

Probing the Neutrino Mass Hierarchy & the CPV Phase δ in the Foreseeable Future Experiments

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D. P. Roy

Homi Bhabha Centre for Science Education

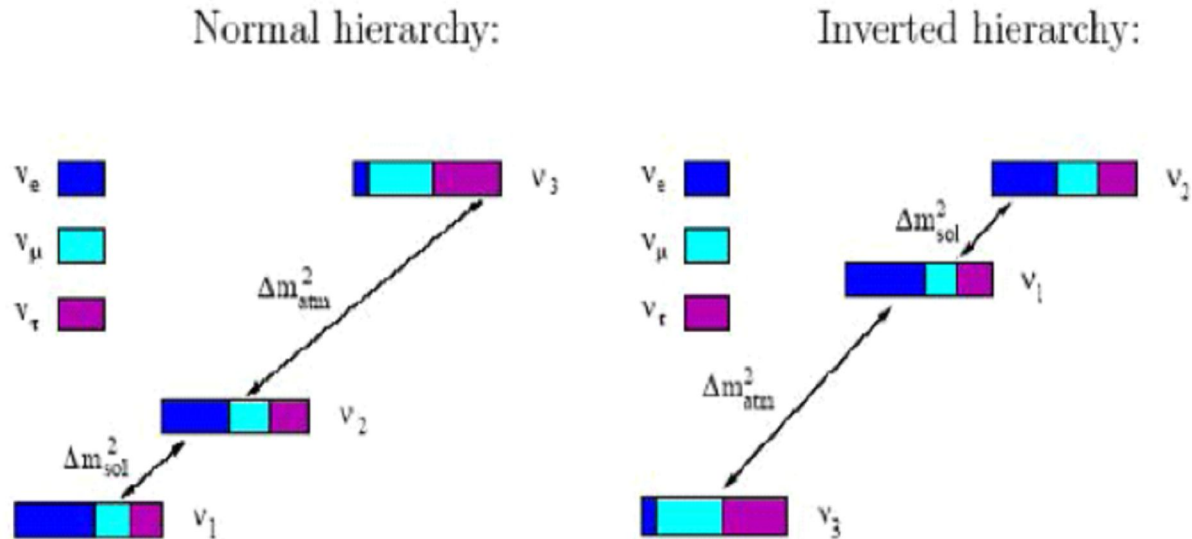
Tata Institute of Fundamental Research

Mumbai, India

Outline

- Introduction
- Three Neutrino Mixing & Oscillation Formalism
- Determination of θ_{13} from SBL Reactor (Anti)neutrino Expts.
- Implications for Determining Mass Hierarchy & CPV δ in LBL Accelerator Neutrino Expts.
- Implications for Atmospheric Neutrino Expts.

Introduction:



Our Knowledge of Neutrino Mass And Mixing Parameters till 2010

Atmos. & LBL Accl. ν Expt: $\Delta m_{atm}^2 = \Delta m_{32}^2 \approx \Delta m_{31}^2 \approx \pm 2.4 \times 10^{-3} \text{ eV}^2, \sin^2 2\theta_{23} \approx 1.0;$

Sol. & LBL Reactor ν Expt: $\Delta m_{sol}^2 = \Delta m_{21}^2 \approx 7.6 \times 10^{-5} \text{ eV}^2, \sin^2 \theta_{12} \approx 0.3.$

SBL Reactor ν Expt: $\sin^2 2\theta_{13} < 0.15$ at 90% CL,

3 Unknown ν Osc Parameters: $\sin^2 2\theta_{13}$, Sign of Δm_{31}^2 & CPV Ph. δ

2010 - 2012: Det of $\sin^2 2\theta_{13} \approx 0.1 \Rightarrow$ Det of the Sign of Δm_{31}^2 & δ

Three Neutrino Mixing and Oscillation:

$$\nu_\alpha = \sum U_{\alpha i}^* \nu_i, \alpha = e, \mu, \tau$$

$$U = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & 1 \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$s_{ij} = \sin \theta_{ij} \text{ \& } c_{ij} = \cos \theta_{ij}$$

$$\frac{|U_{e2}|^2}{|U_{e1}|^2} = \tan^2 \theta_{12}, \frac{|U_{\mu 3}|^2}{|U_{\tau 3}|^2} = \tan^2 \theta_{23}, |U_{e3}|^2 = \sin^2 \theta_{13}.$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_j U_{\beta j} e^{\frac{-im_j^2 L}{2E_\nu}} U_{\alpha j}^* \right|^2$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re} [U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*] \sin^2 \Delta_{ij} - 2 \sum_{i>j} \text{Im} [U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*] \sin 2\Delta_{ij},$$

where

$$\Delta_{ij} = \Delta m_{ij}^2 L / 4E_\nu.$$

Last term contains the CPV cont. $\propto \sin \delta$: vanishes for $\alpha=\beta$ (Disappear. Expt.).

