

# Initial conditions for inflation

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ICTS - Physics of the Early Universe - An Online Precursor, Autumn 2020



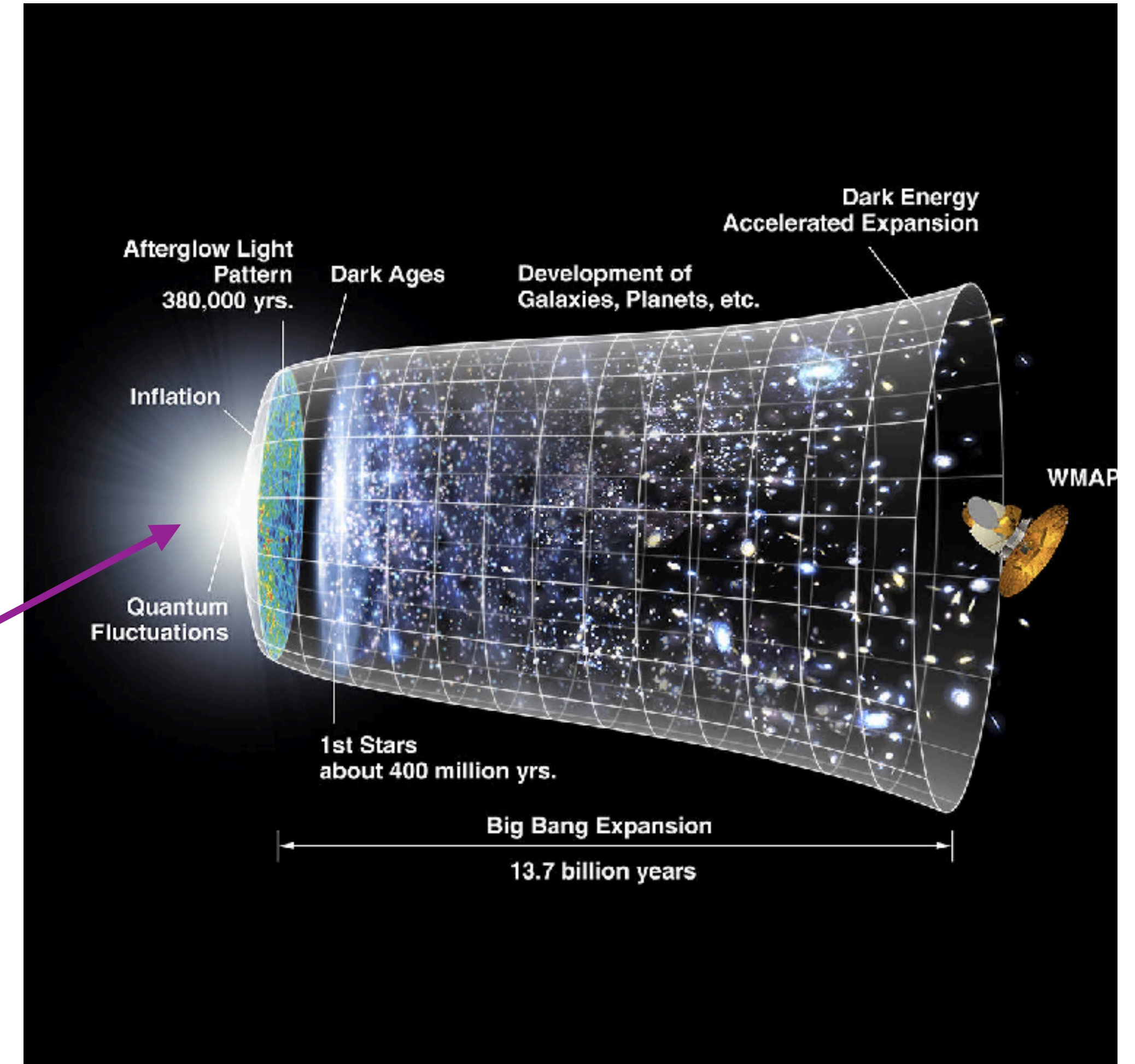
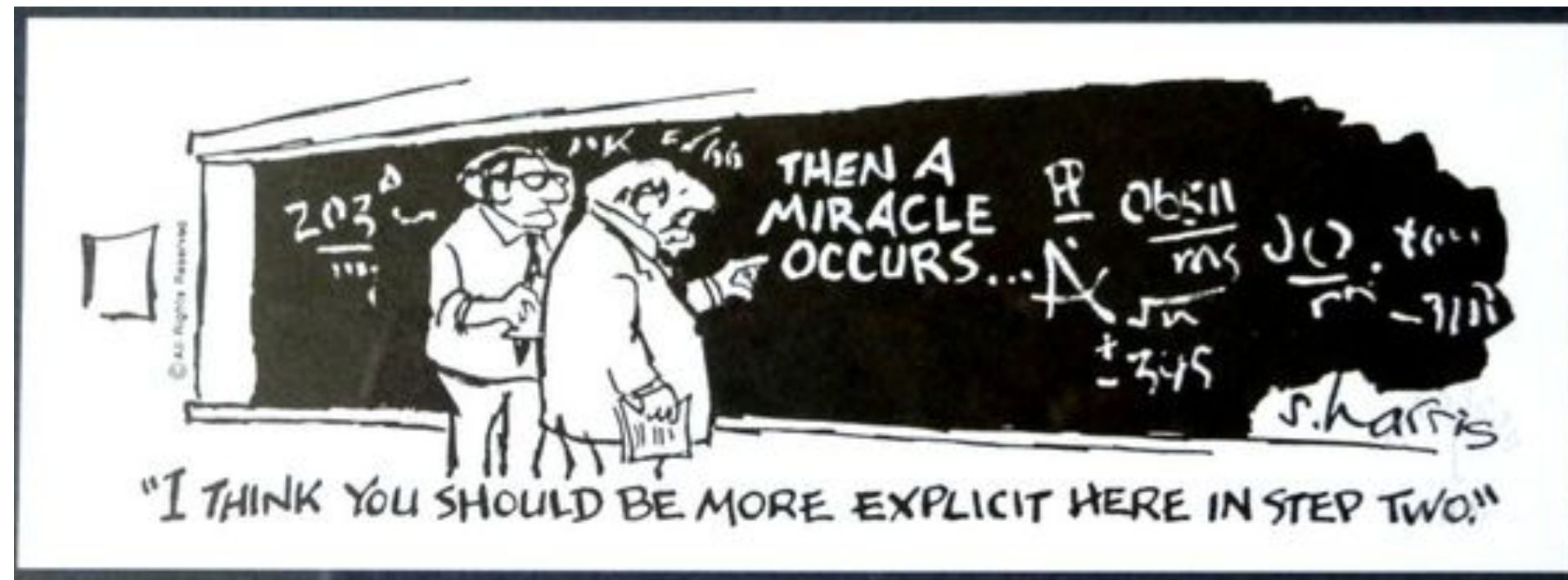
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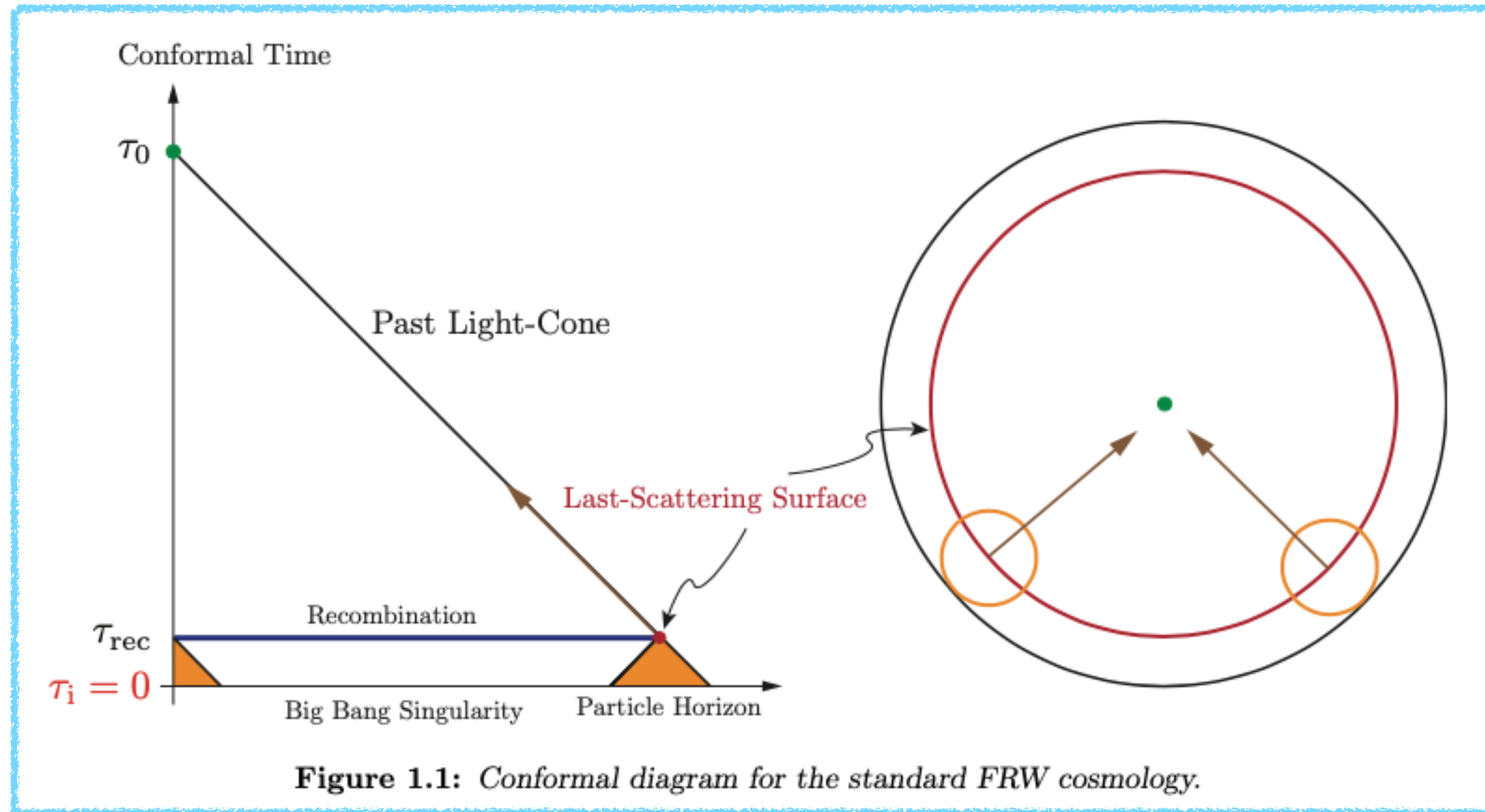
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# Inflation

- Solves the horizon and flatness problems
- Predicts a spectrum of scale invariant fluctuations



# Inflation - the horizon problem



# Inflation - the horizon problem

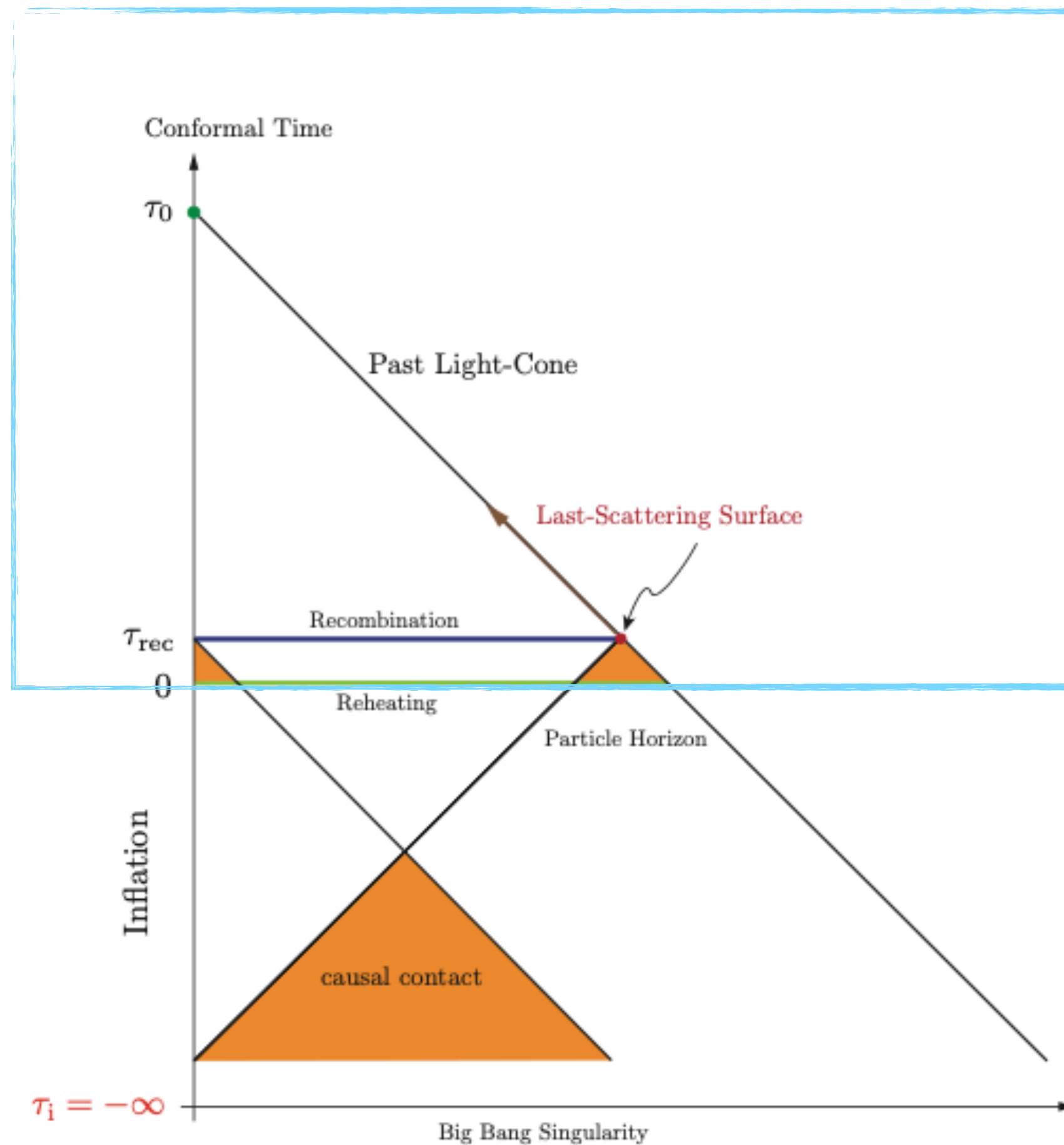


Figure 1.2: Conformal diagram for inflationary cosmology.

