

# An Introduction to Genetic Algorithms: Method and Implementation

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# Mathematical models in Optimization

• The general form of an *optimization problem*:

```
min or max f(x_1,...,x_n) (objective function)

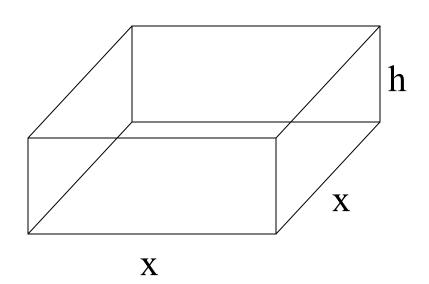
subject to g_i(x_1,...,x_n) \ge 0 (functional constraints)

x_1,...,x_n \in S (set constraints)
```

- $x_1, ..., x_n$  are called decision variables
- In words, the goal is to find  $x_1,...,x_n$  that
  - satisfy the constraints;
  - achieve min (max) objective function value.



Ex. 1 A manufacturer wants to design an open box having a square base and a surface area of 108 in<sup>2</sup>. What dimensions will produce a box with maximum volume?



Since the box has a square base, its volume is

$$V = x^2h$$

Note: We call this the primary equation because it gives a formula for the quantity we wish to optimize.

The surface area = the area of the base + the area of the 4 sides.

S.A. = 
$$x^2 + 4xh = 108$$

We want to maximize the volume, so express it as a function of just one variable. To do this, solve  $x^2 + 4xh = 108$  for h.

$$h = \frac{108 - x^2}{4x}$$

 $h = \frac{108 - x^2}{4x}$  Substitute this into the Volume equation.

$$V = x^{2}h = x^{2} \left(\frac{108 - x^{2}}{4x}\right) = 27x - \frac{x^{3}}{4}$$

To maximize V we find the derivative and make it equal to 0.

$$\frac{dV}{dx} = 27 - \frac{3x^2}{4} = 0 3x^2 = 108 i.e., x = \pm 6$$

Hence 
$$h = \frac{108 - 36}{24} = 3$$

We can conclude that V is a maximum when x = 6 and the dimensions of the box are 6 in. x 6 in. x 3 in.



### Very Simple, But ...

- Derivative-based methods do not work always.
  - When derivatives do not exist.
  - When the objective function cannot be expressed as a mathematical function of decision variables.
  - The optimization problem is discrete.
  - There are large number of decision variables and partial derivatives of the objective function yield a large number of equations to be solved.

# Examples of Optimization Problem not Solvable by Discrete optimization Job Scheduling

There are 4 jobs that should be processed on the same machine. (Can't be processed simultaneously).

Job k has processing time  $p_k$ .

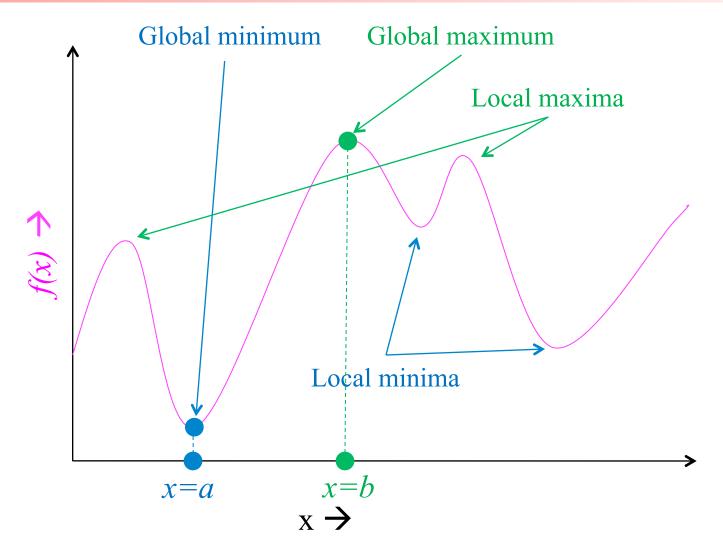
Here is an example of a possible schedule:

| J | ob 3 | Job 1 | Job 4 | Job 2 |    |      |
|---|------|-------|-------|-------|----|------|
| 0 | 2    | 2     | 6     | 9     | 14 | time |

➤ Goal: Find a schedule which minimizes
the average completion time of the jobs.



# Local vs Global Optima





# Some Metaheuristic Optimization Tools

- Genetic Algorithms
- Differential Evolution

- Particle Swarm Optimization
- Ant Colony Optimization
- Simulated Annealing



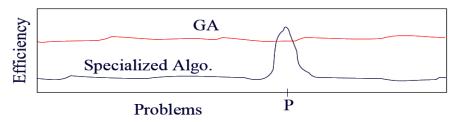
# Genetic Algorithms (GA)

- Randomized search and optimization technique guided by the principle of natural genetic systems.
- Inspired by the biological evolution process
- Uses concepts of "Natural Selection", "Genetic Inheritance" and "Survival of the Fittest" (Darwin 1859)
- Originally developed by **John Holland** (1975) and gained popularity during late 80's.

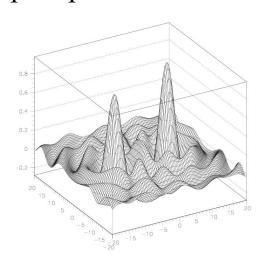


# Why GA?

- Most real life problems can not be solved in polynomial amount of time using any deterministic algorithm.
- Sometimes near optimal solutions that can be generated quickly are more desirable than optimal solutions which require huge amount of time.
- When the problem can be modeled as an optimization one.
- Efficiently searches for global optima when multiple optima exist.
- Parallel implementation is easy.



Specialized algorithms – best performance for special problems Genetic algorithms – good performance over a wide range of problems





#### GA Features

- Evolutionary Search and Optimization Technique
- Principles of Evolution (survival of the fittest and inheritance).
- Work with encoding of the parameter set.
- Searches from a population of points.
- Uses probabilistic transition rules.



