Fake Projective Planes

JongHae Keum (Korea Institute for Advanced Study)

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Outline

- Algebraic curves and surfaces
- Q-homology Projective Planes
- Montgomery-Yang Problem
- 4 Algebraic Montgomery-Yang Problem
- Fake Projective Planes
- Fake Projective Spaces

Classify algebraic varieties up to connected moduli

Nonsingular projective algebraic curves $/\mathbb{C}$ (compact Riemann surfaces) are classified by the " mighty" genus

$$g(C):=$$
 (the number of "holes" of $C)=\dim_{\mathbb{C}}H^0(C,\Omega^1_C)=\frac{1}{2}\dim_{\mathbb{Q}}H_1(C,\mathbb{Q}).$

$$g(C) = 0 \iff C \cong \mathbf{P}^1 \cong (Riemann \ sphere) = \mathbb{C} \cup \{\infty\}.$$

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In dimension > 1, many invariants: Hodge numbers, Betti numbers

$$h^{i,j}(X) = \dim H^j(X, \Omega_X^i), \ b_i(X) := \dim H^i(X, \mathbb{Q}).$$

Given Hodge numbers (and even fixing fundamental group), hard to describe the moduli, in general.

Smooth Algebraic Surfaces with $p_q = q = 0$

Long history: Castelnuovo's rationality criterion, Severi conjecture, ...

Here, the geometric genus and the irregularity

$$p_g(X) := \dim H^n(X, \mathcal{O}_X) = \dim H^0(X, \Omega_X^n) = h^{0,n}(X) = h^{n,0}(X),$$

$$q(X) := \dim H^1(X, \mathcal{O}_X) = \dim H^0(X, \Omega_X^1) = h^{0,1}(X) = h^{1,0}(X).$$

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Max Nöther(1844-1921) said [in the book of Federigo Enriques(1871-1946)]:

"Algebraic curves are created by god,

algebraic surfaces are created by devil."

Smooth Algebraic Surfaces with $p_g = q = 0$

Enriques-Kodaira classification of algebraic surfaces (1940's):

- P², rational ruled surfaces;
- Enriques surfaces;
- properly elliptic surfaces with $p_g = q = 0$;
- surfaces of general type with $p_g = 0$ (these have $K^2 = 1, 2, ..., 9$);
- blow-ups of the above surfaces.

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Smooth algebraic surfaces with minimal invariants, that is, with

$$b_1 = b_3 = 0, \ b_0 = b_2 = b_4 = 1 \ (\Rightarrow p_q = q = 0)$$

are

- P²;
- fake projective planes (= surfaces of general type with $p_g = 0$, $K^2 = 9$).

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Remark. FPPs are ball quotients, so not simply connected. Exotic **P**² does NOT exist in complex geometry.

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Eake Projective Planes

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Definition

A normal projective surface S is called a \mathbb{Q} -homology \mathbf{P}^2 if $b_i(S) = b_i(\mathbf{P}^2)$ for all i, i.e. $b_1 = b_3 = 0$, $b_0 = b_2 = b_4 = 1$.

- If S is smooth, then $S = \mathbf{P}^2$ or a fake projective plane.
- If S has A_1 -singularities only, then $S \cong (w^2 = xy) \subset \mathbf{P}^3$.
- If S has A_2 -singularities only, then S has $3A_2$ or $4A_2$ and $S \cong \mathbf{P}^2/G$ or FPP/G, where $G \cong \mathbb{Z}/3$ or $(\mathbb{Z}/3)^2$.

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In this talk, we assume S has at worst quotient singularities. Then S is a \mathbb{Q} -homology \mathbf{P}^2 if $b_2(S) = 1$.

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For a minimal resolution $S' \to S$,

$$p_g(S')=q(S')=0.$$



Trichotomy: $K_S = \text{ample}$, -ample, num. trivial

Let S be a \mathbb{Q} -hom \mathbf{P}^2 with quotient singularities.