It changes sign in going from $P(\nu_\alpha \rightarrow \nu_\beta)$ to $P(\nu_\beta \rightarrow \nu_\alpha)$ or to

$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$ since $P(\nu_\beta \rightarrow \nu_\alpha) = P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$ by CPT invariance.

$$\Delta m_{32}^2 = m_3^2 - m_2^2 \Rightarrow \Delta_{32} = \Delta_{31} - \Delta_{21} \rightarrow \text{to rewrite } P(\nu_\alpha \rightarrow \nu_\beta) \text{ in terms of } \Delta_{31} \text{ \& } \Delta_{21}$$

$$\alpha = \left| \Delta m_{21}^2 \right| / \left| \Delta m_{31}^2 \right| = \left| \Delta_{21} \right| / \left| \Delta_{31} \right| \cong 0.03 \rightarrow \text{to approximate } P(\nu_\alpha \rightarrow \nu_\beta) \text{ in terms of a single } \Delta$$

$$\Delta_{ij} = 1.27 \Delta m_{ij}^2 L / E_\nu, \quad \text{with } \Delta m_{ij}^2 \text{ in eV}^2, L \text{ in km (m) \& } E_\nu \text{ in GeV (MeV)}$$

Atmos. & LBL Accl. ν Expts: $E_\nu \approx \text{GeV}, L \approx 10^3 \text{ km} \Rightarrow \Delta_{31} \approx 1, \Delta_{21} \approx \alpha \approx 1/30$

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \Delta_{31}$$

$\cong 1 - \sin^2 2\theta_{23} \sin^2 \Delta_{31}$, neglecting terms of $\sim \cos 2\theta_{23}$ & $\sin^4 \theta_{13}$ in last step

$\Rightarrow \sin^2 2\theta_{23}$ & Δm_{31}^2 determined using this formula hold to a very good approx.

\Rightarrow These Expts are not good for determining the small angle θ_{13} .

SBL Reactor ν Expt: $E_\nu \approx \text{MeV}, L \approx 10^3 \text{ m} \Rightarrow P(\nu_e \rightarrow \nu_e) \cong 1 - \sin^2 2\theta_{13} \sin^2 \Delta_{31}$
(2012)

LBL Reactor ν Expt (KamLAND): $E_\nu \approx \text{MeV}, L \approx 10^5 \text{ m} \Rightarrow \Delta_{31} \approx 1/\alpha, \Delta_{21} \approx 1 \Rightarrow \sin^2 \Delta_{31} \approx 1/2$

$$P(\nu_e \rightarrow \nu_e) \cong 1 - \frac{1}{2} \sin^2 2\theta_{13} - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \Delta_{21}$$

$\cong c_{13}^4 (1 - \sin^2 2\theta_{12} \sin^2 \Delta_{21})$, neglecting $\sin^4 \theta_{13}$ term in the last step

MSW formula for solar matter effect $\Rightarrow P_{solar}(\nu_e \rightarrow \nu_e) \cong c_{13}^4 \sin^2 \theta_{12}$ (SK, SNO)

Nonzero $\theta_{13} \Rightarrow c_{13} < 1 \Rightarrow \theta_{12}(\text{solar}) < \theta_{12}(\text{KamLAND})$ assuming $c_{13} = 1$.

SNO (2010) : $s_{13}^2 = \sin^2 \theta_{13} = 2.0_{-1.6}^{+2.1} \times 10^{-2}$ Fogli et al. (2010) : $\sin^2 \theta_{13} = 2 \pm 1 \times 10^{-2}$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} s_{23}^2 \sin^2 \Delta_{31} \sim 0.1 \sim (1/3)^2$$

$$+ \alpha \sin 2\theta_{13} \sin 2\theta_{12} \cos(\Delta_{31} + \delta) \Delta_{31} \sin \Delta_{31} + \alpha^2 \sin^2 2\theta_{12} c_{23}^2 \Delta_{31}^2.$$

$\sim (1/30) \times (1/3)$ $\sim (1/30)^2$

Nonzero $P(\nu_\mu \rightarrow \nu_e) \Rightarrow$ Nonzero $\sin 2\theta_{13}$; but its value depends on the CPV ph. δ .
 With $\sin 2\theta_{13}$ known from SBL Reactor ν expt. \Rightarrow CPV δ from $P(\nu_\mu \rightarrow \nu_e)$ at LBL Accl ν expt.
 But the CPV term $\sim 20\%$ of the leading term \Rightarrow Require $P(\nu_\mu \rightarrow \nu_e)$ to $\sim 5\%$ to measure δ ($\sim 25\%$)

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \rightarrow P(\nu_\mu \rightarrow \nu_e) \Rightarrow \delta \rightarrow -\delta \Rightarrow \text{Their difference} \propto \sin \delta.$$

