

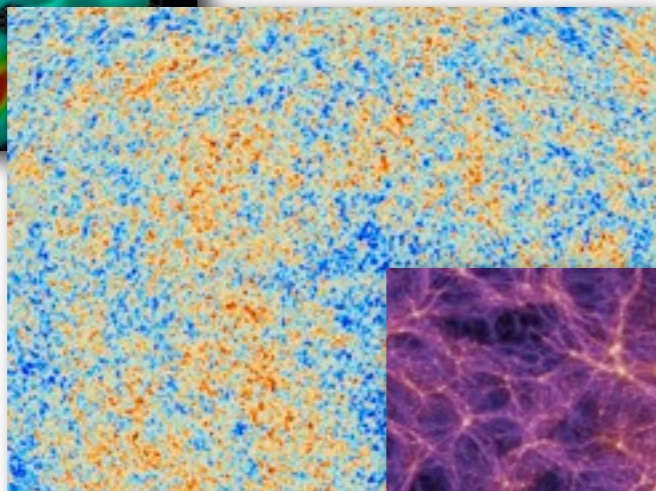
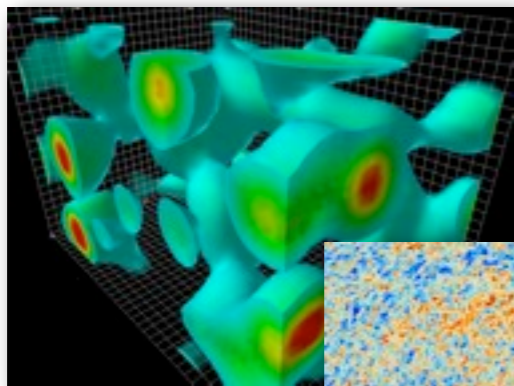
The background of the slide is a deep space image featuring a dense field of stars in various colors (yellow, orange, blue) and a prominent nebula with swirling patterns of blue and yellowish-white light. The overall color palette is dark, with deep blues and blacks interspersed with the bright colors of the celestial objects.

COSMOLOGY ***for STRING THEORISTS***

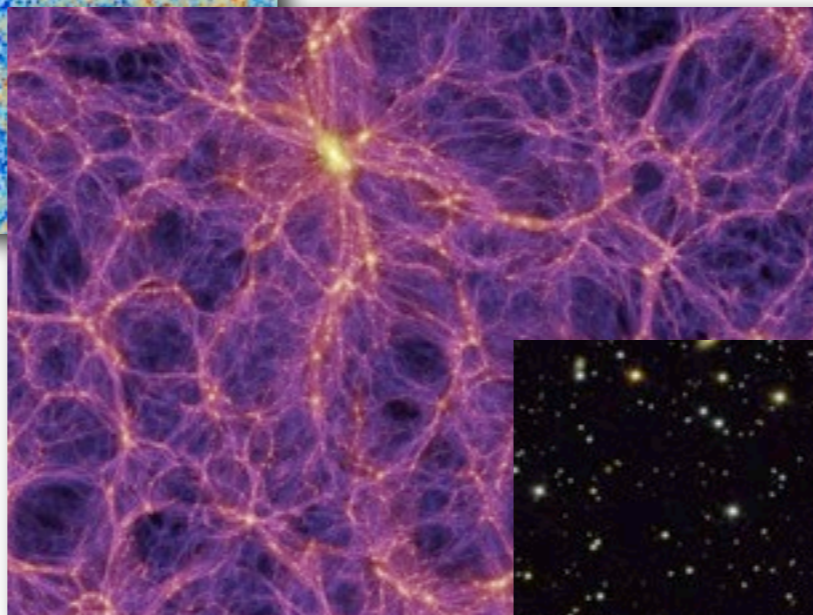
Daniel Baumann
Cambridge University

Asian Winter School on Strings, Particles and Cosmology
Puri, January 2014

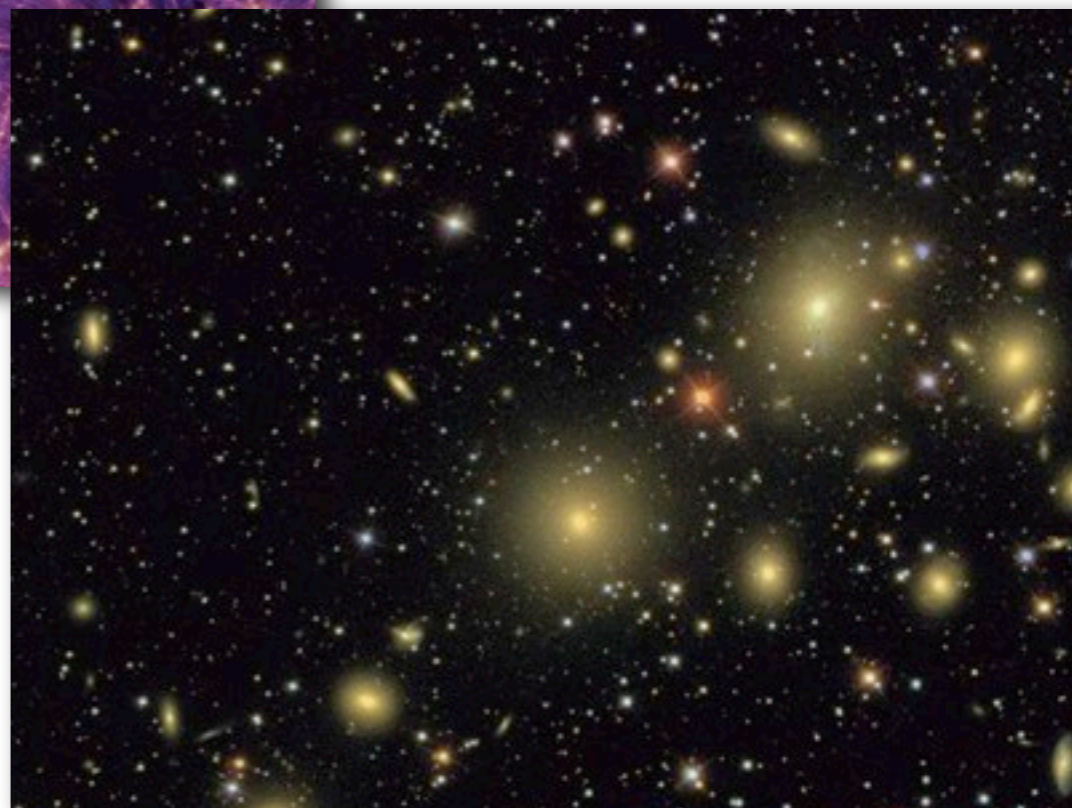
10^{-34} s.



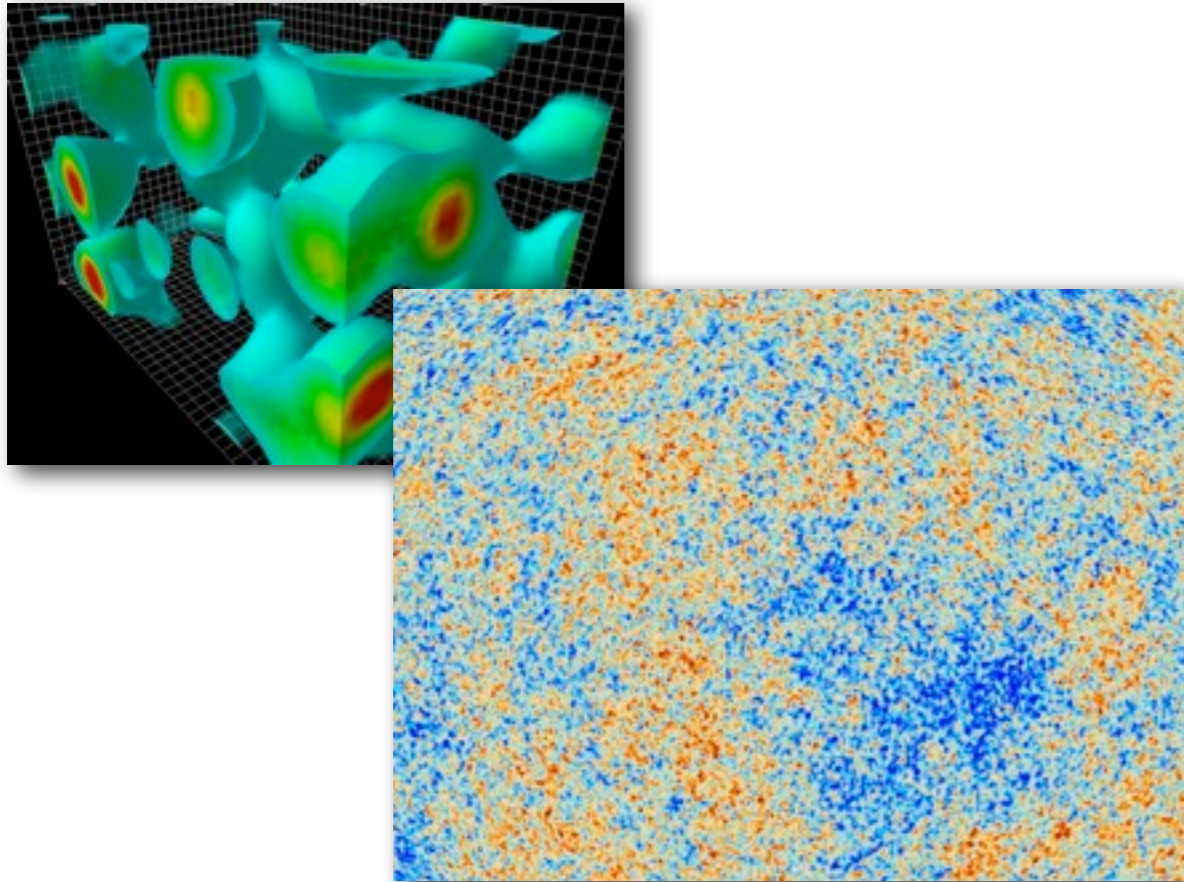
380,000 yrs.



13.8 billion yrs.



Course Outline



► The Physics of CMB Anisotropies

- * Quantum Initial Conditions
- * Acoustic Dynamics
- * Results from Planck

► Inflation in String Theory

- * Inflation in Effective Field Theory
- * Moduli Stabilization
- * Examples of String Inflation