#### GA vs. Nature

- A solution (phenotype)
- Representation of a solution (genotype)
- Components of the representation
- Solution's quality (fitness function)

Stochastic operators

#### Individual

Chromosome

#### Genes

Individual's degree of ability to adopt with surrounding

Selection, Crossover Mutation



# Genotype vs. Phenotype

#### Population of Individuals Fitness

| <u>Genotype</u> | <u>Phenotype</u> | Performance Index |
|-----------------|------------------|-------------------|
|                 |                  | 23.5              |
|                 |                  | 26.4              |
|                 |                  | 20.3              |
|                 |                  | 21.7              |



# Simple GA

Produce an initial population of individuals

Evaluate the fitness of all individuals

While termination condition not met do

Select fitter individuals for reproduction

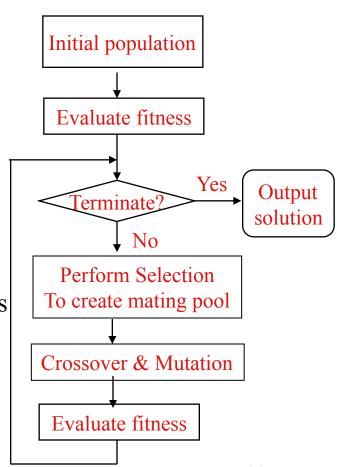
Recombine (crossover) between individuals

Mutate individuals

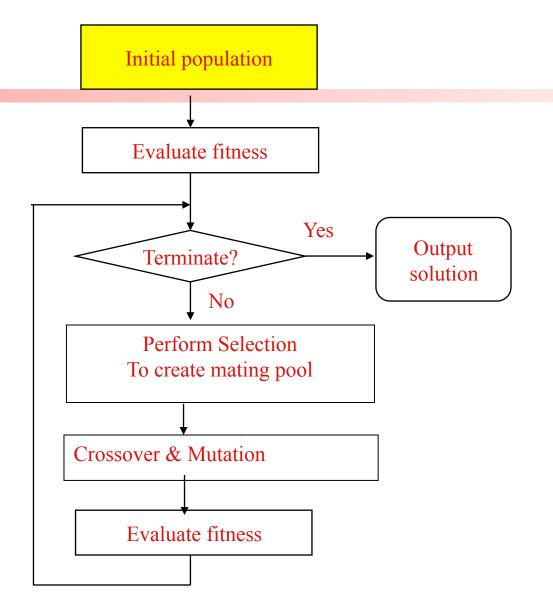
Evaluate the fitness of the modified individuals

Generate a new population

**End while** 









### **Encoding and Population**

#### Chromosome encodes a solution in the search space

- Usually as strings of 0's and 1's
- If l is the string length, number of different chromosomes (or strings) is  $2^{l}$

#### Population

- A set of chromosomes in a generation
- Population size is usually constant
- Common practice is to choose the initial population randomly.



# Population Initialization Sample C Code

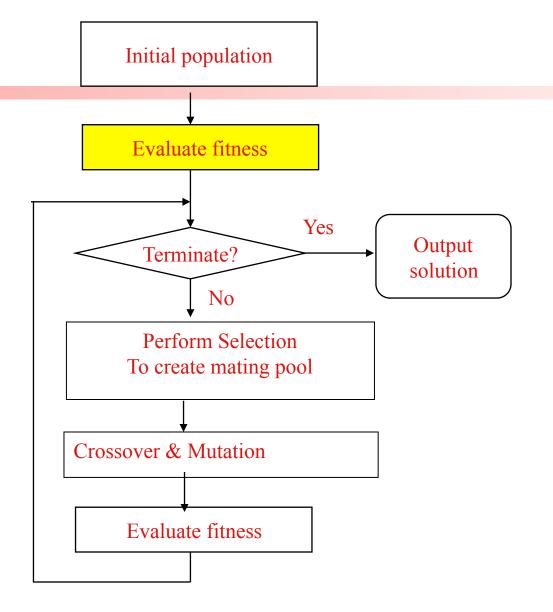
```
#include<stdlib.h>
for(int i=0;i<P;i++) // loop of i to choose chromes from [0] to [P-1]
 for(int j=0;j<l;j++) // loop of j to choose the gene of the chrom from [0] to [l-1]
 int randn=rand(); // creating random value between 0 and RAND MAX-1
 randn=(randn%2); // make the random value 0 or 1 only
 popcurrent[i][j] =randn; // initializing the bit[j] of chrom[i] with random
```



# Population Initialization Sample Matlab Code

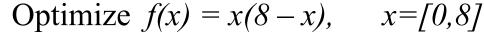
popcurrent = rand(P,l); % Generate  $P \times l$  matrix with random values between 0 & 1 popcurrent = round(popcurrent); % Convert popcurrent to binary matrix

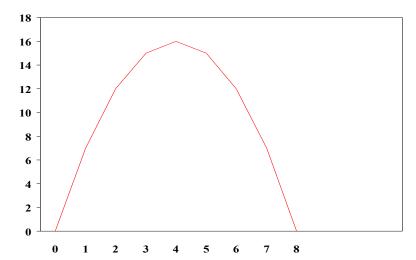






### Encoding and Population - Example

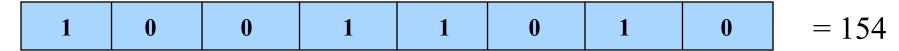




$$x = [0, 8]$$

Binary String of 8 bits

$$0-255 \longleftrightarrow 0-8$$



$$x = 0 + (8/255) * 154 = 4.8313$$



# Fitness Calculation of a Chromosome (C Code)

```
int dec=0, j=0;
for(int i=l-1;i>=0;i--) // Binary to decimal conversion
{
   dec+=(chrom[i]*pow(2,j));
   j++;
}

x= (8/255)*dec; // Bringing the range of dec within 0 to 8
fit = x*(8-x); // Computing fitness value
```



# Fitness Calculation of a Chromosome (Matlab Code)

chromstr = num2str(chrom); % Convert chromosome into string dec=bin2dec(chromstr); % Binary to decimal conversion

x = (8/255)\*dec; % Bringing the range of dec within 0 to 8 fit = x\*(8-x); % Computing fitness value



### Fitness Evaluation

- Fitness/objective function is associated with each chromosome
- This indicates the degree of goodness of the encoded solution
- The only problem specific information (also known as the payoff information) that GA uses
- If minimization problem is to be solved then
   fitness = 1/objective.