Additional complications due to earth matter effect \Rightarrow Opportunity to determine $\text{Sg}(\Delta m_{31}^2)$

$$\text{CC int. of } \nu_e \text{ with electron} \Rightarrow V = \sqrt{2} G_F N_e \cong 7.6 \times 10^{-14} \left(\frac{\rho}{\text{g/cm}^3} \right) Y_e \text{ eV}, \quad \rho \cong 3 \text{ g/cm}^3, Y_e \cong 0.5.$$

$$i \frac{d}{dt} |\nu(t)\rangle = H |\nu(t)\rangle, \quad H \approx \frac{1}{2E_\nu} U \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2) U^\dagger + \text{diag}(V, 0, 0).$$

For antineutrinos: $U \rightarrow U^*, V \rightarrow -V.$ $(\because E = \sqrt{p^2 + m_i^2} \approx p + m_i^2 / 2E)$

Perturbative diagonalisation of the effective Hamiltonian $\Rightarrow H = U' \text{diag}(E_1, E_2, E_3) U'^\dagger$
 Akhmedov Johansson, Lindner, Ohlsson, Schwetz (2004),

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_j U'_{\beta j} e^{-iE_j L} U'^*_{\alpha j} \right|^2$$

$$P(\nu_\mu \rightarrow \nu_e) = 4s_{13}^2 s_{23}^2 \frac{\sin^2(A-1)\Delta_{31}}{(A-1)^2} + \alpha^2 \sin^2 2\theta_{12} c_{23}^2 \frac{\sin^2 A\Delta_{31}}{A^2} \\ + 2\alpha s_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos(\Delta_{31} + \delta) \frac{\sin A\Delta_{31}}{A} \frac{\sin(A-1)\Delta_{31}}{A-1},$$

where

$$A = \frac{VL}{2\Delta_{31}} = \frac{2E_\nu V}{\Delta m_{31}^2} \cong \pm \frac{E_\nu (\text{GeV})}{10}.$$

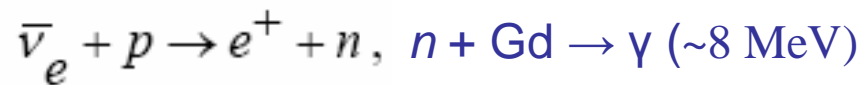
Sign of A changes with sign of Δm_{31}^2
and with neutrino \rightarrow antineutrino

Off-axis Expts. T2K & NOvA have $E_\nu \sim 1 \text{ GeV}$ & $\Delta_{31} \approx \pi/2 \Rightarrow$ Rel. size of matter term $\sim 2A$

$$P(\nu_\mu \rightarrow \nu_e) = 4s_{13}^2 s_{23}^2 [\sin^2 \Delta_{31} + A(2\sin^2 \Delta_{31} - \Delta_{31} \sin 2\Delta_{31})] + \alpha^2 \sin^2 2\theta_{12} c_{23}^2 \Delta_{31}^2 \\ + 2\alpha s_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos(\Delta_{31} + \delta) \Delta_{31} [\sin \Delta_{31} + A(\sin \Delta_{31} - \Delta_{31} \cos \Delta_{31})].$$

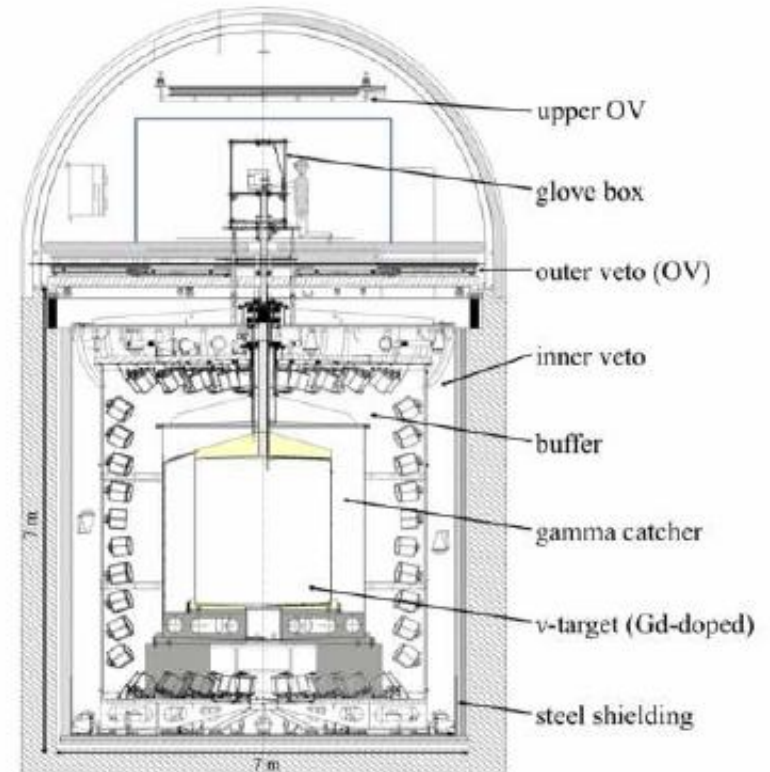
Determination of θ_{13} by SBL Reactor (Anti)neutrino Expts:

Double Chooz: Target containing 10 m³ of Gd doped Liquid scintillator placed at L = 1050 m from 2x4.25 GW Chooz Reactor complex in France



$$E_{\text{prompt}} = E_\nu + m_p - m_n + m_e \approx E_\nu - 0.8 \text{ MeV}$$

PRL2012: 4121 events/ 4344 ± 165 (pred.)