- \bullet $-K_S$ is ample
 - log del Pezzo surfaces of Picard number 1,
 e.g. P²/G, P²(a, b, c), ...
 - $\kappa(S') = -\infty$.
- K_S is numerically trivial.
 - log Enriques surfaces of Picard number 1.
 - $\kappa(S') = -\infty, 0.$
- K_S is ample.
 - e.g. all quotients of fake projective planes,
 suitable contraction of a suitable blowup of P², some Enriques surface, . . .
 - $\kappa(S') = -\infty, 0, 1, 2.$

Problem

Classify all Q-homology P2's with quotient singularities.

The Maximum Number of Quotient Singularities

Question

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• $|Sing(S)| \le 5$ by the orbifold Bogomolov-Miyaoka-Yau inequality (Sakai, Miyaoka, Megyesi for K nef)

$$\frac{1}{3}\mathcal{K}_S^2 \leq e_{\textit{orb}}(S) := e(S) - \sum_{\rho \in \textit{Sing}(S)} \left(1 - \frac{1}{|\pi_1(L_\rho)|}\right).$$

(Keel-McKernan for -K nef)

$$0 \leq e_{orb}(S)$$
.

- Many examples with $|Sing(S)| \le 4$ (cf. Brenton, 1977)
- If $-K_S$ is ample, $|Sing(S)| \le 4$ (Belousov, 2008).

The Maximum Number of Quotient Singularities

Question

How many singular points on S, a \mathbb{Q} -homology \mathbf{P}^2 with quotient singularities?

• |Sing(S)| < 5 by the orbifold Bogomolov-Miyaoka-Yau inequality (Sakai, Miyaoka, Megyesi for K nef)

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- If $-K_S$ is ample, $|Sing(S)| \le 4$ (Belousov, 2008).

The case with |Sing(S)| = 5 were classified by Hwang-Keum.

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Theorem (D.Hwang-Keum, JAG 2011)

Let S be a \mathbb{Q} -homology \mathbf{P}^2 with quotient singularities. Then $|Sing(S)| \le 4$ except the following case:

S has 5 singular points of type $3A_1 + 2A_3$, and its minimal resolution S' is an Enriques surface.

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Corollary

Every \mathbb{Z} -homology \mathbf{P}^2 with quotient singularities has at most 4 singular points.

Remark

(1) Every \mathbb{Z} -cohomology \mathbf{P}^2 with quotient singularities has at most 1 singular point. If it has, then the singularity is of type E_8 [Bindschadler-Brenton, 1984]. (2) \mathbb{Q} -homology \mathbf{P}^2 with rational singularities may have arbitrarily many singularities, no bound.

\mathcal{C}^{∞} -action of \mathbb{S}^1 on \mathbb{S}^m

$$\mathbf{S}^1 \subset Diff(\mathbf{S}^m).$$

The identity element $1 \in S^1$ acts identically on S^m .

Each diffeomorphism $g \in \mathbf{S}^1$ is homotopic to the identity map $\mathbf{1}_{\mathbf{S}^m}$. By Lefschetz Fixed Point Formula,

$$e(Fix(g)) = e(Fix(1)) = e(S^m).$$

If m is even, then $e(\mathbf{S}^m) = 2$ and such an action has a fixed point, so the foliation by circles degenerates.

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If m is even, then $e(\mathbf{S}^m)=2$ and such an action has a fixed point, so the foliation by circles degenerates. **Assume** m=2n-1 **odd.**

Definition

A C^{∞} -action of S^1 on S^{2n-1}

$$\mathbf{S}^1 \times \mathbf{S}^{2n-1} \rightarrow \mathbf{S}^{2n-1}$$

is called a pseudofree S^1 -action on S^{2n-1} if it is free except for finitely many orbits (whose isotropy groups $\mathbb{Z}/a_1, \ldots, \mathbb{Z}/a_k$ have pairwise prime orders).

