

Symmetry-broken topological phases



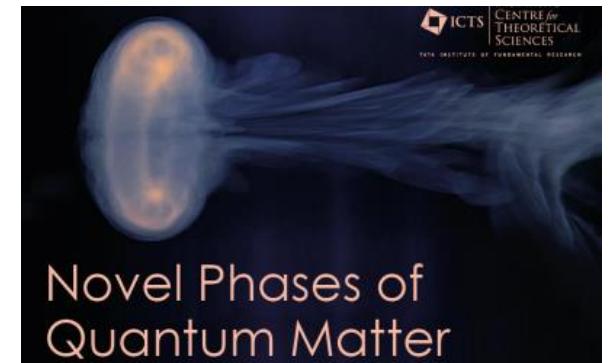
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Arpit Raj
Nepal Banerjee
Diptiman Sen

A. Raj, N. Banerjee, TD (under review)
Bansil, Lin, TD, RMP **88**, 021004 (2016).



Outlook

- How robust are the topological phases with broken symmetry?
- Robustness of quantum spin-Hall effect with **broken** time-reversal symmetry.
- New quantization phenomena with electrical **potential**.
- Helical anomaly, helical magnetic effect, magneto-electric coupling in 2+1D.

Classifications of phases of matter



Broken symmetry

Quantum phases

T: Magnetism

P: Ferroelectricity

PT: Multiferroics

U(1): Superconductivity

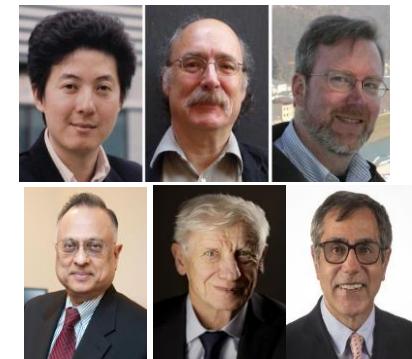
Translational: Density waves



Symmetry invariant

Topological phases

Symmetry			Dimension			
T	C	S	1	2	3	4
0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
0	0	1	\mathbb{Z}	0	\mathbb{Z}	0
1	0	0	0	0	0	\mathbb{Z}
1	1	1	\mathbb{Z}	0	0	0
0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	0	0
-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
1	-1	1	0	0	\mathbb{Z}	0



How robust is the topological table to symmetry breaking? Surprisingly robust up to a critical coupling.

Topology indicator in symmetry broken topological phases? Quantum anomalies.

This work: Time-reversal broken \mathbb{Z}_2 topology and Helical anomaly.

A somewhat general model

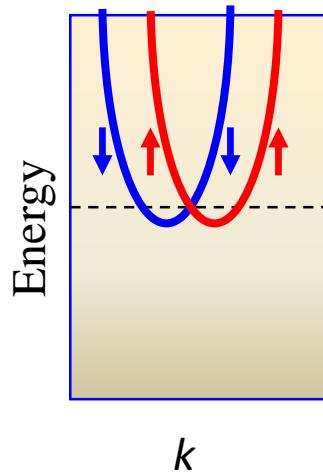
A somewhat general model

$$\psi = (\psi_{A\uparrow} \ \psi_{A\downarrow})^T$$

$$\xi_A = k^2/m$$

$$H = \begin{matrix} & \xi_A & \alpha_k \\ \xi_A & & \\ \alpha_k^* & & \xi_A \end{matrix}$$

$$\alpha_k = \alpha_R(k_y - ik_x)$$



A somewhat general model

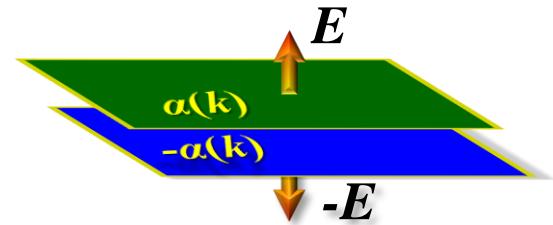
$$\psi = (\psi_{A\uparrow} \ \psi_{A\downarrow})^T \ \psi_{B\uparrow} \ \psi_{B\downarrow})^T$$

$$H = \begin{matrix} & \xi_A & \alpha_k \\ \xi_A & & \\ \alpha_k^* & \xi_A & \end{matrix}$$