$R = 0.944 \pm 0.016$ (stat) ± 0.040 (syst), *A similar detector to be installed near the reactor to measure antineutrino flux and reduce syst. err.*

+ Distortion of E_{prompt} spectrum $\Rightarrow \sin^2 2\theta_{13} = 0.086 \pm 0.041$ (stat) ± 0.034 (syst).

ICHEP2012: ~ 8000 events
 $\Rightarrow (\sim 3\sigma \text{ signal}) \quad \sin^2 2\theta_{13} = 0.109 \pm 0.030$ (stat) ± 0.025 (syst)

RENO: Two identical near and far detectors placed at $L = 294 \text{ m}$ & 1383 m from the centre of an array of $6 \times 2.8 \text{ GW}$ Reactors in S. Korea.

Each detector contains 16 tons (18.6 m^3) of Gd-doped liquid scintillator target.

=> Flux x target size = 2x2 times larger than Double Chooz => 4 times larger signal

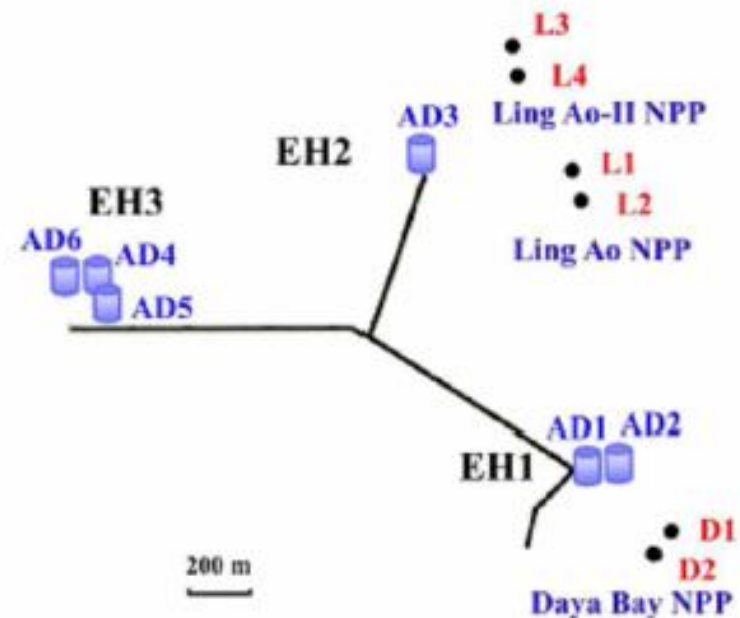
PRL2012: Ratio of observed to predicted # of events in the far detector ($\sim 5\sigma$ signal)

$R = 0.920 \pm 0.009 \text{ (stat)} \pm 0.014 \text{ (syst)} \Rightarrow \sin^2 2\theta_{13} = 0.113 \pm 0.013 \text{ (stat)} \pm 0.019 \text{ (syst)}$

Daya Bay: 3 near and 3 far detectors detecting the antineutrinos from an array of $6 \times 2.9 \text{ GW}$ Reactors in China. 2 more to be added to the near and far Experimental Halls EH1 and EH3.

Each detector contains 20 tons of Gd-doped Liquid scintillator target.

=> Target and the resulting signal size 4 (16) Times Larger than RENO (DC) !!!!



PRL2012: The Ratio of observed to predicted # of events from only 55 days data (5.2σ sig)

$$R = 0.94 \pm 0.011 \text{ (stat)} \pm 0.004 \text{ (syst)} \Rightarrow \sin^2 2\theta_{13} = 0.092 \pm 0.016 \text{ (stat)} \pm 0.005 \text{ (syst)}$$

ICHEP2012: 140 days Daya Bay data $\Rightarrow \sim 8\sigma$ sig.

$$R = 0.944 \pm 0.007 \text{ (stat)} \pm 0.003 \text{ (syst)} \Rightarrow \sin^2 2\theta_{13} = 0.089 \pm 0.010 \text{ (stat)} \pm 0.005 \text{ (syst)}$$

Daya Bay \Rightarrow 5% precision in 3 yrs.