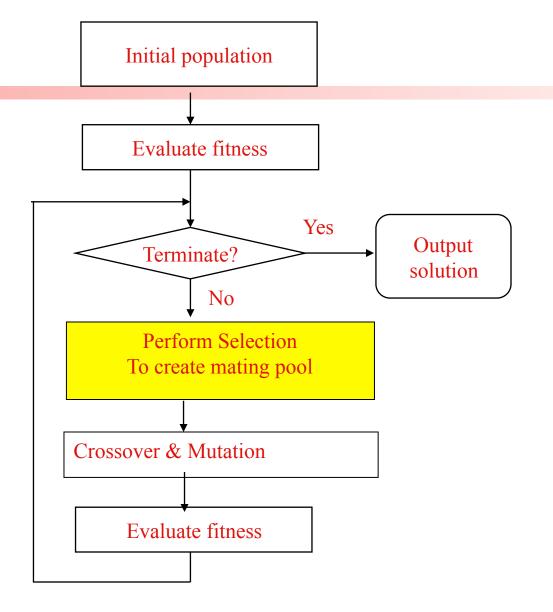


# Fitness Evaluation - Example

Function f(x) = x(8-x)

| Population (size = 4) | Corresponding x | Fitness/<br>Objective Fn. |  |
|-----------------------|-----------------|---------------------------|--|
| 10011010              | 4.8313          | 15.3089                   |  |
| 01100111              | 3.2313          | 15.4091                   |  |
| 00010101              | 0.6588          | 4.8363                    |  |
| 10111100              | 5.8980          | 12.3975                   |  |







### Selection

- More copies to good strings
- Fewer copies to bad string
- Proportional selection scheme
  - Number of copies taken to be directly proportional to its fitness
  - Mimics the natural selection procedure to some extent.
- Roulette wheel selection and Tournament selection are two frequently used selection procedures.



#### Tournament - Selection

- Repeat until mating pool is full
  - Select a set (size < population size) of chromosomes randomly.
  - Copy the best chromosome among them into the mating pool.
- Usually tournament size is 2 (binary tournament).
- The chromosome with lowest fitness value can never be copied into the mating pool.



# Binary Tournament Selection - Example

| Chromosome # | <b>Fitness</b> | <b>Tournaments</b>     |
|--------------|----------------|------------------------|
| 1            | 15.3089        | Round 1: Chrom 2 vs. 4 |
| 2            | 15.4091        | Round 2: Chrom 1 vs. 3 |
| 3            | 4.8363         | Round 3: Chrom 2 vs. 3 |
| 4            | 12.3975        | Round 4: Chrom 3 vs. 4 |

- Round 1: Chromosome 2 is selected
- Round 2: Chromosome 1 is selected
- Round 3: Chromosome 2 is selected
- Round 4: Chromosome 4 is selected





# Binary Tournament in C

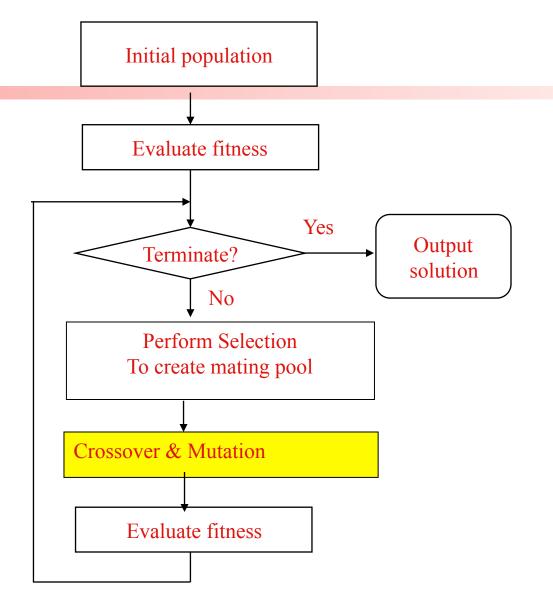
```
for(i=0;i< P;i++)
//Pick two random candidates between 0 and P-1
  int cand1 = rand()%P;
  int cand2 = rand()%P;
 if (fit[cand1] >= fit[cand2]){ //Compare to find better chromosome
        selected = cand1;}
  else{
        selected = cand2;}
  for (j=0;j<l;j++) // Insert better in mating pool
       matpool[i][j]=popcurrent[selected][j];
```



### Binary Tournament in Matlab

for i=1:P% Pick two random candidates between 0 and P-1 cand1 = round(unifrnd(1,P,1,1));cand2 = round(unifrnd(1,P,1,1));if fit(cand1) >= fit(cand2) % Compare to find better chromosome selected = cand1;else selected = cand2;end matpool(i,:) = popcurrent(selected,:); % *Insert better in mating pool* end





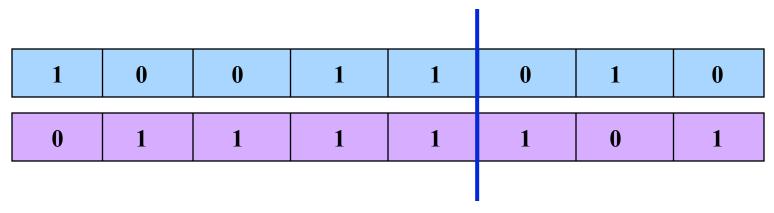


#### Crossover

- Exchange of genetic information
- It takes place between randomly selected parent chromosomes
- Single point crossover and Uniform crossover are the most commonly used schemes.
- Probabilistic operation



# Single Point Crossover – Example



Here l (string length) = 8. Let k (crossover point) = 5

#### **Offspring formed:**

| 1 | 0 | 0 | 1 | 1 | 1 | 0 | 1 |
|---|---|---|---|---|---|---|---|
| 0 | 1 | 1 | 1 | 1 | 0 | 1 | 0 |



### Single Point Crossover in C

```
int cp = rand()%l; //Generate random crossover point between 0 and l-1
for(i=0;i<l;i++)
  if(i<=cp){ //No change upto crossover point
     child1[i]=parent1[i];
     child2[i]=parent2[i];
 }else{ // Exchange beyond crossover point
     child1[i]=parent2[i];
     child2[i]=parent1[i];
```



### Single Point Crossover in Matlab

% Generate random crossover point between 0 and l-1 cp = round(unifrnd(1,l,1,1));

% Create child1 with first part of parent1 and second part of parent2 child1=[parent1(1:cp), parent2(cp+1:end)];

% Create child2 with first part of parent2 and second part of parent1 child2=[parent2(1:cp), parent1(cp+1:end)];



# Uniform Crossover – Example

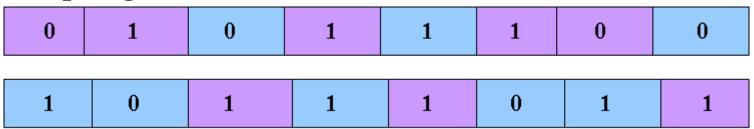
#### **Parents:**

| 1 | 0 | 0 | 1 | 1 | 0 | 1 | 0 |
|---|---|---|---|---|---|---|---|
| 0 | 1 | 1 | 1 | 1 | 1 | 0 | 1 |

#### Mask:

| 1 1 | 0 | 1 | 0 | 1 | 1 | 0 |
|-----|---|---|---|---|---|---|
|-----|---|---|---|---|---|---|

