



# Formation of primordial black holes

- A novel formulation of PBH mass function-

Ref. TS and S.Yokoyama, arXiv:1912.04687 (*PTEP* 2020 (2020) 2, 023E03)

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**PBHs=BHs that formed in the very early Universe**

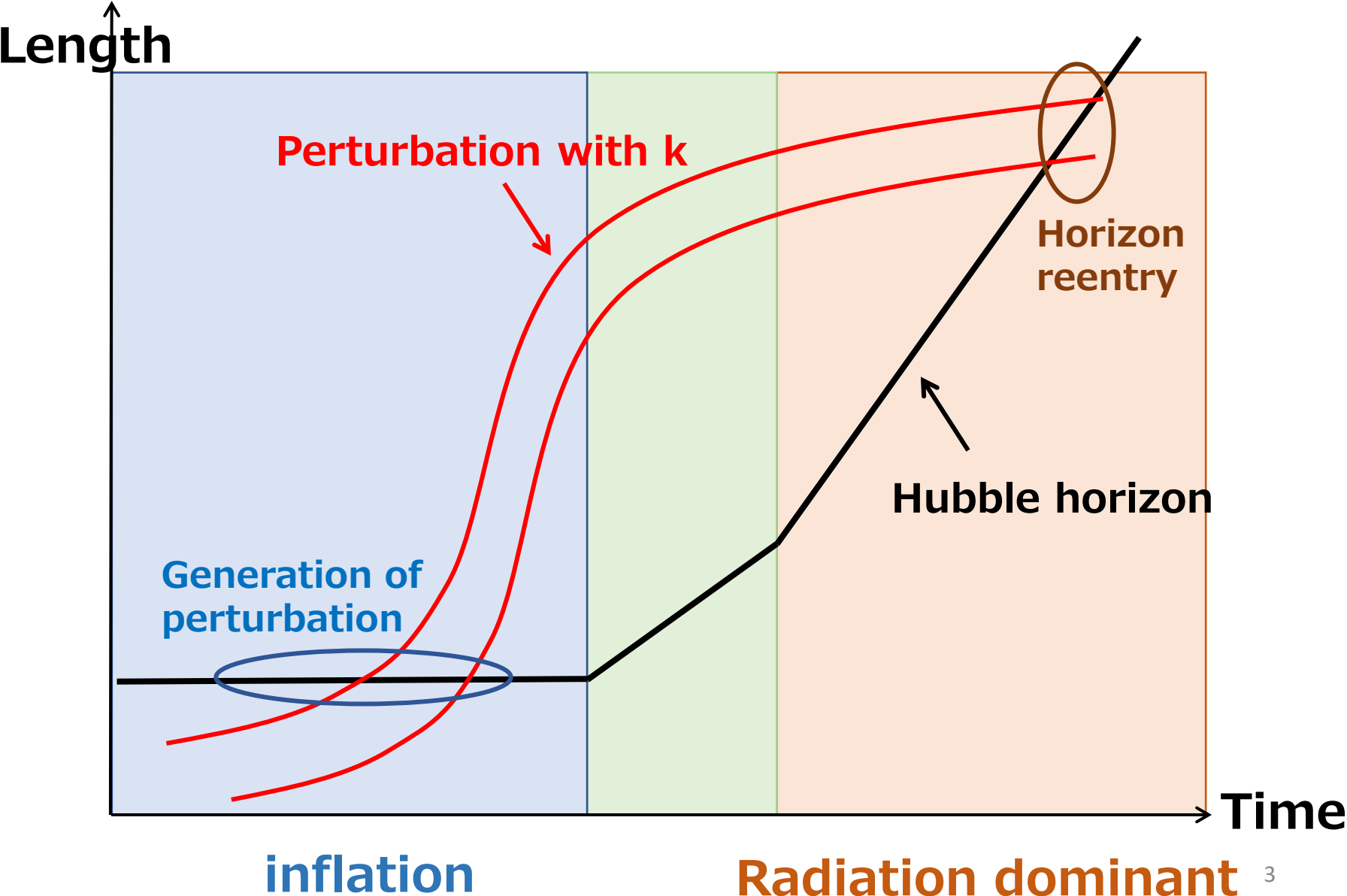
**A renewed interest in PBH**

**An interesting possibility that BHs detected by LIGO/Virgo are PBHs.**

**PBHs (in some mass range) may explain dark matter.**

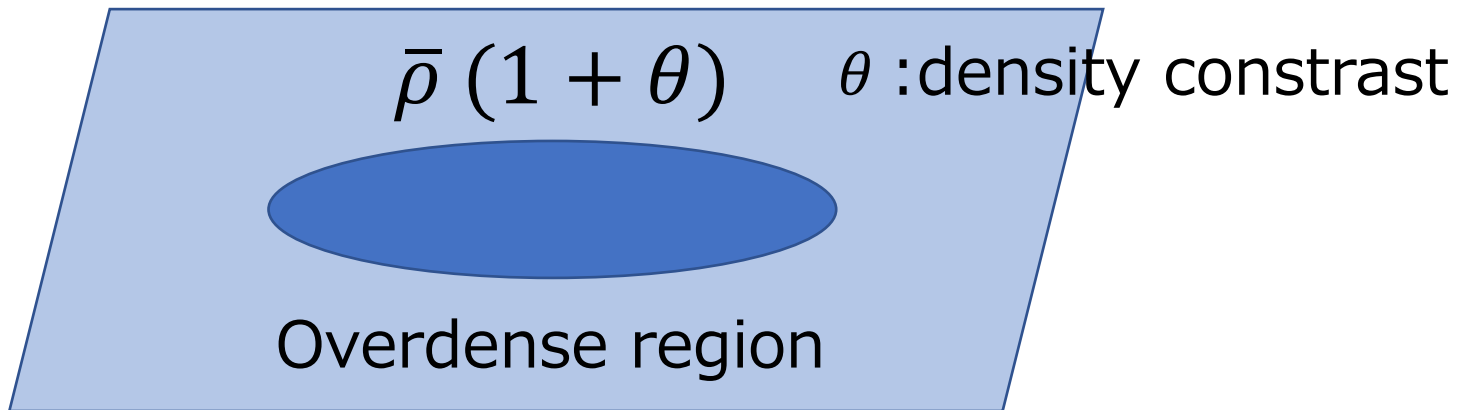