Pseudofree S¹-action on S²ⁿ⁻¹

Example (Linear actions)

$$\mathbf{S}^{2n-1} = \{ (z_1, z_2, ..., z_n) \in \mathbb{C}^n : |z_1|^2 + |z_2|^2 + ... + |z_n|^2 = 1 \} \subset \mathbb{C}^n$$
$$\mathbf{S}^1 = \{ \lambda \in \mathbb{C} : |\lambda| = 1 \} \subset \mathbb{C}.$$

Positive integers $a_1, ..., a_n$ pairwise prime.

$$\mathbf{S}^1 imes \mathbf{S}^{2n-1} o \mathbf{S}^{2n-1} \ (\lambda, (z_1, z_2, ..., z_n)) o (\lambda^{a_1} z_1, \lambda^{a_2} z_2, ..., \lambda^{a_n} z_n).$$

In this linear action

$$S^{2n-1}/S^1 \cong \mathbb{CP}^{n-1}(a_1, a_2, ..., a_n).$$

- The orbit of the *i*-th coordinate point $e_i \in \mathbf{S}^{2n-1}$ is exceptional iff $a_i \ge 2$.
- The orbit of a non-coordinate point of S^{2n-1} is NOT exceptional.
- This action has at most n exceptional orbits.
- The quotient map $\mathbf{S}^{2n-1} \to \mathbb{CP}^{n-1}(a_1, a_2, ..., a_n)$ is a Seifert fibration.

Pseudofree S¹-action on S²ⁿ⁻¹

- For n = 2 Seifert (1932) showed that each pseudo-free S^1 -action on S^3 is linear and hence has at most 2 exceptional orbits.
- For n=4 Montgomery-Yang (1971) showed that given arbitrary collection of pairwise prime positive integers a_1, \ldots, a_k , there is a pseudofree \mathbf{S}^1 -action on a homotopy \mathbf{S}^7 whose exceptional orbits have exactly those orders.
- Petrie (1974) generalised the above M-Y for all $n \ge 5$.

Conjecture (Montgomery-Yang problem, Fintushel-Stern 1987)

A pseudo-free S¹-action on S⁵ has at most 3 exceptional orbits.

• This problem is wide open. F-S withdrew their paper [O(2)-actions on the 5-sphere, Invent. Math. 1987].

- Pseudo-free S¹-actions on a manifold Σ have been studied in terms of the orbit space Σ/S¹.
- The orbit space $X = \mathbf{S}^5/\mathbf{S}^1$ of such an action is a 4-manifold with isolated singularities whose neighborhoods are cones over lens spaces S^3/\mathbb{Z}_{a_i} corresponding to the exceptional orbits of the \mathbf{S}^1 -action.

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- Easy to check that X is simply connected and $H_2(X,\mathbb{Z})$ has rank 1 and intersection matrix $(1/a_1a_2\cdots a_k)$.
- An exceptional orbit with isotropy type Z/a has an equivariant tubular neighborhood which may be identified with C × C × S¹ with a S¹-action

$$\lambda \cdot (z, w, u) = (\lambda^r z, \lambda^s w, \lambda^a u)$$

where r and s are relatively prime to a.

The following 1-1 correspondence was known to Montgomery-Yang, Fintushel-Stern, and revisited by Kollár(2005).

Theorem

There is a one-to-one correspondence between:

- **①** Pseudo-free S^1 -actions on \mathbb{Q} -homology 5-spheres Σ with $H_1(\Sigma, \mathbb{Z}) = 0$.
- Compact differentiable 4-manifolds M with boundary such that

 - 2 the ai's are pairwise prime,

Furthermore, Σ is diffeomorphic to S^5 iff $\pi_1(M) = 1$.

Algebraic Montgomery-Yang Problem

This is the M-Y Problem when $\mathbf{S}^5/\mathbf{S}^1$ attains a structure of a normal projective surface.