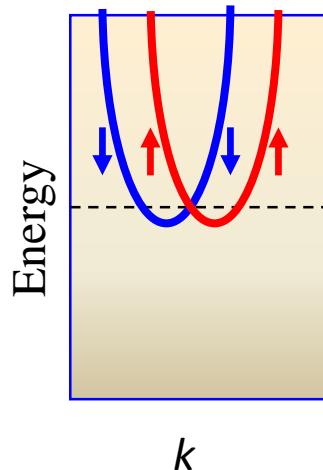
$$\begin{matrix} & \xi_B & -\alpha_k \\ -\alpha_k^* & & \xi_B \end{matrix}$$

$$\xi_A = k^2/m$$

$$\alpha_k = \alpha_R(k_y - ik_x)$$



(Rashba bilayer)



A somewhat general model

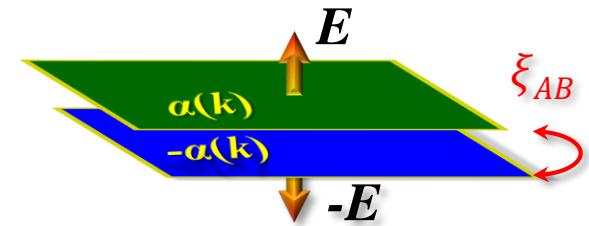
$$\psi = (\psi_{A\uparrow} \ \psi_{A\downarrow} \ \psi_{B\uparrow} \ \psi_{B\downarrow})^T$$

$$H = \begin{matrix} & \xi_A & \alpha_k & \xi_{AB} & 0 \\ & \alpha_k^* & \xi_A & 0 & \xi_{AB} \\ c.c. & & & \xi_B & -\alpha_k \\ & -\alpha_k^* & & \xi_B & \end{matrix}$$