**PBHs provide a unique probe of small-scale primordial perturbations.**

# Formation of a PBH



# PBH formation

e.g. Carr 1975



Formation criterion

**Gravity > Radiation pressure**



$$\theta > \theta_{th} \quad (\theta_{th} \approx 0.8)$$

# PBH mass

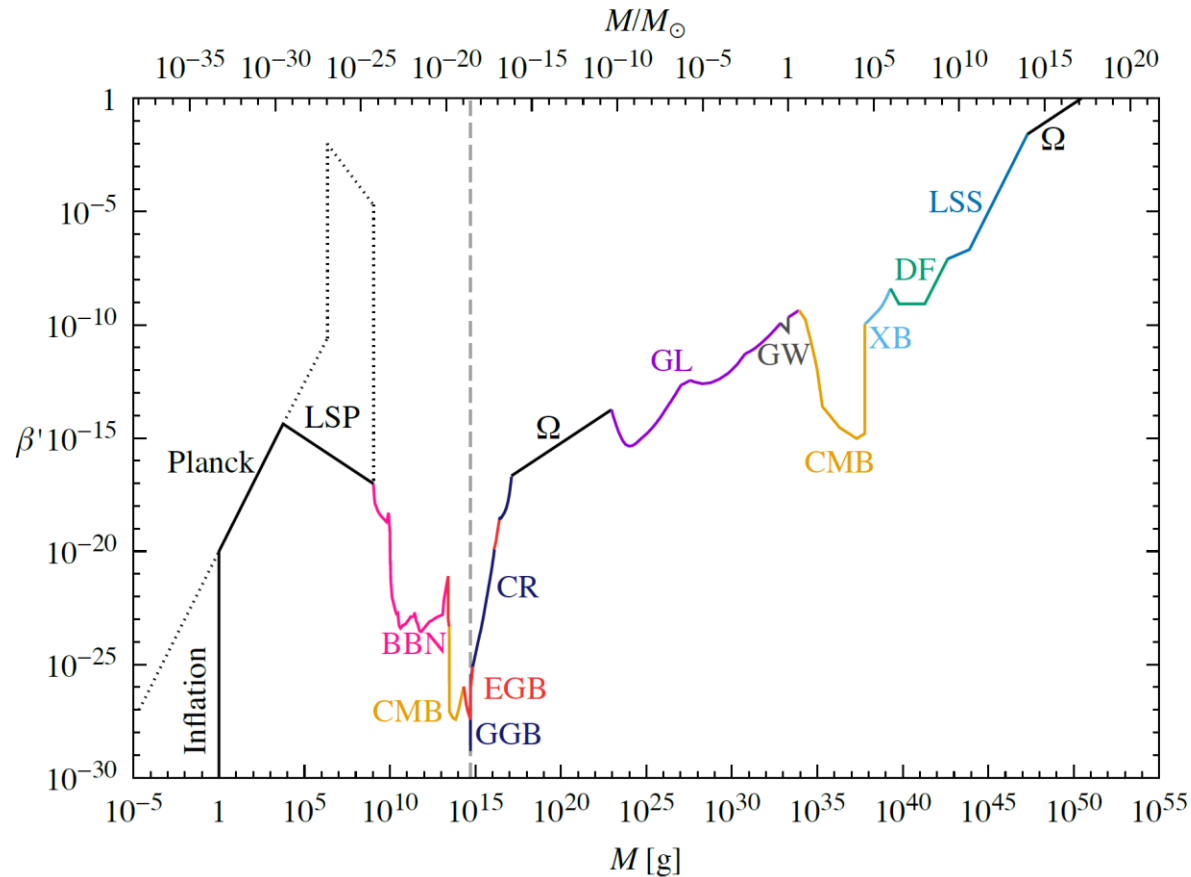
$$M_{\text{PBH}} \sim \frac{1}{GH} \sim 10M_{\odot} \left( \frac{t}{0.1\text{ms}} \right) \sim 10M_{\odot} \left( \frac{k}{1\text{pc}^{-1}} \right)^{-2}$$