#### **Offspring formed:**





#### Uniform Crossover in C

```
for(i=0;i<l;i++)
int mask=rand()%2;
  if(mask==0){ //No change if mask bit is 0
     child1[i]=parent1[i];
     child2[i]=parent2[i];
 }else{ // Exchange if mask bit is 1
     child1[i]=parent2[i];
     child2[i]=parent1[i];
```



#### Uniform Crossover in Matlab

```
child1=parent1; % Copy parent1 into child1
child2=parent2; % Copy parent2 into chold2
mask = round(rand(1,l)); % Generate binary mask vector of length l
ind = find(mask==1); % Find indices where mask bits are 1
% Exchange parents where mask bits are 1
child1(ind)=parent2(ind);
child2(ind)=parent1(ind);
```



# Crossover Operation Loop

**Input:** Mating\_Pool, Crossover Probability  $(\mu_c)$ 

Output: Next\_PopX

*While* Next\_PopX is not filled up do

>> Choose two random chromosomes from *Mating\_Pool* as parents;

If crossover is to be done then

- >> Perform crossover on parents;
- >> Put the offspring solutions in *Next\_PopX*;

Else

>> Put the parents in *Next\_PopX* directly;

EndIf



# Crossover Operation Loop

**Input:** Mating\_Pool, Crossover Probability  $(\mu_c)$ 

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While Next PopX is not filled up do

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#### If crossover is to be done then

- >> Perform crossover on parents;
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#### Else

>> Put the parents in *Next\_PopX* directly;

EndIf



# Crossover Operation Loop

**Input:** Mating\_Pool, Crossover Probability ( $\mu_c$ )

Output: Next\_PopX

*While* Next\_PopX is not filled up do

```
>> Choose two random chromosomes from Mating_Pool as parents;
```

```
>> r = A random number in (0,1);
```

```
If r < \mu_c then
```

>> Perform crossover on parents;

>> Put the offspring solutions in *Next\_PopX*;

#### Else

>> Put the parents in *Next\_PopX* directly;

EndIf

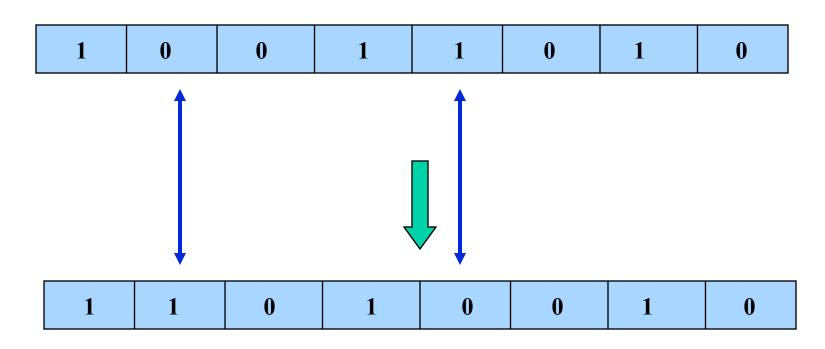


#### Mutation

- Random alteration in the genetic structure
- Introduces genetic diversity into the population.
- Exploration of new search areas
- Mutating a binary gene involves simple negation of the bit
- Mutating a real coded gene defined in a variety of ways
- Probabilistic operation



# Mutation-Example





## Mutation Operation Loop

**Input:** Next\_PopX, Mutation Probability  $(\mu_m)$ 

Output: Next\_PopM

For for each chromosome in Next\_PopX do

For each bit  $b_i$  in the chromosome do

If the bit  $b_i$  is to be mutated then

$$>> b_i = 1 - b_i$$
;

EndIf

**EndFor** 

Endfor



## Mutation Operation Loop

**Input:** Next\_PopX, Mutation Probability  $(\mu_m)$ 

Output: Next\_PopM

For for each chromosome in Next\_PopX do

For each bit  $b_i$  in the chromosome do

 ${\it If}$  the bit  $b_i$  is to be mutated  ${\it then}$ 

$$>> b_i = 1 - b_i;$$

EndIf

**EndFor** 

Endfor



# Mutation Operation Loop

**Input:** Next\_PopX, Mutation Probability  $(\mu_m)$ 

Output: Next\_PopM

For for each chromosome in Next\_PopX do

For each bit  $b_i$  in the chromosome do

```
>> r = A random number in (0,1);

If r < \mu_m then

>> b_i = 1 - b_i;
```

EndIf

**EndFor** 

Endfor



# Bit-flip Mutation in C

```
for(i=0;i<P;i++) // For all chromosomes in population
    for(j=0;j<l;j++) // For each bit of the chromosome
      r = randrange(0,1); // Generate random number between 0 and 1
      if(r<mu prob) // Checks whether the bit is to be mutated
         pop[i][j]=1-pop[i][j]; // Flip the bit
double randrange(double low, double high) {
d = ((double)rand() * ( high - low ) ) / (double)RAND MAX + low;
return(d);
                                                                47
```



# Bit-flip Mutation in Matlab

```
for i=1:P // For all chromosomes in population
for j=1:l // For each bit of the chromosome
r=rand; // Generate random number between 0 and 1
if r<mu_prob // Checks whether the bit is to be mutated
pop(i,j)=1-pop(i,j); // Flip the bit
end
end
```



#### **Parameters**

- Population size usually fixed
- String length usually fixed
- Probabilities of crossover,  $\mu_c$ , and mutation,  $\mu_m$  $\mu_c$  is kept high (~ 1.0) and  $\mu_m$  is kept low (~ 0.0).
- Termination criteria
- Parameters are often manually tuned
- Sometimes may be adaptive.

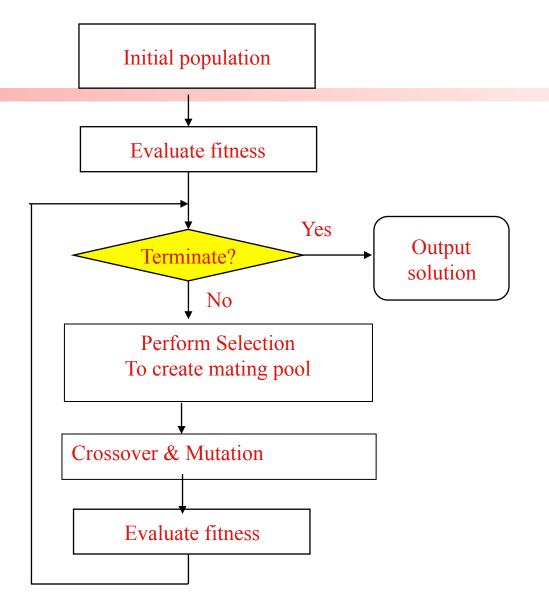


# Parameters – Example

For the example being considered,

- P = 4, l = 8.
- But for most realistic cases P is usually chosen in the range 50-100.
- $\mu_c = [0.6 \text{-} 0.9],$
- $\mu_{\rm m} = [0.01 \text{-} 0.1].$
- *l* usually depends on the required precision