Conjecture (J. Kollár)

Let S be a \mathbb{Q} -homology \mathbf{P}^2 with at worst quotient singularities. If $\pi_1(S^0) = \{1\}$, then S has at most 3 singular points.

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There are infinitely many examples S with $H_1(S^0, \mathbb{Z}) = 0$, $\pi_1(S^0) \neq \{1\}$, |Sing(S)| = 4.

These examples obtained from the classification of surface quotient singularities [E. Brieskorn, Invent. Math. 1968].

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Example (coming from Brieskorn's classification of surface singularities)

 $I_m \subset GL(2,\mathbb{C})$ the 2*m*-ary icosahedral group $I_m = \mathbb{Z}_{2m}.\mathcal{A}_5$.

$$1 \to \mathbb{Z}_{2m} \to \textit{I}_m \to \mathcal{A}_5 \subset \textit{PSL}(2,\mathbb{C})$$

 I_m acts on \mathbb{C}^2 . This action extends naturally to \mathbf{P}^2 . Then

$$S:=\mathbf{P}^2/I_m$$

is a \mathbb{Z} -homology \mathbf{P}^2 with $-K_S$ ample,

- S has 4 quotient singularities:
 one non-cyclic singularity of type I_m (the image of O ∈ C²), and
 3 cyclic singularities of order 2, 3, 5 (on the image of the line at infinity),
- $\pi_1(S^0) = A_5$, hence $H_1(S^0, \mathbb{Z}) = 0$.

Call these surfaces Brieskorn quotients.



Progress on Algebraic Montgomery-Yang Problem

Theorem (D.Hwang-Keum, MathAnn 2011)

Let S be a \mathbb{Q} -homology \mathbf{P}^2 with quotient singularities, not all cyclic, such that $\pi_1(S^0) = \{1\}$. Then $|Sing(S)| \leq 3$.

More precisely

Theorem (D.Hwang-Keum, MathAnn 2011)

Let S be a \mathbb{Q} -homology \mathbf{P}^2 with 4 or more quotient singularities, not all cyclic, such that $H_1(S^0, \mathbb{Z}) = 0$. Then S is isomorphic to a Brieskorn quotient.

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More Progress on Algebraic Montgomery-Yang Problem:

Theorem (D.Hwang-Keum, 2013, 2014)

Let S be a \mathbb{Q} -homology \mathbf{P}^2 with cyclic singularities such that $H_1(S^0,\mathbb{Z})=0$. If either S is not rational or $-K_S$ is ample, then $|Sing(S)|\leq 3$.

The Remaining Case of Algebraic M-Y Problem:

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There are such surfaces. Examples given by

- Keel and Mckernan (Mem. AMS 1999),
- Kollár (Pure Appl. Math. Q. 2008) an infinite series of examples with |Sing(S)| = 2.
- D. Hwang and Keum (Proc. AMS 2012) infinite series of examples with |Sing(S)| = 1, 2, 3.

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Problem

Are there such surfaces S with |Sing(S)| = 4? No examples known yet.

Kollár's examples

$$Y = Y(a_1, a_2, a_3, a_4) := (x_1^{a_1}x_2 + x_2^{a_2}x_3 + x_3^{a_3}x_4 + x_4^{a_4}x_1 = 0)$$

in $P(w_1, w_2, w_3, w_4)$. Y has 4 singularities, two each on

$$C_1 := (x_1 = x_3 = 0), \quad C_2 := (x_2 = x_4 = 0).$$

Contracting C_1 and C_2 we get $X(a_1, a_2, a_3, a_4)$, a \mathbb{Q} -homology \mathbf{P}^2 with 2 singularities

$$[\underbrace{2,\ldots,2}_{a_4-1},a_3,a_1,\underbrace{2,\ldots,2}_{a_2-1}]$$

$$[\underbrace{2,\ldots,2}_{a_2},a_2,a_4,\underbrace{2,\ldots,2}_{a_2-1}].$$

 K_X is ample iff $\sum a_i > 12$ and $a_i \geq 3$ for all i.