Radiation (quarks, leptons, photons..) forms a BH.

PBHs can be much lighter than stars

Relevant scales  $\ll$  scales of CMB and LSS

# Upper limits on the PBH abundance



Carr+ 2020

y-axis: energy fraction of PBHs at their formation time

Monochromatic mass function is assumed.

Upper limits on extended mass function is possible. Carr+ 2016

# How do we compute the PBH mass function?

Indispensable in comparing your theory with the PBH constraints

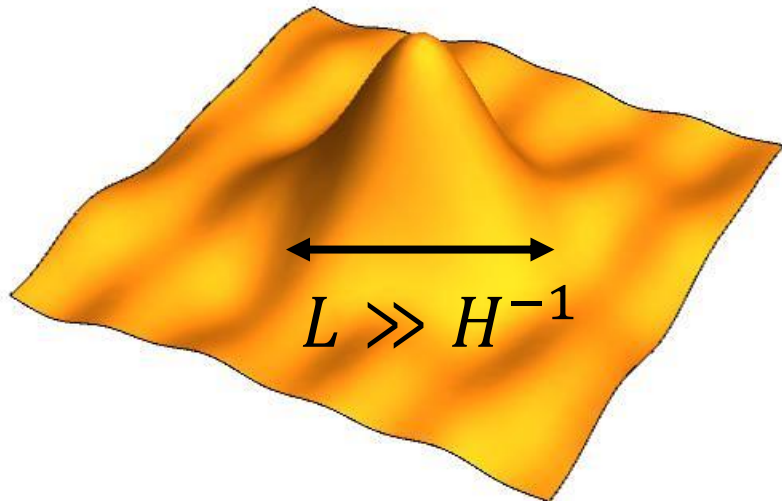
Definition of the PBH mass function:  $f(M)$

$$\int f(M) d \ln M = 1$$

represents a probability in infinitesimal mass bin  $(M, M + dM)$ .