Course website: www.damtp.cam.ac.uk/user/db275/Puri.pdf

Reference: DB and Liam McAllister, *Inflation and String Theory*

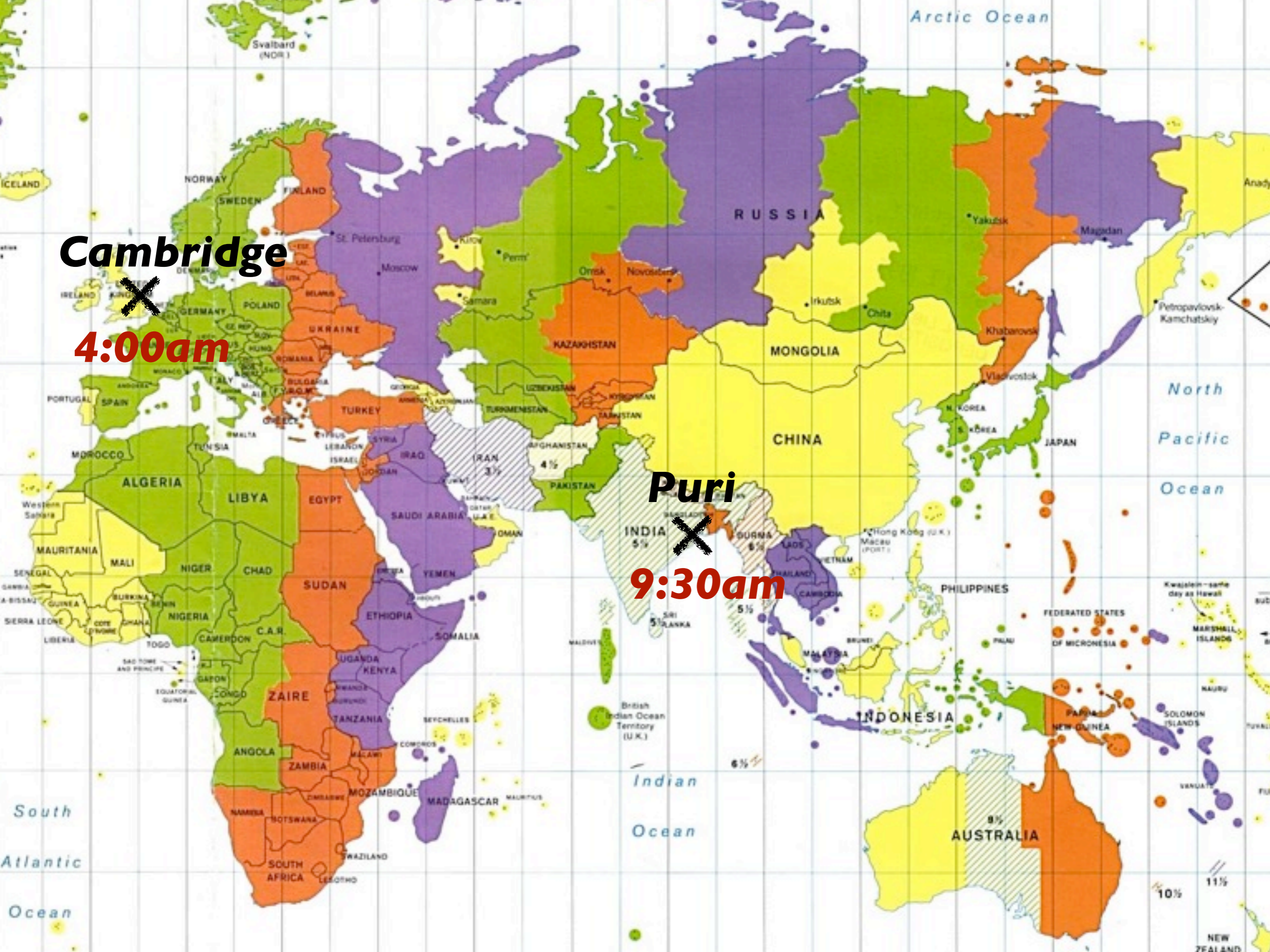
Please ask questions

Cambridge

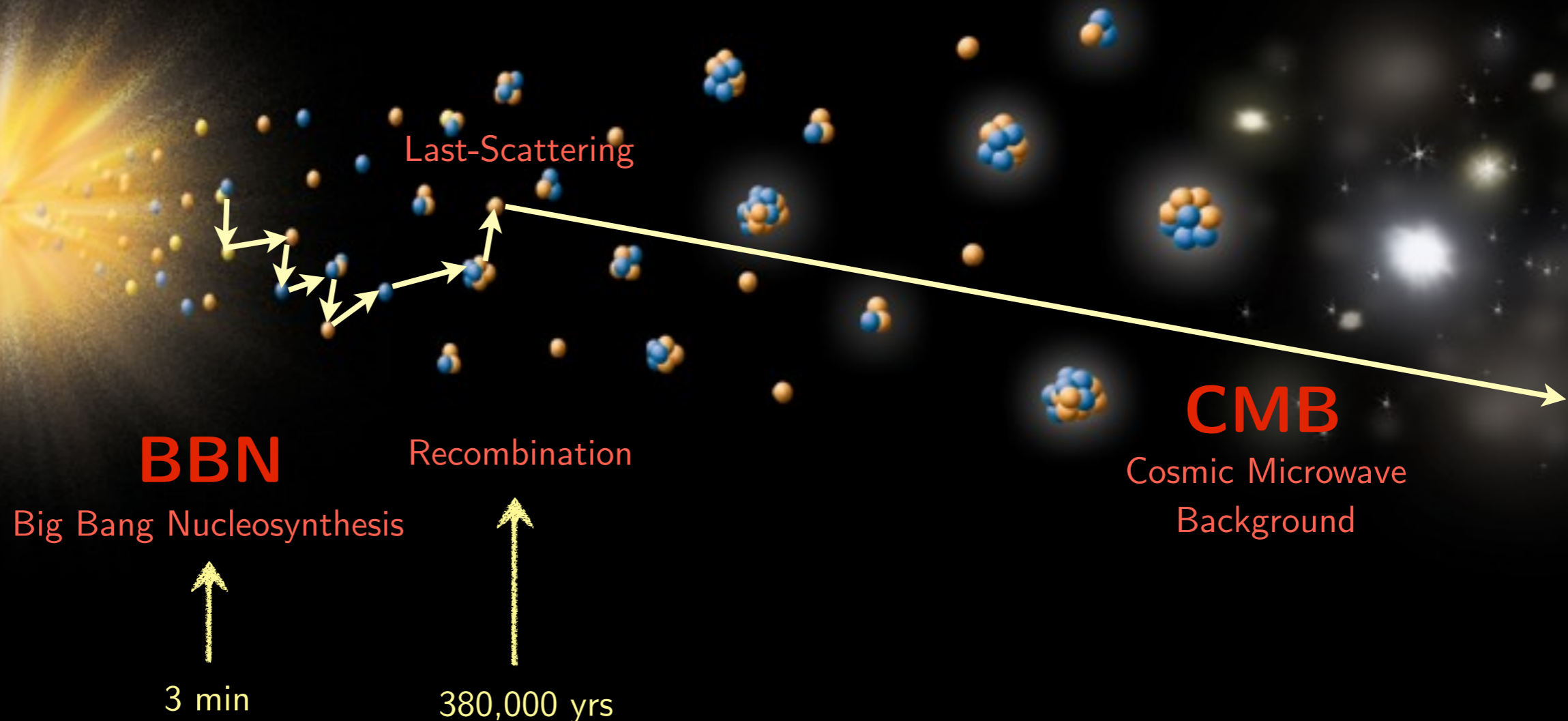
4:00am

Puri

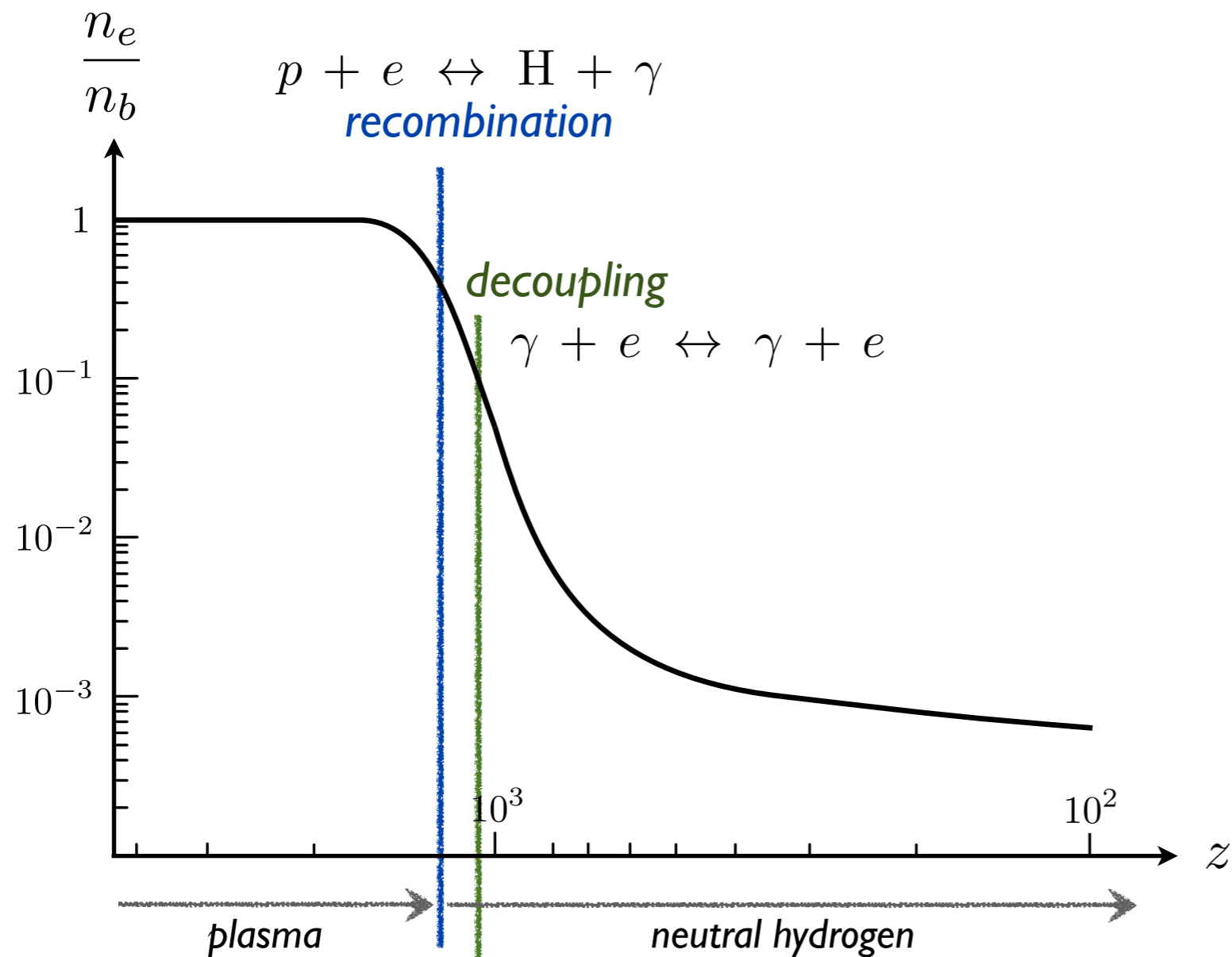
9:30am



The Physics of CMB Anisotropies



Cosmic Microwave Background



last-scattering

CMB

free-streaming

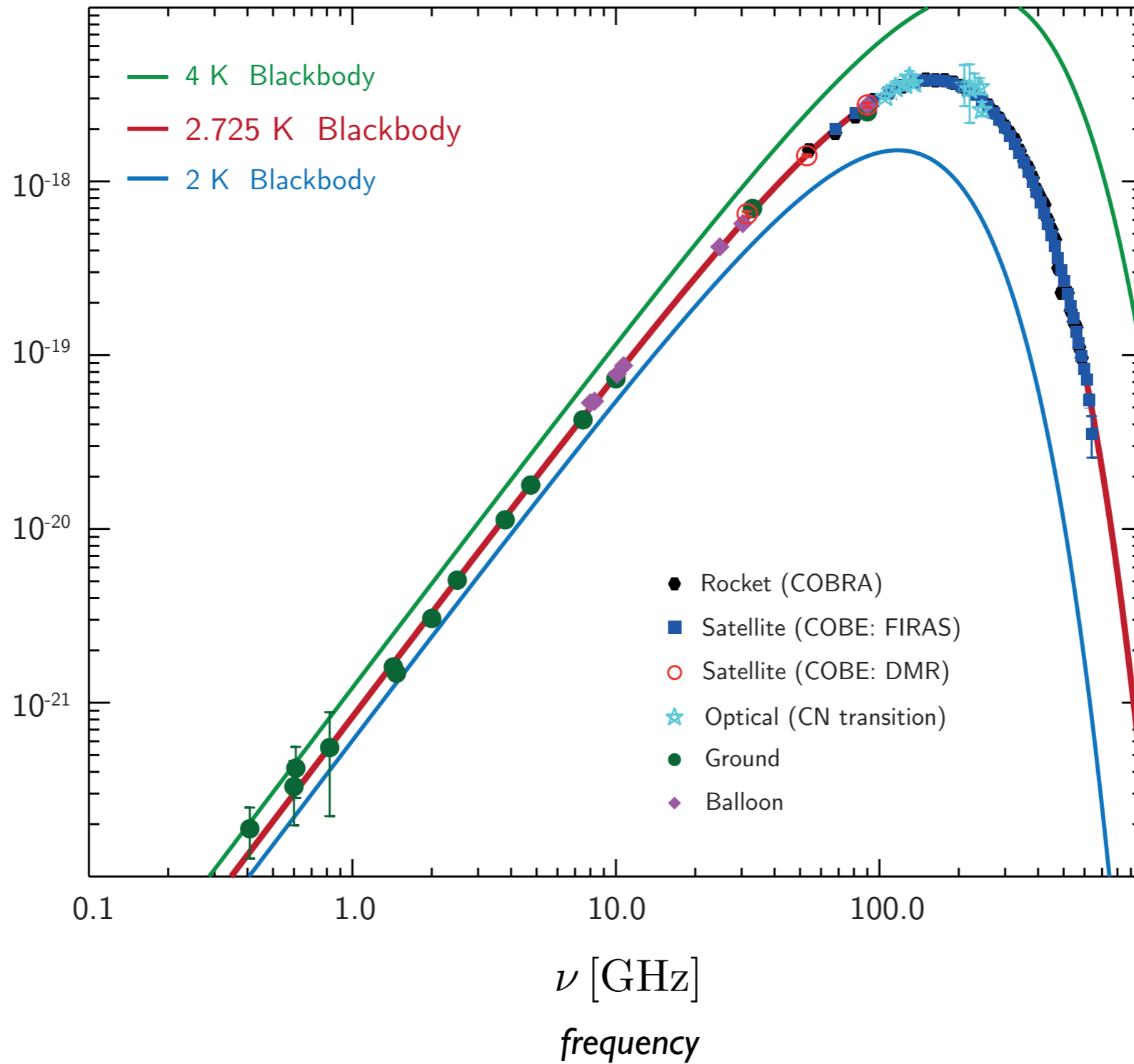


observed as a blackbody spectrum with $\bar{T} = 2.7 \text{ K}$

Cosmic Microwave Background

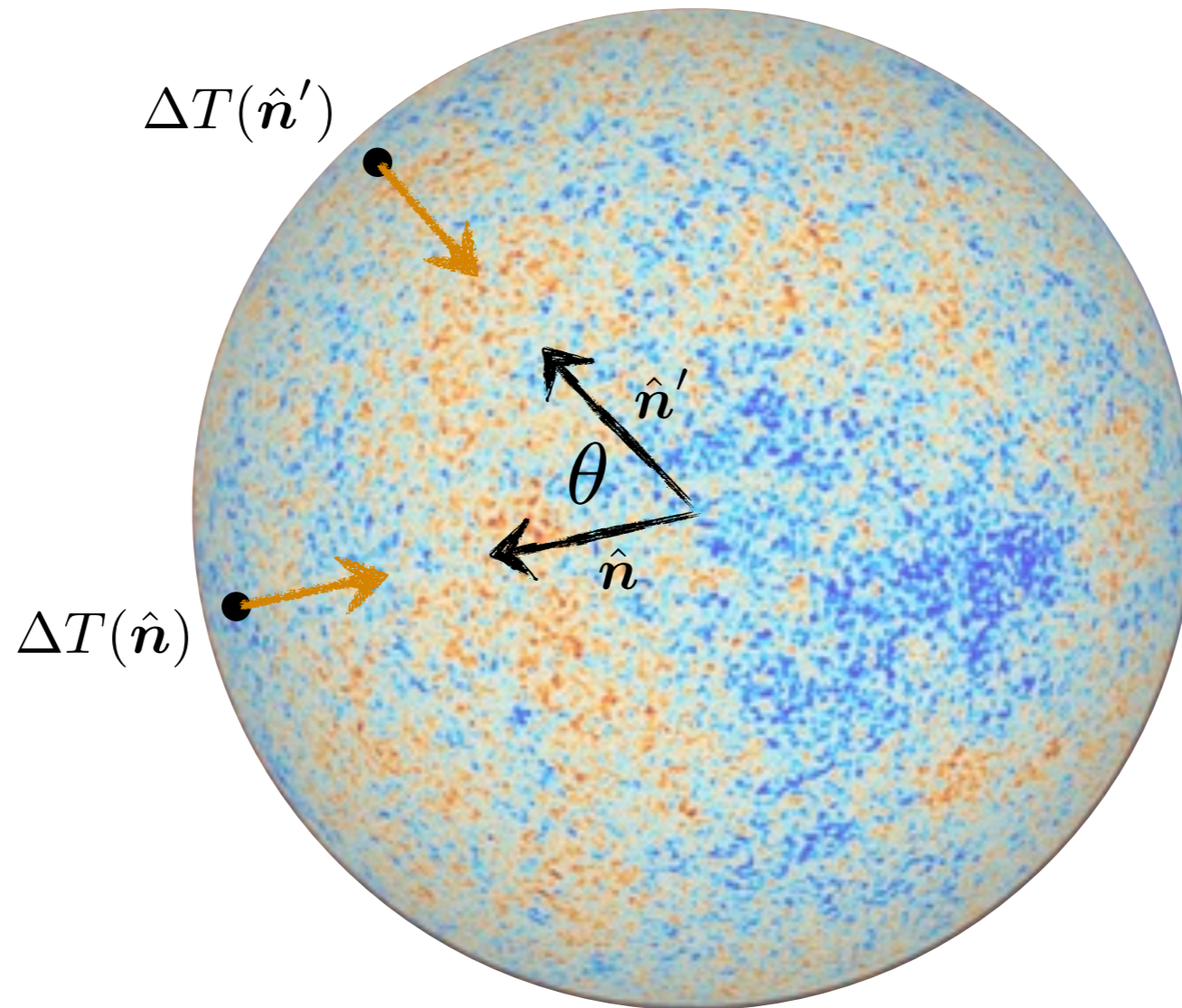
$$I_\nu = \frac{2h\nu^3}{c^2} f(\nu)$$

intensity



CMB Anisotropies

$$f(\nu, \hat{n}) = [\exp(2\pi\nu/T(\hat{n})) - 1]^{-1}$$



For Gaussian fluctuations, the statistics is determined by the **2-pt function**:

$$C(\theta) \equiv \langle \Delta T(\hat{n}) \Delta T(\hat{n}') \rangle$$

ensemble average

CMB Power Spectrum

The same information can be represented by a **spherical harmonic expansion** of the temperature field

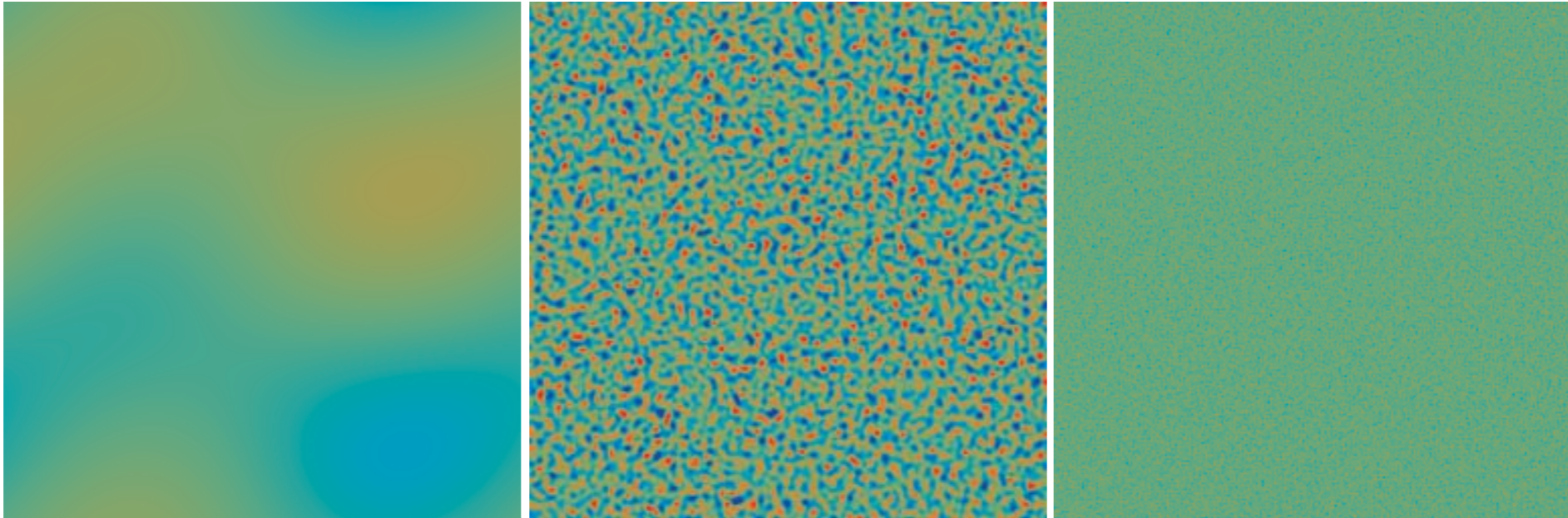
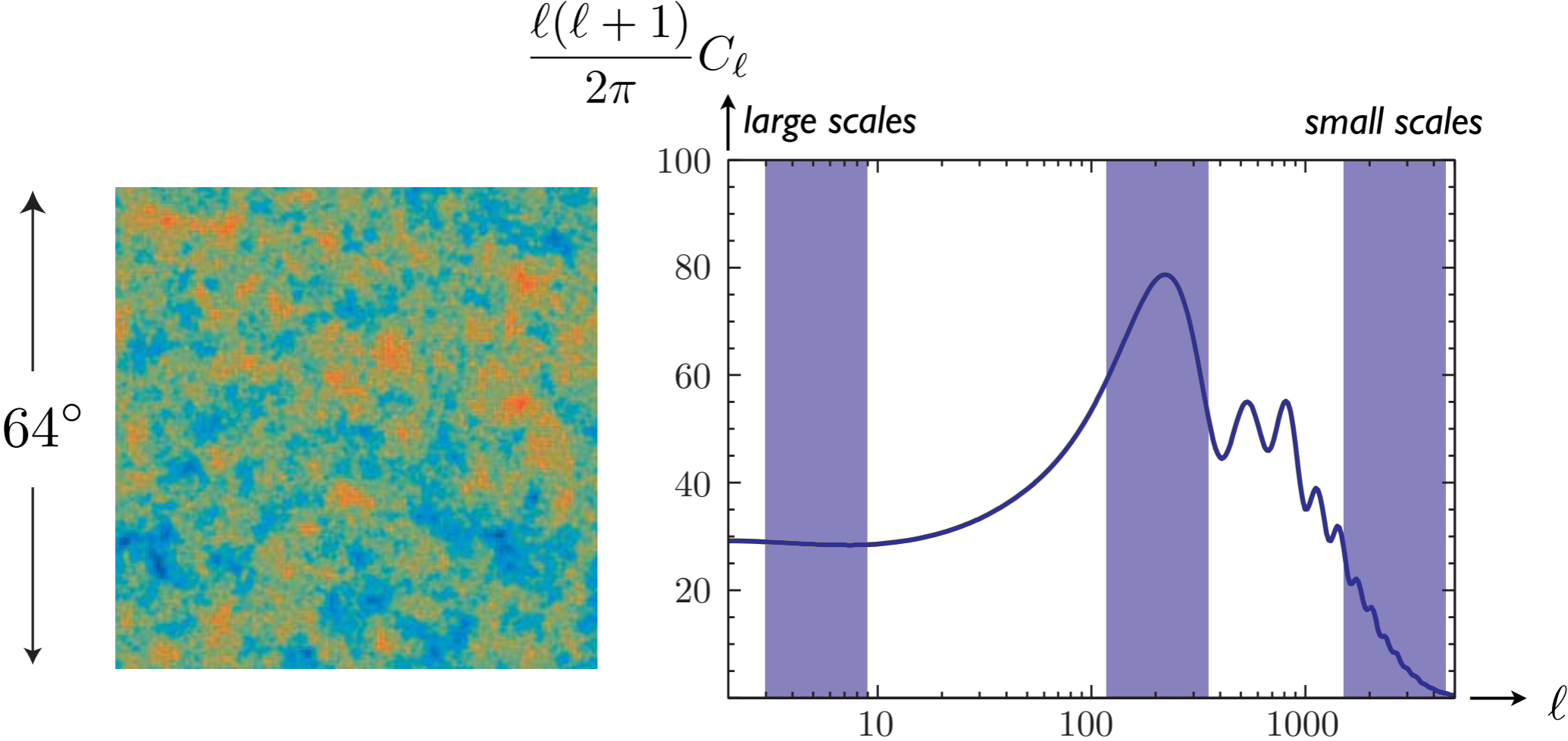
$$\Theta(\hat{\mathbf{n}}) \equiv \frac{\Delta T(\hat{\mathbf{n}})}{\bar{T}} = \sum_{\ell m} \Theta_{\ell m} Y_{\ell m}(\hat{\mathbf{n}})$$

The (angular) **power spectrum** is

$$C_{\ell} \equiv \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} |\Theta_{\ell m}|^2$$

This compresses the 10^7 pixels of the CMB map into 10^3 multipole moments.