- We need the comoving Hubble radius to shrink over time:

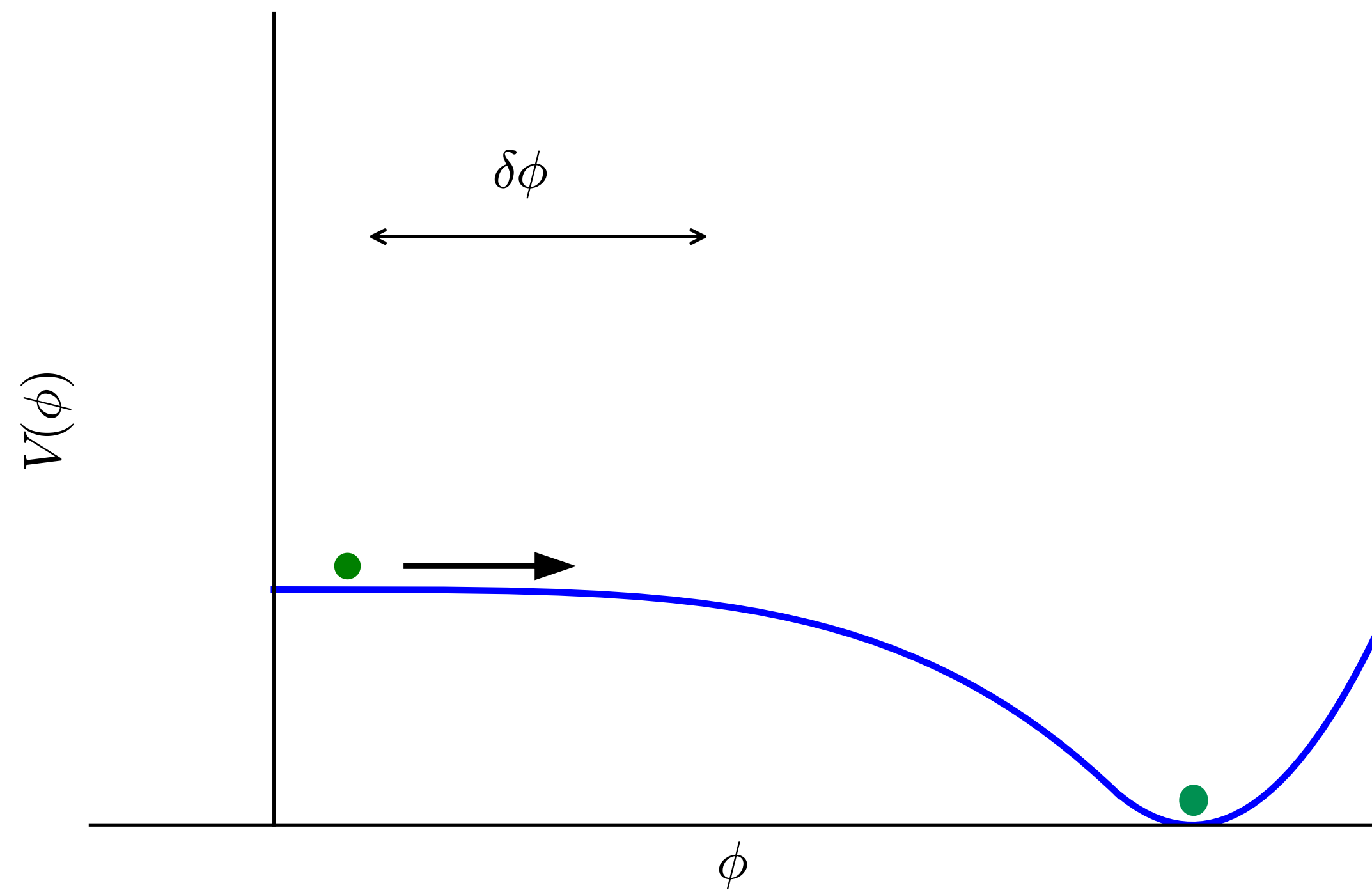
$$\frac{d}{dt}(aH)^{-1} = -\frac{\ddot{a}}{\dot{a}^2} < 0$$

⇒ We need a fluid with an equation of state which

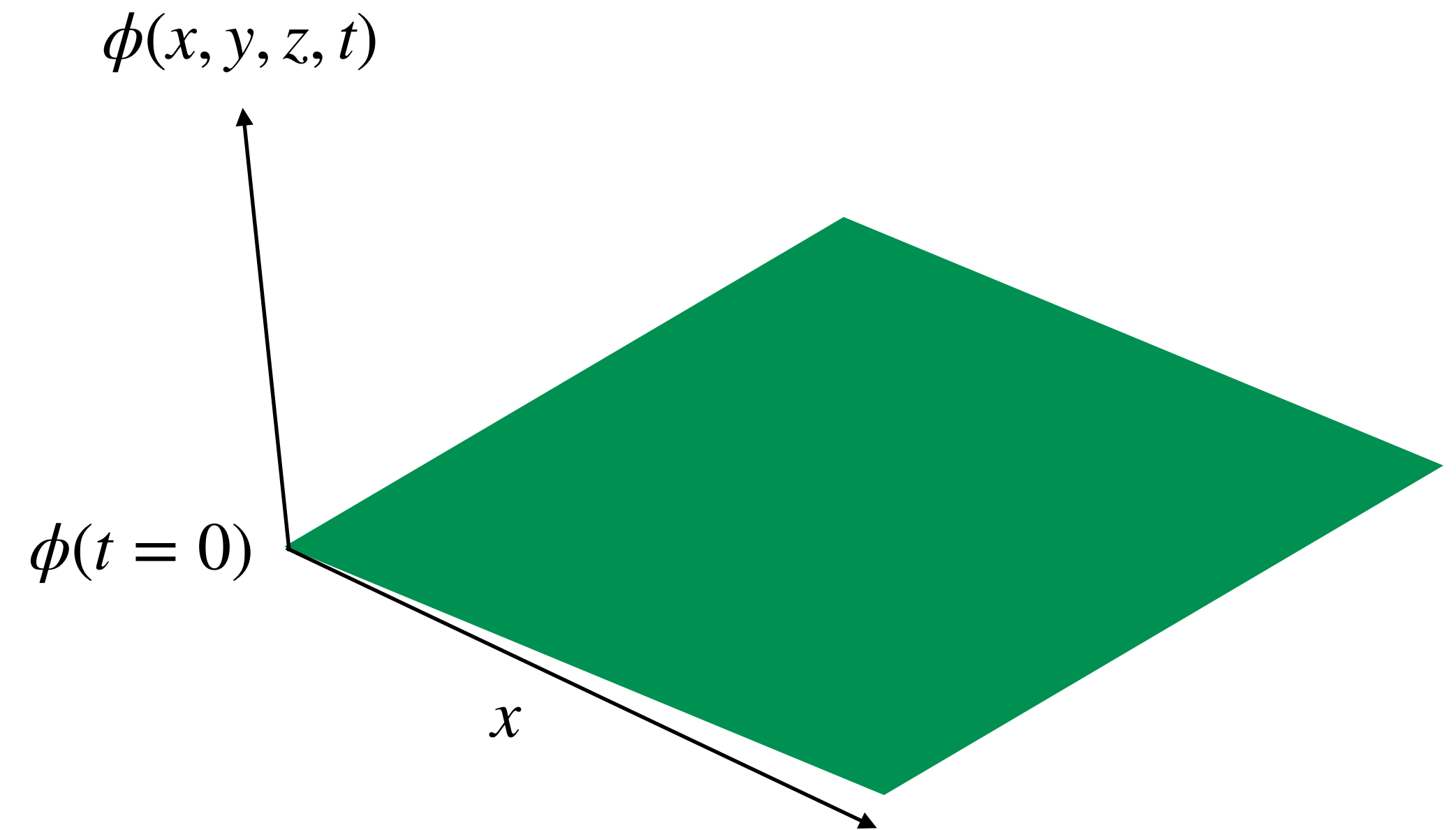
breaks the Strong Energy Condition,  $\frac{p}{\rho} > -\frac{1}{3}$

# The single field slow roll story

Once upon a time, a long long time ago, there was a scalar field on a hill, which started to slowly roll down...

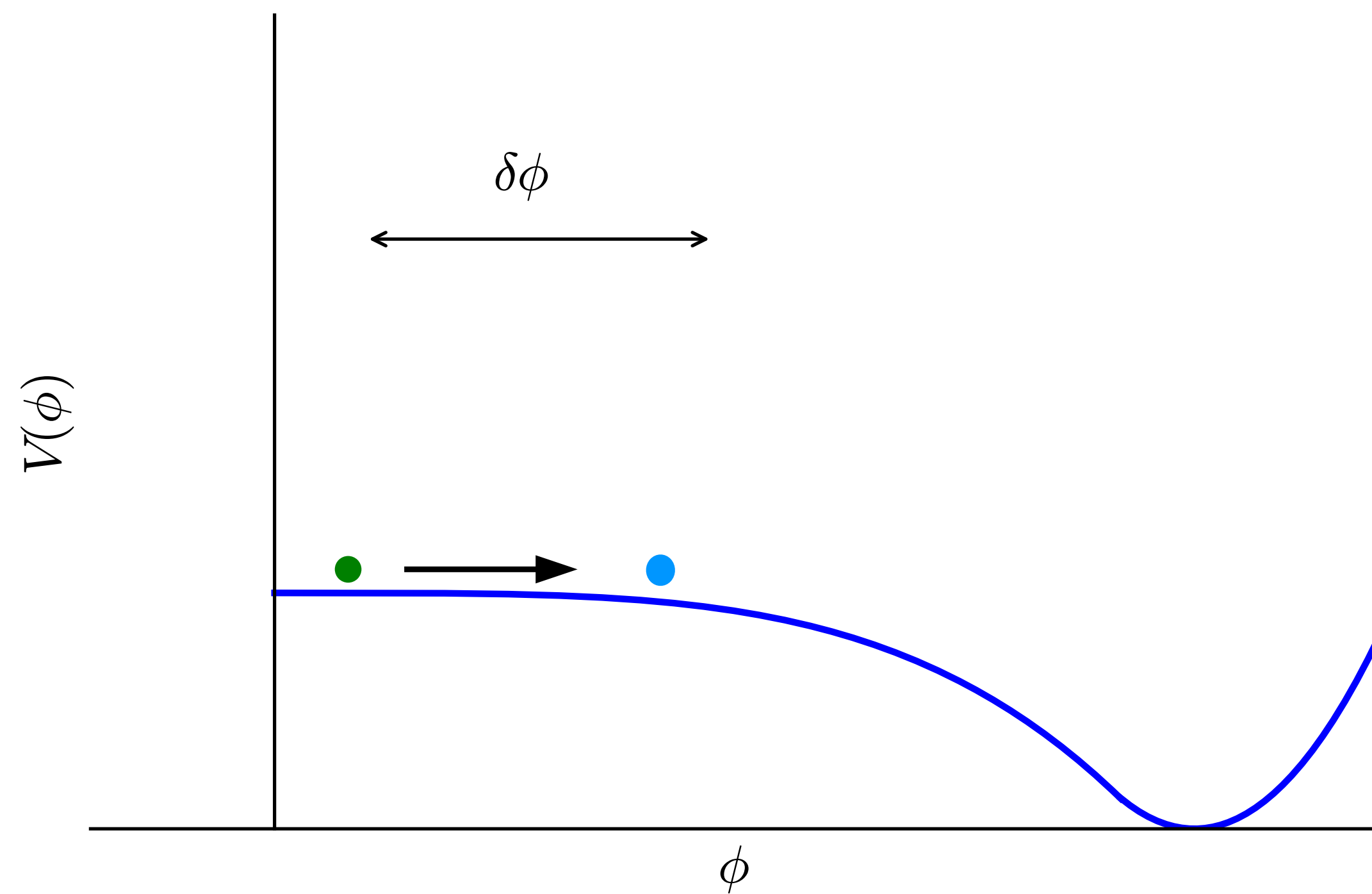


$$\frac{p}{\rho} \approx -1 \quad \left\{ \begin{array}{l} p \sim \frac{\dot{\phi}^2}{2} + \frac{\nabla_a \phi \nabla^a \phi}{2} - V(\phi) \\ \rho \sim \frac{\dot{\phi}^2}{2} - \frac{\nabla_a \phi \nabla^a \phi}{6} + V(\phi) \end{array} \right.$$

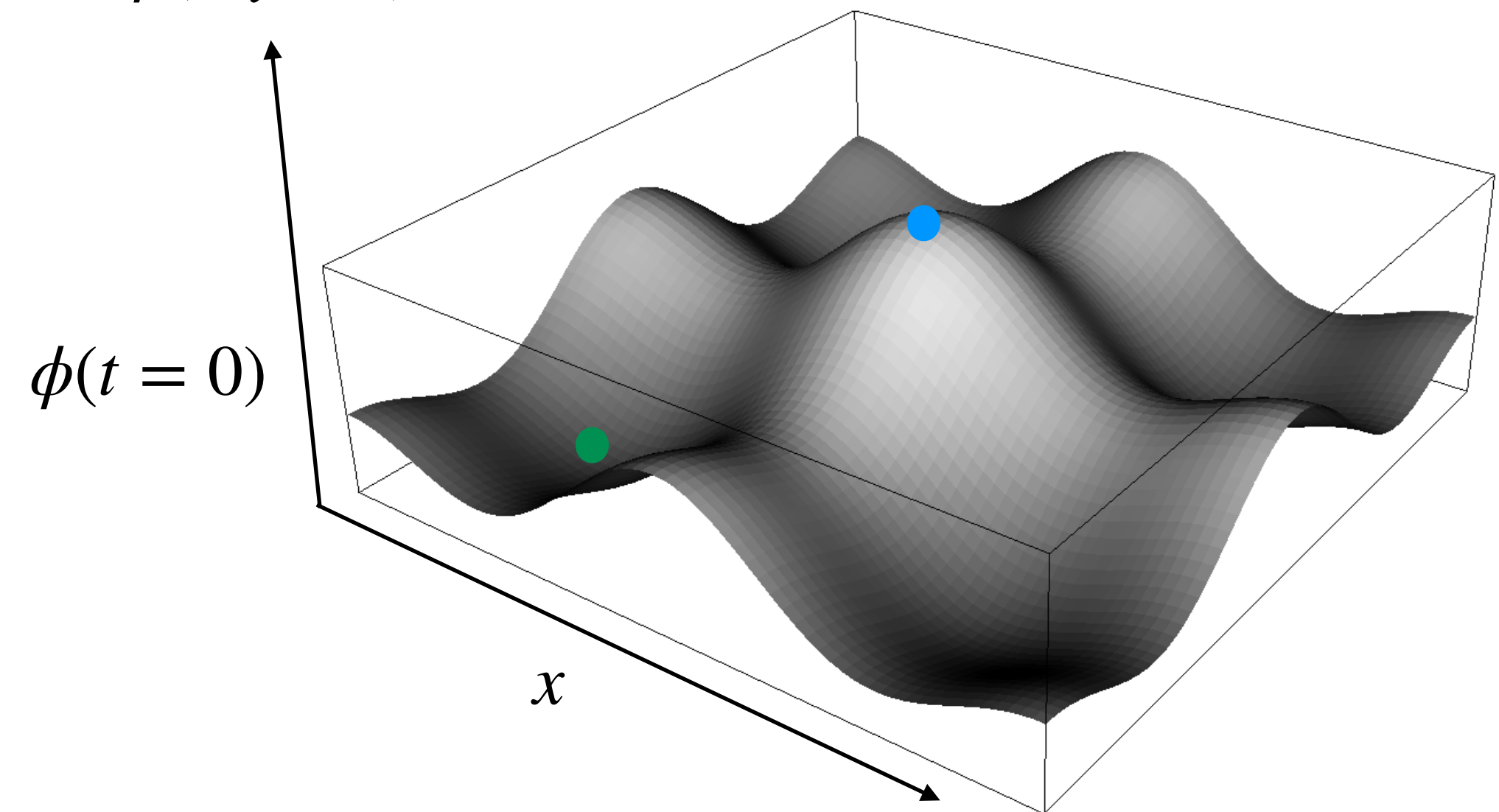


# The single field slow roll story

Once upon a time, a long long time ago, there was a fairly complicated set of initial conditions...