$$\xi_A = k^2/m$$

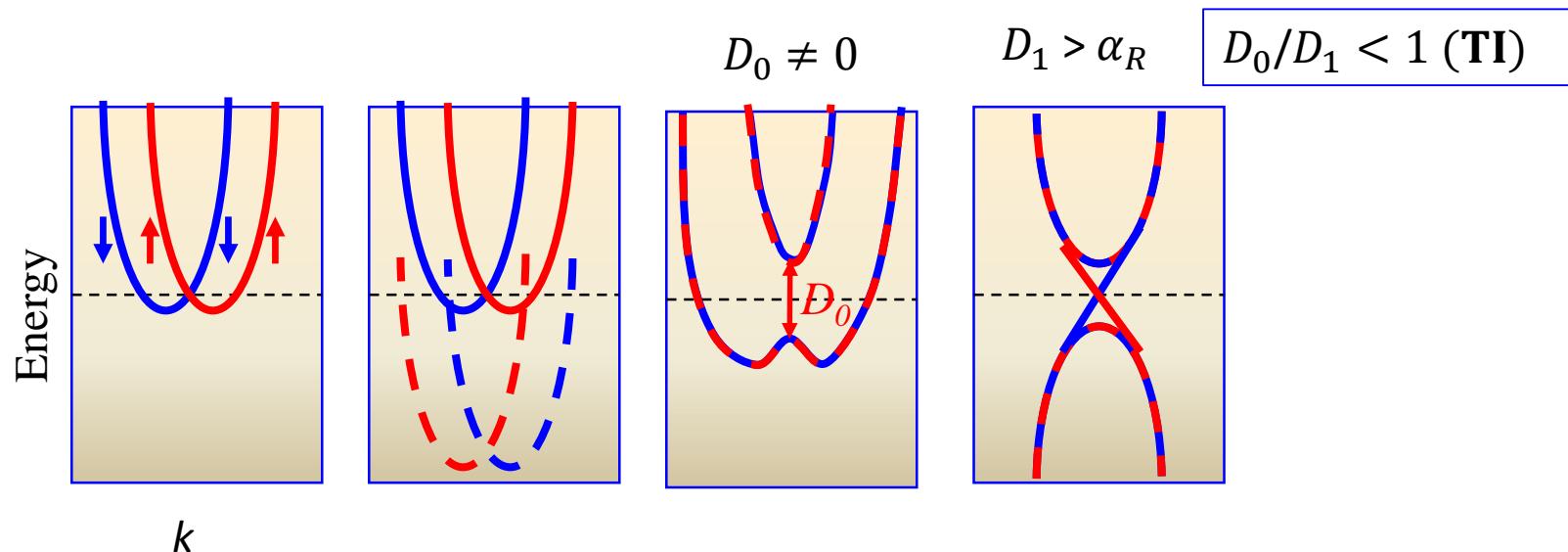
$$\alpha_k = \alpha_R(k_y - ik_x)$$

$$\xi_{AB} = D_0 - D_1 k^2$$



This principle of **helicity inversion** induced topological phase can be achieved intrinsically, artificially, or via interaction in condensed matter and optical lattices.

TD, Balatsky, Nat. Commun. **4**, 1972 (2013).



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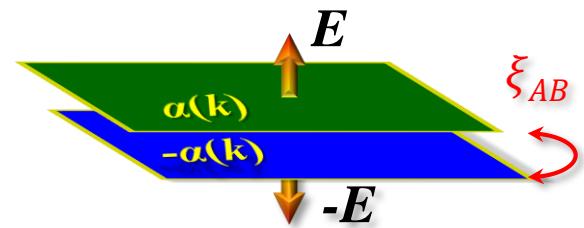
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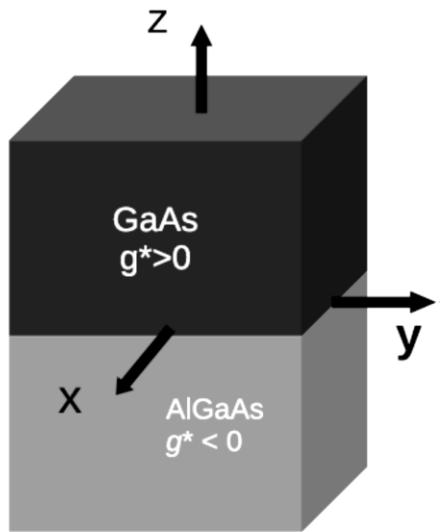
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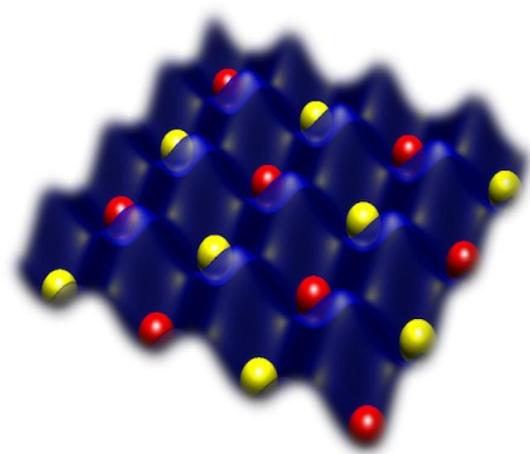
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GaAs/AlGaAs



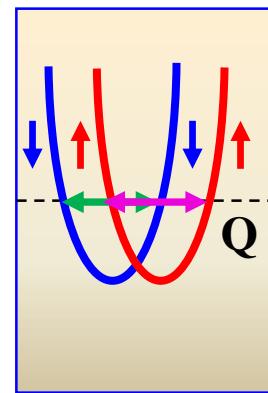
Optical lattices



Nepal Banerjee, TD (2019)

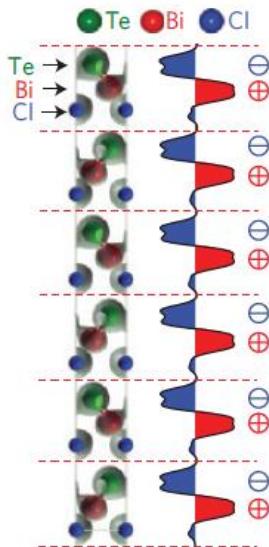
S. Ray, K. Roy, TD (2016)

Interaction



$$\alpha_{k+Q} = -\alpha_k$$

Bulk materials



Gaurav Gupta, TD (2016). Y. L. Chen et al. Nat. Phys. **9**, 714 (2013)