Weighted average of the final
Reno, Double Chooz & Daya Bay
Results give

$$\sin^2 2\theta_{13} = 0.10 \pm 0.01$$

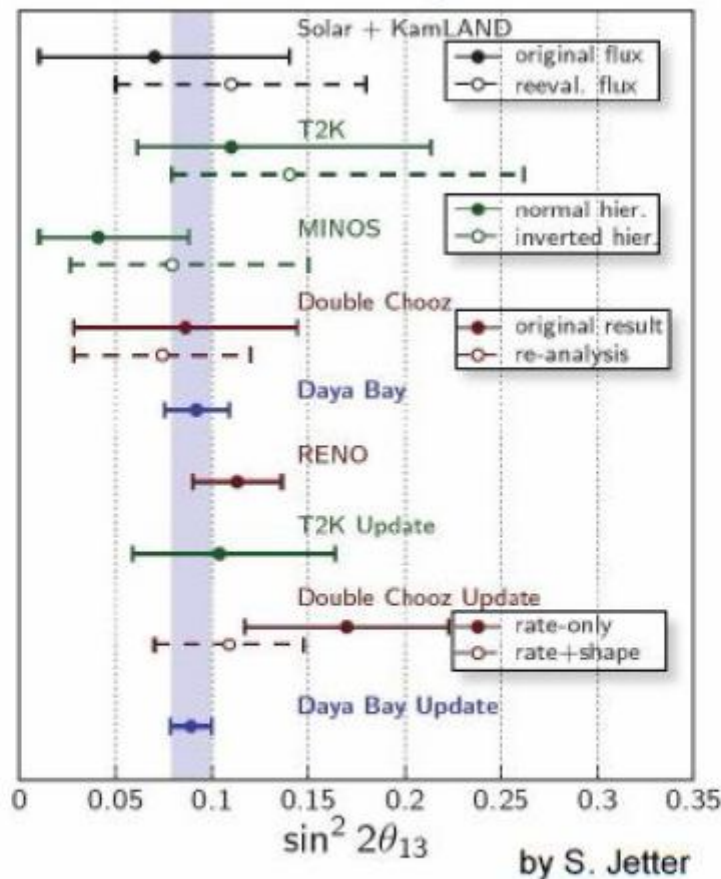
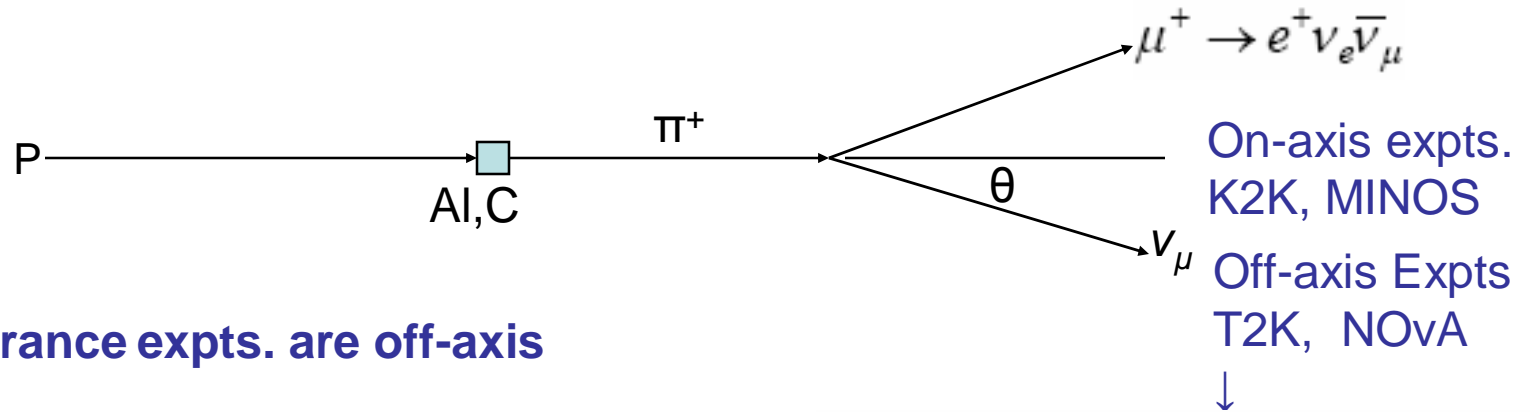


Fig 3. Global summary of the consistent evolution of a nonzero θ_{13} signal, culminating in the latest Daya Bay result [21].

Determination of Mass Hierarchy and CPV Ph δ in LBL Accl. ν Expts.



$\nu_\mu \rightarrow \nu_e$ Appearance expts. are off-axis

$$E_\nu \cong \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{E_\pi}{1 + \gamma^2 \theta^2} \cong \frac{1}{2} \frac{E_\pi}{1 + \gamma^2 \theta^2}, \gamma = E_\pi / m_\pi.$$

On-axis ($\theta = 0$) beam $\Rightarrow E_\nu (\approx E_\pi / 2)$ large & large tail