#### **Termination Criterion**

The cycle of selection, crossover and mutation are repeated for number of times until one of these occurs

- Average fitness value of a population more or less constant over several generations,
- Desired objective function value is attained by at least one string in the population,
- Number of generations (or iterations) is greater than some threshold ---- most commonly used.
- The best chromosome of the last generation is the solution

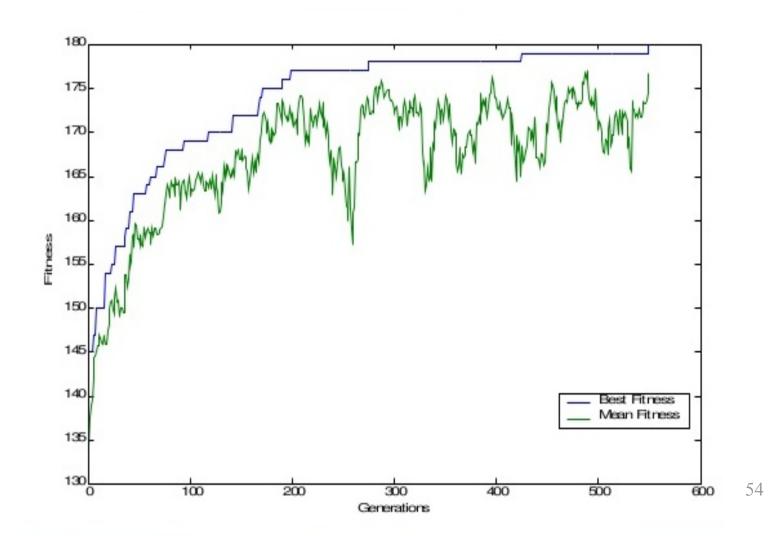


#### Elitism

- The best string seen up to the current generation is preserved in a location either inside or outside the population.
- The best few chromosomes of generations i is copied to generation i+1 directly.
- The remaining positions of generation i+1 is filled up by selection, crossover and mutation.
- Goal Not to loose the best solution obtained so far due to randomness.



# Variation of Fitness over Generations





# Solving Two Famous Problems using GA

- Traveling Salesman Problem
- 0-1 Knapsack Problem



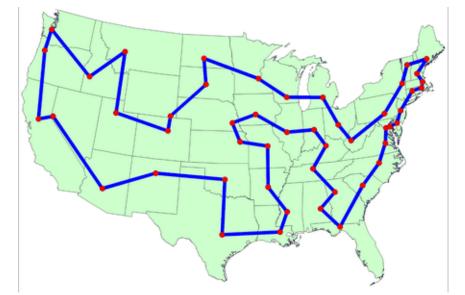
# Another Example: The Traveling Salesman Problem (TSP)

- The traveling salesman must visit every city in his territory exactly once and then return to the starting point.
- •The cost of travel between each pair of cities is given.

• Problem: How should he plan his itinerary for minimum total cost

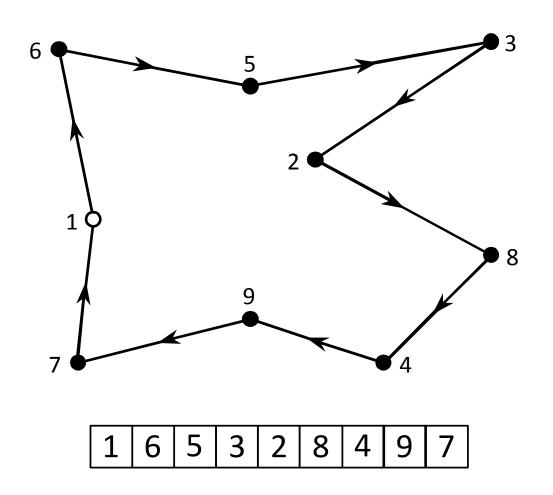
of the entire tour?

TSP is a hard problem





# Tour Representation Example





# TSP (Representation, Evaluation, Initialization and Selection)

**Chromosome:** A vector  $v = (i_1 i_2... i_n)$  represents a tour (v is a permutation of  $\{1,2,...,n\}$ ).

Example chromosome: 2 5 6 8 1 7 3 4

How to generate a random chromosome?

**Fitness function:** Fitness f of a solution is the inverse cost of the corresponding tour.

Example: f = cost(2,5) + cost(5,6) + ... cost(3,4) + cost(4,2)

**Initialization:** use either some heuristics, or a random sample of permutations of  $\{1,2,...,n\}$ 

Selection: We shall use the roulette wheel selection



#### TSP (Crossover1)

Builds offspring by choosing a sub-sequence of a tour from one parent and preserving the relative order of cities from the other parent and feasibility

#### Example:

$$p_1 = (1\ 2\ 3\ 4\ 5\ 6\ 7\ 8\ 9)$$
 and

$$p_2 = (4 5 2 1 8 7 6 9 3)$$

First, the segments between cut points are copied into offspring

$$o_1 = (x x x 4 5 6 7 x x)$$
 and

$$o_2 = (x x x 1 8 7 6 x x)$$



#### TSP (Crossover2)

Next, starting from the second cut point of one parent, the cities from the other parent are copied in the same order

The sequence of the cities in the second parent is

$$9 - 3 - 4 - 5 - 2 - 1 - 8 - 7 - 6$$

After removal of cities from the first offspring we get

$$9 - 3 - 2 - 1 - 8$$

This sequence is placed in the first offspring

 $o_1 = (2\ 1\ 8\ 4\ 5\ 6\ 7\ 9\ 3)$ , and similarly in the second

$$o_2 = (3 \ 4 \ 5 \ 1 \ 8 \ 7 \ 6 \ 9 \ 2)$$



#### TSP (Mutation: Inversion)

The sub-string between two randomly selected points in the path is reversed

#### Example:

(1 2 3 4 5 6 7 8 9) is changed into (1 2 7 6 5 4 3 8 9)

Such simple inversion guarantees that the resulting offspring is a legal tour



### TSP (Mutation: Swap)

Two randomly selected cities in the path are swapped.