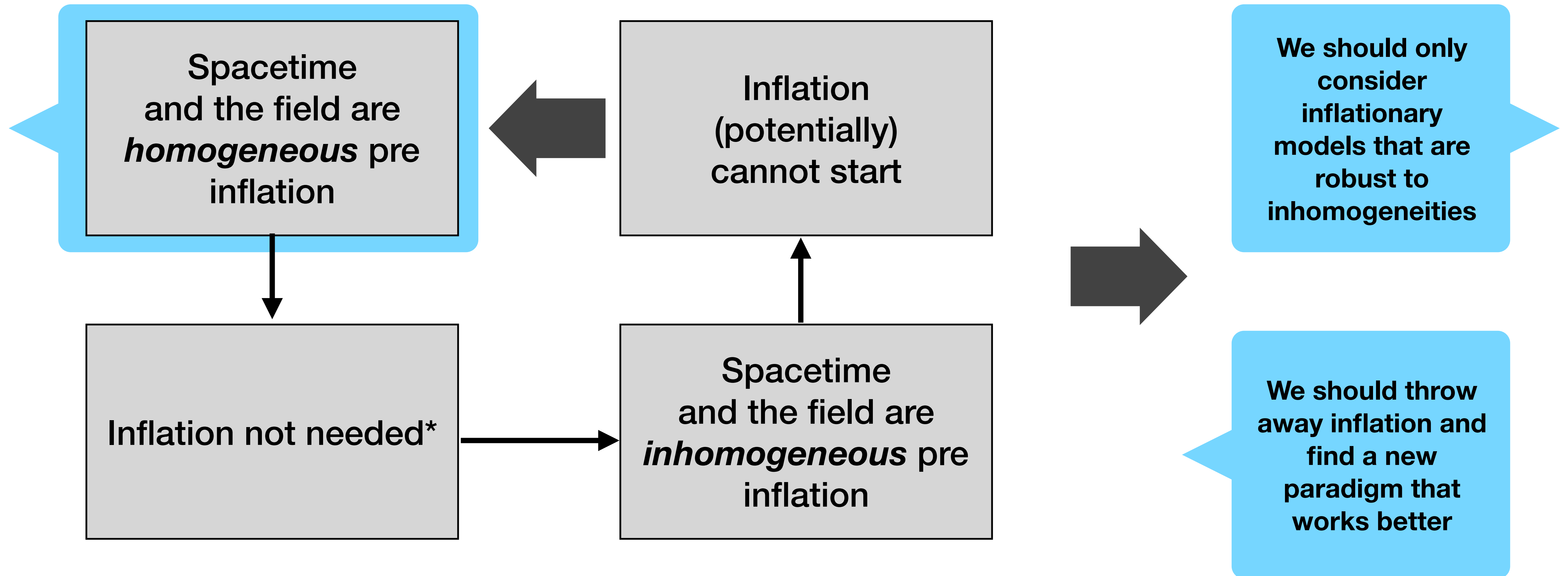


$$g_{\mu\nu}(x, y, z, t)$$
$$\phi(x, y, z, t)$$



$$p \sim \frac{\dot{\phi}^2}{2} + \frac{\nabla_a \phi \nabla^a \phi}{2} - V(\phi)$$
$$\rho \sim \frac{\dot{\phi}^2}{2} - \frac{\nabla_a \phi \nabla^a \phi}{6} + V(\phi)$$

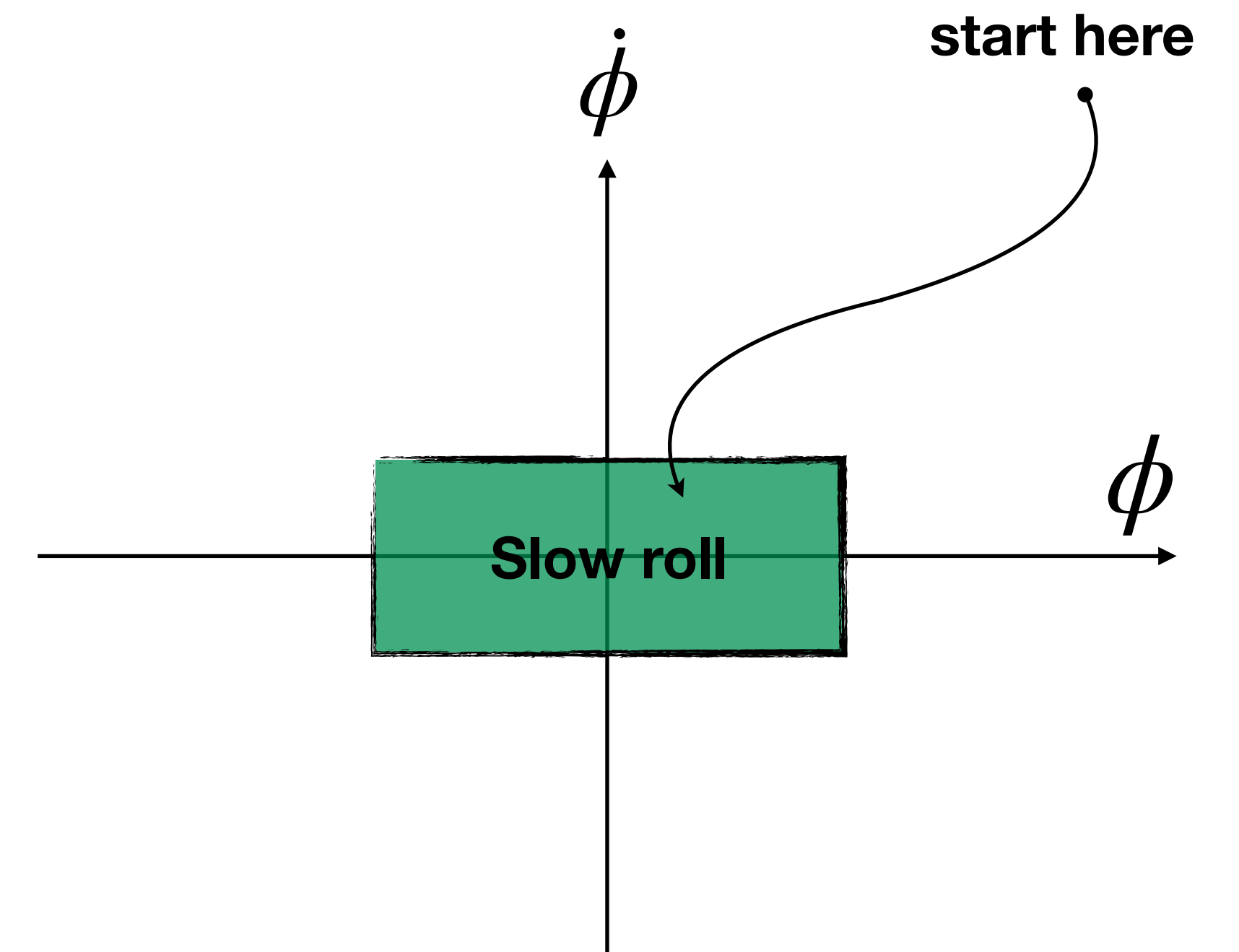
# The initial condition problem for inflation



\* for horizon problem

# Which models of inflation are “robust”?

- Robustness can be understood as the slow roll solution being an attractor in the phase space of initial conditions