A somewhat general model

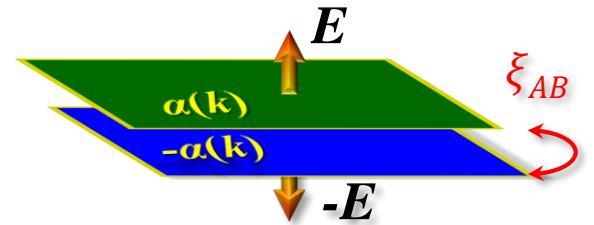
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Quantum Spin-Hall state : TR invariant

$$H = \xi_A I_{4 \times 4} + \begin{pmatrix} h_k^+ & \mathbf{0} \\ \mathbf{0} & h_k^- \end{pmatrix}, \quad h_k^\pm = \xi_{AB} \sigma_z \pm \alpha'_k \sigma_x + \alpha''_k \sigma_y$$

Chern numbers $C_\pm = \pm 1$

Condition: Dirac mass (ξ_{ABk}) must change sign

$$\xi_{ABk} = 0 \quad \text{at} \quad \frac{D_0}{D_1} = k_0^2 > 0$$

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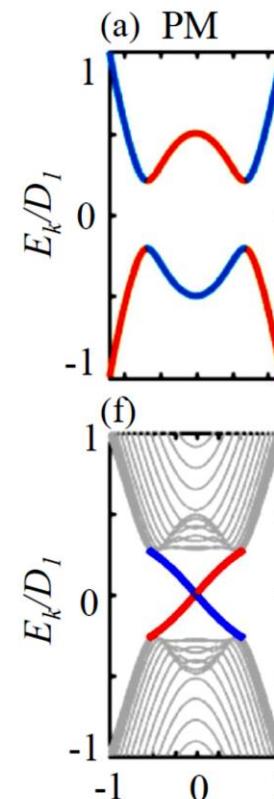
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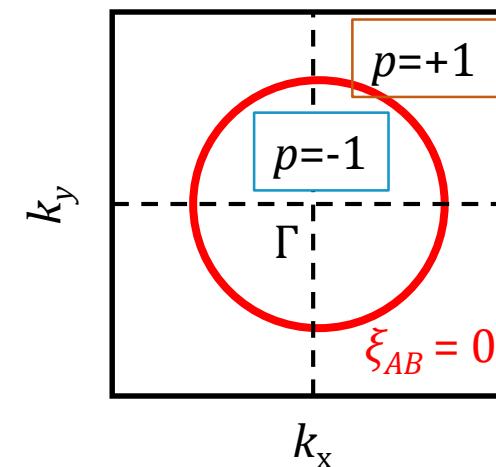
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Symmetry breaking topological phases

$$H_{\text{int}} = U \sum_{i \in (A,B)} n_{i\uparrow} n_{i\downarrow} + V \sum_{j \neq i \in (A,B)} n_i n_j$$

Spin: $\mathbf{S}_i = \psi_i^\dagger \boldsymbol{\sigma} \psi_i$
Chiral: $\mathbf{T}_\sigma = \psi_\sigma^\dagger \boldsymbol{\tau} \psi_\sigma$

T-breaking order parameters:

FM/AF: $\mathcal{M}^\pm = \frac{1}{2} (\langle S_A^z \rangle \pm \langle S_B^z \rangle)$

Chiral magnet/chiral sublattice: $\mathcal{N}^{x/y} = \frac{1}{2} (\langle T_\uparrow^{x/y} \rangle \mp \langle T_\downarrow^{x/y} \rangle)$

Exchange energy:

$$E_m = U \mathcal{M}^\pm$$

$$E_m = V \mathcal{N}^{x/y}$$

Symmetry breaking topological phases

Symmetry invariant

T^2	C^2	S^2	P	$(PT)^2$	$(CP)^2$	$(CPT)^2$	AZ (2D)	Our result (2D)	Sym broken order
-1	1	1					\mathbb{Z}_2 (QSH)		
0	1	0	1	0	-1	0	\mathbb{Z} (QAH)	\mathbb{Z}_2 (QSH)	FM
0	1	0	1	0	0	0	\mathbb{Z} (QAH)	\mathbb{Z} (QAH)	FM