X can be obtained by blowing up \mathbf{P}^2 , $\sum a_j$ times inside 4 lines, then contracting all negative curves with self-intersection ≤ -2 (Hwang-Keum 2012, also Urzua-Yanez 2016). The number of such curves is $\sum a_j$.

More examples

can be obtained by blowing up P2 many times

- (1) inside the union of 3 lines and a conic (total degree 5), then contracting all negative curves with self-intersection ≤ -2
- \implies infinite series of examples with |Sing(S)| = 2,3;
- (2) inside the union of 4 lines and a nodal cubic (total degree 7), then contracting all negative curves with self-intersection ≤ -2
- \implies infinite series of examples with |Sing(S)| = 1.

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Problem

Are there any \mathbb{Q} -homology \mathbf{P}^2 which is a rational surface S with K_S ample and with |Sing(S)| = 4?

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Question

Bogomolov inequality holds for symplectic compact 4-manifolds?

$$c_1^2 \leq 4c_2$$

A compact complex surface with the same Betti numbers as \mathbf{P}^2 is called a fake projective plane if it is not biholomorphic to \mathbf{P}^2 .

A FPP has ample canonical divisor K, so it is a smooth proper (geometrically connected) surface of general type with $p_g=0$ and $K^2=9$ (this definition extends to arbitrary characteristic.)

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The existence of a FPP was first proved by Mumford (1979) based on the theory of 2-adic uniformization, and later two more examples by Ishida-Kato (1998) in this abstract method.

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Keum FPP and Mumford FPP belong to the same class, in the sense that both fundamental groups are contained in the same maximal arithmetic subgroup of PU(2,1), the isometry group of the complex 2-ball.

FPP's come in complex conjugate pairs by Kharlamov-Kulikov (2002) and have been classified as quotients of the two-dimensional complex ball by explicitly written co-compact torsion-free arithmetic subgroups of PU(2,1) by Prasad-Yeung (2007, 2010) and Cartwright-Steger (2010).

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There are exactly 100 fake projective planes total, corresponding to 50 distinct fundamental groups Cartwright-Steger (2010).

Interesting problems on fake projective planes:

- Exceptional collections in $D^b(coh(X))$
- Bicanonical map
- Explicit equations
- Bloch conjecture on zero cycles
- Modular forms

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This pair has the most geometric symmetries among the 50 pairs, in the sense that

- (i) $Aut \cong G_{21} = \mathbb{Z}_7 : \mathbb{Z}_3$, the largest (Keum's FPPs);
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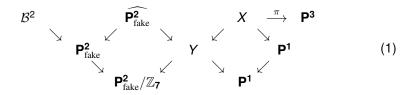
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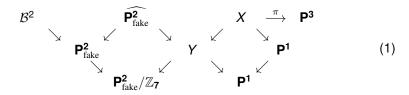
The universal double cover of this elliptic surface is an (1,2)-elliptic surface, has the same Hodge numbers as K3, but Kodaira dimension 1.



 \mathcal{B}^2 is the complex 2-ball. $\mathbf{P}^2_{\mathrm{fake}}$ is our FPP.

 $Y \rightarrow \mathbf{P}^1$ is a (2,4)-elliptic surface with one I_9 -fibre and three 4-sections.

 $X \to \mathbf{P}^1$ is an (1,2)-elliptic surface with two I_9 -fibres and six 2-sections.



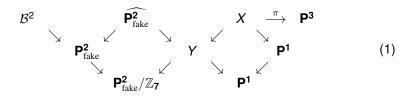
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Using these 24 smooth rational curves on X we find a linear system which gives a birational map

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The image is a sextic surface, highly singular.

Its equation is computed explicitly using the elliptic fibration structure $X \to \mathbf{P}^1$.