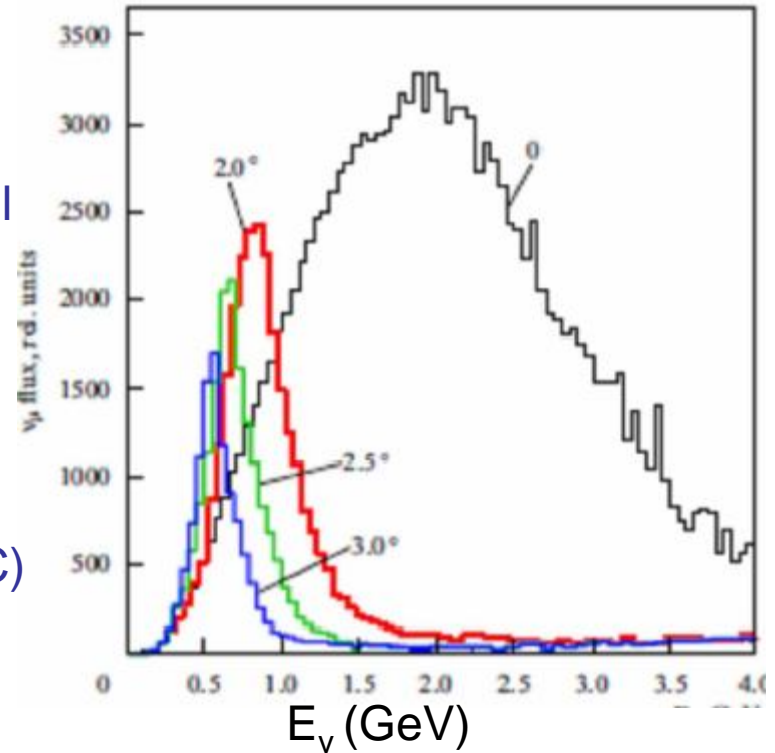
$\nu_\mu p \rightarrow \nu_\mu p \pi^0, \pi^0 \rightarrow \gamma\gamma, \gamma \rightarrow e^+e^-$ 2 serious Bg from large E_ν tail.
 $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$

$$\frac{dE_\nu}{dE_\pi} \cong \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{1 - \gamma^2 \theta^2}{(1 + \gamma^2 \theta^2)^2}$$

Suppressed with Off-axis beam (QMC)

$$\theta = 1/\gamma = m_\pi/E_\pi \Rightarrow E_\nu \cong \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{m_\pi}{2\theta} \cong \frac{30 \text{ MeV}}{\theta}$$

Peak at $E_\nu \approx 2 \text{ GeV} \Rightarrow E_\pi \approx 4 \text{ GeV} \Rightarrow \theta = 0.035 = 2^\circ$, $E_\nu \approx 0.85 \text{ GeV}, \theta = 2.5^\circ \Rightarrow E_\nu \approx 0.68 \text{ GeV}$
 QMC (Osc. Max)



T2K: J-PARC ν_μ (0.7 MW) $\xrightarrow{L = 295 \text{ km}, E_\nu \approx 0.68 \text{ GeV}}$ SK (50 kt WCD)

$L/E_\nu \approx 450 \text{ km/GeV} \Rightarrow |\Delta_{31}| \approx 80^\circ$
Osc. Max

Detection via QE proc. $\nu_e (\nu_\mu) p \rightarrow e (\mu) n$
ICHEP2012
(3×10^{20} POT) $\Rightarrow 11 \nu_e$ events (BG 3.2 ± 0.4)
 $\Rightarrow 3.2\sigma$ signal for nonzero θ_{13}

$$\sin^2 2\theta_{13} = 0.094_{-0.040}^{+0.053} (0.116_{-0.049}^{+0.063}) \text{ for +ve (-ve) } \Delta m_{31}^2$$

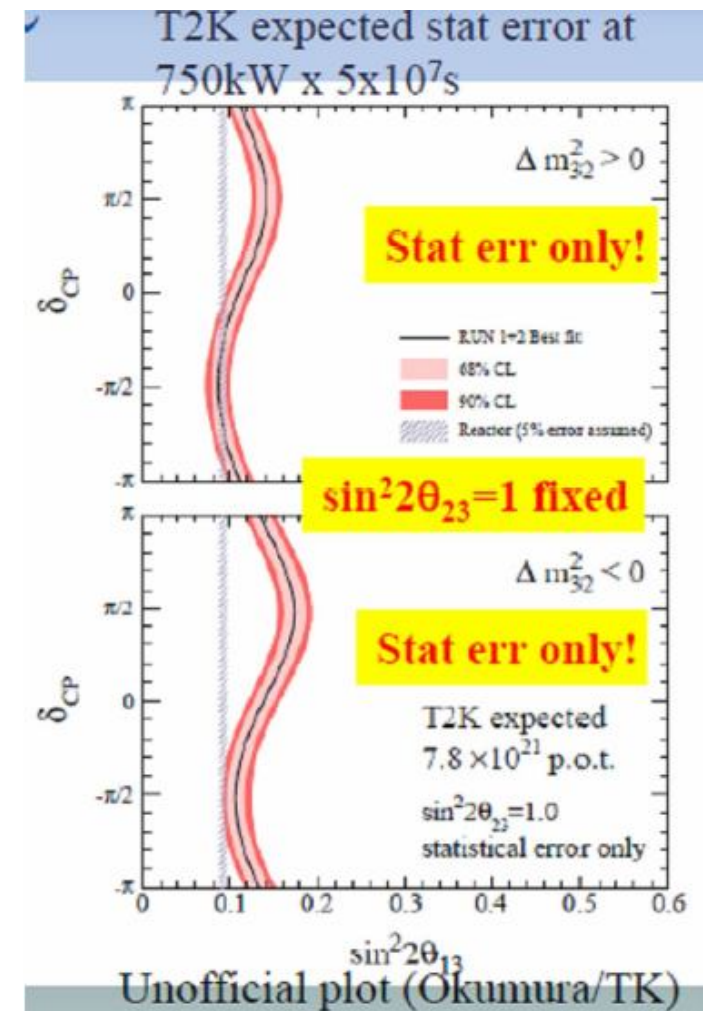
assuming $\delta = 0$ ($\pm 20\%$ variation over the δ)