# Which models of inflation are “robust”?

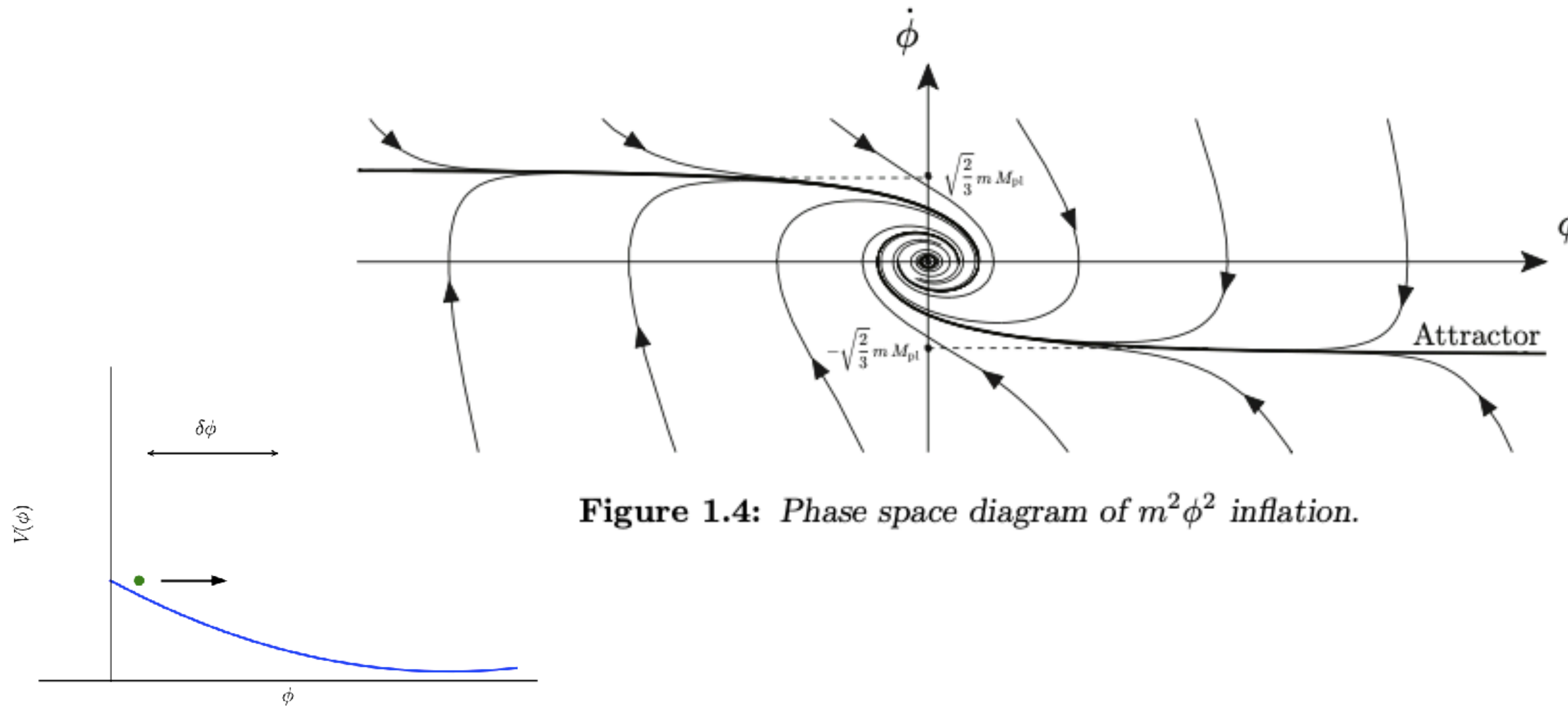


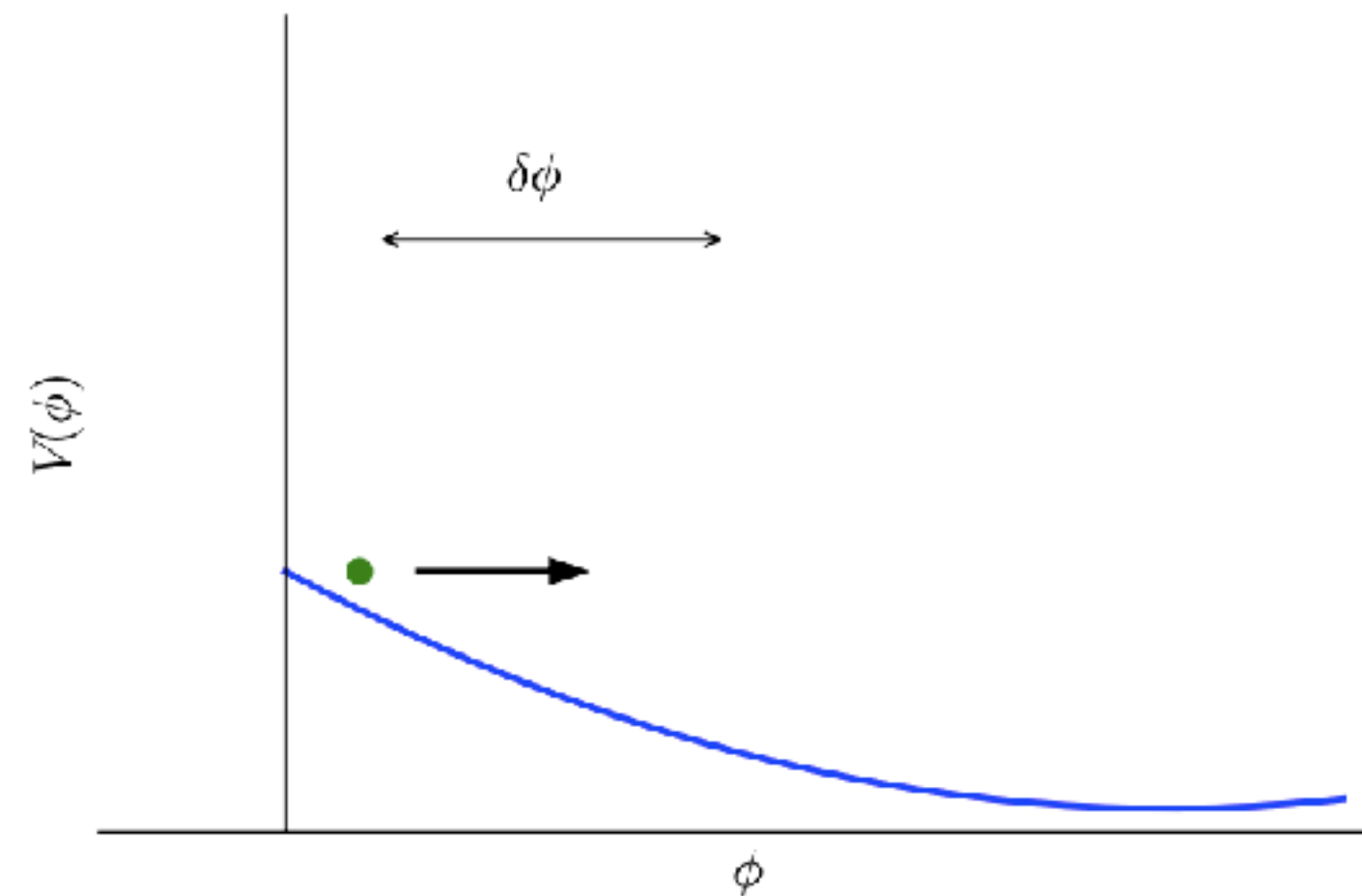
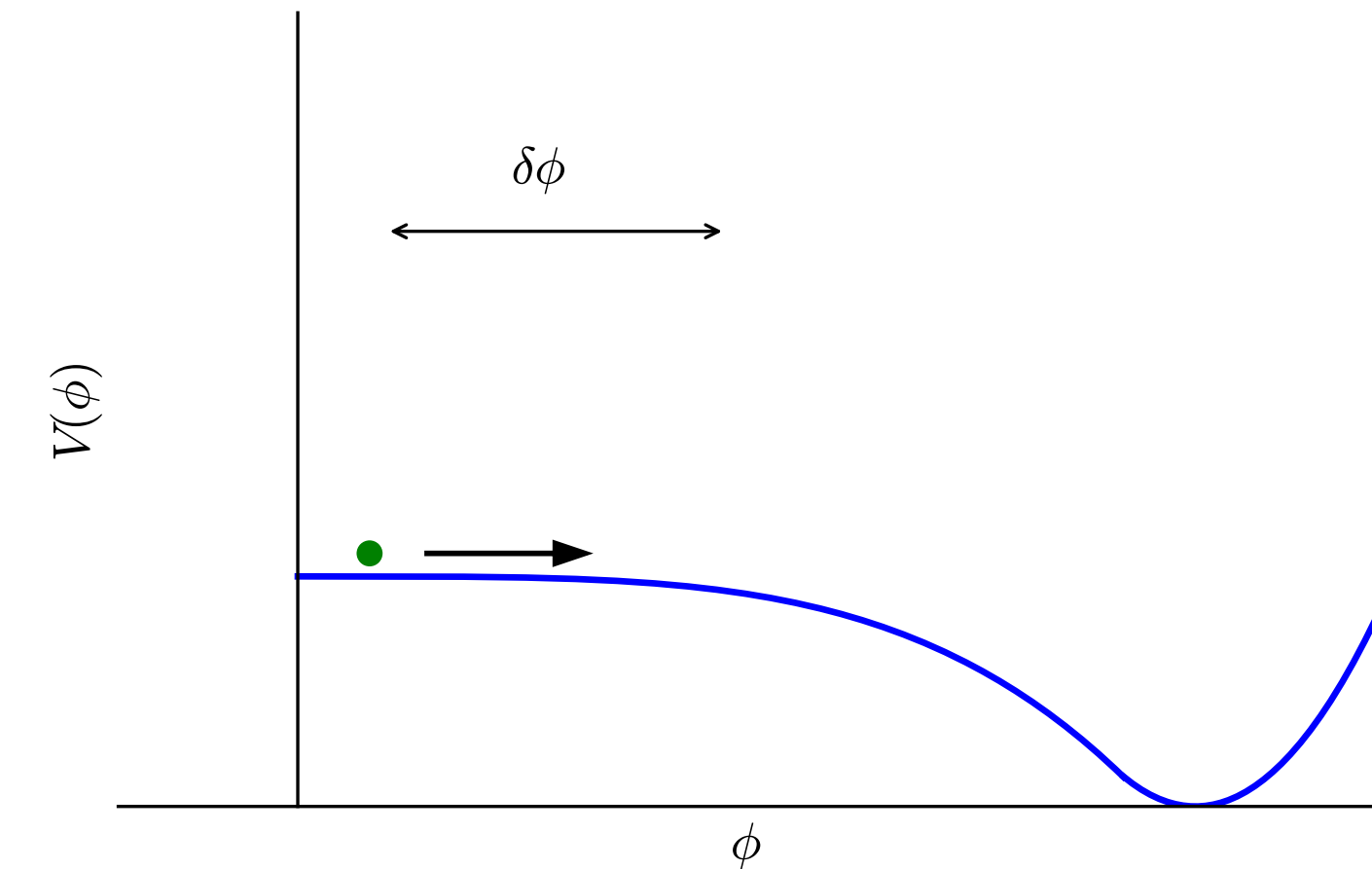
Figure 1.4: Phase space diagram of  $m^2\phi^2$  inflation.

# Which models of inflation are “robust”?

- Rough rule of thumb:
  - Small field models  $\delta\phi \ll M_{pl}$  are not robust
  - Large field models  $\delta\phi \sim M_{pl}$  are robust

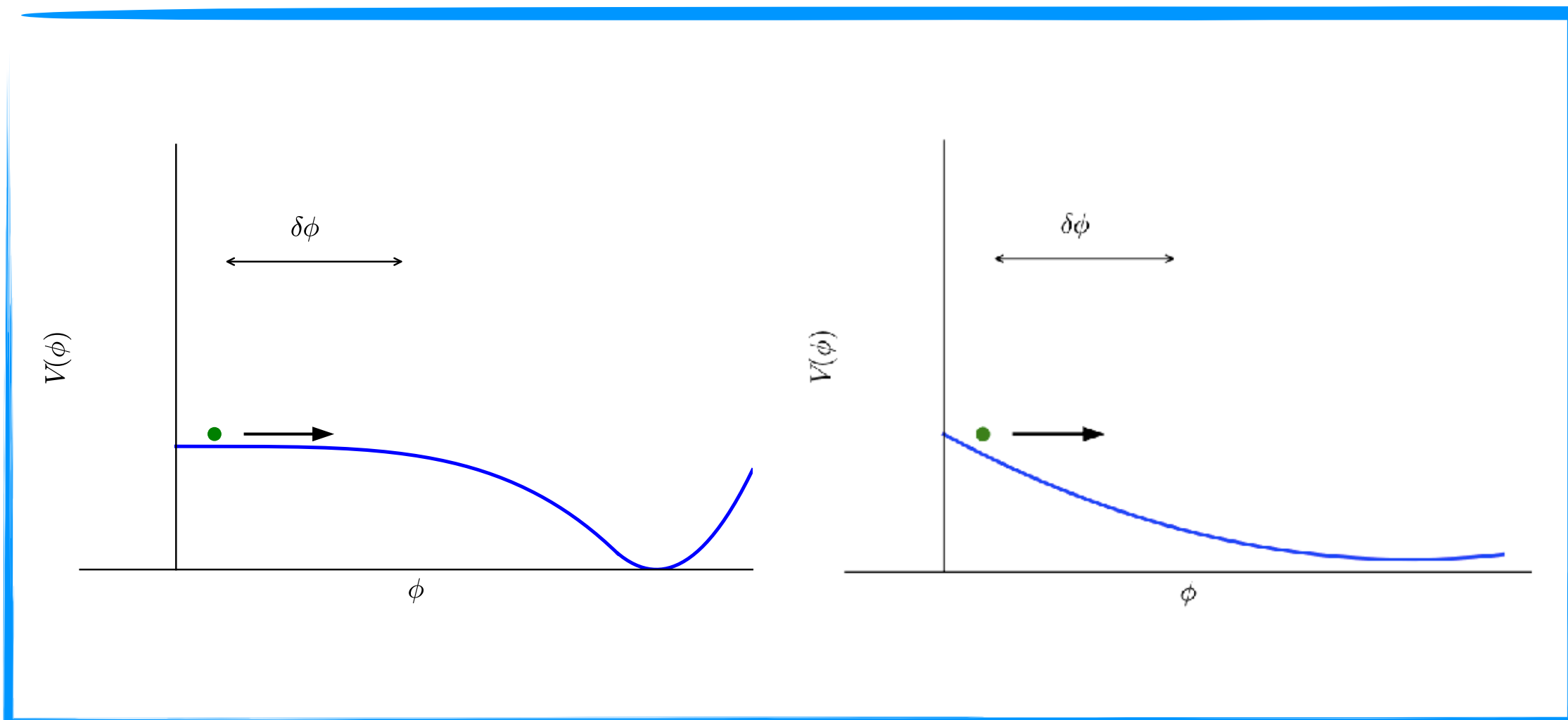
This is not what we would prefer!

- Suggested reading:  
Initial Conditions for Inflation - A Short Review, Robert Brandenburger  
*Int.J.Mod.Phys.D* 26 (2016) 01, 1740002 / [arXiv:1601.01918](https://arxiv.org/abs/1601.01918)

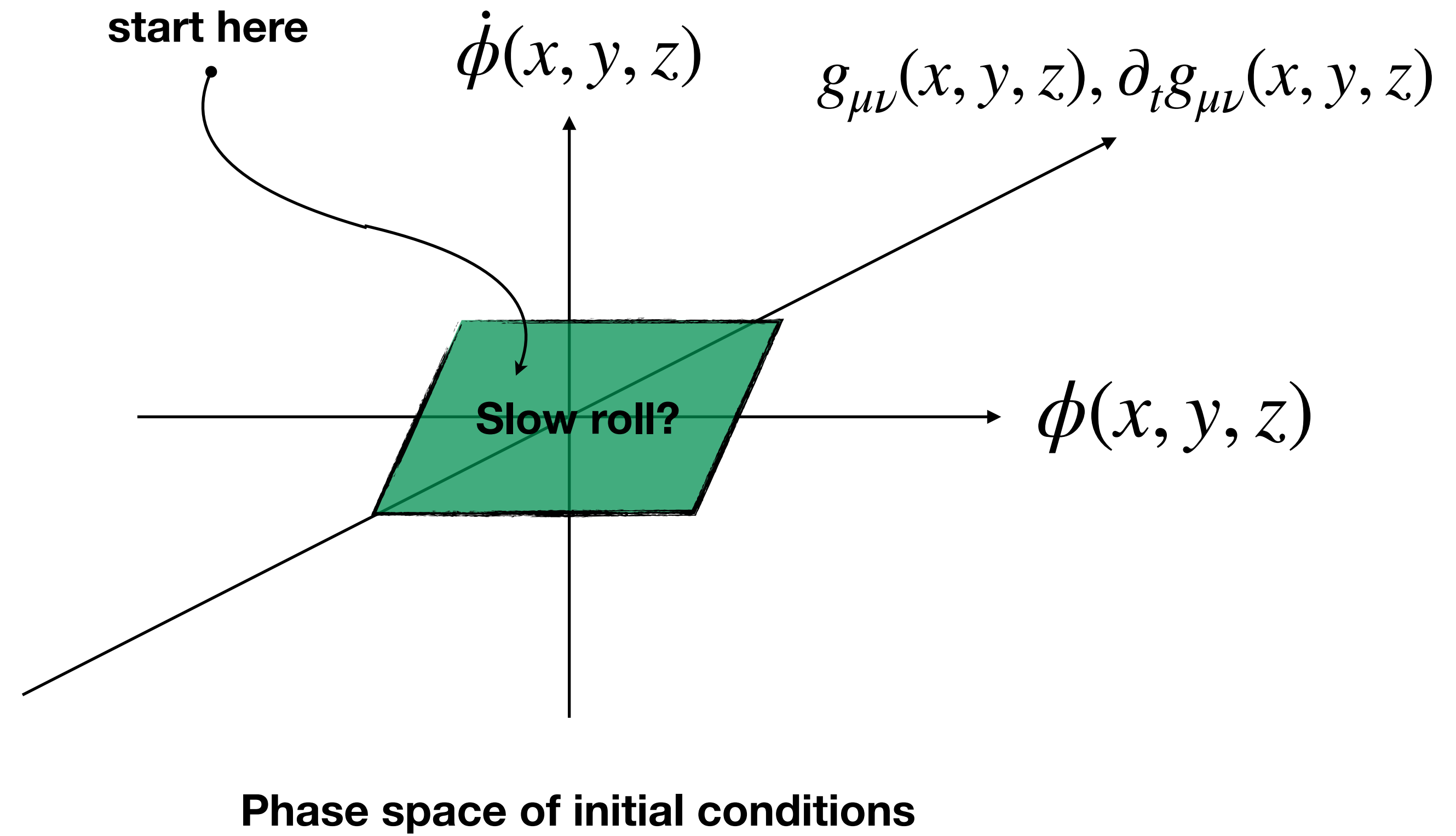


# Which models of inflation are “robust”?

- initial condition phase space infinite
- “model space” also infinite
- what is the measure on these spaces?



“Model space”

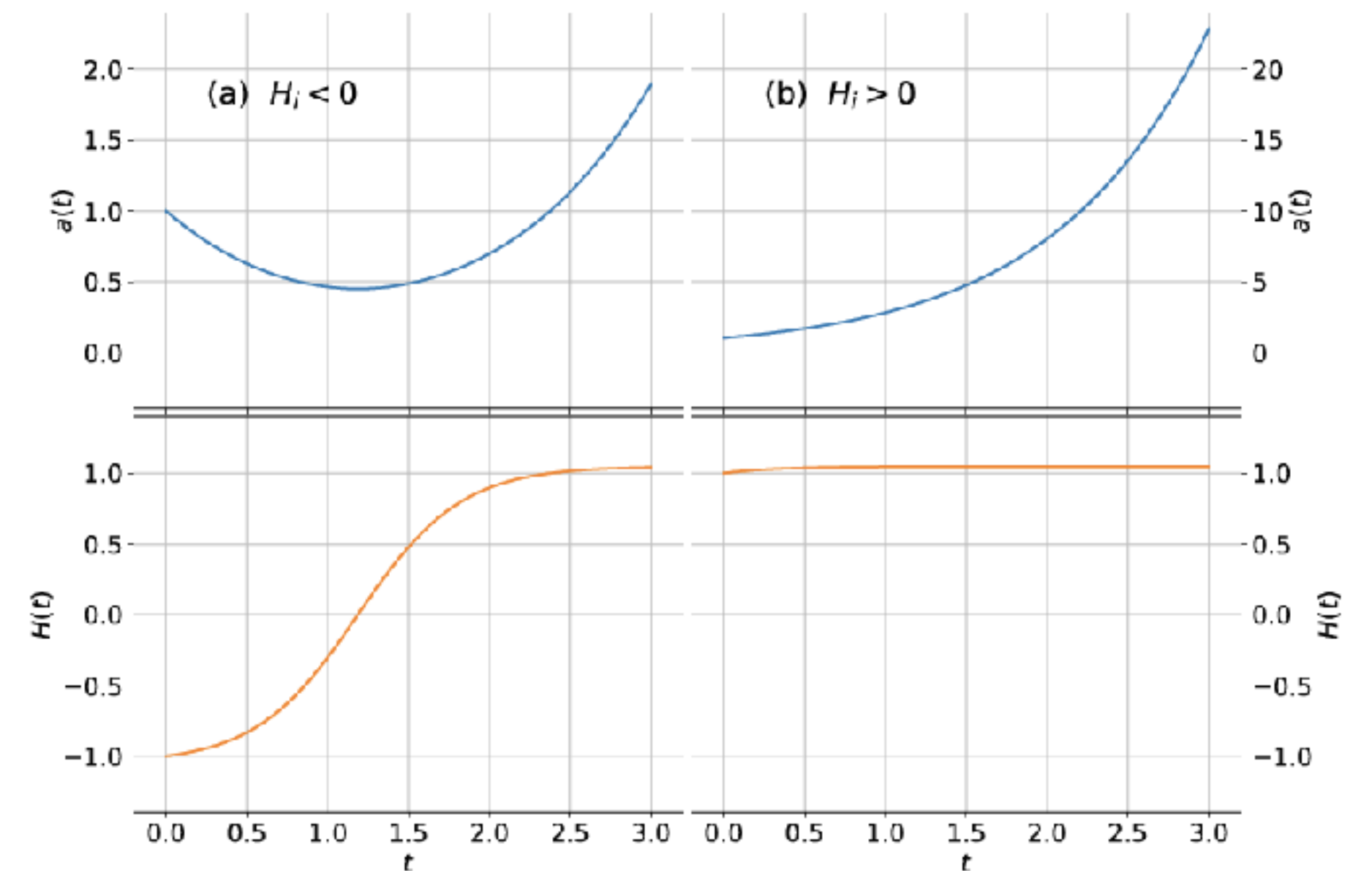


# A pointer to new physics?

- Consider a rather extreme example:

Start with a negative  $H$  - a collapsing universe - in the absence of matter that violates the Null Energy Condition, the collapse will end at a singularity in a finite time.

