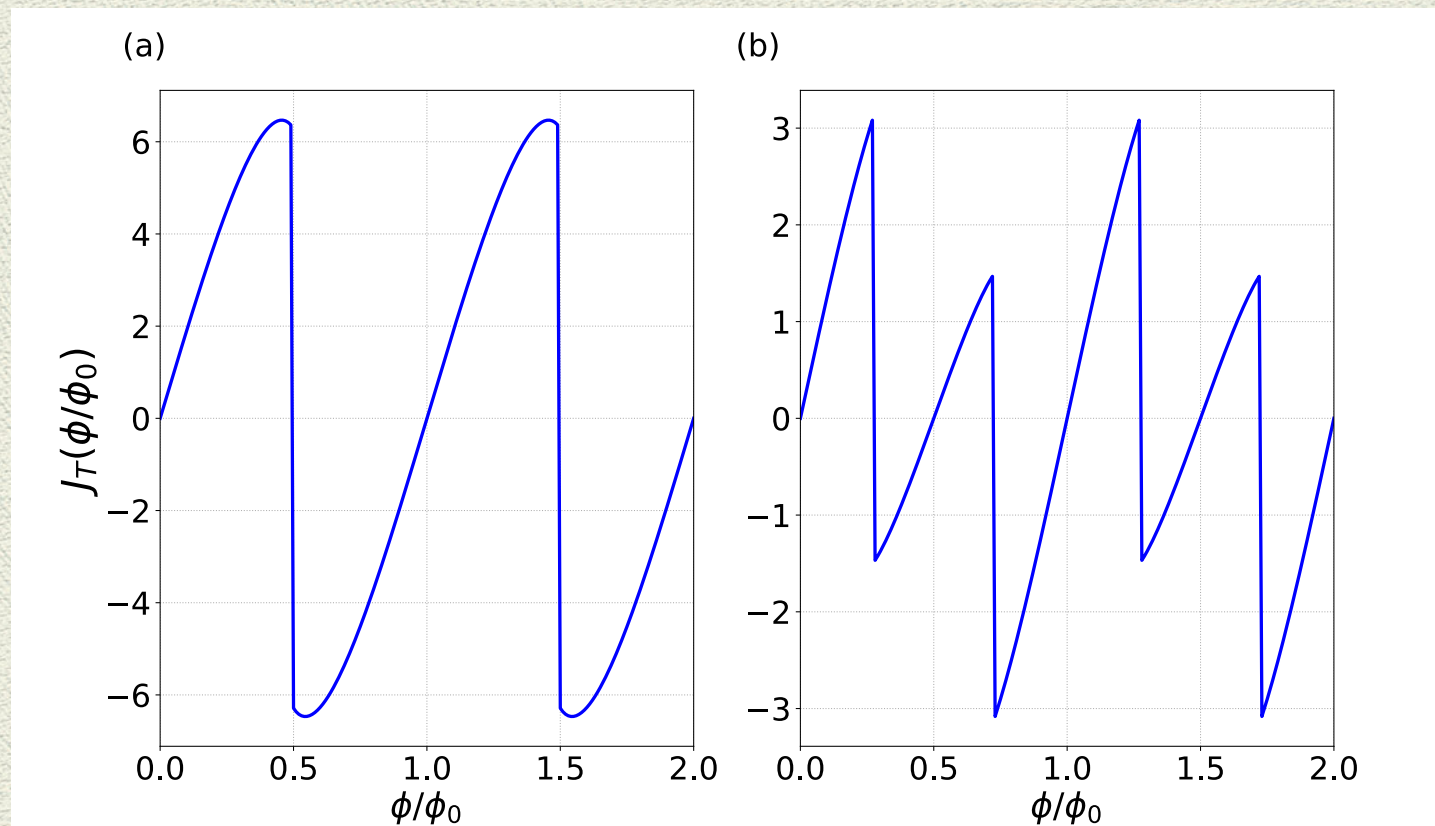


- Plot of lowest three self-consistent energy eigenvalues for $\Delta = 0$ and $\Delta \neq 0$
- Besides the zero energy states of the Majoranas, there are also two self-consistent states close to $\phi = \phi_0/2$

- ◆ Total energy as function of ϕ/ϕ_0 for different values of $b_x/\lambda = 0, 0.3, 0.8, 1.5$ (no superconductivity)
- ◆ Note (almost) degeneracy for $b_x/\lambda = 0.8$