CMB Power Spectrum



The Physics of CMB Anisotropies

The goal of this lecture is to derive the CMB power spectrum from first principles.

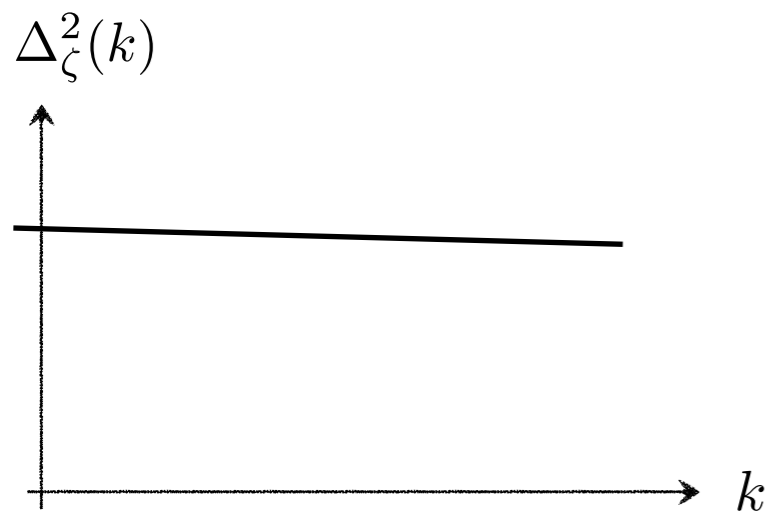
Initial Conditions

+

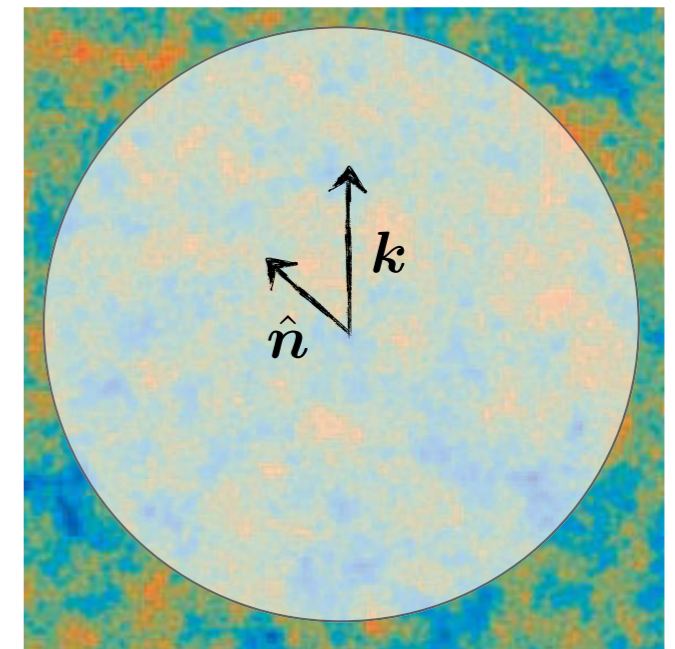
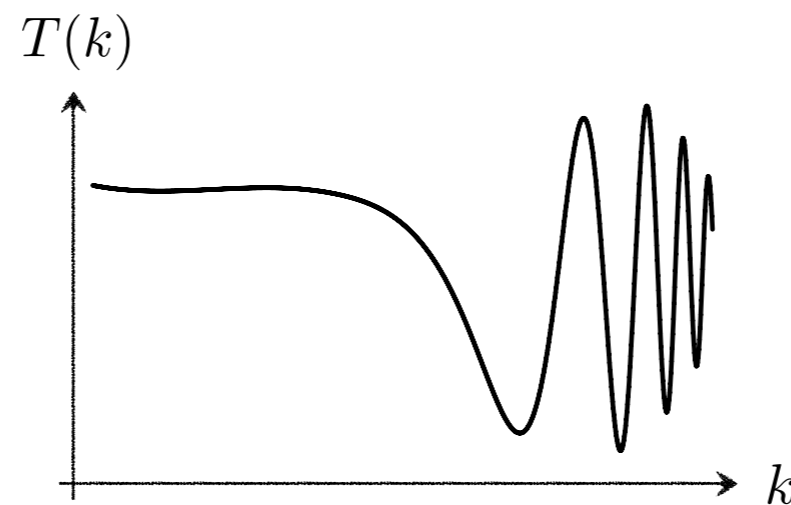
Evolution

+

Projection



see Leonardo's lectures



Initial Conditions

The CMB measures distortions in space:

ζ
scalar mode

expansion
↓

$$d\ell^2 = a^2(t) \left[1 + \underline{2\zeta(t, \mathbf{x})} \right] \delta_{ij} x^i dx^j$$

curvature
perturbation
↑
isotropic
stretching

h_{ij}
tensor mode

$$d\ell^2 = a^2(t) \left[\delta_{ij} + \underline{h_{ij}(t, \mathbf{x})} \right] x^i dx^j$$

gravitational waves
↑
anisotropic
stretching

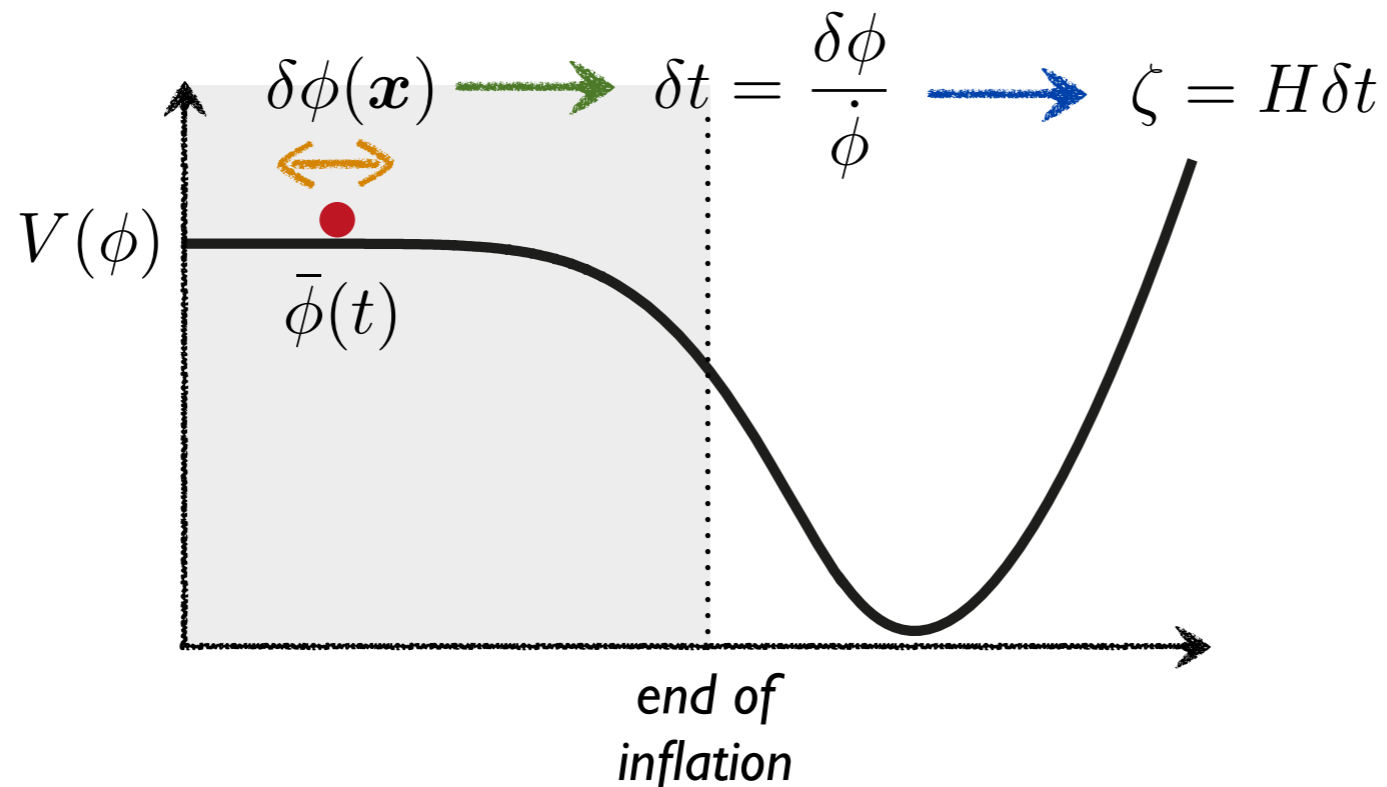
Both are produced by **quantum fluctuations during inflation.**

$$a(t) = e^{Ht}$$

An inflationary model requires a **clock** which determines the amount of inflation still to occur.

see *Leonardo's lectures*

The clock can be a **fundamental scalar field** (the inflaton):



By the **uncertainty principle**, arbitrarily precise timing is not possible in quantum mechanics.

This leads to **fluctuations in the end of inflation** ...

... and to **curvature perturbations** after inflation.

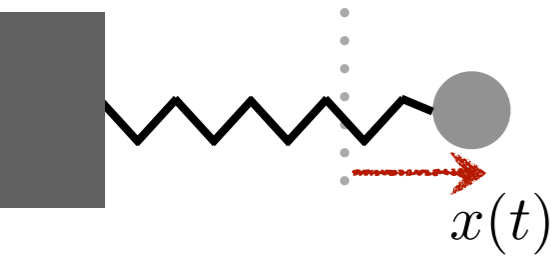
Quantum Clocks During Inflation

The *inflaton fluctuations* can be computed on the back of an envelope:

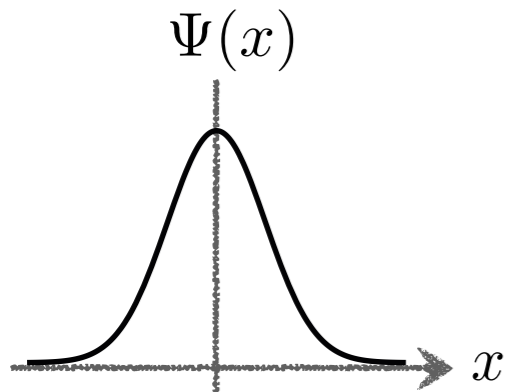
$$\begin{aligned} S &= \int d^4x \sqrt{-g} \left[\frac{1}{2} (\partial\phi)^2 - V(\phi) \right] \\ \phi = \bar{\phi} + \delta\phi &\downarrow \\ S &\approx \int d^4x a^3 \left[\dot{\delta\phi}^2 - (\nabla\delta\phi)^2 \right] \\ &\downarrow \\ \ddot{\delta\phi} + \underbrace{3H\dot{\delta\phi}}_{\text{friction}} + \underbrace{\frac{k^2}{a^2(t)}}_{\omega^2(t)} \delta\phi &= 0 \end{aligned}$$

Modes start with $\omega(t) \gg H$ (subhorizon), where they experience zero-pt fluctuations of a harmonic oscillator.

Quantum Clocks During Inflation



$$\ddot{x} + \omega^2 x = 0$$



$$\langle x^2 \rangle = \frac{\hbar}{2\omega}$$

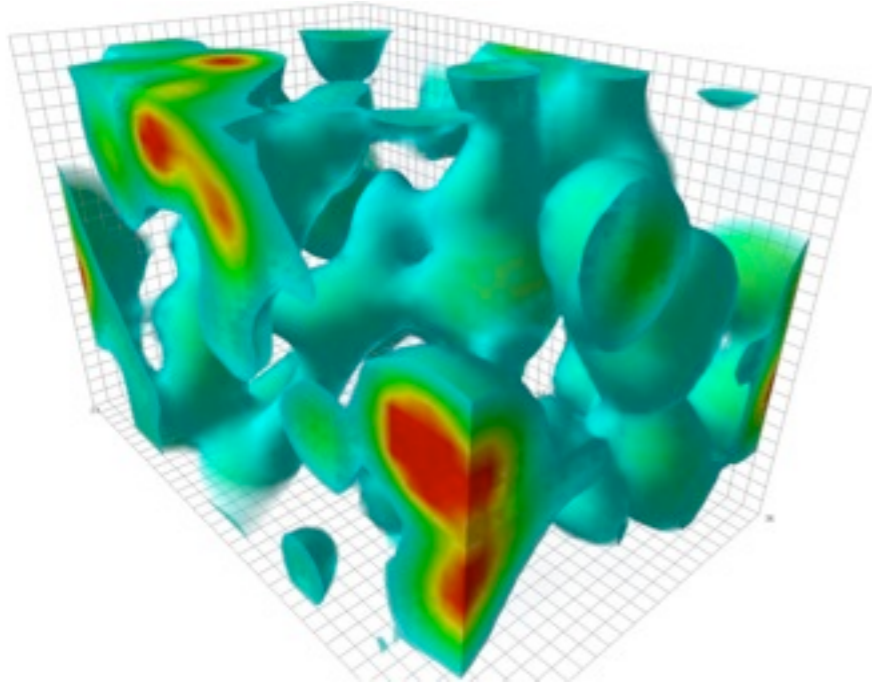
$$\ddot{\delta\phi} + 3H\dot{\delta\phi} + \frac{k^2}{a^2(t)}\delta\phi = 0$$

$$\delta\phi_c \equiv a^{-3/2}\delta\phi$$

$$\langle (\delta\phi_c)^2 \rangle = \frac{1}{2\omega}$$

$$\langle (\delta\phi)^2 \rangle = \frac{1}{2} \frac{1}{a^3(t)} \frac{1}{k/a(t)}$$

Quantum Clocks During Inflation



$$\langle (\delta\phi)^2 \rangle = \frac{1}{2} \frac{1}{a^3(t)} \frac{1}{k/a(t)}$$

This holds as long as the mode evolves adiabatically (inside the horizon).

These fluctuations freeze in at horizon crossing ($k/a_\star = H_\star$)

$$\Delta_{\delta\phi}^2(k) \equiv \frac{k^3}{2\pi^2} \langle (\delta\phi)^2 \rangle_\star = \left(\frac{H_\star}{2\pi} \right)^2$$


and become classical curvature perturbations:

$$\Delta_\zeta^2(k) \equiv \left(\frac{H}{\dot{\phi}} \right)^2 \Delta_{\delta\phi}^2(k)$$

↑ (model-dependent) conversion

Primordial Perturbations from Inflation

We have arrived at a famous result:

$$\Delta_{\zeta}^2(k) = \frac{1}{8\pi^2} \frac{H^4}{\dot{\phi}^2} \equiv A_s \left(\frac{k}{k_*} \right)^{n_s - 1}$$


Mukhanov and Chibisov
Bardeen, Steinhardt and Turner
Starobinsky
Hawking

↑
evaluated at
 $k = aH$

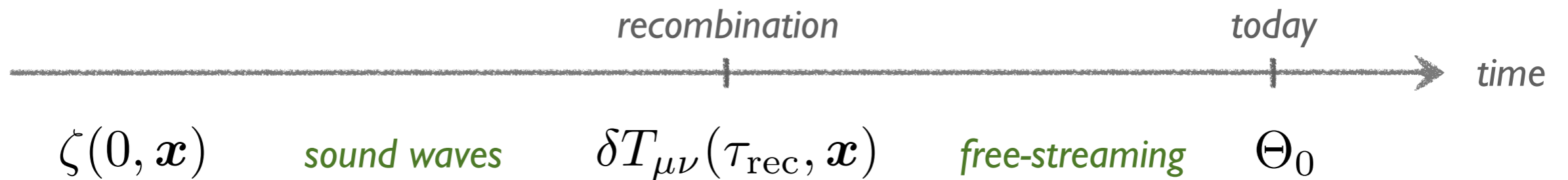
Quantum fluctuations also create gravitational waves:

$$\Delta_h^2(k) = \frac{2}{\pi^2} \frac{H^2}{M_{\text{pl}}^2}$$

Starobinsky

Evolution

Two Phases of Evolution



► *free-streaming* = photon geodesics in the perturbed spacetime

$$ds^2 = (1 + 2\Psi)dt^2 - a^2(t)(1 - 2\Phi)d\mathbf{x}^2 \quad \text{Newtonian gauge}$$

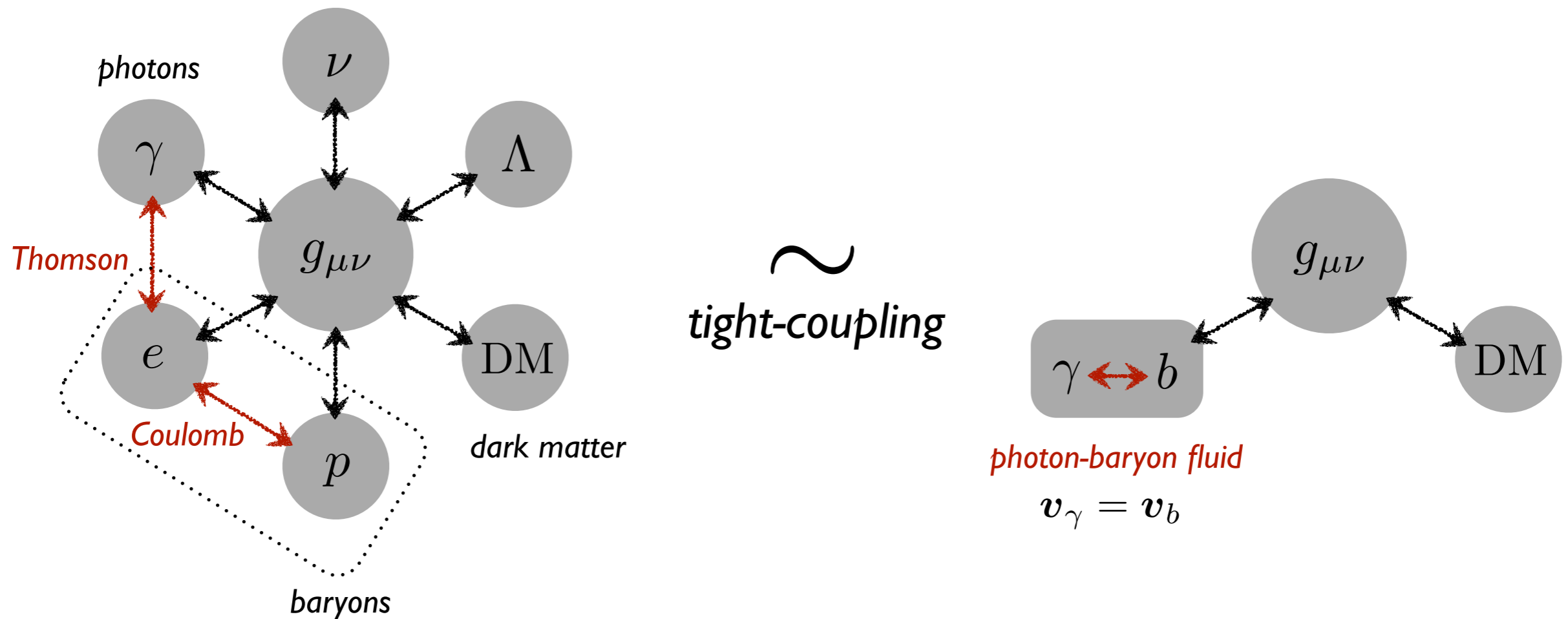
The equation $\Theta_0 = (\Theta + \Psi)_{\text{rec}} + \text{Doppler} + \text{ISW}$ is enclosed in a rectangular box. Above the box, "intrinsic ΔT " has a grey arrow pointing to Θ and "gravitational redshift" has a grey arrow pointing to Ψ . Below the box, "observed temperature" has a red arrow pointing to Θ_0 and "effective temperature" has a red arrow pointing to $(\Theta + \Psi)_{\text{rec}}$.