#### Example:

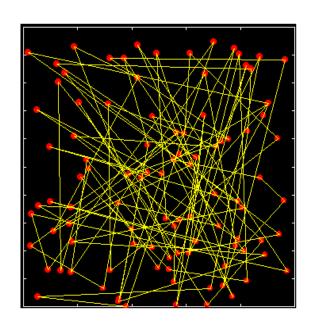
(1 2 3 4 5 6 7 8 9) is changed into (1 2 7 6 5 4 3 8 9)

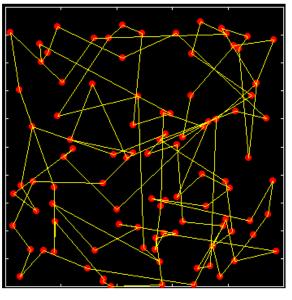
Such simple swap guarantees that the resulting offspring is a legal tour

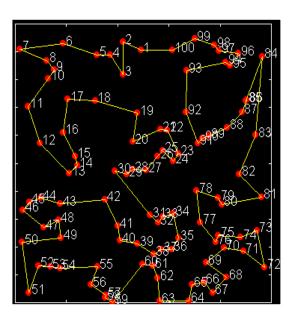


# TSP Example

• A 100-city TSP using GA







**Initial random solution** 

**During GA process** 

**Final solution** 



# 0-1 Knapsack Problem

#### **Problem Description:**

- You are going on a picnic.
- And have a number of items that you could take along.
- Each item has a weight and a benefit or value.
- You can take one of each item at most.
- There is a capacity limit on the weight you can carry.
- You should carry items with max. values.





# Knapsack Problem

#### **Example:**

- Item: 1 2 3 4 5 6 7
- Benefit: 5 8 3 2 7 9 4
- Weight: 7 8 4 10 4 6 4
- Knapsack holds a maximum of 22 kgs
- Fill it to get the maximum benefit





# **Encoding Startegy**

#### **Start**

Encoding: 0 = not exist, 1 = exist in the Knapsack
 Chromosome: 1010110

| Item.  | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|--------|---|---|---|---|---|---|---|
| Chro   | 1 | 0 | 1 | 0 | 1 | 1 | 0 |
| Exist? | у | n | у | n | y | у | n |

=> Items taken: 1, 3, 5, 6.

Generate random population of n chromosomes:

a)0101010

b)1100100

c)0100011



#### Fitness Function

#### Maximum Weight = 22 kg

a) 0101010: Benefit= 19, Weight= 24 🔀

| Item    | 1 | 2 | 3 | 4  | 5 | 6 | 7 |
|---------|---|---|---|----|---|---|---|
| Chro    | 0 | 1 | 0 | 1  | 0 | 1 | 0 |
| Benefit | 5 | 8 | 3 | 2  | 7 | 9 | 4 |
| Weight  | 7 | 8 | 4 | 10 | 4 | 6 | 4 |

b) 1100100: Benefit= 20, Weight= 19. ✓

c) 0100011: Benefit= 21, Weight= 18. 🗸



# Constrained Optimization

#### Penalty Function Approach

```
Total Benefit of the selected items = B
Total Weight of the selected items = W
Maximum Weight = MW
```

```
If W \le MW
Fitness = B
Else
Fitness = B - K(W - MW)
End
```



# Constrained Optimization

#### Binary Tournament Approach

Fitness = B

If both solutions are feasible then select the one with larger B

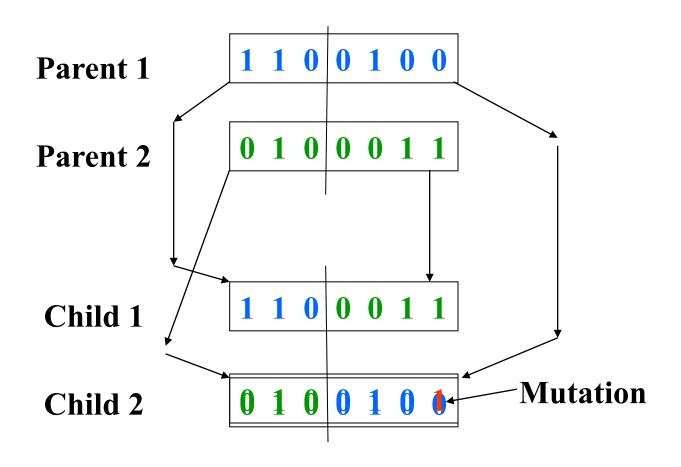
If one solution is feasible and the other is infeasible then select the feasible solution

If both solutions are infeasible then select the solution with smaller W



#### Crossover and Mutation

#### **Crossover & Mutation**





# Thank You

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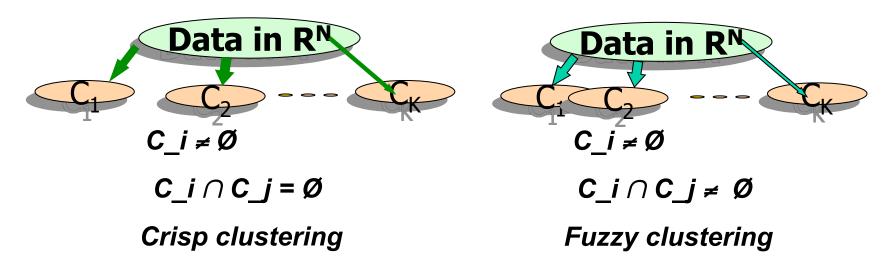


# GA based Clustering



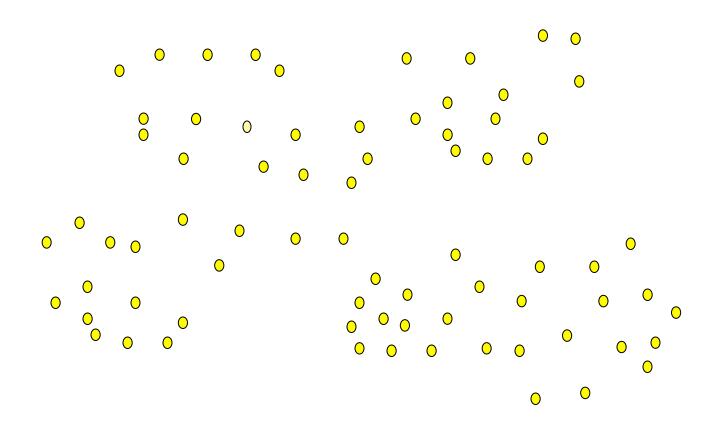
## Clustering

- Clustering is a popular unsupervised pattern classification technique which partitions the input space into *K* regions based on some similarity/dissimilarity measure.
  - The value of K may or may not be known a priori.