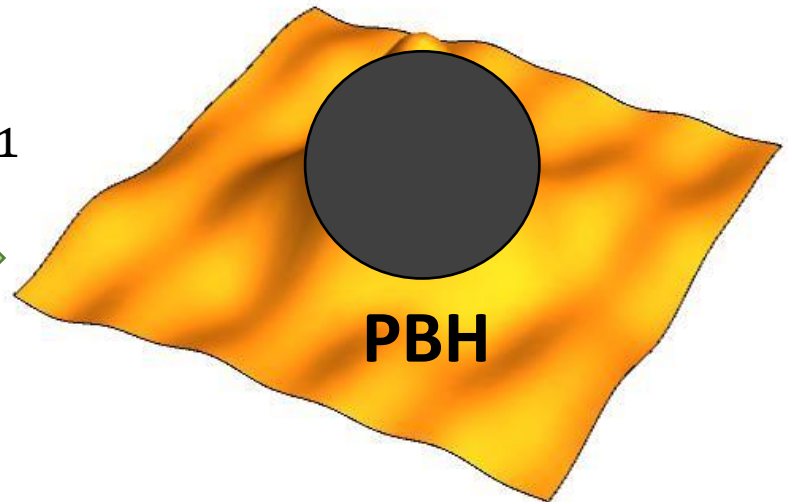


$$\theta > \theta_{th}$$

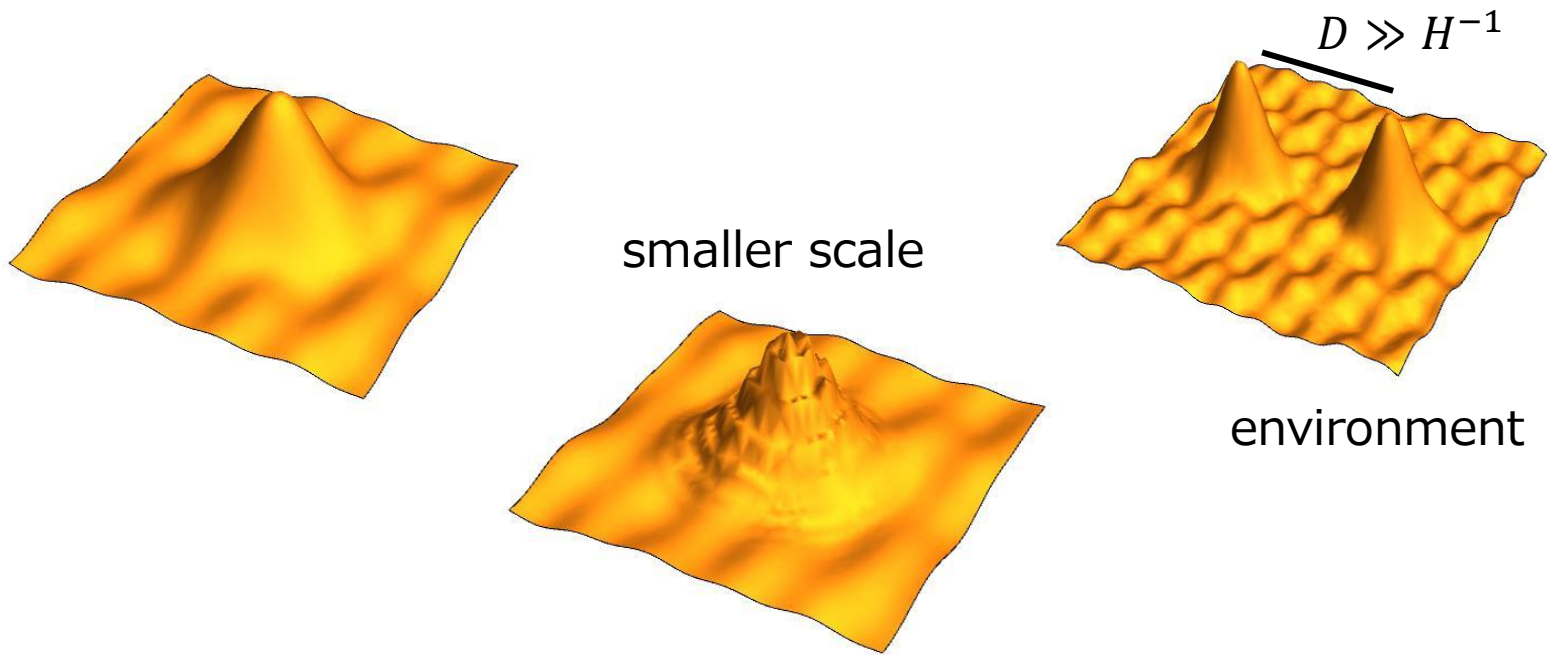


Overdense region

$$L \simeq H^{-1}$$







Environment and smaller scale are not relevant to PBH formation

PBH formation

$$\theta_R(\vec{x}) = \int W(R, \vec{x} - \vec{y}) \theta(\vec{y}) d^3y$$

window function

$$\theta_R > \theta_{th}$$

$$M(R) = 1/H(R)$$

# Computations of the PBH mass function

## 1. Press-Schechter-like approach

Kim&Lee 1996, Carr+ 2009, Carr+ 2017, Carr+ 2018, Byrnes+ 2018,  
Kawasaki+ 2019, Young+ 2019, Wang+ 2019

## 2. Peak theory

Green 2004, Young+ 2014, Yoo+ 2018, Germani+ 2018, Kalaja+ 2019

There remains a conceptually unclear point.