Sachs-Wolfe

► *sound waves* = oscillations supported by radiation pressure

Tight-Coupling Approximation

► Cast of characters:



► Tight-coupling approximation:

Near recombination the mean free path of photons is 2.5 Mpc (= 0.01 x horizon).
On larger scales, we can treat photons and baryons as a single tightly coupled fluid.

A (Over)Simplified Treatment

We will start with a simplified system and then fix things one by one:

▶ **Neglect** the momentum density of the **baryons**:

$$R \equiv \frac{(\rho_b + P_b)v_b}{(\rho_\gamma + P_\gamma)v_\gamma} = \frac{3}{4} \frac{\rho_b}{\rho_\gamma} \approx 0.6 \left(\frac{\Omega_b h^2}{0.02} \right) \left(\frac{a}{a_{\text{rec}}} \right) < 1$$

▶ **Neglect anisotropic stress**: $\Psi \approx \Phi$

▶ **Neglect radiation in the expansion**:

$$\frac{\rho_r}{\rho_m} = 0.3 \left(\frac{\Omega_m h^2}{0.15} \right)^{-1} \left(\frac{a}{a_{\text{rec}}} \right)^{-1} < 1 \longrightarrow \Psi \approx \text{const.}$$

▶ **Ignore gravity!**

Fluid Equations

► **Continuity equation** = conservation of photon number

$$n'_\gamma + 3 \frac{a'}{a} n_\gamma = -\nabla \cdot (n_\gamma \mathbf{v}_\gamma) \quad ' \equiv \frac{d}{d\tau}$$

number density expansion
 $\bar{n}_\gamma \propto a^{-3}$ flux

Let $n_\gamma = \bar{n}_\gamma(\tau) [1 + 3\Theta(\tau, \mathbf{x})]$ (recall: $n_\gamma \propto T^3$)

Ex: Show that

$$\Theta' = -\frac{1}{3} \nabla \cdot \mathbf{v}_\gamma \rightarrow -\frac{i}{3} \mathbf{k} \cdot \mathbf{v}_\gamma \quad (1)$$

Fluid Equations

► **Euler equation** = momentum conservation

$$\boxed{[(\rho_\gamma + P_\gamma)\mathbf{v}_\gamma]' + 4\frac{a'}{a}(\rho_\gamma + P_\gamma)\mathbf{v}_\gamma = -\nabla P_\gamma}$$

momentum density expansion force

Ex: Using $P_\gamma = \frac{1}{3}\rho_\gamma$ and $\rho_\gamma = \bar{\rho}_\gamma(\tau)[1 + 4\Theta(\tau, \mathbf{x})]$, (recall: $\rho_\gamma \propto T^4$)
show that

$$\boxed{\mathbf{v}'_\gamma = -\nabla\Theta} \rightarrow -i\mathbf{k}\Theta \quad (2)$$

Sound Waves

Combining (1) and (2), we get

$$\Theta'' + c_s^2 k^2 \Theta = 0$$

Simple Harmonic Oscillator

$$c_s^2 \equiv \frac{1}{3}$$

speed of sound

Solution: $\Theta_{\mathbf{k}}(\tau) = \Theta_{\mathbf{k}}(0) \cos(ks) + \frac{\Theta'_{\mathbf{k}}(0)}{kc_s} \sin(ks)$

$$s \equiv \int c_s d\tau$$

“sound horizon”

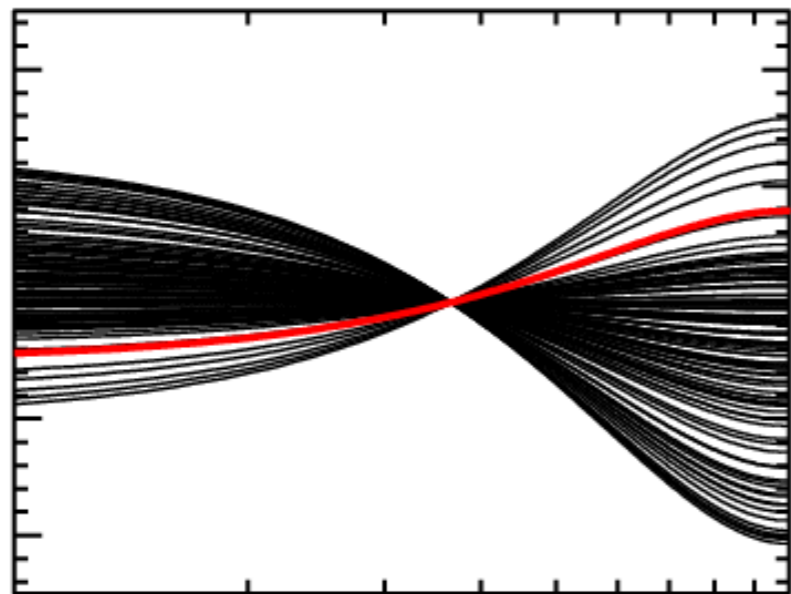
Inflation predicts $\Theta_{\mathbf{k}}(0) = \frac{2}{5} \zeta_{\mathbf{k}}(0)$ and $\Theta'_{\mathbf{k}}(0) = 0$

$$\Theta_{\mathbf{k}}(\tau) = \frac{2}{5} \zeta_{\mathbf{k}}(0) \cos(ks)$$

Coherent Phases

There is a key prediction here:

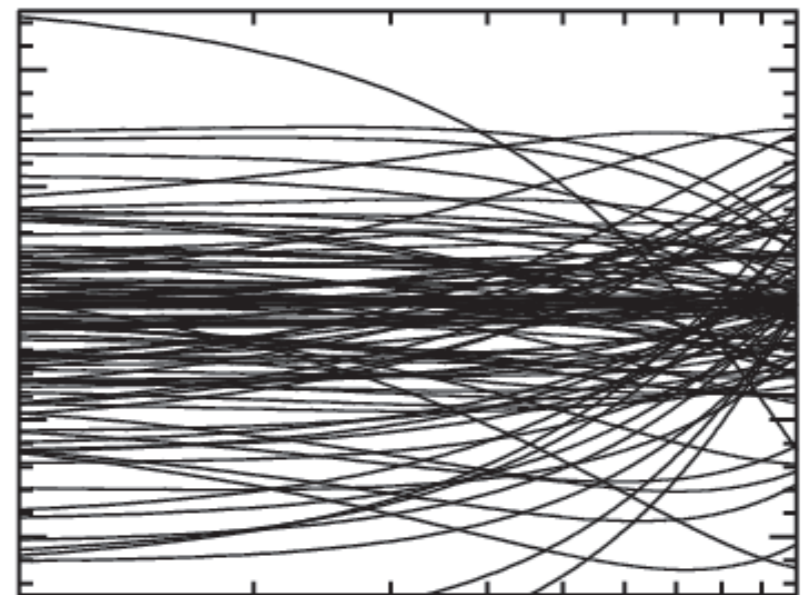
$\Theta'_k(0) = 0$ implies that all k -modes start ***in phase!***



τ_{rec}

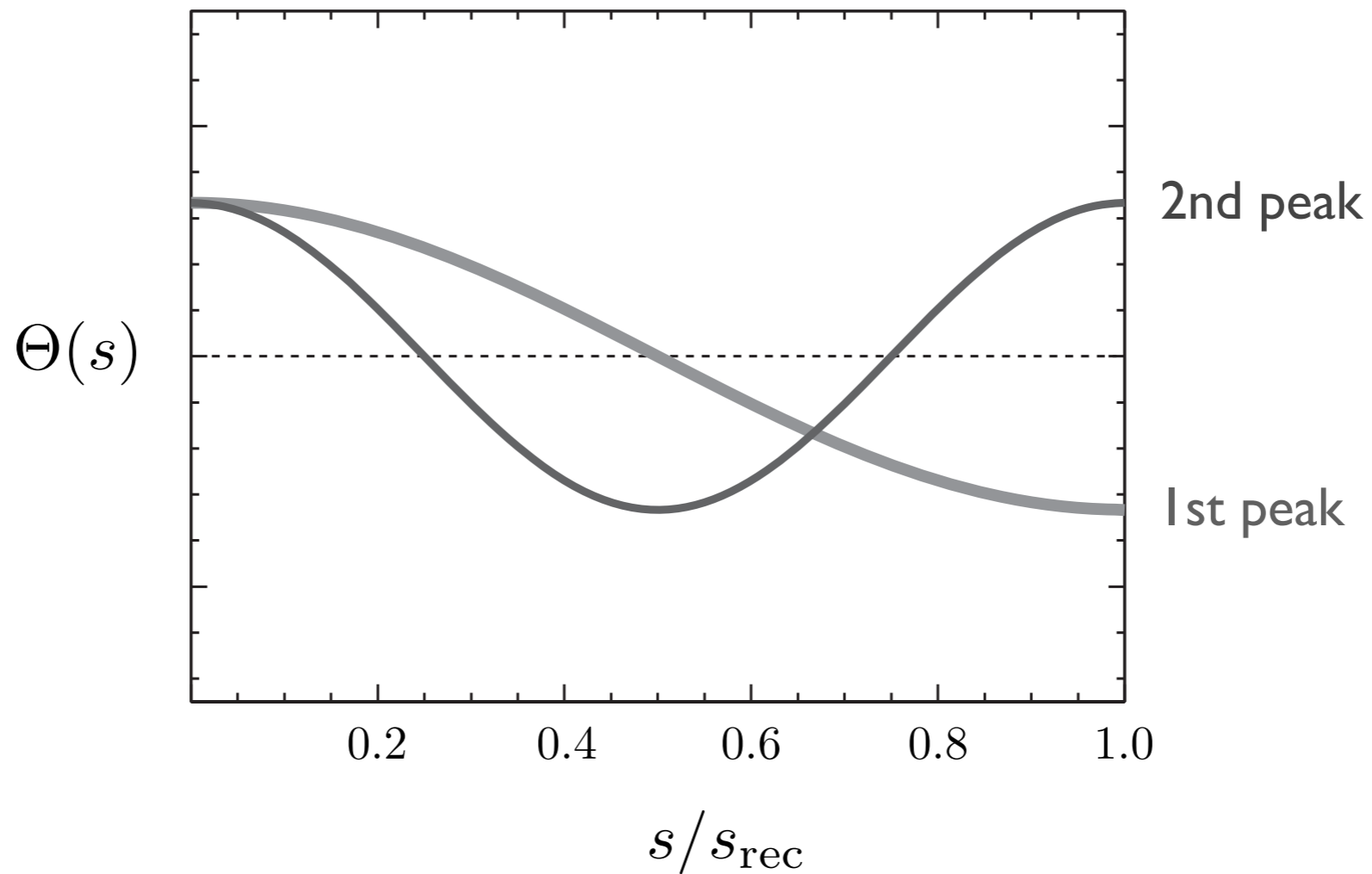
coherent superposition

vs.



noise

Acoustic Peaks



At recombination, we have

$$\Theta_{\text{rec}} = \frac{2}{5} \zeta(0) \cos(k s_{\text{rec}})$$



peaks at $k_n = \frac{n\pi}{s_{\text{rec}}}$ become the peaks of the C_ℓ .

ℓ_{peaks} measures Ω_k

Including Gravity

Recall $ds^2 = (1 + 2\Psi)dt^2 - a^2(t)(1 - 2\Phi)d\mathbf{x}^2$

The curvature perturbation is a local perturbation of the scale factor

$$a \mapsto a(1 - \Phi)$$

... and the Hubble rate

$$\frac{a'}{a} \mapsto \frac{a'}{a} - \Phi'$$

► Continuity equation:

$$\Theta' = -\frac{1}{3}\nabla \cdot \mathbf{v}_\gamma + \Phi' \quad (1)$$

↑ perturbed expansion

► Euler equation:

$$\mathbf{v}'_\gamma = -\nabla\Theta - \nabla\Psi \quad (2)$$

↑ gravitational force

Including Gravity

Combining (1) and (2), we get

$$\overset{\text{pressure}}{\Theta''} + c_s^2 k^2 \Theta = \overset{\text{gravity}}{-\frac{k^2}{3} \Psi + \Phi''}$$

Forced Simple Harmonic Oscillator

We are still assuming:

- ▶ no anisotropic stress $\Psi \approx \Phi$
- ▶ matter-dominated $\Psi \approx \text{const.}$

so, we can write

$$(\Theta + \Psi)'' + c_s^2 k^2 (\Theta + \Psi) = 0$$

↑ effective temperature

Solution: $(\Theta + \Psi)_{\text{rec}} = -\frac{1}{5} \zeta(0) \cos(k s_{\text{rec}})$

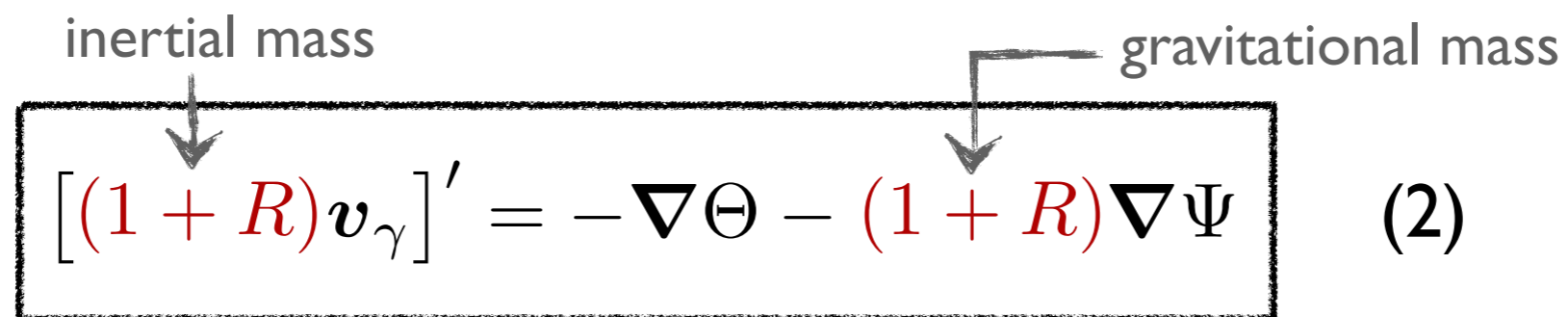
Including Baryons

Baryons add mass to the photon-baryon fluid.