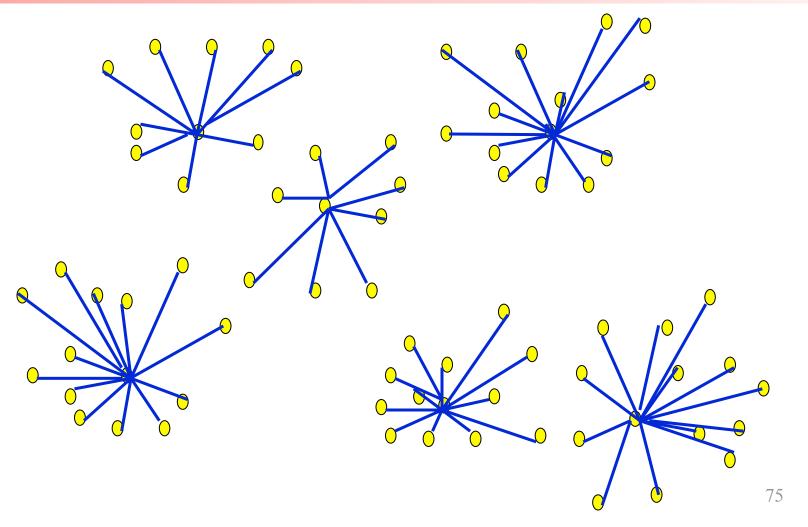


## Spatial Clustering





## Spatial Clustering





## K-means Clustering

- Given k, the k-means algorithm is implemented in 4 steps:
  - Choose k random objects as initial cluster centers.
  - Assign each object to the cluster with the nearest center.
  - Recompute the center (mean point) of each cluster.
  - Go back to Step 2, stop when no more change in the cluster centers.

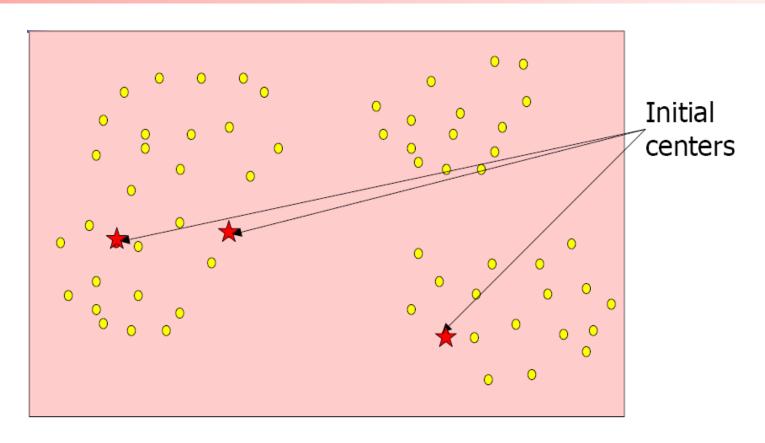
K-means minimizes the mean squared error:

$$J = \sum_{i=1}^{k} \sum_{x_{i} \in C_{i}} D^{2}(v_{i}, x_{j})$$

- where D is a distance function like Euclidean distance,  $v_i$  denotes the center of the i th cluster.