$A \approx \pm 6.8\% \Rightarrow \pm 10\%$ matter effect

78×10^{20} POT data expected in 5 yrs \Rightarrow Comparison with reactor result can find nonzero δ sig at 90%CL over about half the δ cycle.

1. Second far detector at $L = 658 \text{ km}$ & $E_\nu \approx 2 \text{ GeV}$ to determine sign of Δm_{31}^2 via matter effect.
2. Install a $\sim 1 \text{ Mt}$ (HK) detector to determine sign of Δm_{31}^2 from atmospheric ν data and δ from T2K ν data.

MINOS(10.7×10^{20} POT): ICHEP2012
 $\Rightarrow 88 \nu_e$ events (BG 69 ± 9) $\Rightarrow 2\sigma$ sig
 $\sin^2 2\theta_{13} = 0.06$ (0.10) for +ve (-ve) Δ_{31}^2



NOvA: 2013→

Fermilab ν_μ $\xrightarrow{L = 810 \text{ km}, E_\nu \approx 2 \text{ GeV}}$ NOvA (14 kt liq. Scintillator)
 0.7 MW

$L/E_\nu \approx 405 \text{ km/GeV} \Rightarrow |\Delta_{31}| \approx 70^\circ$, $E_\nu \approx 2 \text{ GeV} \Rightarrow \pm 30\% \text{ matter effect}$
 (& the $\pm 20\%$ variation with δ)

complete 3+3 years of $\nu_\mu \rightarrow \nu_e + \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance

J. M. Paley (NOvA & LBNE)
 ICHEP2012

2σ error bars $\approx \pm 0.015 \Rightarrow$
 Effective overlap \sim half of each contour

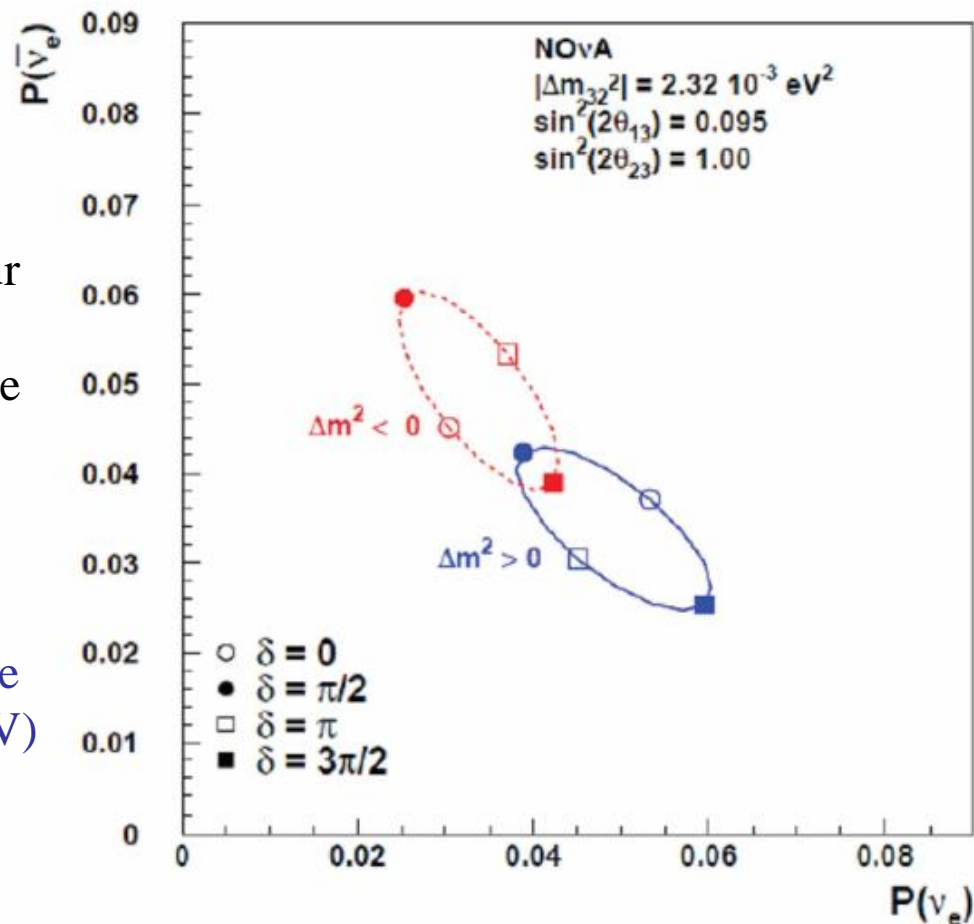
$\Rightarrow 2\sigma$ Res. Mass hierarchy over \sim half the δ cycle