Conservation applies to the **total momentum density**

$$= (\rho_\gamma + P_\gamma)\mathbf{v}_\gamma + (\rho_b + P_b)\mathbf{v}_b \equiv \frac{4}{3}(1 + R)\rho_\gamma\mathbf{v}_\gamma$$

► Euler equation:



The diagram shows the Euler equation enclosed in a black rectangular box. Above the box, the text "inertial mass" has a downward-pointing arrow directed at the term $(1 + R)v_\gamma$ in the derivative. Above the box, the text "gravitational mass" has a downward-pointing arrow directed at the term $(1 + R)\nabla\Psi$ in the equation.

$$\left[(1 + R)\mathbf{v}_\gamma \right]' = -\nabla\Theta - (1 + R)\nabla\Psi \quad (2)$$

► Continuity equation:

stays the same: (1)

Including Baryons

Combining (1) and (2), we get

$$[(1 + R)\Theta']' + \frac{k^2}{3}\Theta = -\frac{k^2}{3}(1 + R)\Psi - [(1 + R)\Phi']'$$

We are still assuming:
▶ no anisotropic stress $\Psi \approx \Phi$
▶ matter-dominated $\Psi \approx \text{const.}$

On subhorizon scales, $k \gg \frac{R'}{R} = \frac{a'}{a} = aH$, we can write

$$[\Theta + (1 + R)\Psi]'' + c_s^2 k^2 [\Theta + (1 + R)\Psi] = 0$$

$$c_s^2 = \frac{1}{3(1 + R)}$$

Solution: $[\Theta + (1 + R)\Psi](\tau) = -\frac{1}{5}(1 + 3R)\zeta(0) \cos(ks)$

Including Baryons

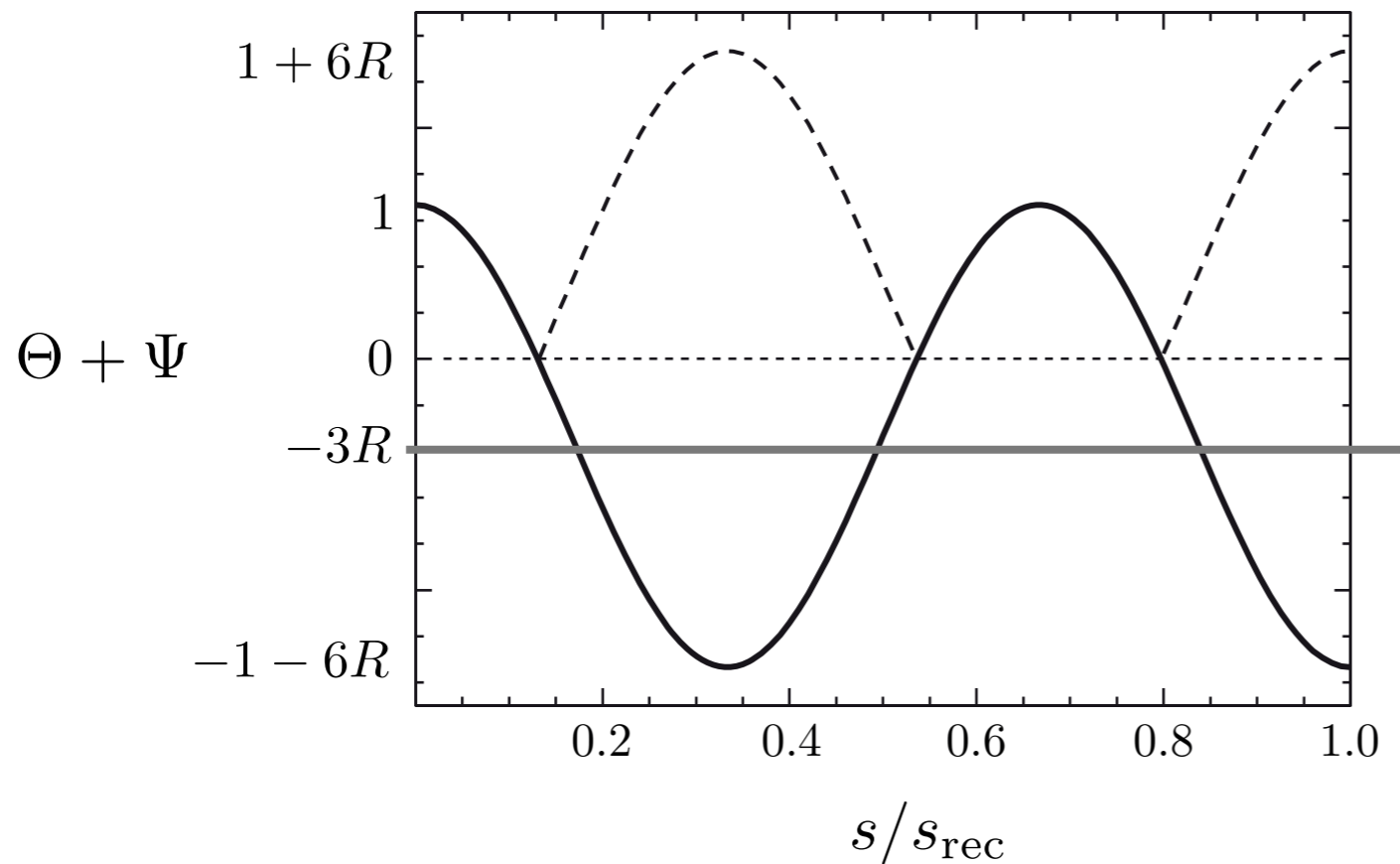
At recombination, we have

$$(\Theta + \Psi)_{\text{rec}} = -\frac{1}{5}\zeta(0) \left[(1 + 3R) \cos(ks_{\text{rec}}) - 3R \right]$$

larger amplitude ↗

↖ smaller frequency

shifted equilibrium ↘

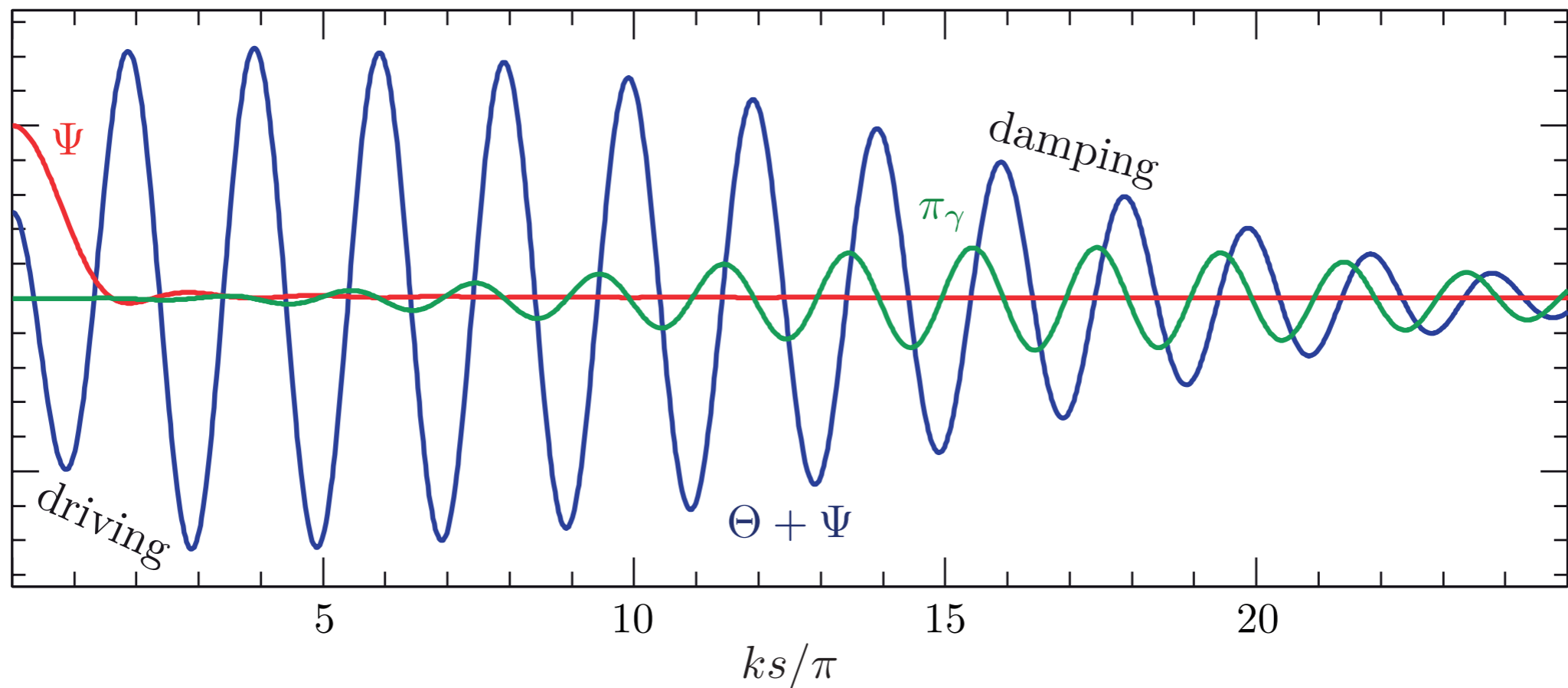


$\frac{A_{\text{odd}}}{A_{\text{even}}}$ measures Ω_b

Including Radiation

During the radiation era, we have $k^2 \Phi = 4\pi G a^2 \bar{\rho}_r \delta_r$

$\downarrow \propto a^{-2}$ $\downarrow \propto a^{-4}$
 $\uparrow \text{const.}$



Modes that enter the horizon during the radiation era have boosted amplitude:

$$\frac{A_{\text{peaks}}}{A_{\text{plateau}}} \text{ measures } \Omega_m$$

Including Damping

On scales smaller than the mean free path, the tight-coupling approximation breaks down and the fluctuations experience **diffusion damping**.

This can be incorporated as an effective **viscosity** in the oscillator equation:

$$c_s^2 [c_s^{-2} \Theta']' + \frac{k^2}{k_D^2} \Theta' + c_s^2 k^2 \Theta = -\frac{k^2}{3} \Psi - c_s^2 [c_s^{-2} \Phi']'$$



WKB approximation

Solution: $(\Theta + \Psi)_{\text{rec}} \propto \cos(k s_{\text{rec}}) \times e^{-(k/k_D)^2}$



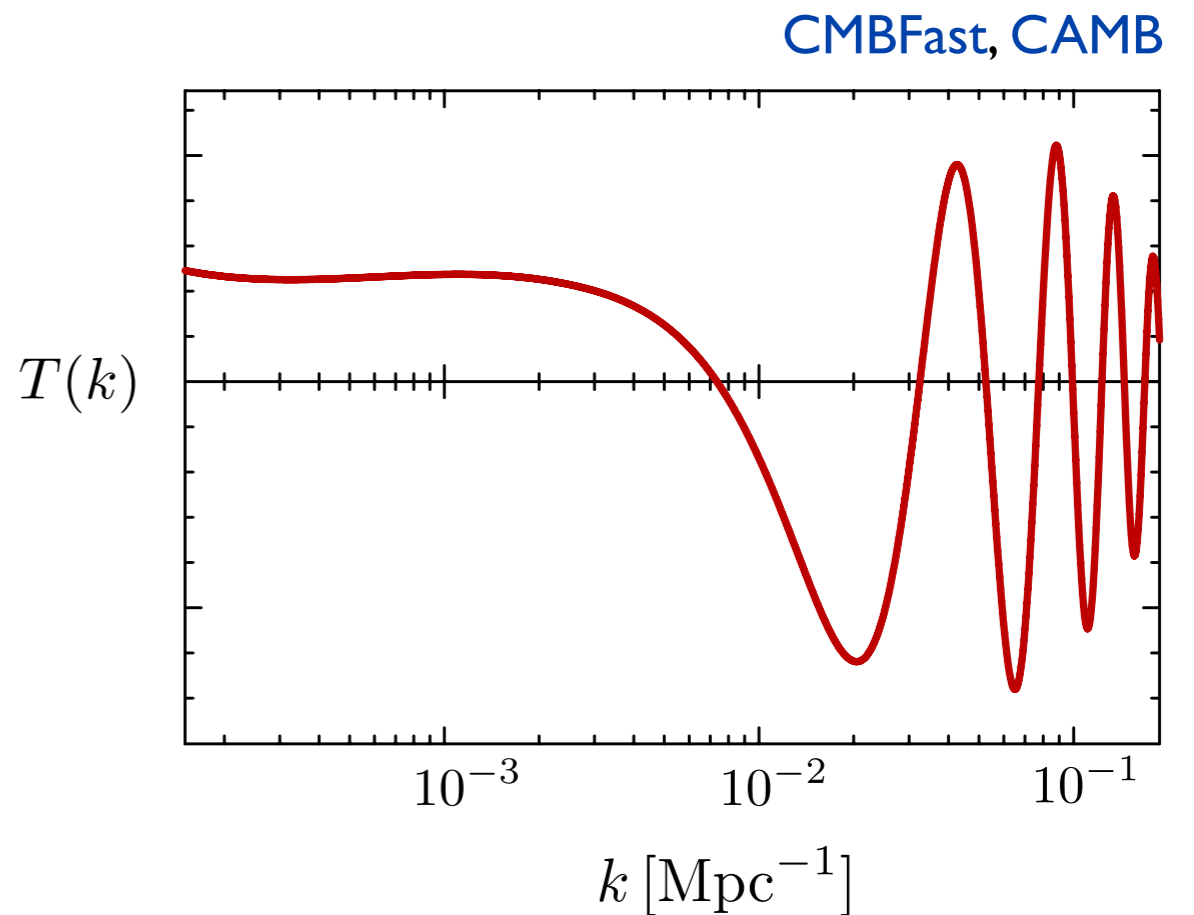
damping tail

Summary

We obtained the solution for a single Fourier mode: *

$$\Theta_0(\mathbf{k}) = \overset{\text{initial condition}}{\zeta_{\mathbf{k}}(0)} \times \underset{\text{transfer function}}{T(k)}$$

* Should also include Doppler and ISW terms.



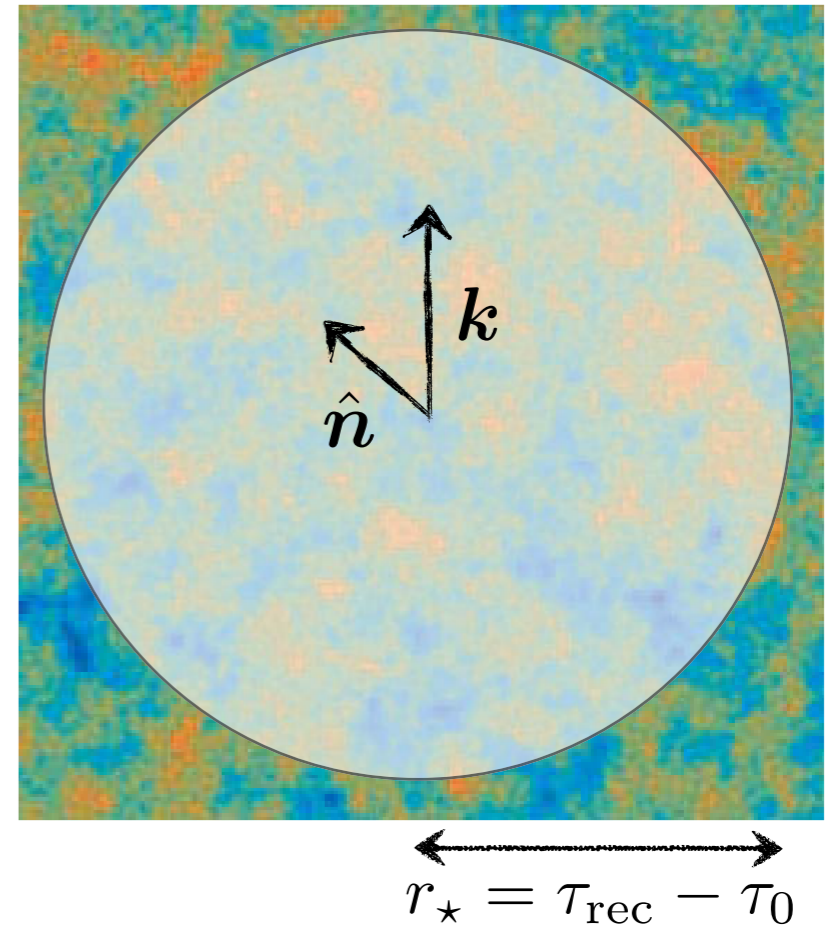
Next, we sum over Fourier modes and project onto the sky.

Projection

Oscillations

The real space temperature field is

$$\Theta_0(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^{3/2}} e^{i\mathbf{k}\cdot\mathbf{x}} \Theta_0(\mathbf{k})$$



Assuming instantaneous recombination, the CMB anisotropies are

$$\begin{aligned} \Theta_0(\hat{\mathbf{n}}) &= \int dr \Theta_0(\mathbf{x} = r\hat{\mathbf{n}}) \delta(r - r_*) \\ &= \int \frac{d^3k}{(2\pi)^{3/2}} e^{i(kr_*)\hat{\mathbf{k}}\cdot\hat{\mathbf{n}}} \Theta_0(\mathbf{k}) \equiv \sum_{lm} \Theta_{lm} Y_{lm}(\hat{\mathbf{n}}) \end{aligned}$$