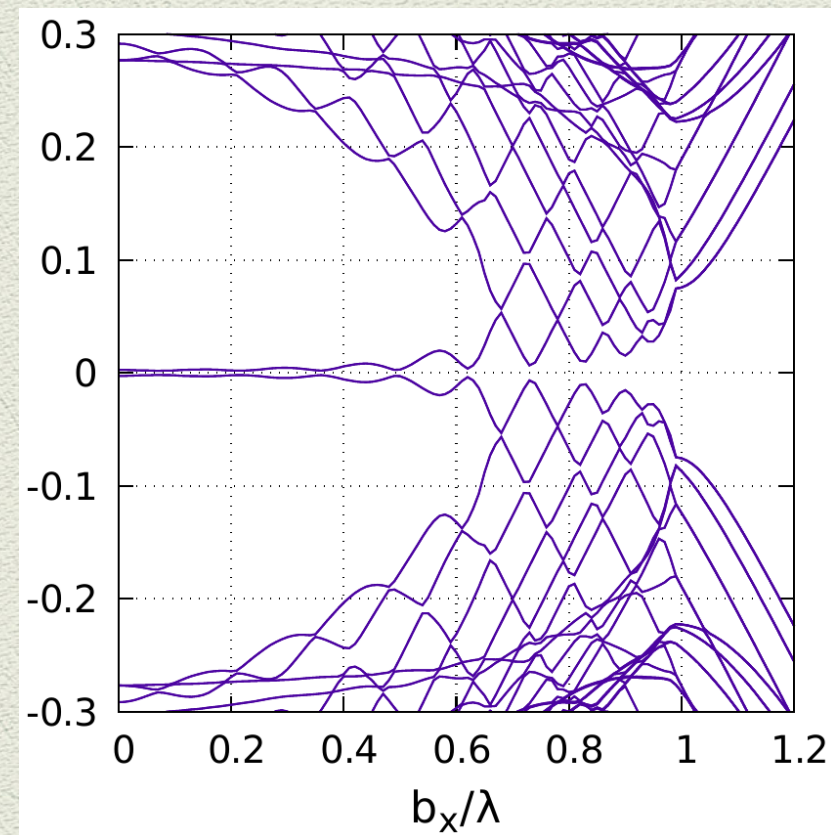
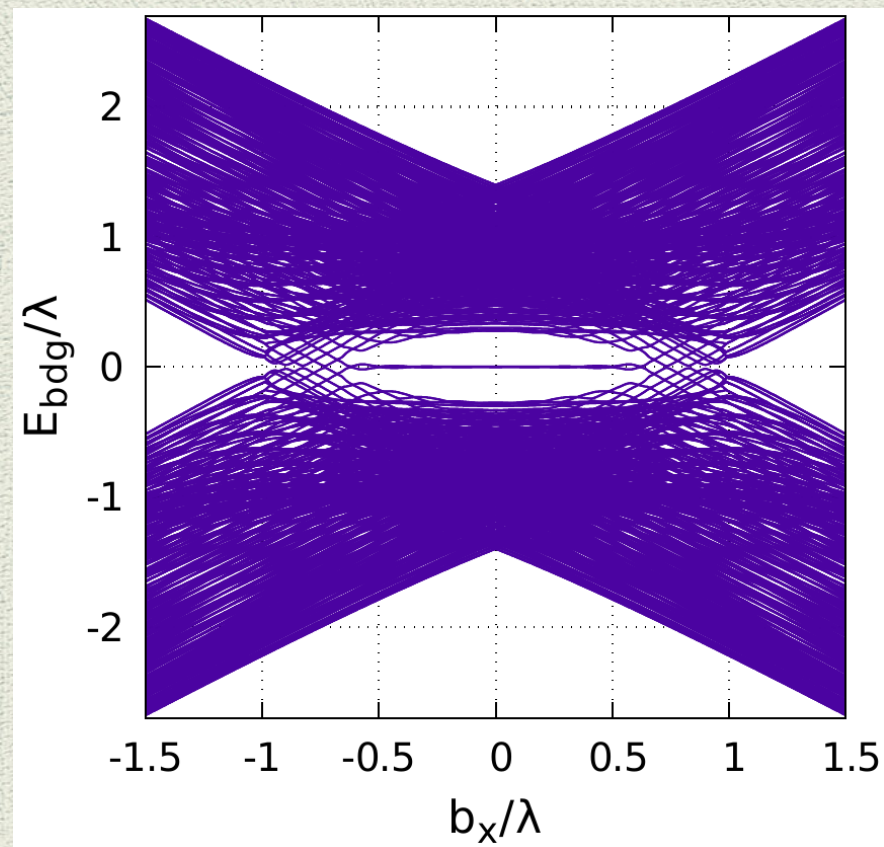
- Also computed total circulating current around the vortex for $b_x/\lambda = 0.0$ and 0.7