-> All simple single field models fail!



Saving the universe with finite volume effects

J Alexandre and K Clough  
Phys. Rev. D 100, 103522

see also :

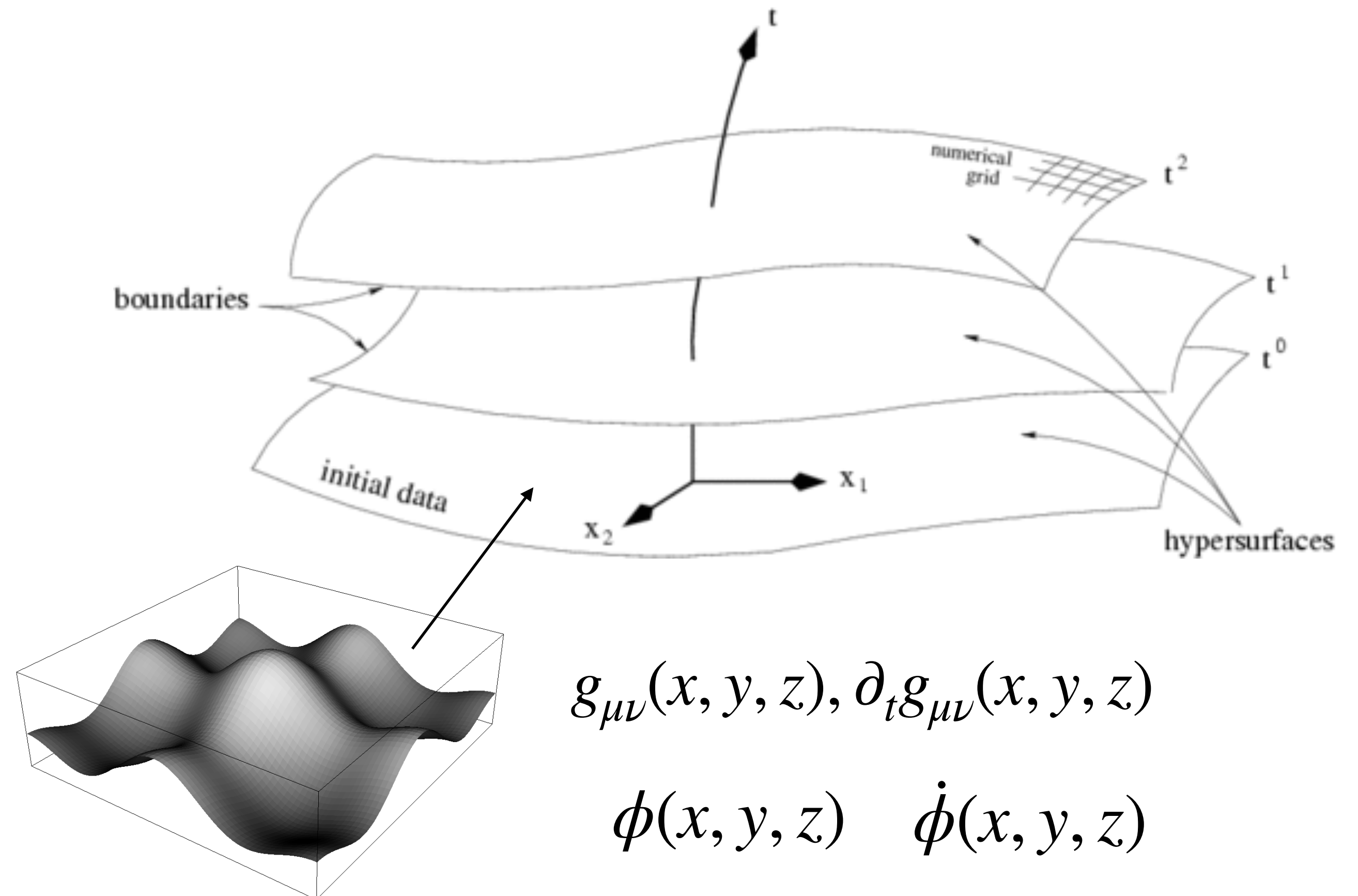
Quantum Incompleteness of Inflation  
A Di Tucci, J Feldbrugge, JL Lehnert, N Turok,  
Phys.Rev.D 100, 063517

# To recap...

- We would like inflationary models to be robust to general initial conditions - both in the metric and the slow-roll field
- That is, starting at a “random” point in this initial phase space, “good” models should proceed to inflate even with “large” deviations from FRW spacetimes
- We assume classical gravity and scalar field dynamics still apply at this point
- This may help us to cut down the space of possible models, or push us to look for new physical mechanisms to explain our homogeneous expanding universe

# Studying the initial condition problem with Numerical Relativity

- set up initial conditions with “general” matter and metric fluctuations
- evolve using Einstein Field Equations and see whether inflation proceeds



# Studying the initial condition problem with Numerical Relativity

Not independent!

- “Scalar” perturbations: ✓

$$\phi(x, y, z) = \phi_0 + \Delta\phi \left( \cos \frac{2\pi nx}{L} + \cos \frac{2\pi ny}{L} + \cos \frac{2\pi nz}{L} \right)$$

$$\dot{\phi}(x, y, z) = 0 \implies \rho \text{ energy density non trivial}$$

leads to “scalar type” metric perturbations

- “Vector” perturbations: ✗

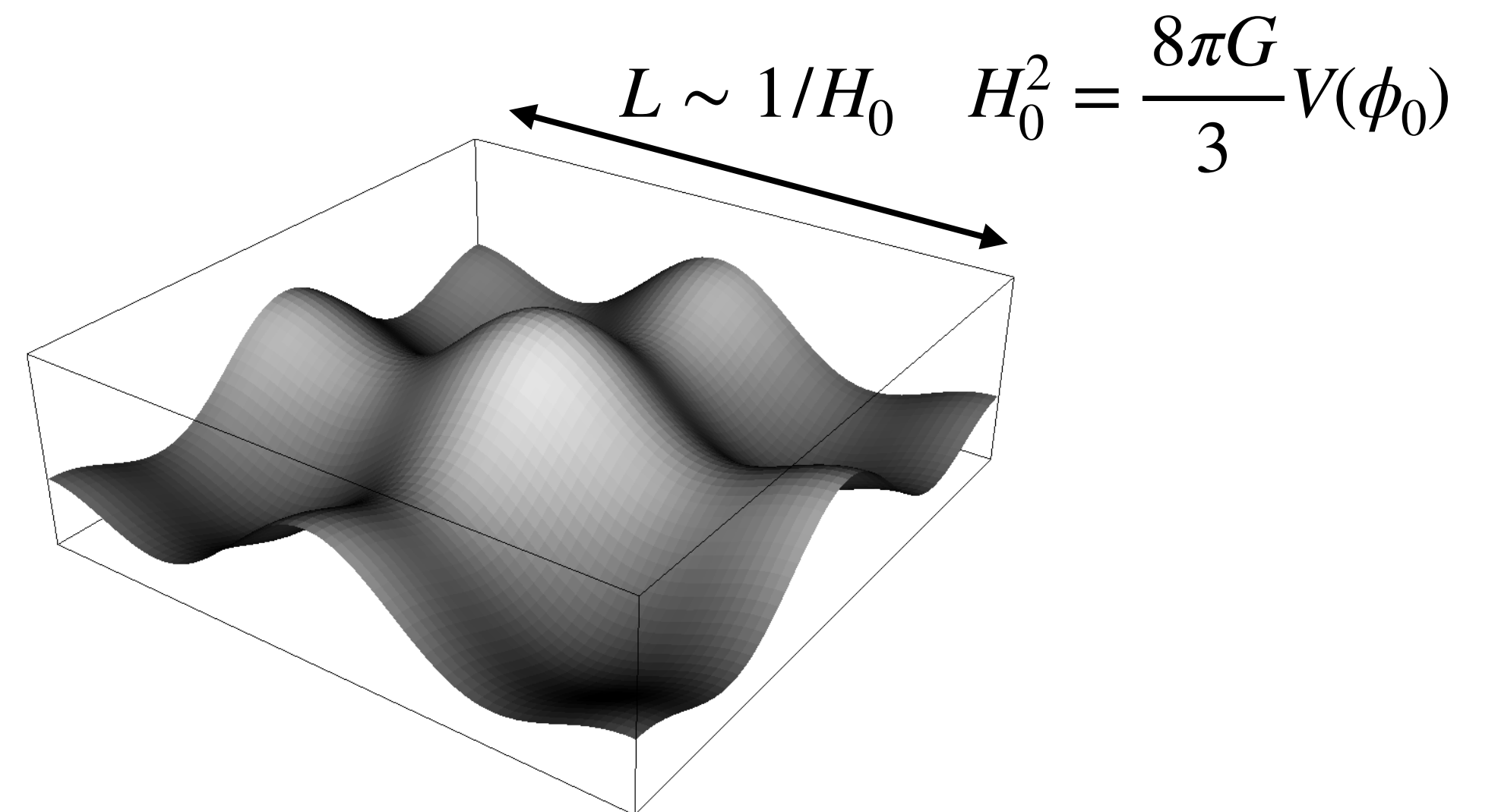
Soon!

$$\phi(x, y, z) \neq 0, \quad \dot{\phi}(x, y, z) \neq 0 \implies S_i \text{ momentum density non trivial}$$

- “Tensor” perturbations: ✓

$$\text{Vacuum “gravitational wave” perturbations } \tilde{A}_{ij}^{TT} \sim \partial_t g_{ij} \neq 0$$

Size = Hubble length in absence of perturbations



$$g_{\mu\nu}(x, y, z), \partial_t g_{\mu\nu}(x, y, z)$$

$$\phi(x, y, z) \quad \dot{\phi}(x, y, z)$$

# Studying the initial condition problem with Numerical Relativity

## Inflation

- Early works:

- D. S. Goldwirth and T. Piran  
Inhomogeneity and the onset of inflation  
Phys. Rev. Lett. 64, 2852 (1990)
- P. Laguna, H. Kurki-Suonio, and R. A. Matzner  
Inhomogeneous Inflation: Numerical Evolution  
Phys.Rev. D48 (1993) 3611-3624

- Recent works:

- W. E. East, M. Kleban, A. Linde and L. Senatore  
Beginning Inflation in an inhomogeneous universe  
JCAP 1609 (2016) no.09, 010

- K. Clough, E. A. Lim, B. S. DiNunno, W. Fischler, R. Flauger, S. Paban  
Robustness of Inflation to Inhomogeneous Initial Conditions  
JCAP 1709 (2017) no.09, 025

- K. Clough, E. A. Lim, R. Flauger  
Robustness of Inflation to Large Tensor Perturbations  
JCAP 05 (2018) 065

- J. C. Aurrekoetxea, K. Clough, E. A. Lim, R. Flauger  
The Effects of Potential Shape on Inhomogeneous Inflation  
JCAP 05 (2020) 030

## Ekpyrotic Scenarios

- Early works:

- Evolution to a smooth universe in an ekpyrotic contracting phase with  $w > 1$   
D. Garfinkle, W. C. Lim, F. Pretorius, P. J. Steinhardt  
Phys.Rev.D 78 (2008) 083537
- Nonperturbative analysis of the evolution of cosmological perturbations through a nonsingular bounce  
B. K. Xue, D. Garfinkle, F. Pretorius, P. J. Steinhardt  
Phys.Rev.D 88 (2013) 083509

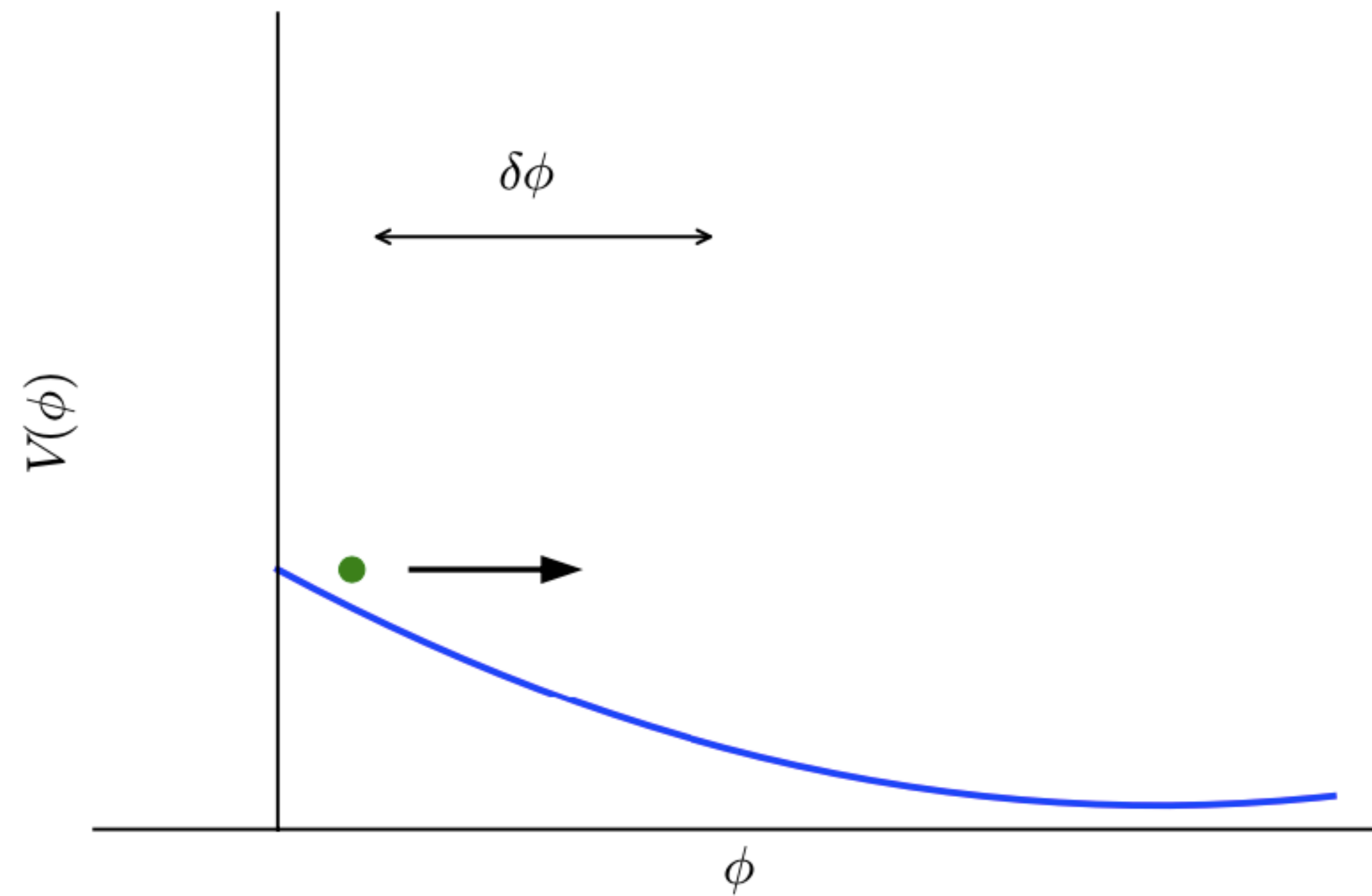
- Recent works:

- W. G. Cook, I. A. Glushchenko, A. Ijjas, F. Pretorius, P. J. Steinhardt  
Supersmoothing through Slow Contraction  
Phys.Lett.B 808 (2020) 135690

- A. Ijjas, W. G. Cook, F. Pretorius, P. J. Steinhardt, E. Y. Davies  
Robustness of slow contraction to cosmic initial conditions  
JCAP 08 (2020) 030



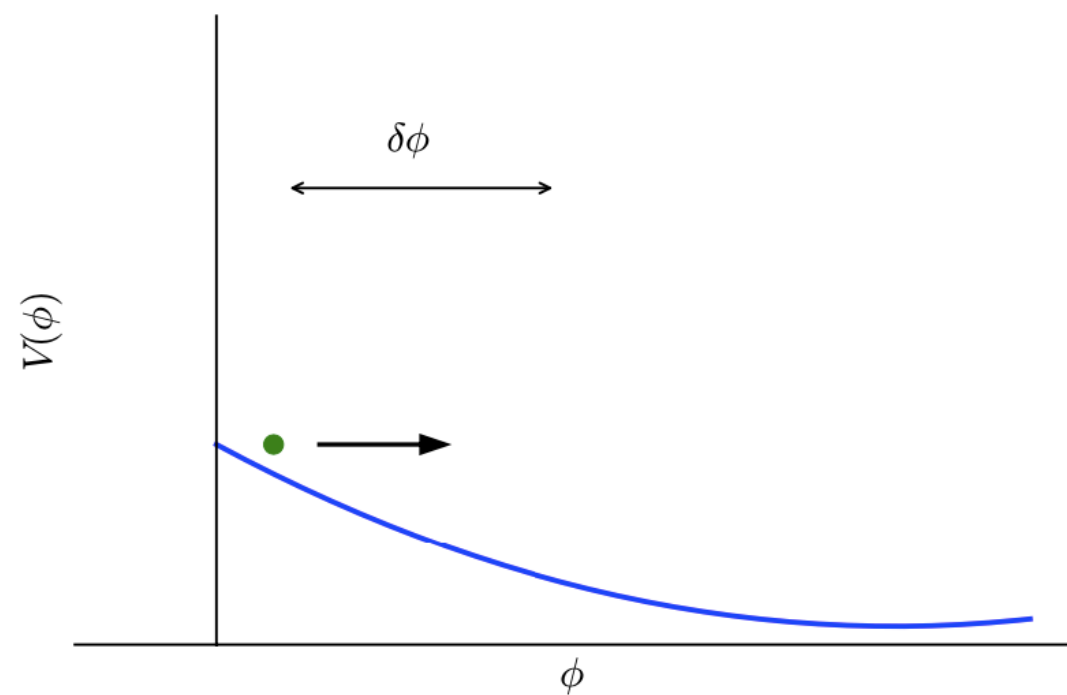
# Studying the initial condition problem with Numerical Relativity