Ex: Using $e^{i(kr_*)\hat{\mathbf{k}}\cdot\hat{\mathbf{n}}} = 4\pi \sum_{\ell m} i^\ell j_\ell(kr_*) Y_{\ell m}^*(\hat{\mathbf{k}}) Y_{\ell m}(\hat{\mathbf{n}})$

show that

initial conditions

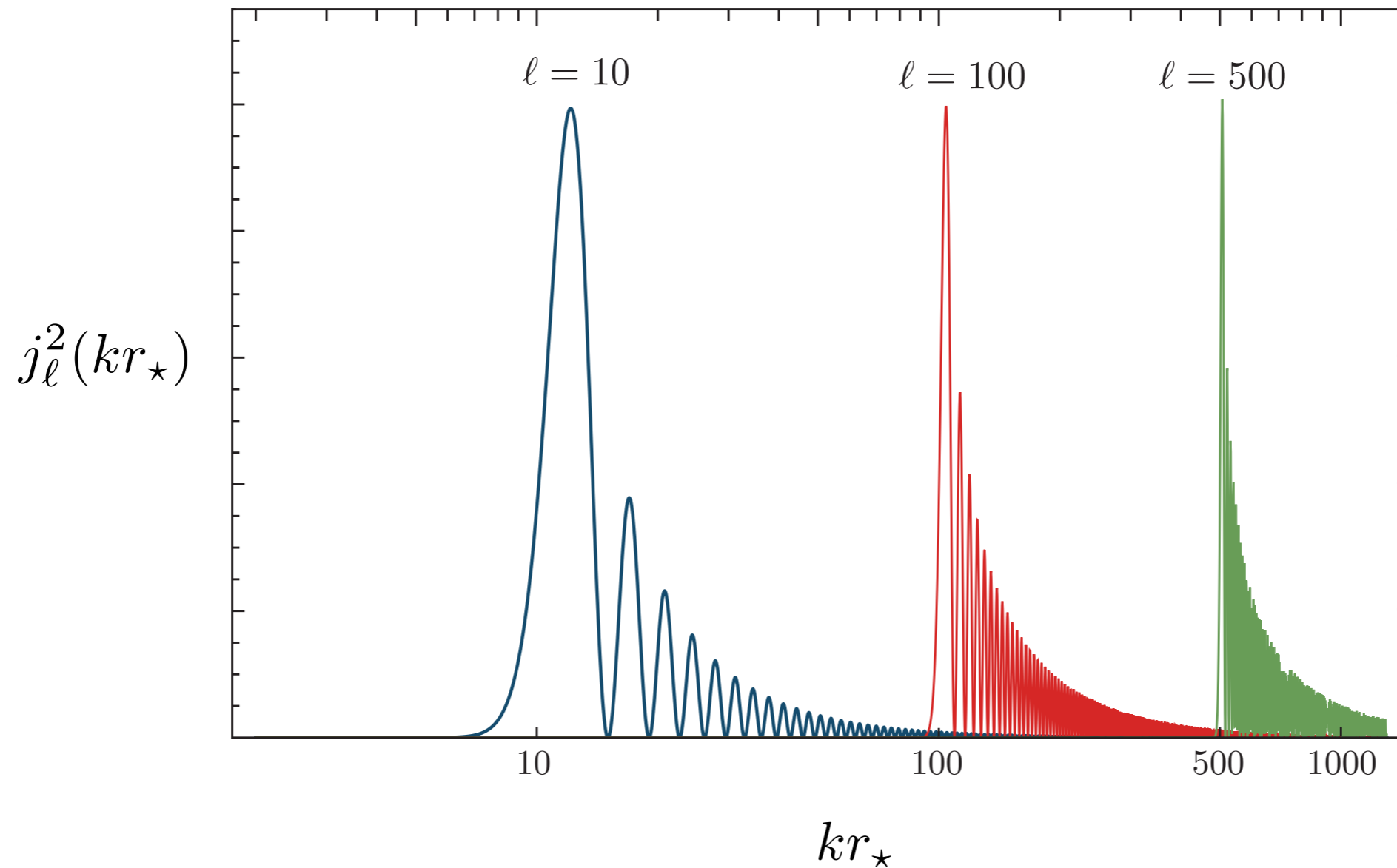
$$C_\ell = 4\pi \int d \ln k \Delta_\zeta^2(k) \Delta_\ell^2(k)$$

where $\Delta_\ell(k) = T(k) \times j_\ell(kr_*)$

evolution
projection

Bessel Projection

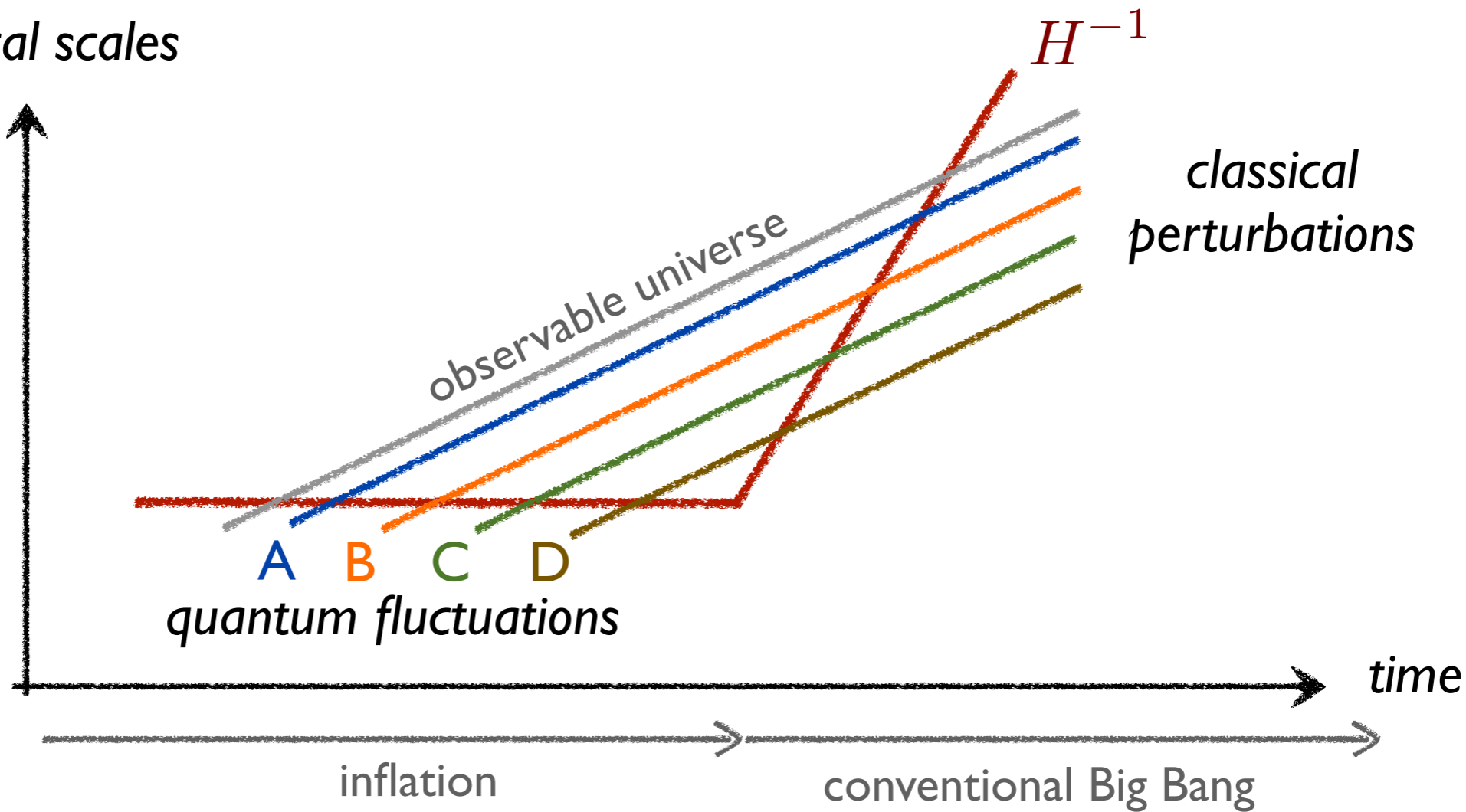
“ $j_\ell^2(kr_\star)$ acts like $\delta(\ell - kr_\star)$ ”



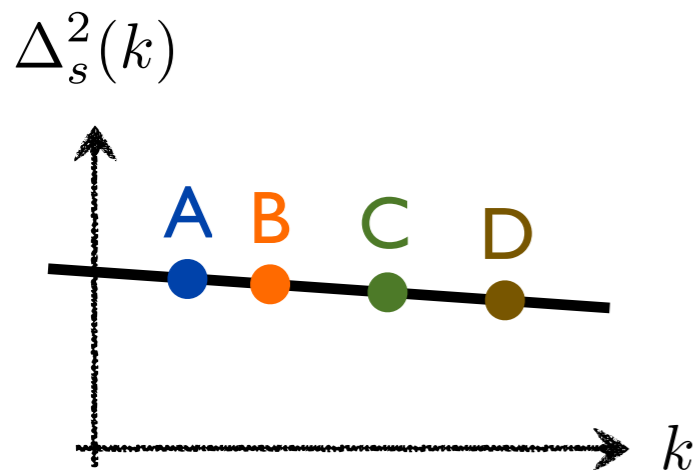
$$C_\ell \approx 4\pi \Delta_\zeta^2(k) \Delta_\ell^2(k) \Big|_{kr_\star = \ell}$$

Summary

physical scales

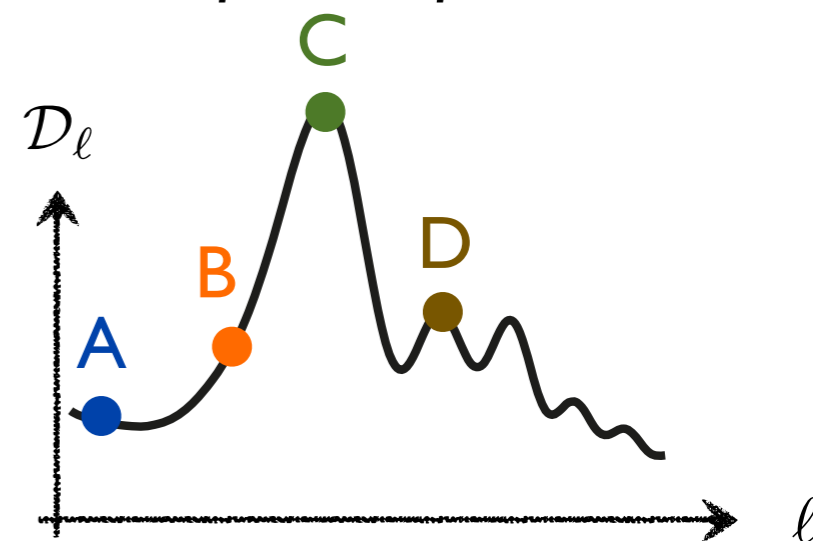


primordial perturbations

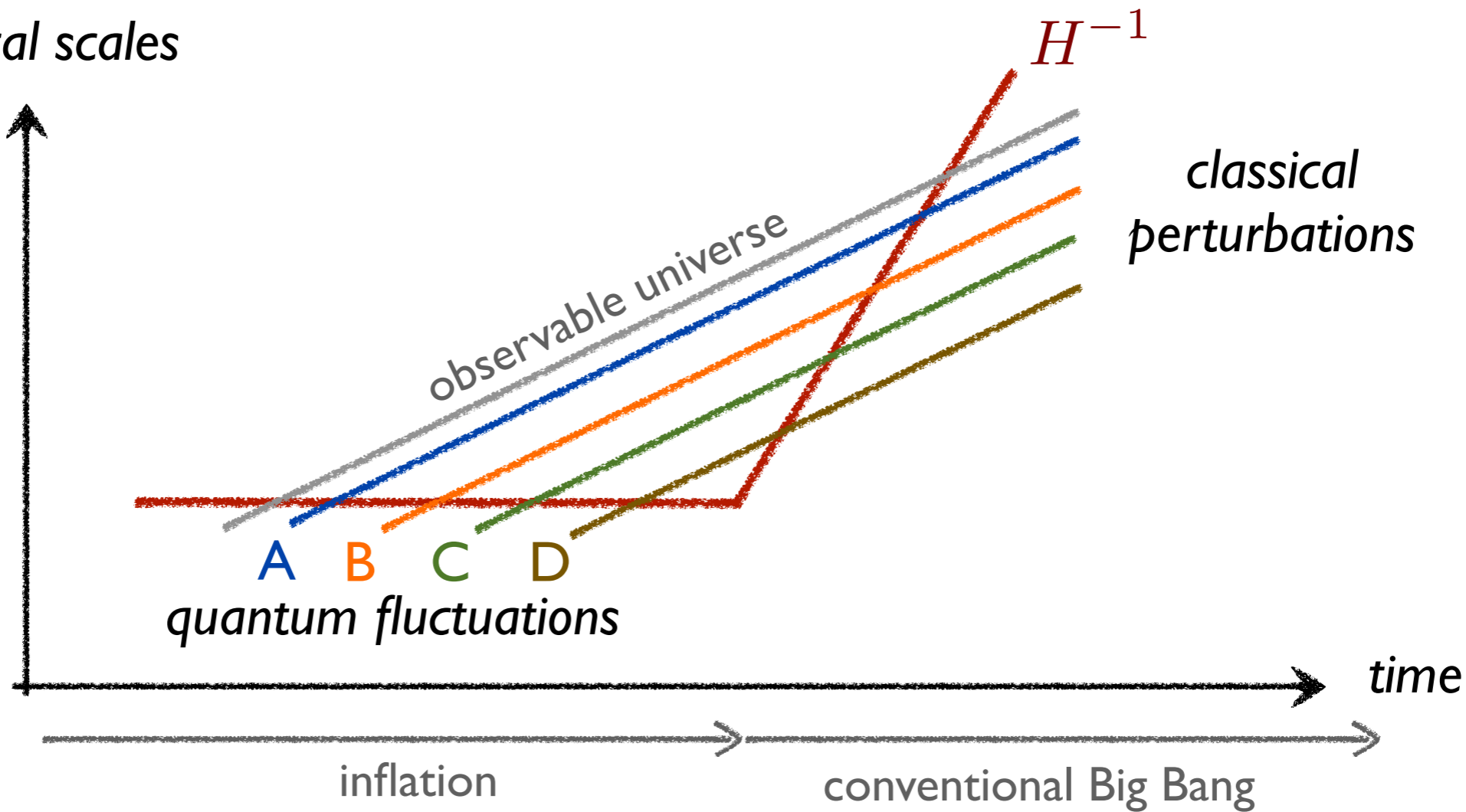


Seljak and Zaldarriaga
Challinor and Lewis

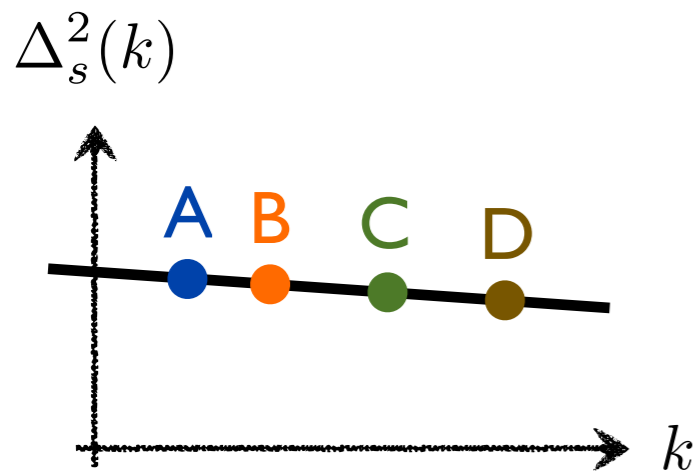
CMB power spectrum



physical scales

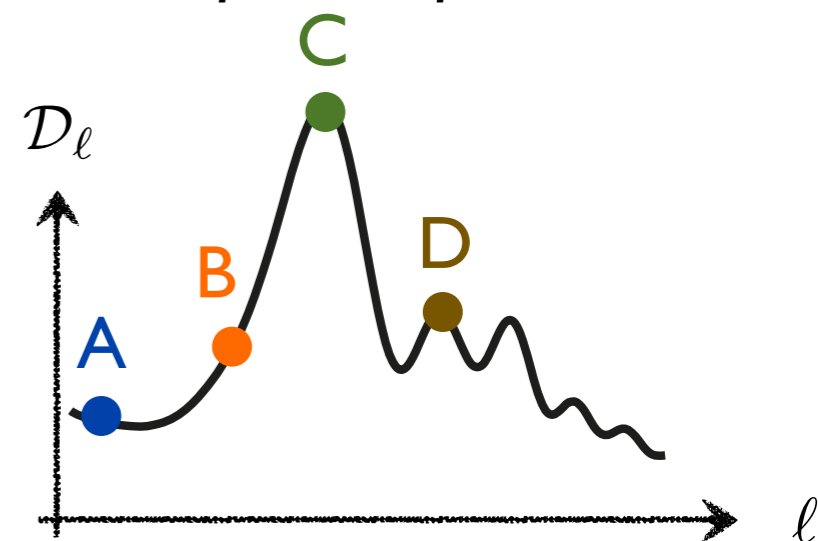


primordial perturbations



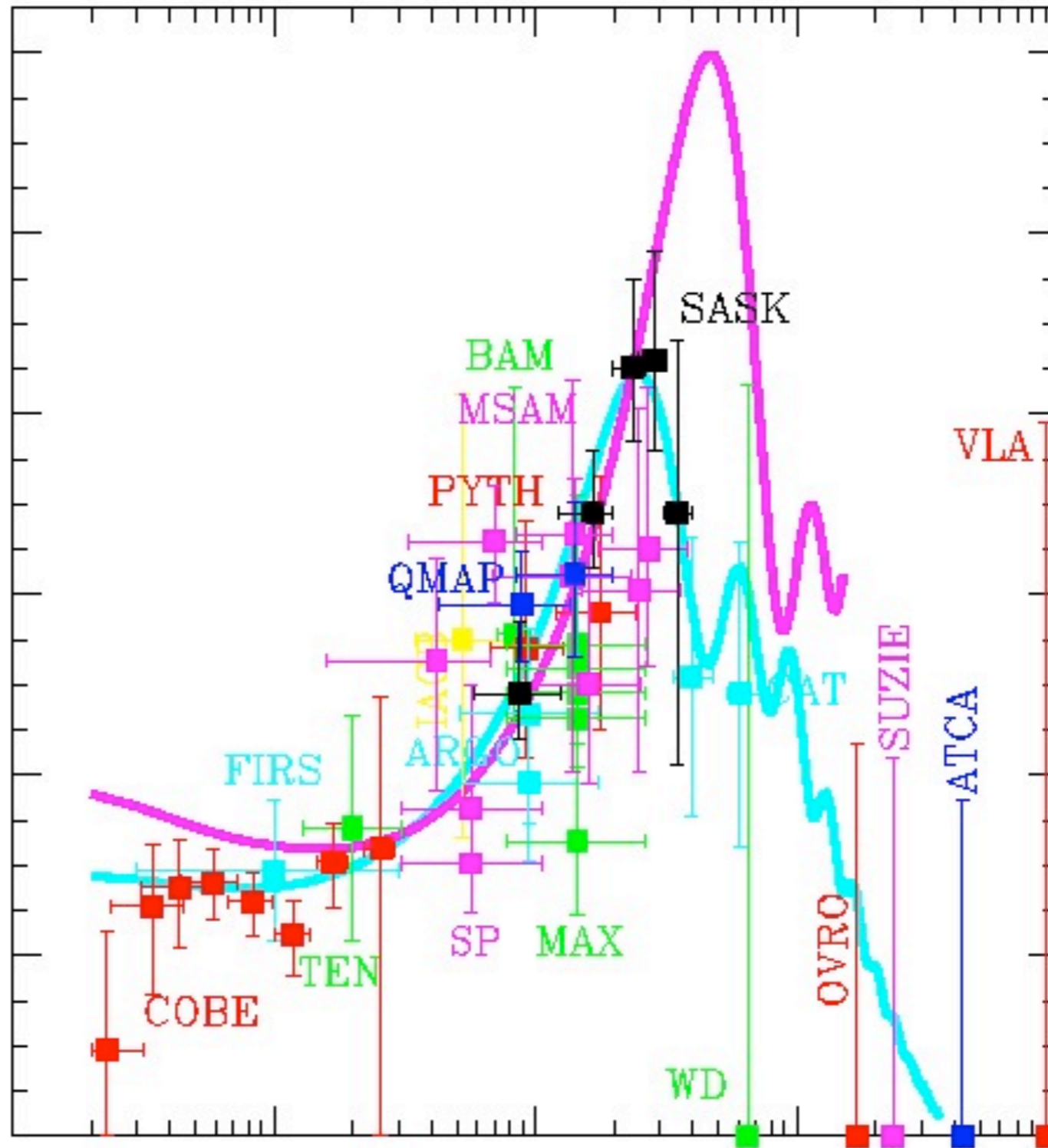
Seljak and Zaldarriaga
Challinor and Lewis