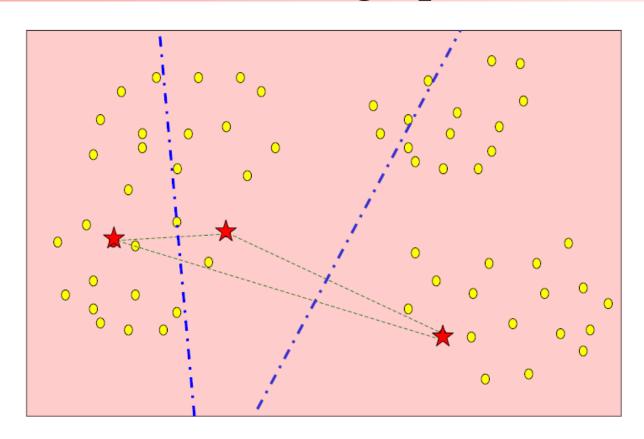


## K-means - Example Initialization



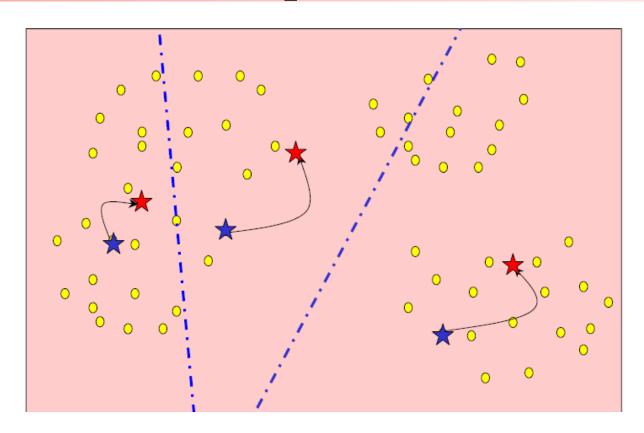


## K-means - Example (Contd.) Assign points



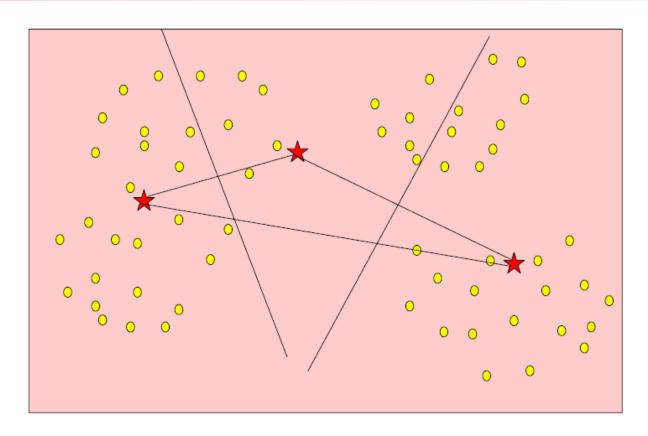


## K-means - Example (Contd.) Update centers



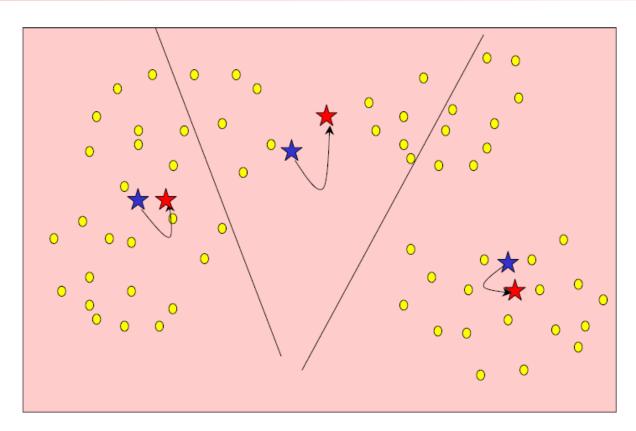


## K-means - Example (Contd.) Reassign points



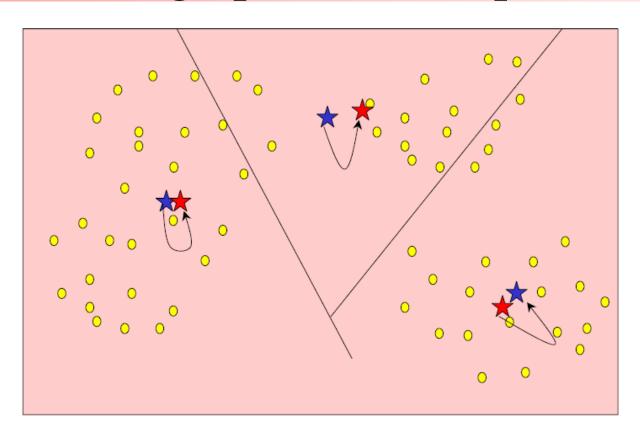


## K-means - Example (Contd.) Update centers



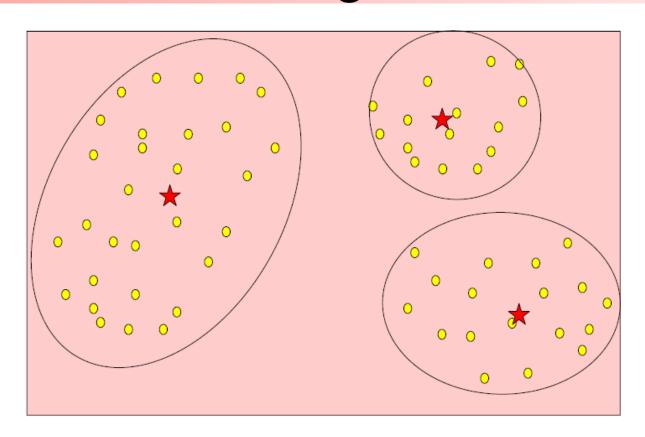


## K-means - Example (Contd.) Reassign points and update centers





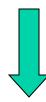
## K-means - Example (Contd.) Final clustering after few iterations





### Limitation of K-means

• Gets stuck at local optima depending on the choice of the initial cluster centers.



• Application of better optimization methods such as GA



## GA based Clustering

#### • Representation:

Cluster centers encoded in the chromosomes
 For a d-dimensional space, length of chromosome = d \* k

$$\{ \underbrace{(v_{11}, v_{12}, ..., v_{1d})}_{Center \ 1} \underbrace{(v_{21}, v_{22}, ..., v_{2d})}_{Center \ 2} ... \underbrace{(v_{k1}, v_{k2}, ..., v_{kd})}_{Center \ k} \}$$

#### • Example 1:

- Let d=2and K=3,

i.e., two-dimensional space, number of clusters = 3 Chromosome: {51.6 72.3 18.3 15.7 29.1 32.2} represents 3 cluster centers (51.6, 72.3), (18.3, 15.7) and (29.1, 32.2).



### Population Initialization

• Initial cluster centers= k randomly selected points from the data

```
For each chromosome i in the population

For each cluster j

p=randomly chosen point from the data set;

Population[i][j] = p;

End

End
```



### Fitness Computation

This consists of three phases.

- Phase 1: Cluster assignment
  - Each point is assigned to the nearest cluster center.
  - All ties are resolved arbitrarily.
- Phase 2: The cluster centers encoded in the chromosome are replaced by the mean points of the respective clusters.
- Phase 3: fitness computation
  - Compute  $J = \sum_{i=1}^{k} \sum_{x_j \in C_i} D^2(v_i, x_j)$  Fitness = 1/J.

  - Maximization of fitness leads to minimization of J



### Fitness Computation - Example

#### Example 2:

- Chromosome: {(51.6 72.3) (18.3 15.7) (29.1 32.2)}
- The first cluster center is (51.6, 72.3).
- Let points (50.0, 70.0) and (52.0, 74.0) be also included in the first cluster besides itself i.e., (51.6, 72.3)
- Hence the newly computed cluster center becomes ((50.0+52.0+51.6)/3, (70.0+74.0+72.3)/3) = (51.2, 72.1).
- New cluster center replaces the previous value in chromosome, i.e., (51.2, 72.1) replaces (51.6, 72.3). Similarly other centers are updated.
- Compute mean squared error *J*.
- Fitness of chromosome = 1/J



### Genetic Operators

- Selection Roulette wheel selection.
- Crossover Single point crossover with a fixed crossover probability.
  - For chromosomes of length k, a random integer p is generated in the range [1, k]. The portions of the chromosomes lying to the right of p are exchanged to produce two offspring.
  - Centers are considered indivisible.

#### Mutation

- Since we are considering floating point representation, we use the following mutation. A number d in the range [0, 1] is generated with uniform distribution. If the value at a gene position is v, after mutation it becomes  $v=v\pm 2*d*v$ , if  $v \neq 0$ ,  $v=v\pm 2*d$ , if v=0.



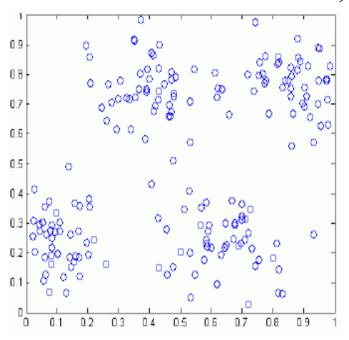
### **Termination**

- GA clustering is run for a fixed number of generations
- Elitism incorporated
- Best string (one with the lowest *J*) is taken as the solution of the clustering problem.

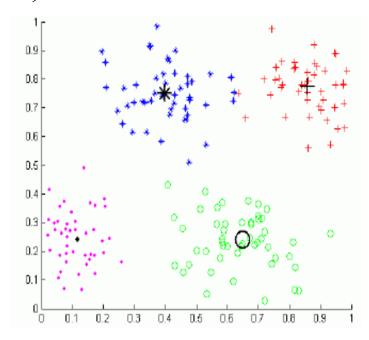


## Clustering Result - Example

$$N = 188$$
,  $d = 2$ ,  $k = 4$ 



Input Data Set



Clustered Data



# Thank You

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