# 1. Press-Schechter-like approach

$$\beta(R) = \int_{\theta_{\text{th}}}^{\infty} P(\theta_R) d\theta_R, \quad P(\theta_R): \text{pdf of } \theta_R$$

A. Interpret  $\beta(R)$  as an energy fraction of PBHs with their mass  $> M(R)$ .

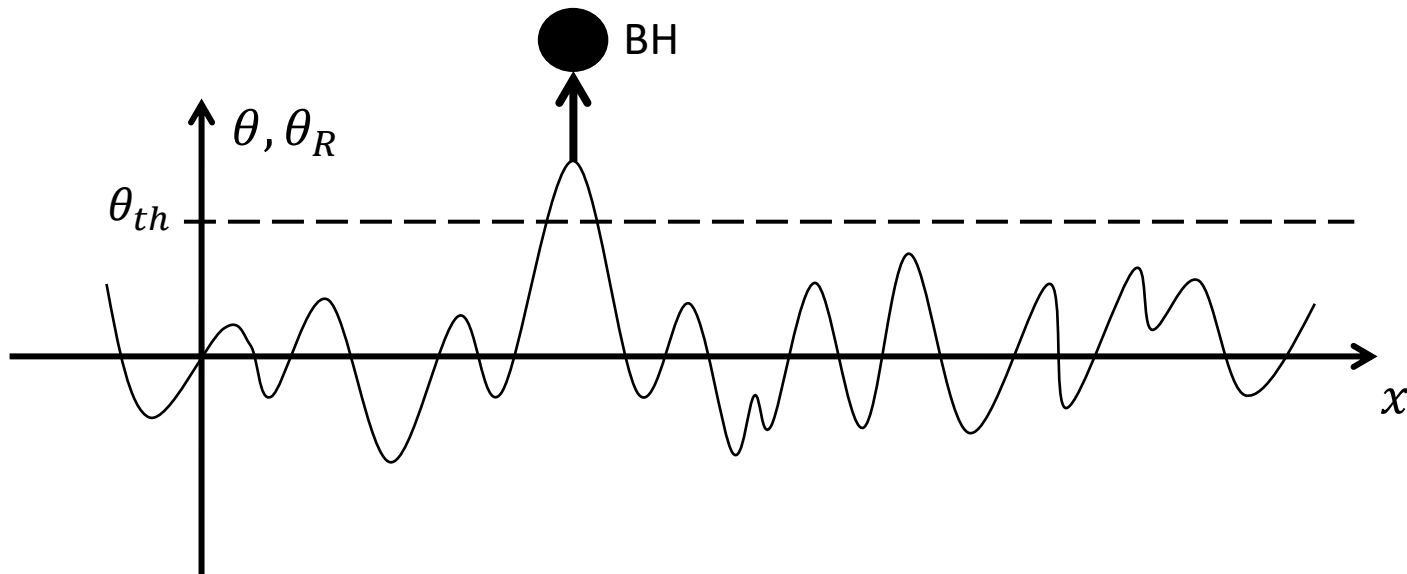
$$f(M) \propto \frac{d}{dR} \beta(R) \quad \text{Kim\&Lee 1996}$$

B. Interpret  $\beta(R)$  as an energy fraction of PBHs per logarithmic bin.

$$f(M) \propto \beta(R) \quad \text{Carr+ 2009}$$

These prescriptions are not conceptually clear.

## 2. Peak theory



A. Peak number density based on  $\theta$  Yoo+2018, Germani+ 2018

Mass function is automatically derived.  
But no smoothing procedure.