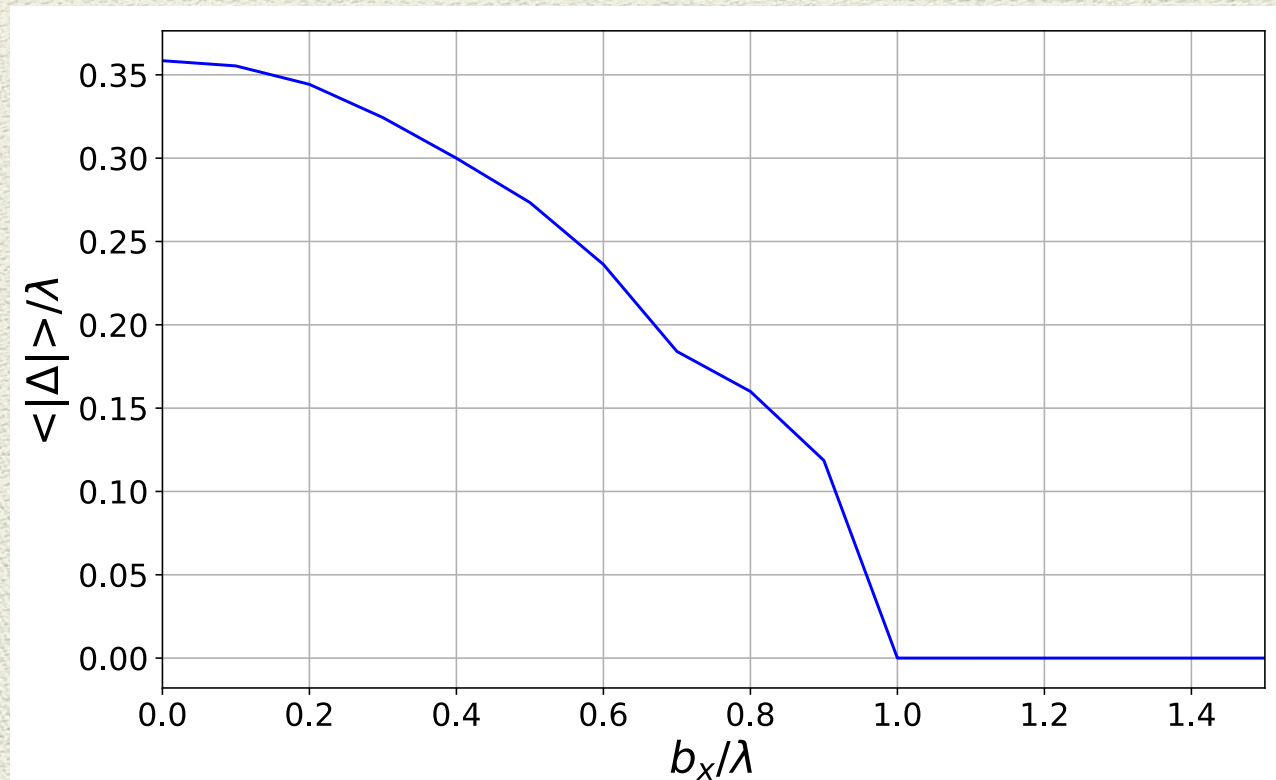


- Note change in 'periodicity'. Strictly periodic only in ϕ_0 but roughly periodic in $\phi_0/2$

Why does periodicity change ?

- ◆ Self consistent spectrum in the absence of any vortex
- ◆ Shows crossover from TSC to normal superconductor





- ◆ Note that change to normal metal only around $b_x / \lambda \simeq 1$
- ◆ But topological superconductivity only survives till $b_x / \lambda \simeq 0.6$ because of mixing of the zero energy levels with higher energy levels

- ◆ So TSC_2 with ϕ_0 periodicity transitions to normal superconductor with $\phi_0/2$ periodicity to normal metal with ϕ_0 periodicity
- ◆ Issue that both topological superconductors and normal metals have ϕ_0 periodicity circumvented by separation via normal superconductor with $\phi_0/2$ periodicity

Tuning the transitions

- ◆ If we can tune between these phases, then the change in periodicity of the circulating current can be measured
- ◆ Need to change parameters in the Hamiltonian - so one possibility, couple to light