$\Rightarrow 2\sigma$ sig for nonzero δ not possible.

NOvA+T2K:

$\Rightarrow 1\sigma$ Res. Mass hierarchy \rightarrow full δ cycle

$\Rightarrow 1.5\sigma$ (90%CL) sig for nonzero δ (CPV)
 over most of the δ cycle.



LBNE Prop. Fermilab ν_μ $\xrightarrow{L = 1300 \text{ km}}$ 10 kt liquid Ar TPC
0.7→2.2 MW

- 2σ Res. Mass hierarchy over full δ cycle
- 4σ Res. Mass hierarchy with (NOvA+T2K)
- 2σ Sig. for nonzero δ (CPV) over $.2\pi < \delta < .8\pi$
- 3σ Sig. for nonzero δ (CPV) with (NOvA+T2K)

- Thanks to the sizable value of θ_{13} , it seems feasible to resolve the neutrino mass hierarchy and detect signal of nonzero δ (CPV) in the T2K & NOvA experiments along with their proposed extensions in the foreseeable future.

Implications for Hierarchy Res. In Atmospheric Neutrino Expts.

PRO

- The $\nu_\mu \rightarrow \nu_e$ & $\nu_e \rightarrow \nu_\mu$ appearance probabilities of core traversing neutrinos experience larger matter effect than in LBL accelerator expts.
- They are insensitive to δ unlike in LBL expts.

CON

- Huge BG to the atmospheric $\nu_\mu \rightarrow \nu_e$ & $\nu_e \rightarrow \nu_\mu$ appearance from the ν_e & ν_μ survival probabilities, which are unsuppressed by any $\sin^2 2\theta_{13}$ factor.
- Energy and direction of the incoming neutrino has to be inferred from the measured energies and directions of the outgoing particles.
- Likewise the nature of the incoming neutrino has to be inferred from the identification of the outgoing lepton (e/ μ) and its charge.
- They make very challenging demands on the detector performance of atmospheric neutrino experiments.

SK Expt. (ICHEP2012): 3900 days data (240 kt.yr)

- $\sin^2 2\theta_{13} \approx 0.1$: $\nu_\mu \rightarrow \nu_e$ appearance \Rightarrow $\sim 12\%$ (5%) excess of core traversing ν_e events for normal (inverted) mass hierarchy & the other way around for $\bar{\nu}_e$ events.
- SK data has over 2000 multi-GeV $\nu_e/\bar{\nu}_e$ events.
- Yet they are unable to detect any statistically significant excess of events signaling nonzero $\sin^2 2\theta_{13}$, which does not require $\nu_e/\bar{\nu}_e$ separation.
- They do not have good $\nu_e/\bar{\nu}_e$ separation. So they are unable to resolve mass hierarchy even at a fraction of 1σ level, which requires $\nu_e/\bar{\nu}_e$ separation.
- A 3σ resolution of mass hierarchy possible at the proposed 1 Mt scale HK detector with 10 years of atmospheric $\nu_e/\bar{\nu}_e$ data.

INO (50 kt magnetized iron tracking calorimeter): 2017→

Can collect 200 - 300 $\nu_\mu/\bar{\nu}_\mu$ events in 2-3 years with good $\nu_\mu/\bar{\nu}_\mu$ separation.
Can it resolve mass hierarchy? [Petcov and Schwetz, NP 2006](#)

Possible with $\sigma(\theta, E_\nu) = 5\%$
But not with $\sigma(\theta, E_\nu) = 15\%$

[Blennow and Schwetz, 2012](#)
⇒INO can achieve 2σ mass Resolution by itself in 10 yrs and with T2K+NOvA in 5 yrs with $\sigma(\theta, E_\nu) = 10\%$.

But no significant cont. to MH Resolution with $\sigma(\theta, E_\nu) = 15\%$.

MINOS: $\sigma(E_\nu) = 15\text{-}20\%$.
INO Passive (iron) layers are 5 cm thick, against 2.5 cm of MINOS ⇒ $\sigma(E_\nu)$ poorer than MINOS ⇒ Hierarchy res. seems unlikely at INO unless it can improve $\sigma(E_\nu)$ significantly.