B. Peak number density based on  $\theta_R$  Kalaja+ 2019

Same issue as in the previous slide

# A novel formulation of the primordial black hole mass function

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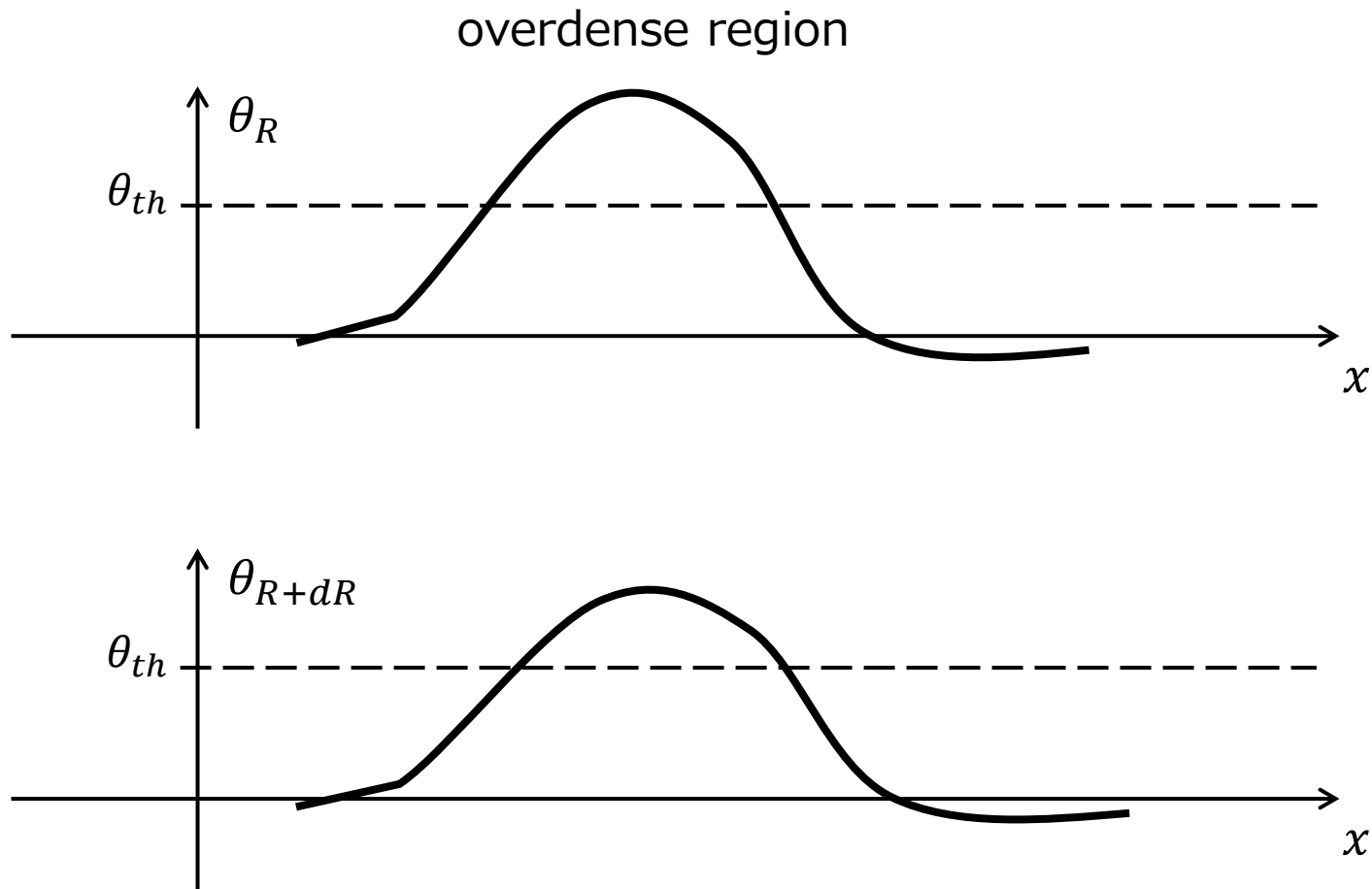
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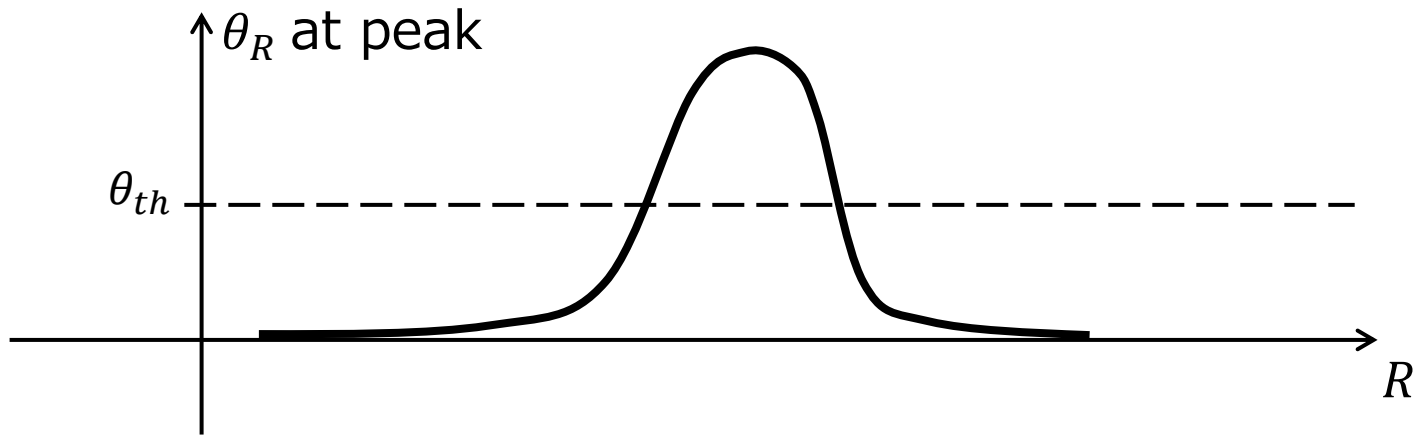
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.....  
 Computations of the primordial black hole (PBH) mass function discussed in the literature have conceptual issues. They stem from the fact that the mass function is a differential quantity and the standard criterion of the PBH formation from the seed primordial fluctuations cannot be directly applied to the computation of the differential quantities. We propose a new criterion of the PBH formation which is the addition of a new condition to the existing one. By doing this