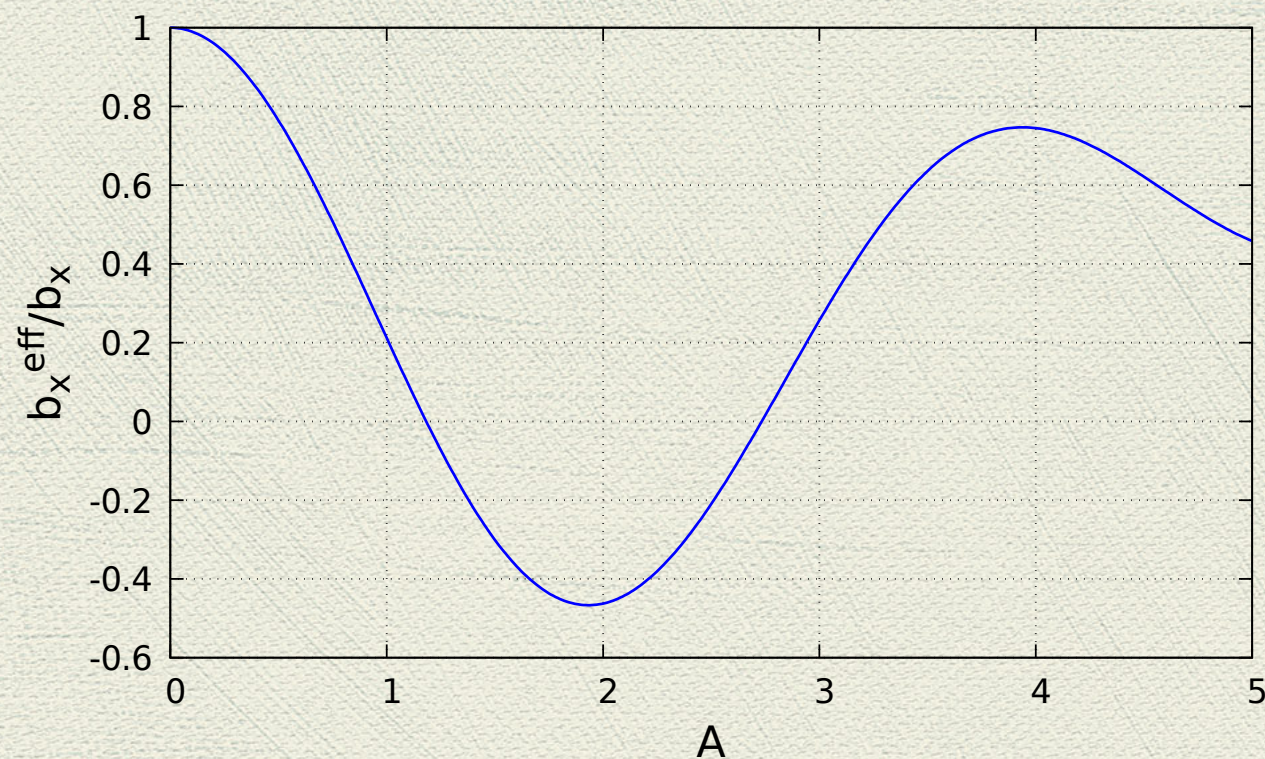
Floquet Hamiltonian

- ◆ Shine elliptically polarized light

$$A(t) = (A_x \cos(\omega t), A_y \cos(\omega t + \theta), 0), \quad \omega = 2\pi/T$$

- ◆ For high frequencies, $\omega \gg \lambda, \Delta$ obtain effective static Hamiltonian by expanding in powers of $1/\omega$

- Computation to $O(1/\omega^2)$ shows that b_x can be tuned by applying light



$$A_x = A_y = A$$

$$\lambda = \Delta$$

$$\theta = \pi/2$$

- So by shining light, can change periodicity of circulating current

Conclusion

- ◆ Studied corner Majorana modes in a model of a higher order topological superconductor
- ◆ Have introduced a vortex at the origin in a higher order topological superconductor
- ◆ Periodicity of energy levels and circulating current changes from ϕ_0 to $\phi_0/2$ as the superconductor transitions to a normal superconductor
- ◆ Change in periodicity can be tuned by coupling to light

Future

- ◆ 2D $p+ip$ superconductors in first order topological superconductors - check whether self-consistent solutions lead to new results.
- ◆ Goal - to move the corner Majoranas for braiding. Since there are four Majoranas, it should be possible to get non-abelian statistics