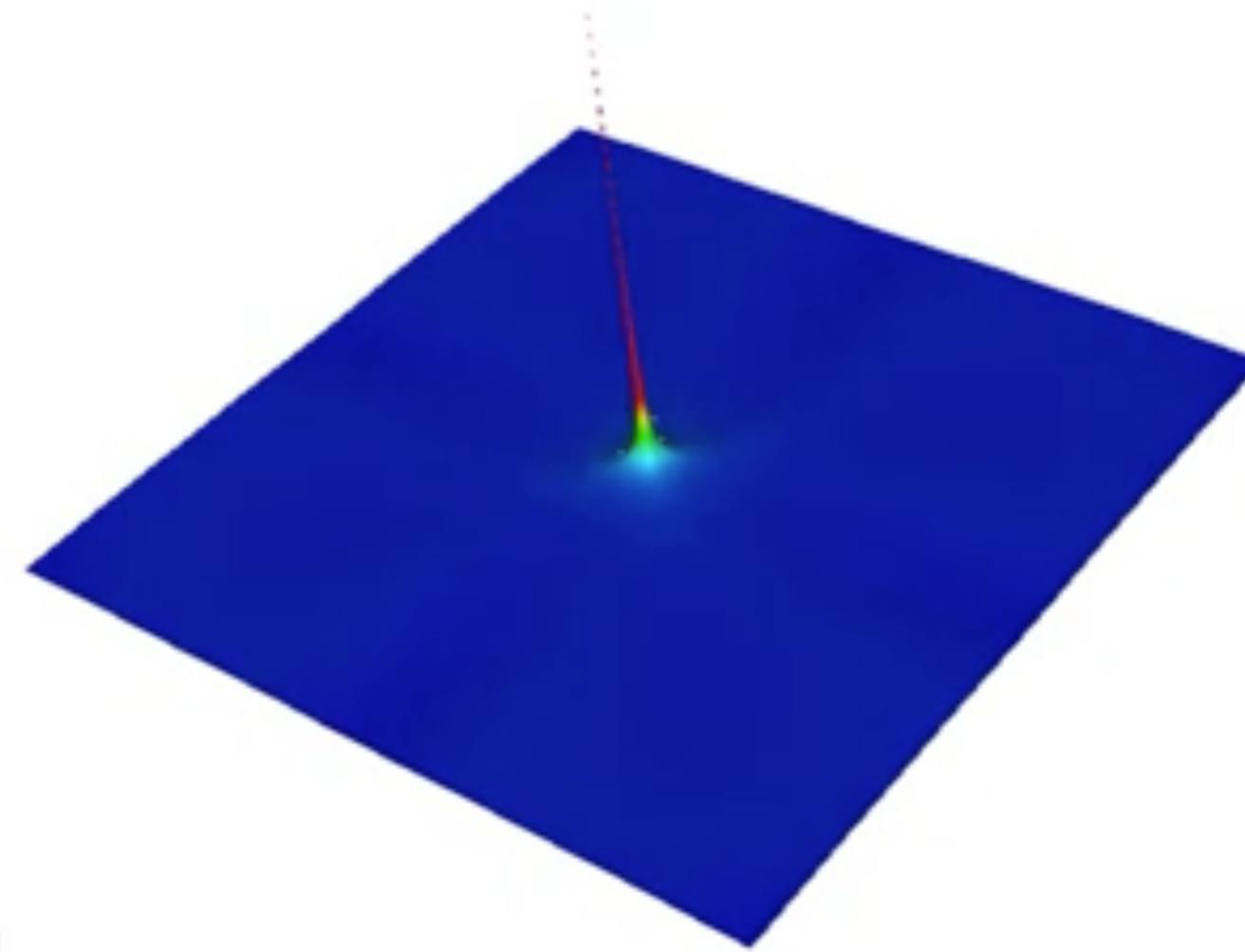
**Large field inflation**

# Studying the initial condition problem with Numerical Relativity

(minus)  $H \rightarrow$  blue is expanding, red is collapsing

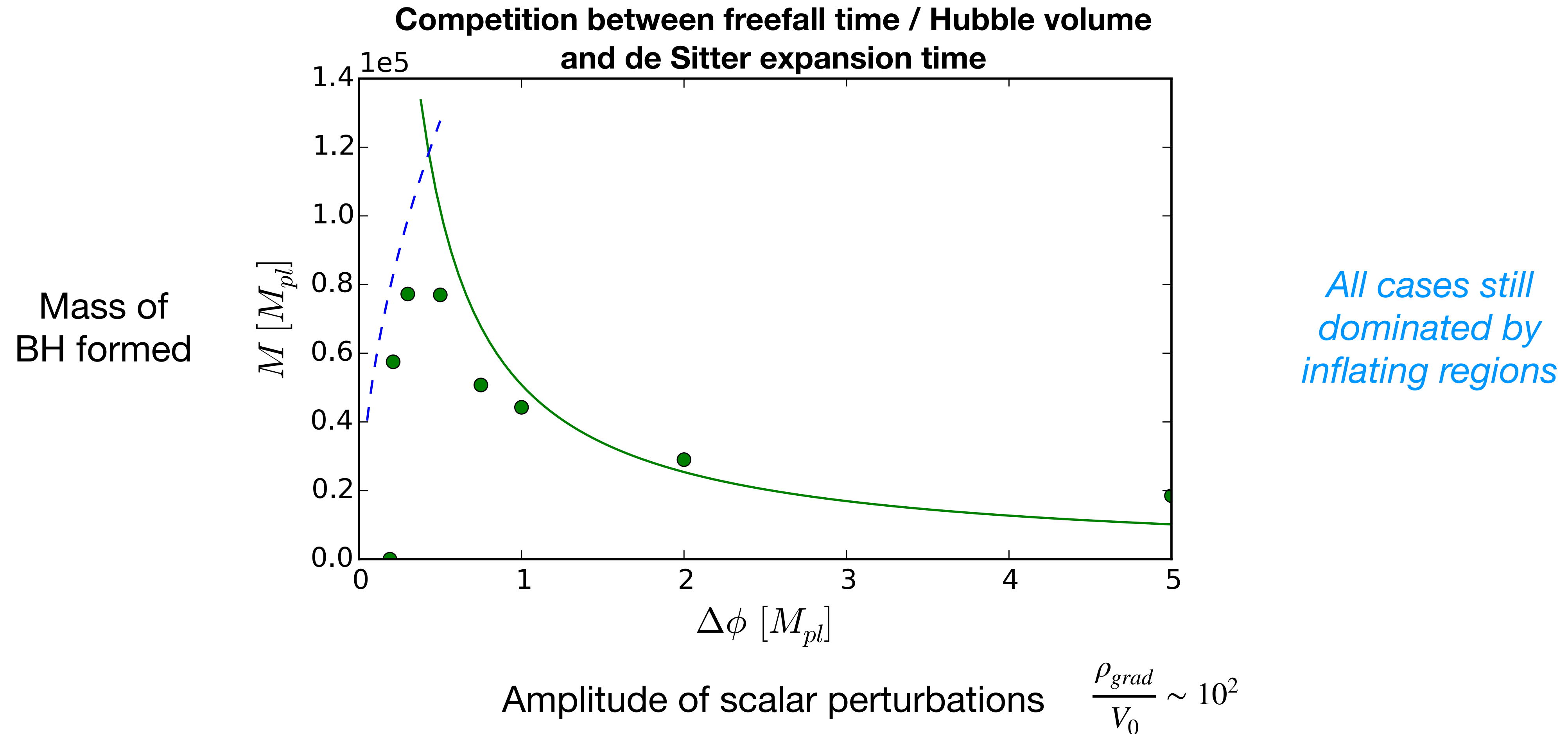


Time=120

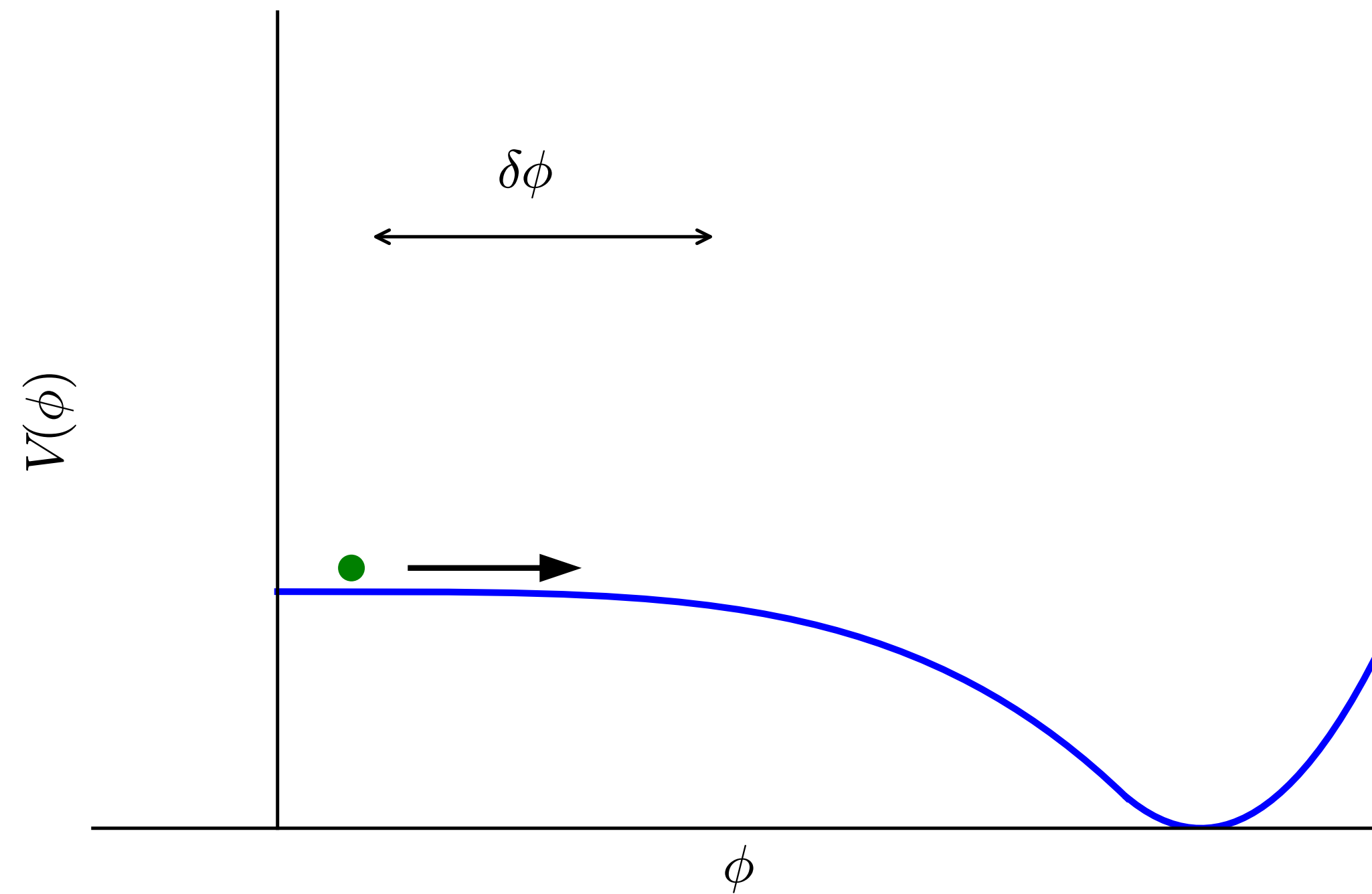


**Large field inflation**

# Studying the initial condition problem with Numerical Relativity



# Studying the initial condition problem with Numerical Relativity



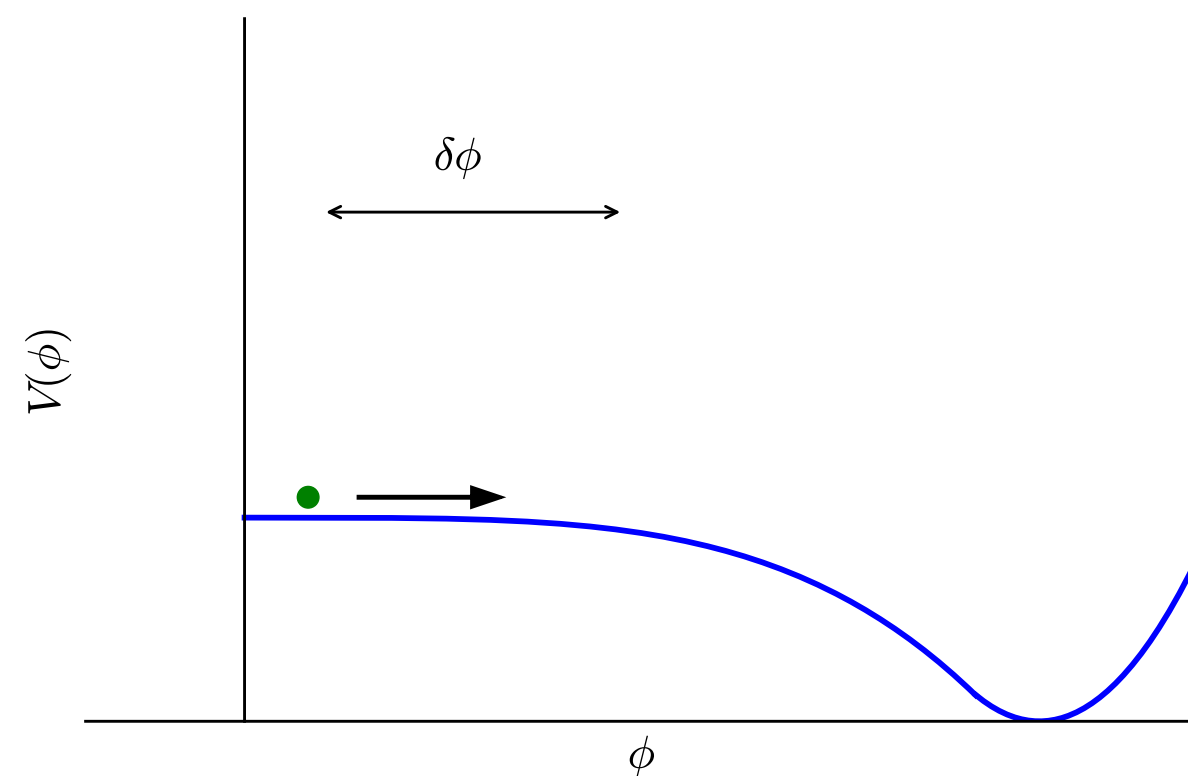
**Small field inflation**

# Studying the initial condition problem with Numerical Relativity

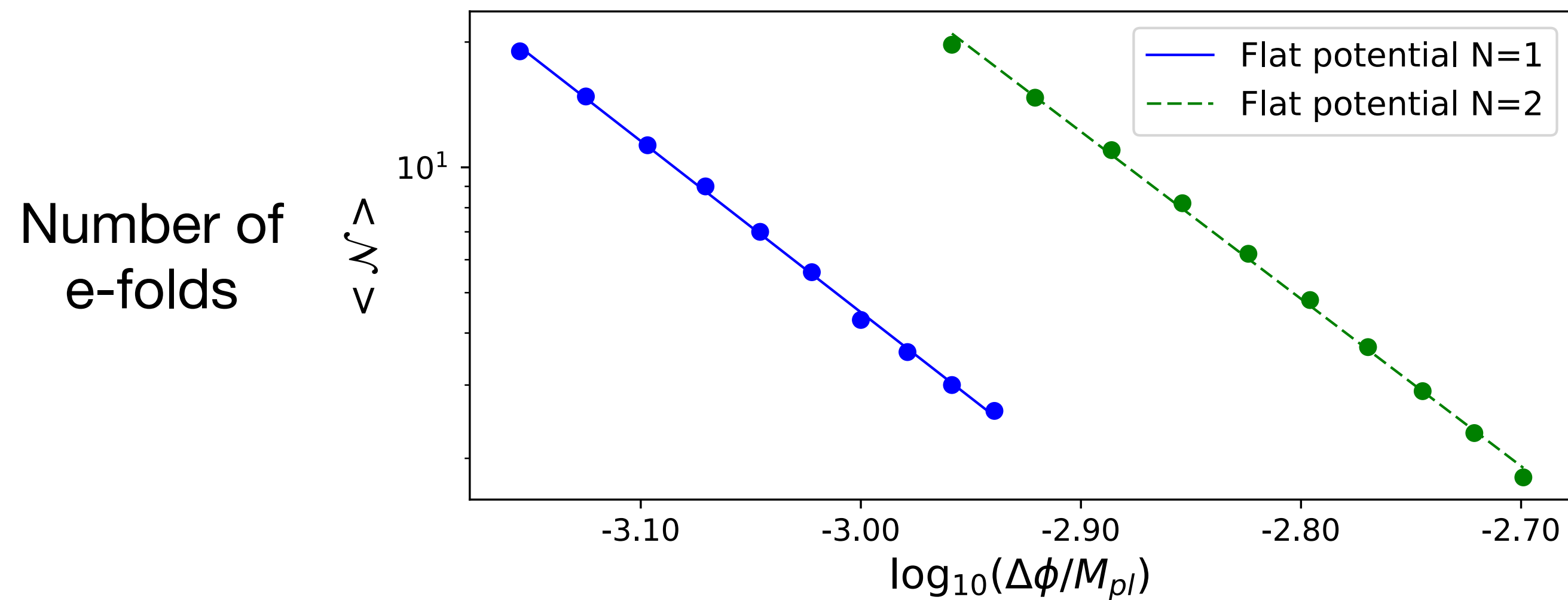
Spatial profile of the field



**Small field inflation**

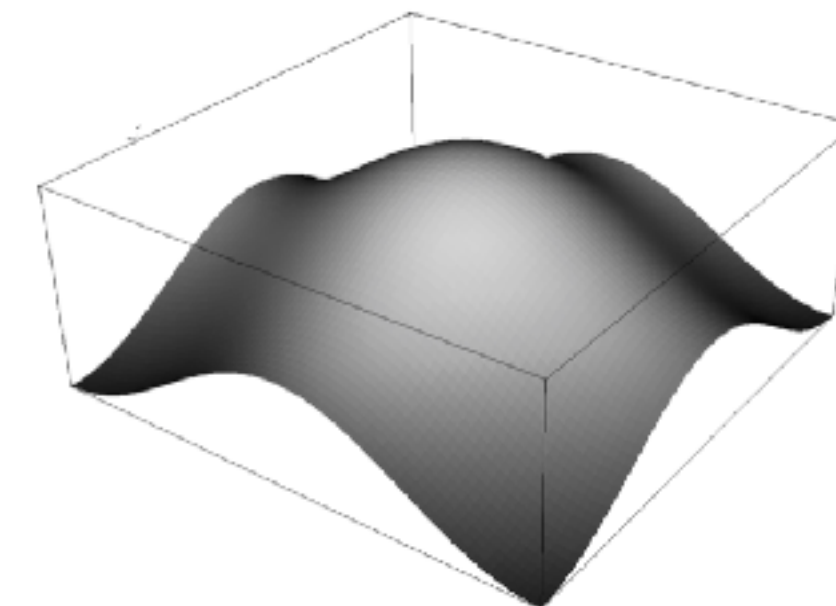


# Studying the initial condition problem with Numerical Relativity

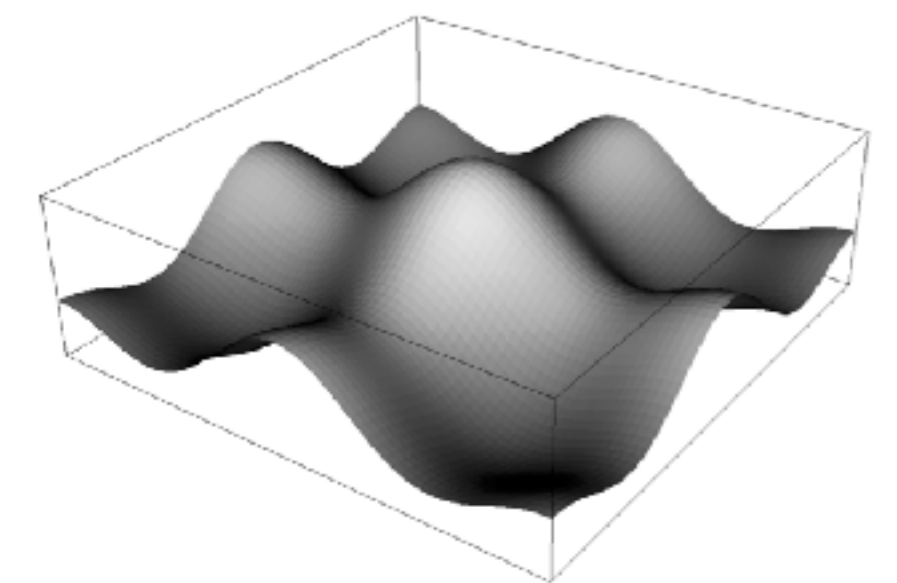


Amplitude of scalar perturbations

$$\frac{\rho_{grad}}{\rho_{V_0}} \sim 10^{-4}$$

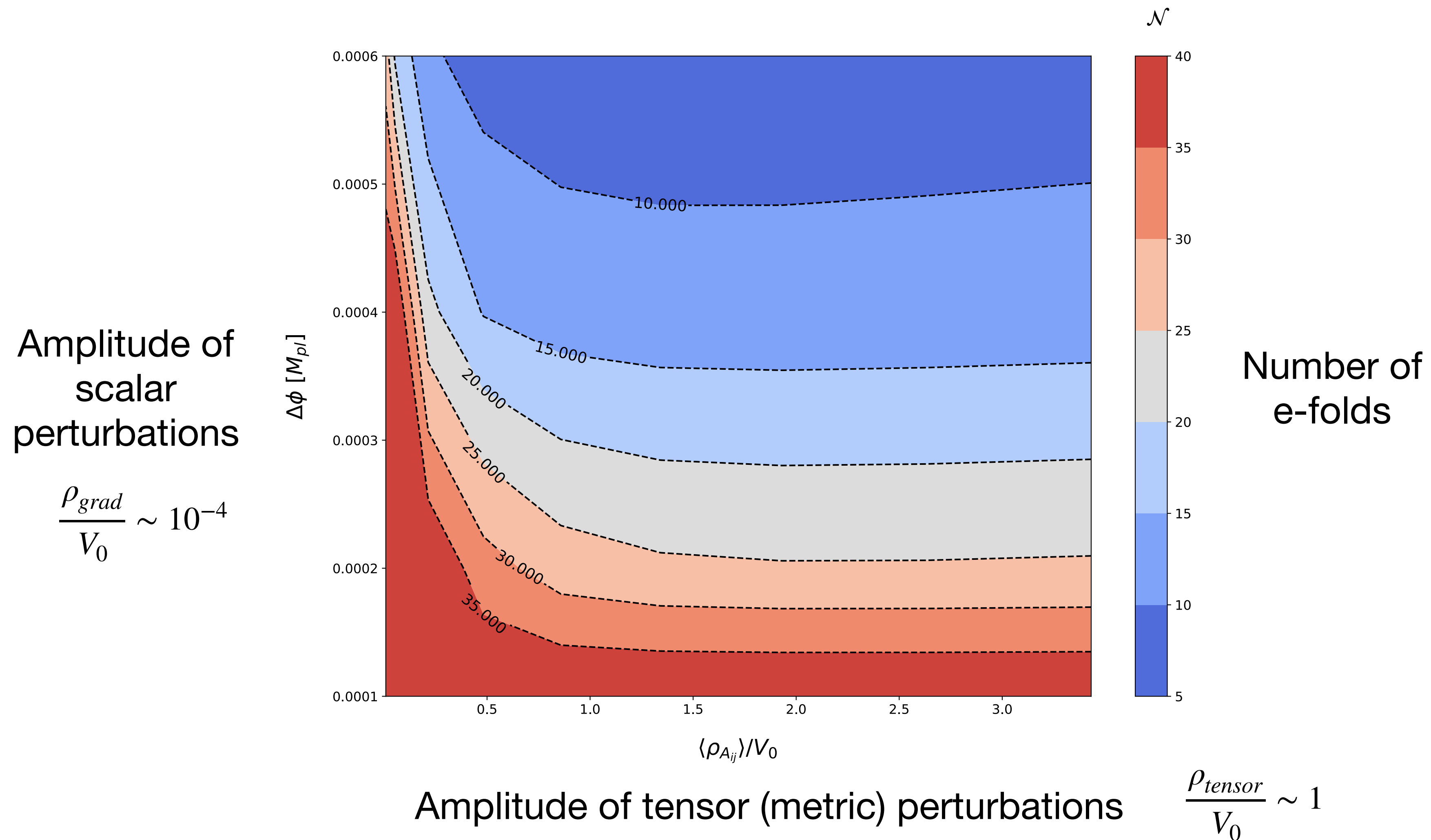


**N = 1**  
**(n = 1)**

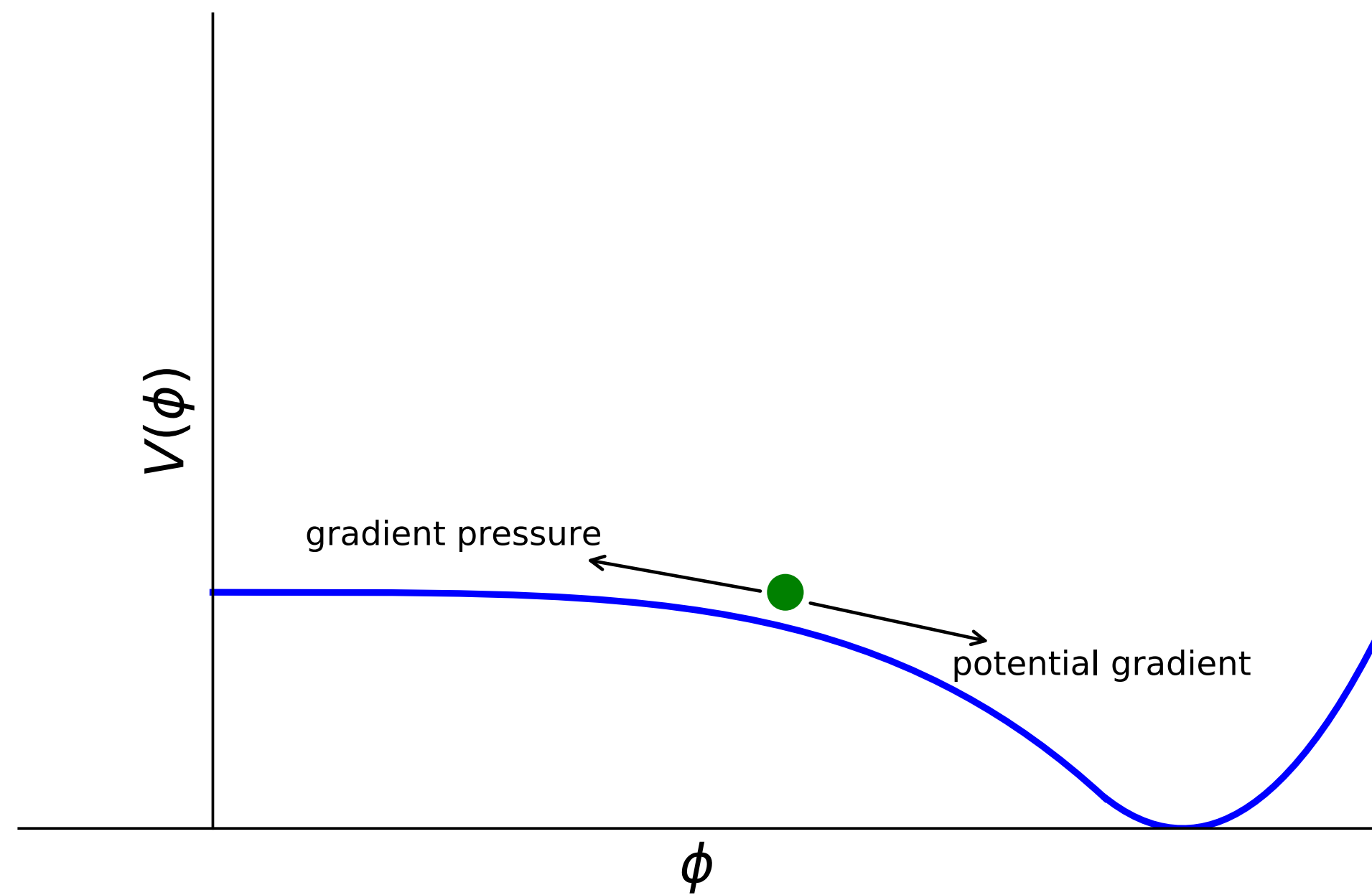


**N = 2**  
**1/2 (n = 1 + n = 2)**

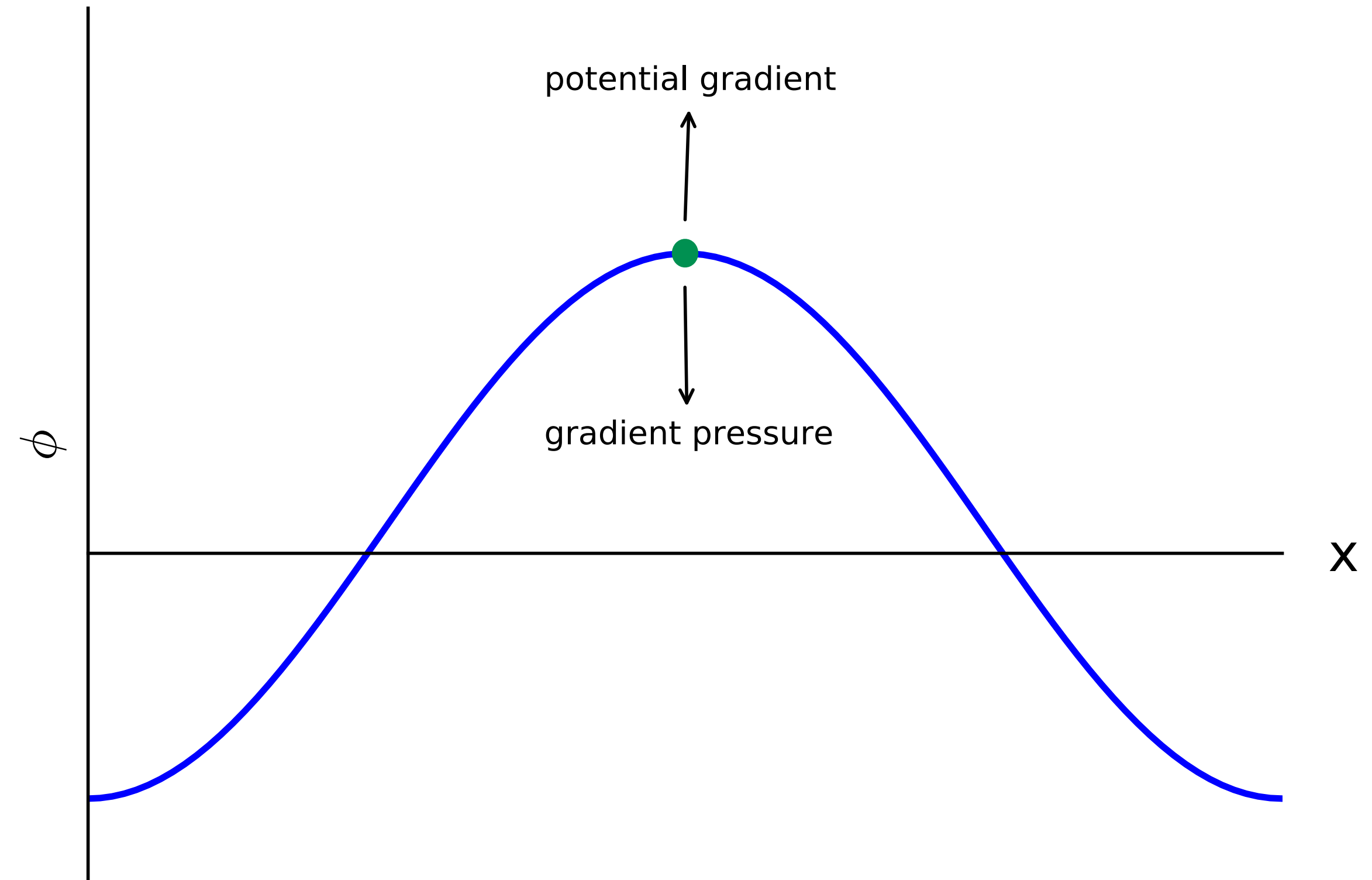
# Studying the initial condition problem with Numerical Relativity



# Easy to predict when failure occurs



Field space



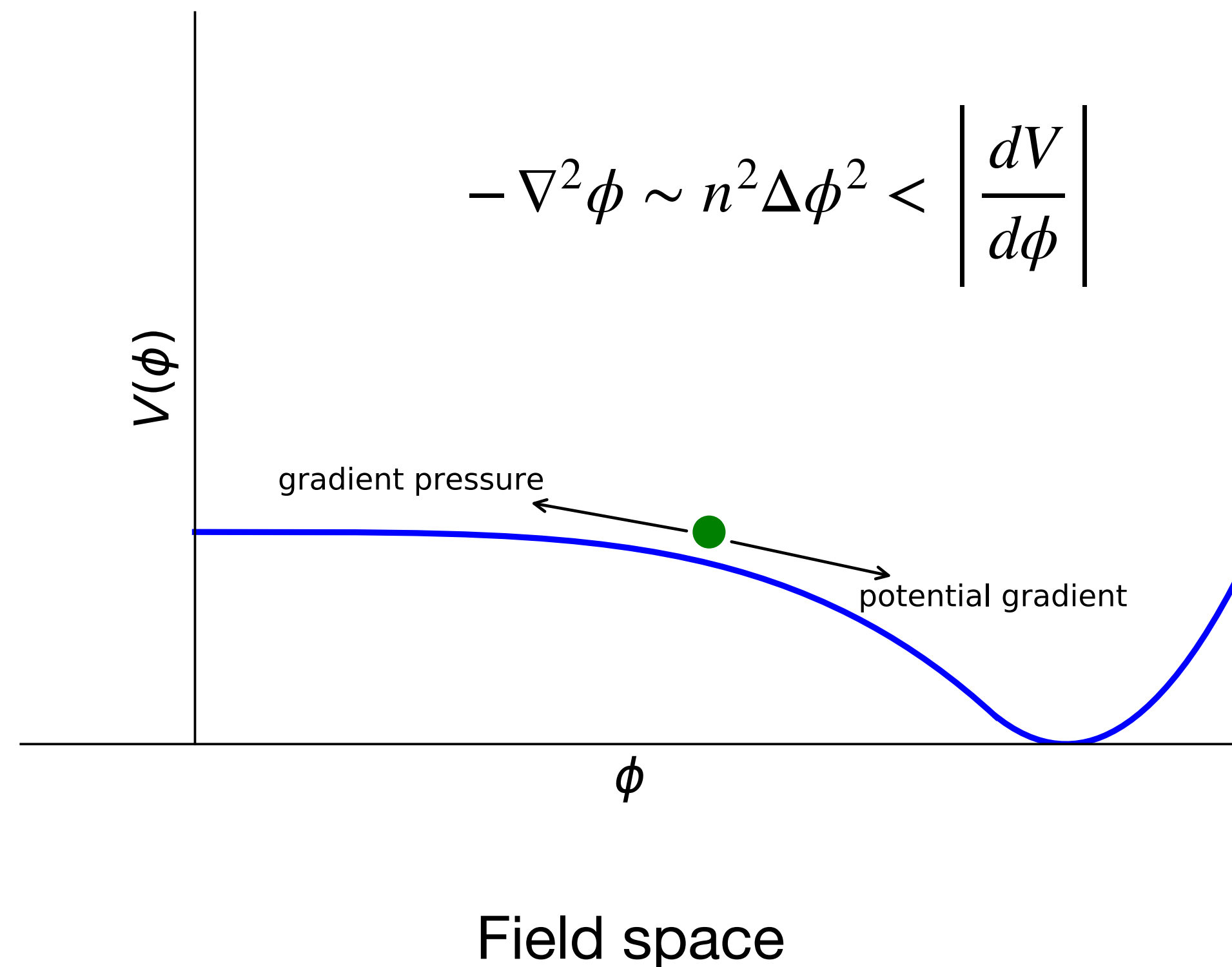
Physical space