CMB power spectrum

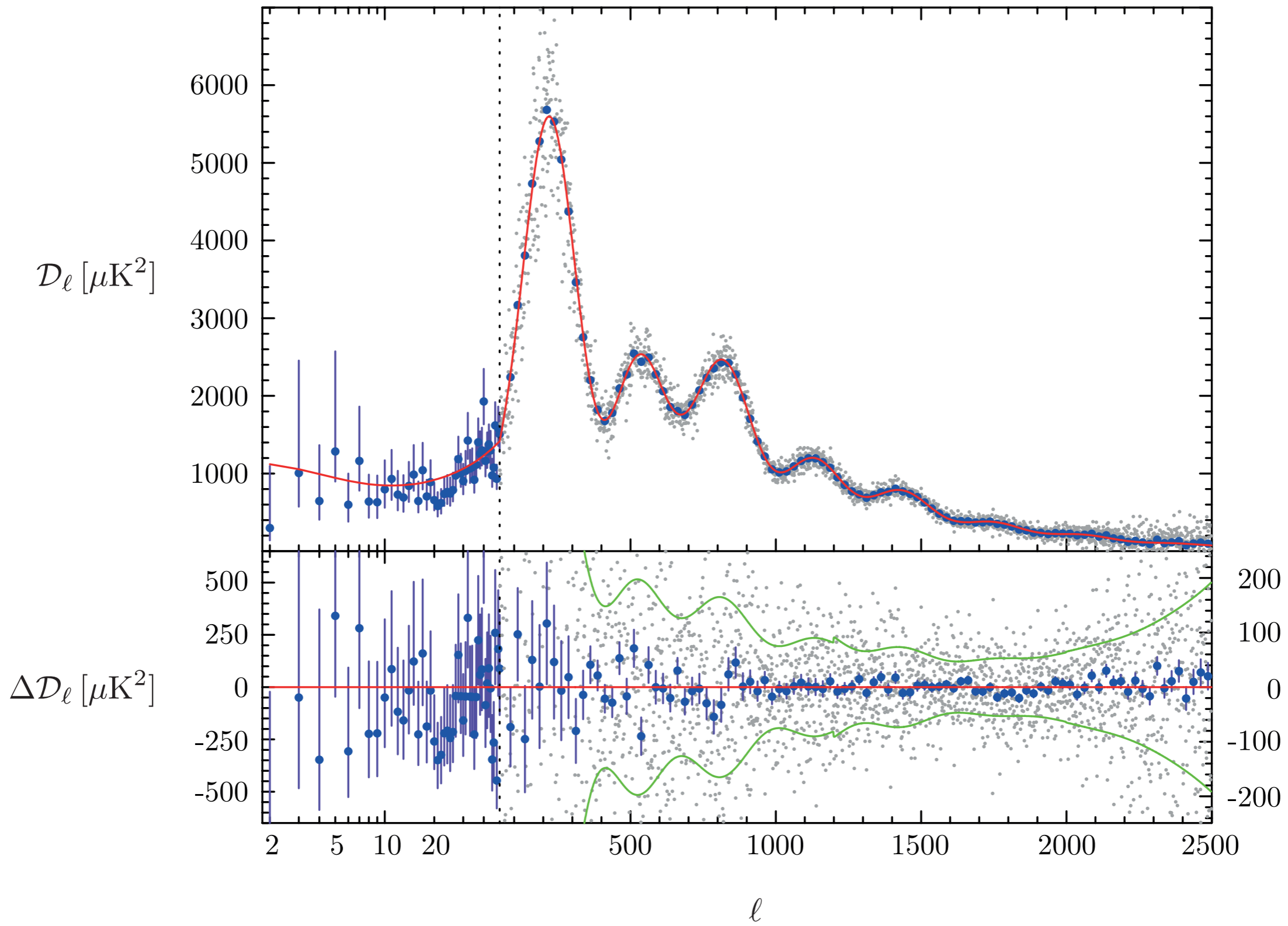


CMB Data

15 years ago



Today



6-Parameter Fit

Baseline Λ CDM Model

4 parameters for the **background**:

Ω_b	$= 0.045 \pm 0.001$	baryons
Ω_m	$= 0.315 \pm 0.016$	dark matter
Ω_Λ	$= 0.685 \pm 0.018$	dark energy
τ	$= 0.089 \pm 0.014$	optical depth

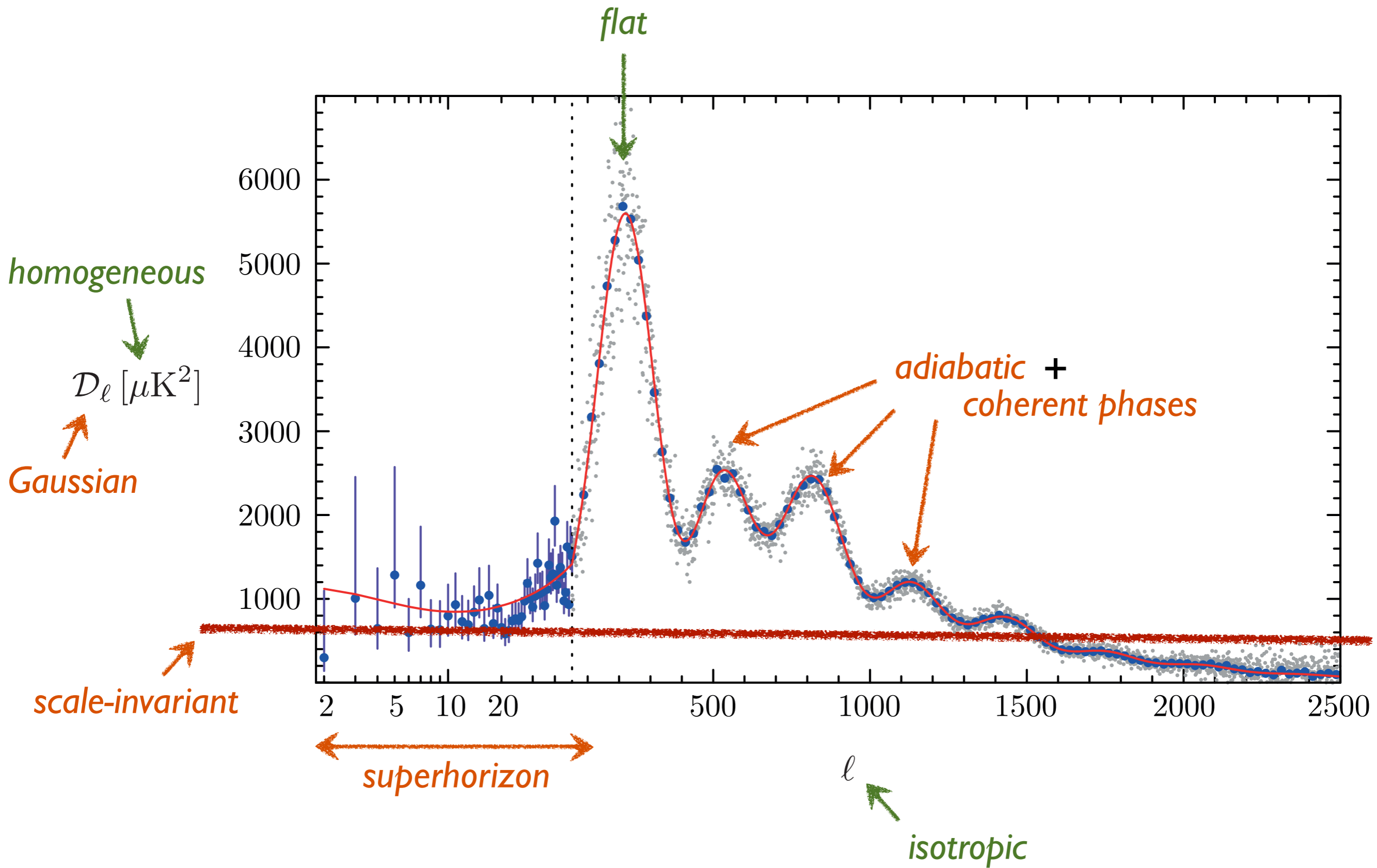
2 parameters for the **perturbations**:

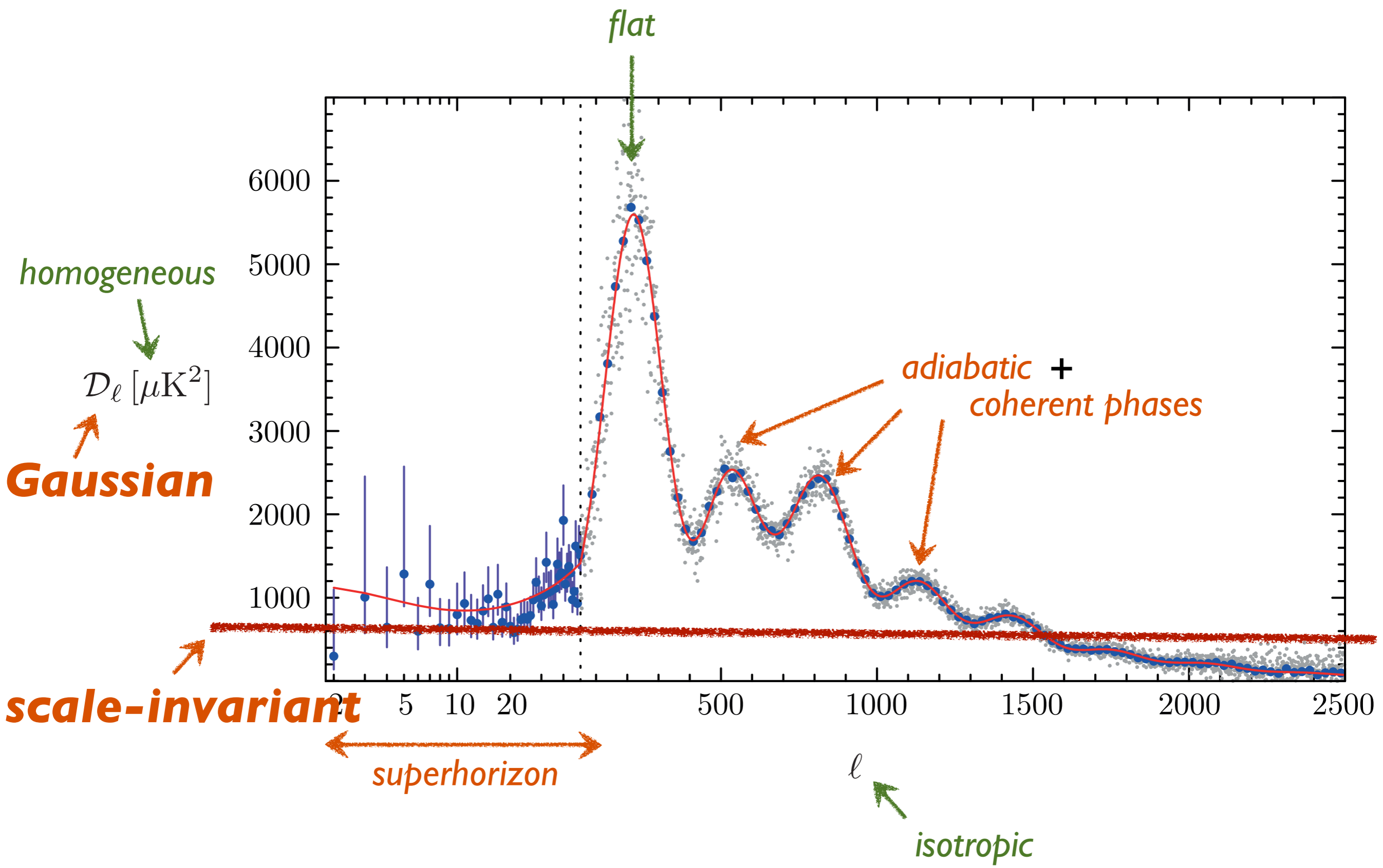
$10^9 A_s$	$= 2.20 \pm 0.11$	amplitude
n_s	$= 0.960 \pm 0.014$	spectral index

evolution

initial conditions

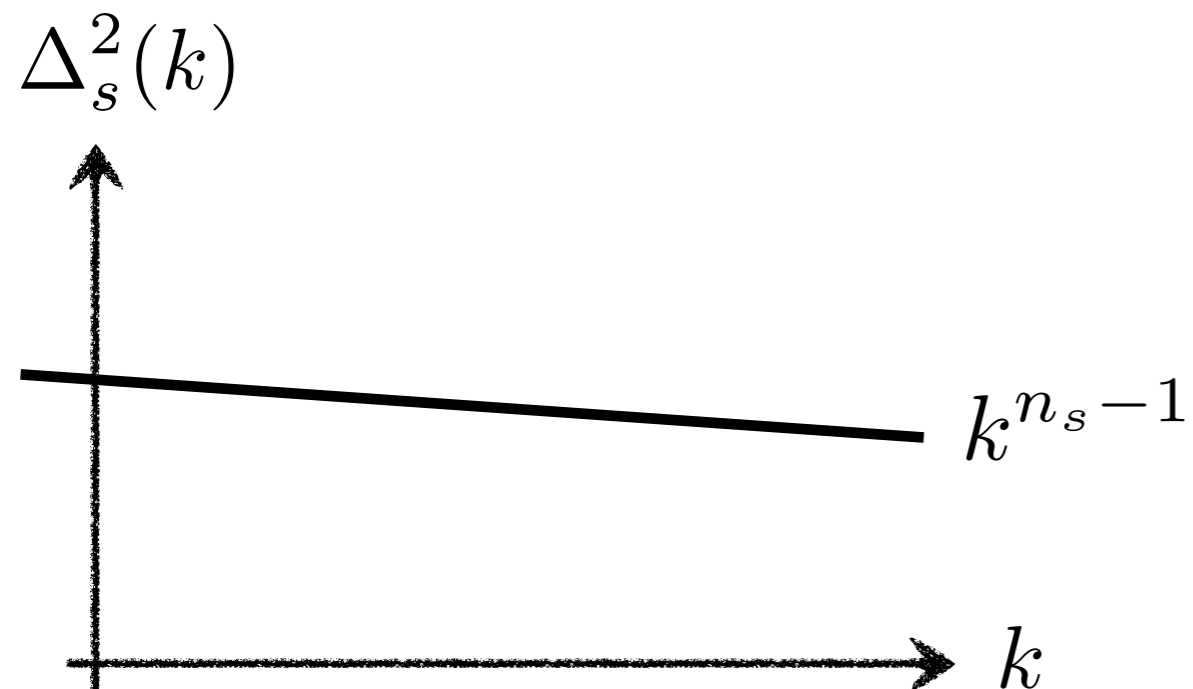
Inflation after Planck





Scale-Invariance

We expect the fluctuations from inflation to be nearly (but not exactly!) scale-invariant:

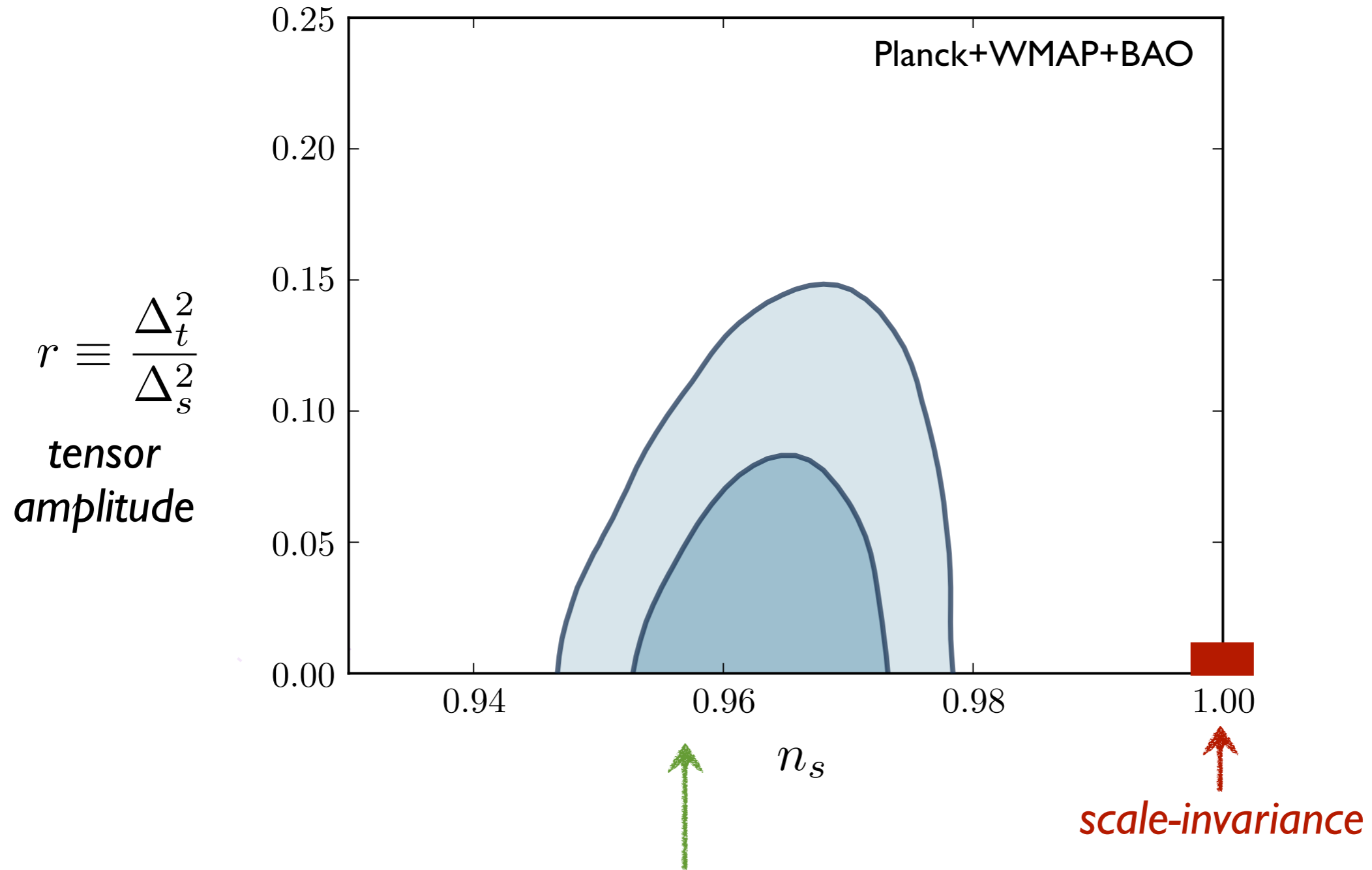


$$n_s - 1 \approx 4 \frac{\dot{H}}{H^2} - \frac{\ddot{H}}{\dot{H}H} \sim 0$$

The deviation from scale-invariance measures the dynamics during inflation:

$$H(t)$$

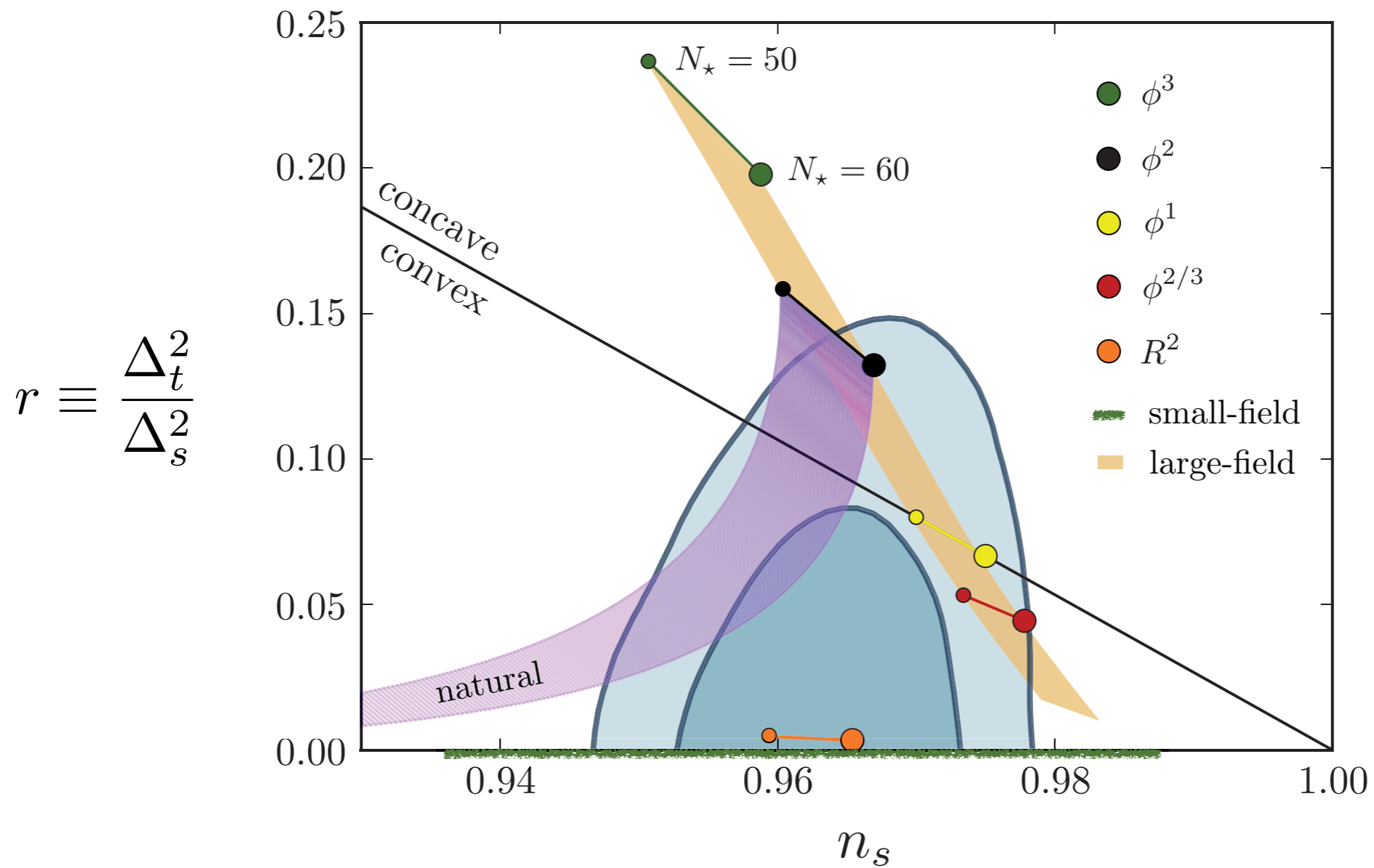
Scale-Invariance



Planck has detected the expected percent-level deviation !!!

$$n_s = 0.961 \pm 0.011$$

Scale-Invariance



Many inflationary models are being tested. Some are falsified.

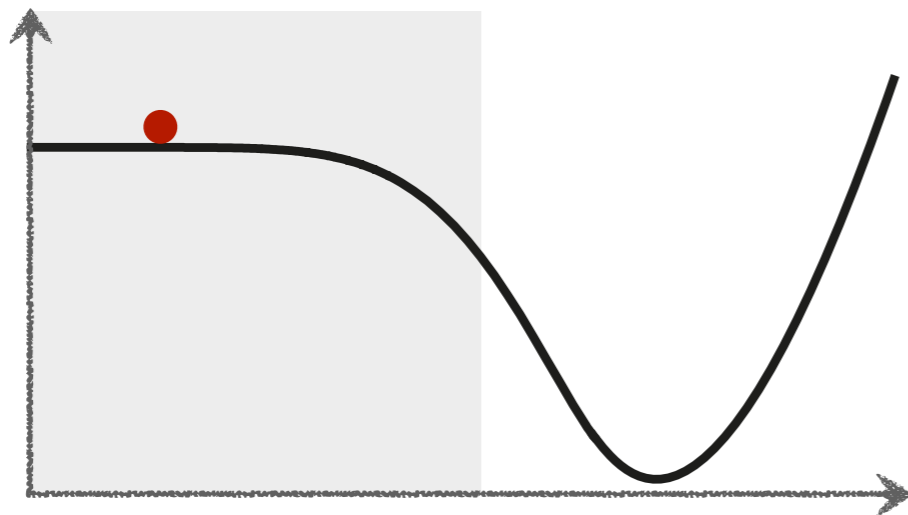
Gaussianity

The CMB is Gaussian to better than 0.1%.

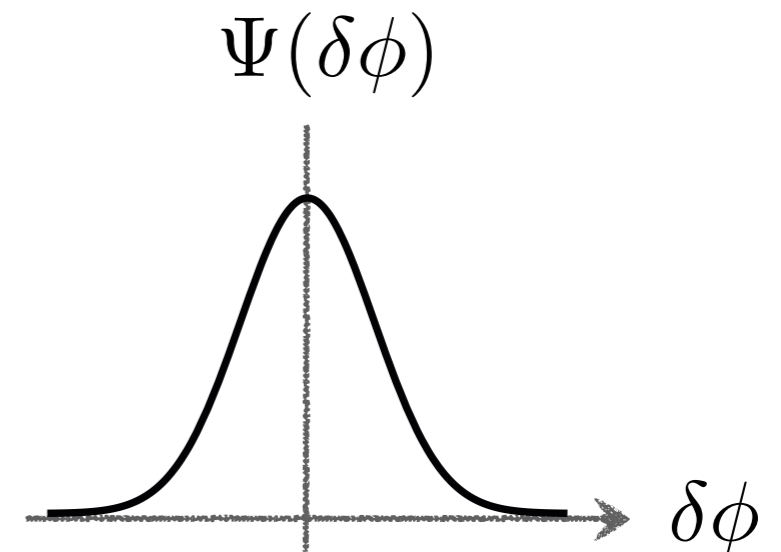
Planck (Paper 24)

- ▶ Slow-roll inflation predicts Gaussian fluctuations:

Inflation only occurs on the flat part of the potential where the self-interactions of the field are small.



The wavefunction of a free field is Gaussian:

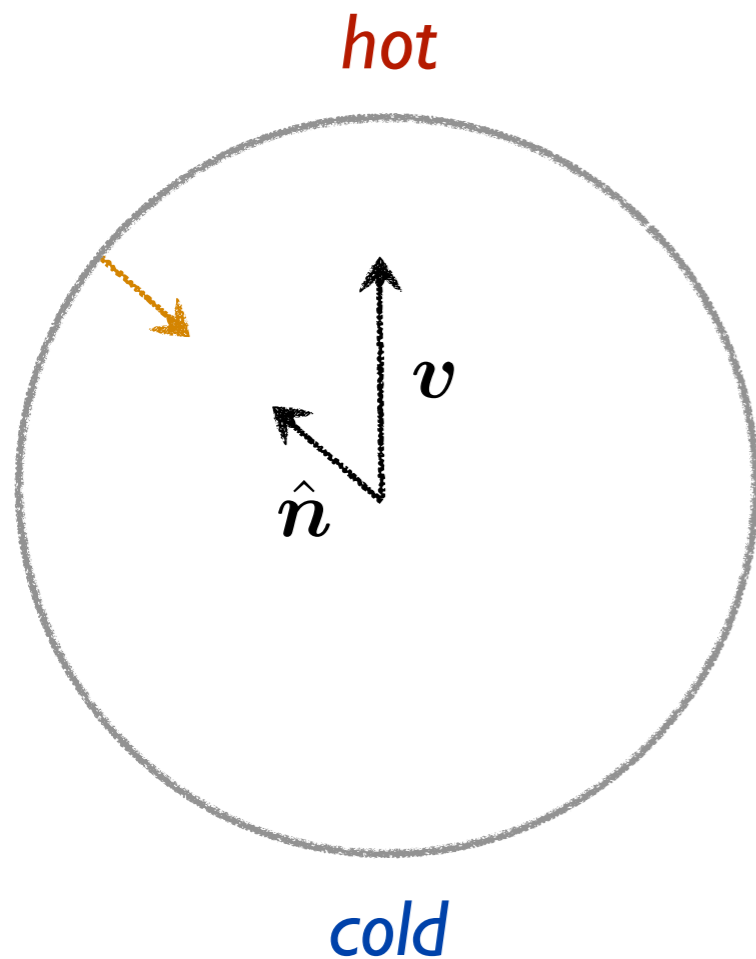


- ▶ Extensions of slow-roll models can produce non-Gaussian fluctuations from interactions in the inflaton sector or couplings to other sectors.

see Leonardo's lecture

Appendix

The biggest effect is the **motion of the solar system**



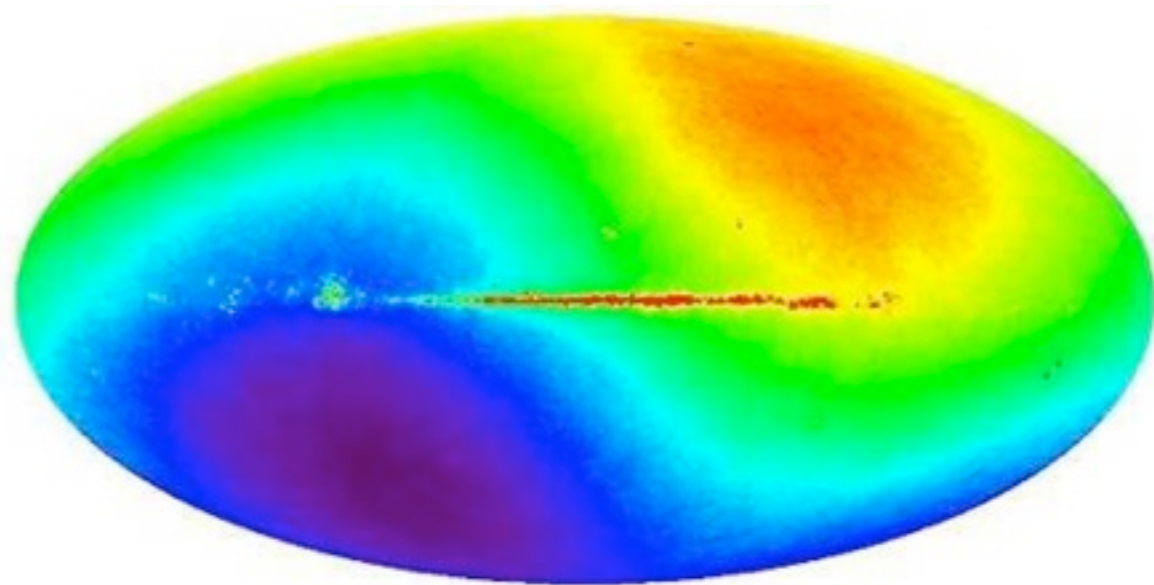
The observed photon momentum is **Doppler-shifted**

$$p_0 = p (1 + \hat{n} \cdot v)$$

CMB rest frame

This corresponds to a large **temperature dipole**

$$\Theta_0(\hat{n}) = \frac{T_0(\hat{n}) - T}{T} = \frac{p_0(\hat{n}) - p}{p} = \hat{n} \cdot v$$



Fitting the CMB dipole, we find

$$v \approx 368 \text{ km/s}$$

After removing the dipole, we are left with **primordial anisotropy**.

After decoupling, the photons travel along geodesics in an inhomogeneous spacetime

$$ds^2 = (1 + 2\Psi)dt^2 - a^2(t)(1 - 2\Phi)d\mathbf{x}^2$$

↑
gravitational
potential
↑
curvature
perturbation

Newtonian gauge

Ex: Using the geodesic equation, show that

$$\frac{1}{p} \frac{dp}{dt} = -\frac{1}{a} \frac{da}{dt} - \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} + \frac{\partial \Phi}{\partial t}$$

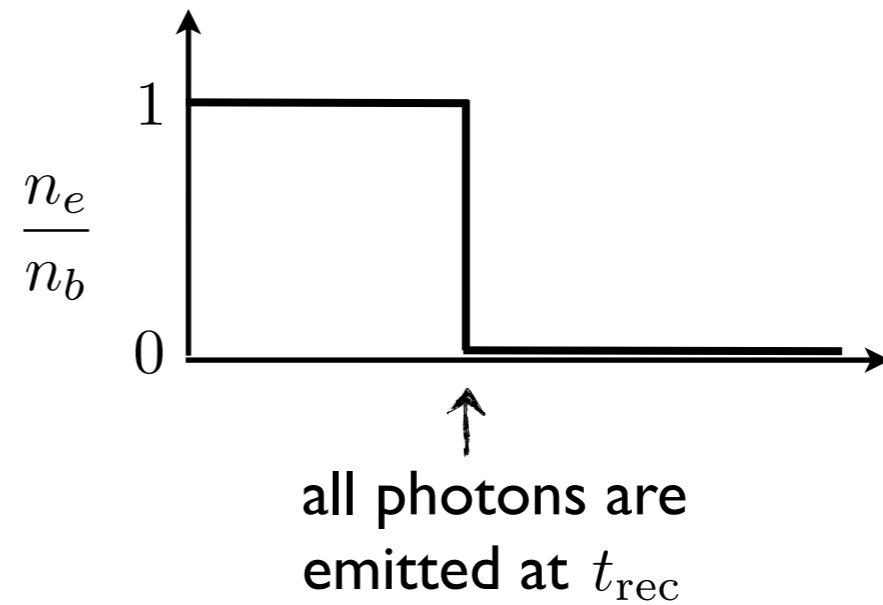
↑
REDSHIFT
↑
LENSING
↑
GRAVITATIONAL
REDSHIFT

Using $\frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} = \left(\frac{\partial \Psi}{\partial t} + \frac{dx^i}{dt} \frac{\partial \Psi}{\partial x^i} \right) - \frac{\partial \Psi}{\partial t} = \frac{d\Psi}{dt} - \frac{\partial \Psi}{\partial t}$

we get

$$\frac{d}{dt} \ln(ap) = -\frac{d\Psi}{dt} + \frac{\partial(\Psi + \Phi)}{\partial t} \quad (1)$$

For simplicity, we will assume **instantaneous recombination** :



Integrate eq. (1) from t_{rec} to t_0 :

$$\ln(ap)_0 = \ln(ap)_{\text{rec}} + (\Psi_{\text{rec}} - \Psi_0) + \int_{t_{\text{rec}}}^{t_0} dt (\dot{\Psi} + \dot{\Phi})$$

$$\Theta_0 = \Theta_{\text{rec}} + (\Psi_{\text{rec}} - \Psi_0) + \int_{t_{\text{rec}}}^{t_0} dt (\dot{\Psi} + \dot{\Phi})$$

unobservable

$\equiv 0$

$ap \propto a\bar{T}(1 + \Theta)$
with
 $(a\bar{T})_0 = (a\bar{T})_{\text{rec}}$

Hence, we get

$$\Theta_0 = \left[\left(\overset{\substack{\text{intrinsic } \Delta T \\ \swarrow}}{\Theta} + \overset{\substack{\text{gravitational} \\ \text{redshift}}{\Psi}} \right) + \hat{\mathbf{n}} \cdot \mathbf{v}_e \right]_{\text{rec}} + \int_{t_{\text{rec}}}^{t_0} dt (\dot{\Psi} + \dot{\Phi})$$

SW **D** **ISW**

SACHS-WOLFE DOPPLER

- Two steps: I) Compute sources at recombination.
II) Project onto the sky.