84 Equations of the fake projective plane

$$\begin{array}{l} eq_1 = U_1U_2U_3 + (1-\mathrm{i}\sqrt{7})(U_3^2U_4 + U_1^2U_5 + U_2^2U_6) + (10-2\mathrm{i}\sqrt{7})U_4U_5U_6\\ eq_2 = (-3+\mathrm{i}\sqrt{7})U_0^3 + (7+\mathrm{i}\sqrt{7})(-2U_1U_2U_3 + U_7U_8U_9 - 8U_4U_5U_6)\\ + 8U_0(U_1U_4 + U_2U_5 + U_3U_6) + (6+2\mathrm{i}\sqrt{7})U_0(U_1U_7 + U_2U_8 + U_3U_9)\\ eq_3 = (11-\mathrm{i}\sqrt{7})U_0^3 + 128U_4U_5U_6 - (18+10\mathrm{i}\sqrt{7})U_7U_8U_9\\ + 64(U_2U_4^2 + U_3U_5^2 + U_1U_6^2) + (-14-6\mathrm{i}\sqrt{7})U_0(U_1U_7 + U_2U_8 + U_3U_9)\\ + 8(1+\mathrm{i}\sqrt{7})(U_1^2U_8 + U_2^2U_9 + U_3^2U_7 - 2U_1U_2U_3)\\ eq_4 = -(1+\mathrm{i}\sqrt{7})U_0U_3(4U_6 + U_9) + 8(U_1U_2U_3 + U_1U_6U_9 + U_5U_7U_9)\\ + 16(U_5U_6U_7 - U_1^2U_5 - U_3U_5^2)\\ eq_5 = g_3(eq_4)\\ eq_6 = g_3^2(eq_4)\\ \vdots\\ \vdots$$

$$g_7 := (U_0: \zeta^6 U_1: \zeta^5 U_2: \zeta^3 U_3: \zeta U_4: \zeta^2 U_5: \zeta^4 U_6: \zeta U_7: \zeta^2 U_8: \zeta^4 U_9)$$

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Smoothness of Z is a subtle problem.

The 84 \times 10 Jacobian matrix has too many 7 \times 7 minors.

By adding suitably chosen 3 minors to the ideal of 84 cubics, the Hilbert polynomial drops from $18k^2 - 9k + 1$ to linear, then to constant, then to 0. If the equations generate the ring modulo 263, then they also generate it with exact coefficients.

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Thus Z is a smooth surface with a very ample divisor class $D = \mathcal{O}_Z(1)$. From the Hilbert polynomial we see that

$$D^2 = 36, DK_Z = 18, \chi(Z, \mathcal{O}_Z) = 1.$$

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By semicontinuity, the resolution is of the same shape over **C**.

Since all the sheaves $\mathcal{O}(-k)$ are acyclic, we see that

$$h^1(Z, \mathcal{O}_Z) = h^2(Z, \mathcal{O}_Z) = 0.$$

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Z can be further identified with the FPP which we started with.

Fake Projective Spaces

 \bar{G} : a semisimple real algebraic group, connected, with trivial center Assume

$$\bar{G} = PU(n, m).$$

Z: its symmetric space, i.e.

Z : the space of the maximal arithmetic subgroups of $ar{G}(\mathbb{R})$

If m = 1, then $Z = \mathcal{B}^n$ and the compact dual of Z is $Gr(1, 1 + n) = \mathbf{P}^n$.

For a cocompact torsion-free arithmetic subgroup Π : of $\bar{\textbf{G}}$ the quotient

$$X = Z/\Pi$$

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Theorem (Prasad-Yeung (2008))

An arithmetic fake \mathbf{P}^n exists only if n=2 or 4, and an arithmetic fake Gr(m,m+n) exists, with $m+n\geq 5$ odd, only if m+n=5.

They provide 4 examples of arithmetic fake P^4 .