# Easy to predict when failure occurs

## Key conclusions

- Small field inflation fails even for very subdominant gradient energies
- Adding additional shorter wavelength modes makes inflation more robust for a given maximum initial value of  $\phi$  (even when increasing energy density in gradients)
- Convexity of the potential is the key driver of recovery to inflation



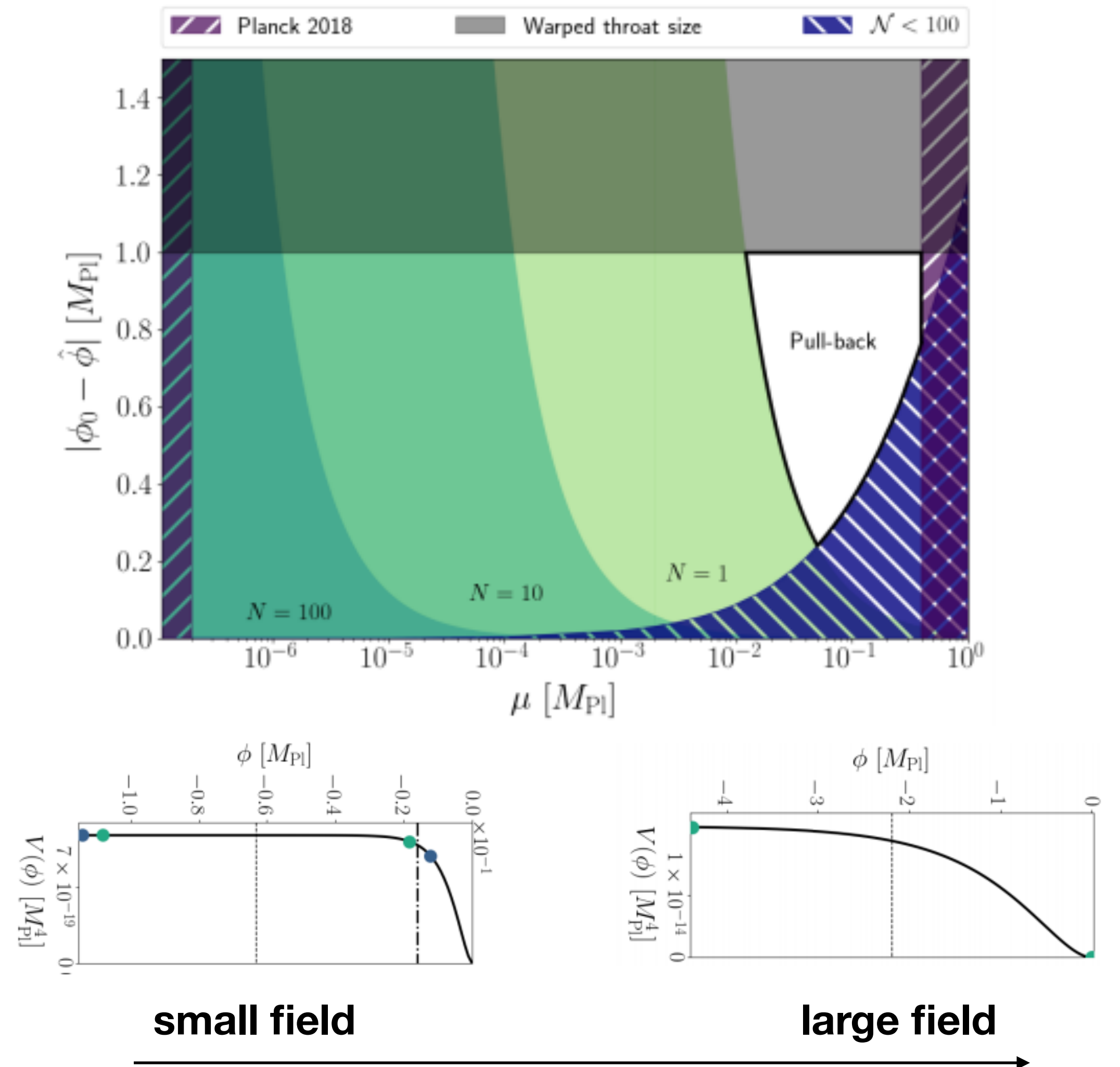
# Model dependence

- Illustrative example: consider D-brane model (concave, but can be large field or small field)

$$V(\phi) = \Lambda^4 \left( 1 - \frac{\phi^4}{\mu} \right)$$

- For  $\mu \ll M_{pl}$  need to put the average value of the field  $\phi_0$  “further up the hill” to ensure inflation robust to all amplitudes of perturbation

Distance of average field value from minimum



# Summary

- Inflation solves some problems but introduces new ones regarding how it got started in the first place
- **The solution of these new problems may tell us more about how the Universe began**
- No (minimally coupled, single field) inflationary model is successful for the entire possible phase space of initial conditions, but some are “better” than others.
- Numerical relativity is a powerful tool with which to study the robustness of different models to inhomogeneous initial conditions