# Basic idea

To add one extra condition to the existing ones on the PBH formation





There is a narrow finite range of  $R$  where  $\theta_R > \theta_{th}$ .

Outcome: just a formation of a BH.

We identify the scale of the produced PBH at a point where

$$\frac{\partial}{\partial R} \theta_R = 0$$



## Conditions for the PBH formation

1.  $\frac{\partial}{\partial x^i} \theta_R = 0, \quad \frac{\partial}{\partial R} \theta_R = 0$

  
**Our new ingredient**

2.  $\theta_R > \theta_{th}$

3.  $\lambda_a(\theta_{R,ij}) < 0$       **Peak is maximum**

The new ingredient enables us to formulate the mass function without introducing artificial interpretation.

# PBH mass function (**main result**)

$$f(M) = \frac{M}{n_{\text{PBH}}} \int dR \langle J \delta(M - m(R, \theta_R)) \Theta(\theta_R - \theta_{\text{th}}) \prod_{a=1}^4 \delta(\theta_{R,a}) \Theta(-\lambda_a) \rangle$$

$$J = \begin{vmatrix} \theta_{R,xx} & \theta_{R,xy} & \theta_{R,xz} & \theta_{R,xR} \\ \theta_{R,yx} & \theta_{R,yy} & \theta_{R,yz} & \theta_{R,yR} \\ \theta_{R,zx} & \theta_{R,zy} & \theta_{R,zz} & \theta_{R,zR} \\ \theta_{R,Rx} & \theta_{R,Ry} & \theta_{R,Rz} & \theta_{R,RR} \end{vmatrix}$$

$$\langle \mathcal{F} \rangle = \int [d\theta] \mathcal{F}(\theta) P[\theta] \quad P[\theta]: \text{Probability density of } \theta$$

1. Valid for non-Gaussian  $\theta$  (encoded in  $P[\theta]$ )
2. Effect of the critical collapse is included ( $m(R, \theta_R)$ ).

# Application: Gaussian case

Tokeshi+, arXiv:2005.07153

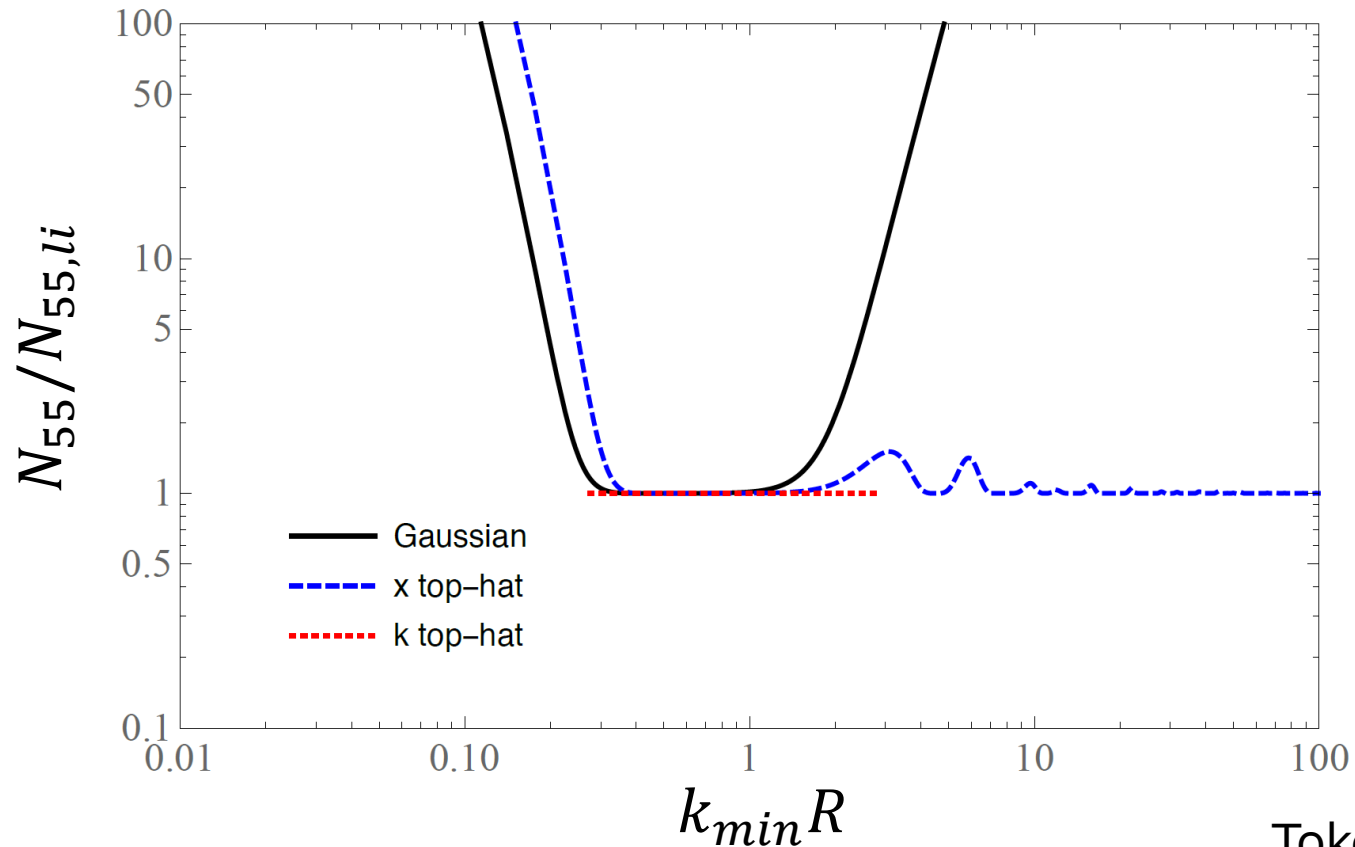
We ignore the critical collapse.

$$f(M) = \frac{M}{n_{\text{PBH}} m'(R)} I(M)$$

$$\begin{aligned}
 I(M) = & \frac{1}{\sqrt{\det(2\pi L)}} \sqrt{\frac{2}{N_{55}}} \\
 & \times \left[ \left( A + B_{ij} N_{ij} + 3C_{ijkl} N_{ij} N_{kl} - \frac{(B_{ij} + 6C_{ijkl} N_{kl}) N_{i5} N_{j5}}{N_{55}} + \frac{3C_{ijkl} N_{i5} N_{j5} N_{k5} N_{l5}}{N_{55}^2} \right) \right. \\
 & \quad \times \frac{\sqrt{\pi}}{2} \text{erfc} \left( \sqrt{\frac{N_{55}}{2}} \delta_{\text{th}} \right) \\
 & \quad + \frac{\delta_{\text{th}}}{\sqrt{2N_{55}}} \left( (B_{ij} + 6C_{ijkl} N_{kl}) N_{i5} N_{j5} + \frac{\delta_{\text{th}} (3 + N_{55} \delta_{\text{th}}^2) C_{ijkl} N_{i5} N_{j5} N_{k5} N_{l5}}{N_{55}} \right) \\
 & \quad \left. \times \exp \left( -\frac{N_{55}}{2} \delta_{\text{th}}^2 \right) \right], \tag{2.9}
 \end{aligned}$$

$$N_{55} = \frac{\langle \theta_{R,R}^2 \rangle}{\langle \theta_R^2 \rangle \langle \theta_{R,R}^2 \rangle - \langle \theta_R \theta_{R,R} \rangle^2} \quad (N_{55,li} = \frac{1}{\langle \theta_R^2 \rangle} \text{ in the literature})$$

$$P_{\mathcal{R}}(k) = A \Theta(k - k_{min})\Theta(k_{max} - k) \quad k_{max} = 10k_{min}$$



Tokeshi+ 2020

New formulation yields narrower PBH mass function than the conventional one.

# Summary

Computations of the PBH mass function in the literature have conceptual issues.

We proposed a new criterion of the PBH formation and provided a new formulation of the PBH mass function.