Topological phases

Symmetry			Dimension			
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0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
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1	0	0	0	0	0	\mathbb{Z}
1	1	1	\mathbb{Z}	0	0	0
0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0
-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
1	-1	1	0	0	\mathbb{Z}	0

T = Time-reversal

C = Charge conjugation

$S = TC$ = Chiral/sublattice

P = Parity

Altland, Zirnbauer (AZ),
PRB **55**, 1142 (1997).
Chiu, Teo, Schnyder, Ryu
RMP **88**, 035005 (2016)

FM order: *CP*-protected \mathbb{Z}_2 invariant

$$H_{int} = |E_m| \tau_z \otimes \sigma_z$$

Dirac mass become different: $\xi_{AB}^{\pm} = \xi_{AB} \pm |E_m|$

$$C_{\pm} = \pm 1 \quad \text{if} \quad \frac{(D_0 \pm E_m)}{D_1} > 0 \quad E_m < D_0$$

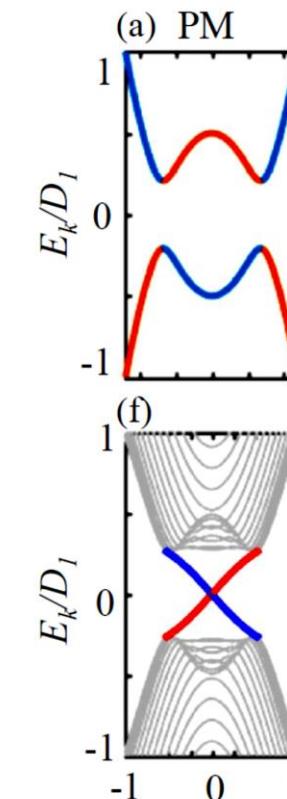
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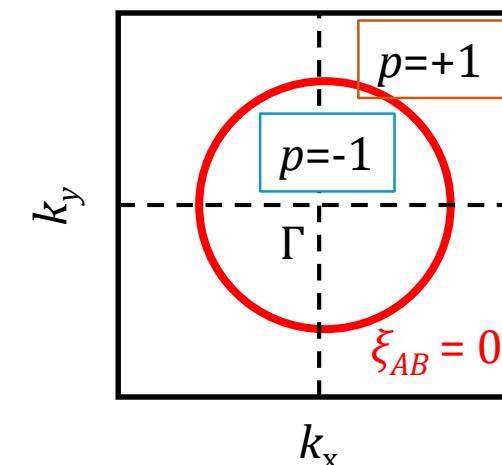
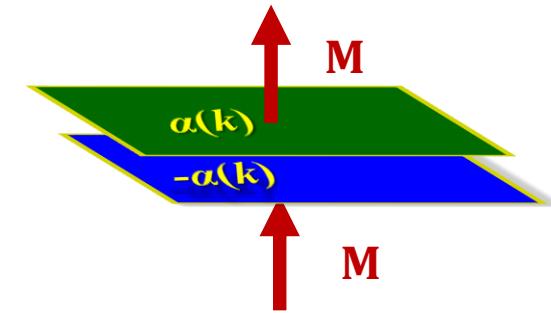
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$$C_+ = 1$$

$$C_- = -1$$



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$(CP)^2 = -1$ Antiunitary.
Zero energy states are protected

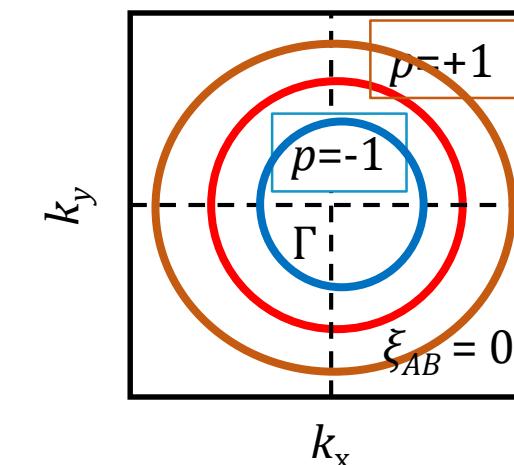
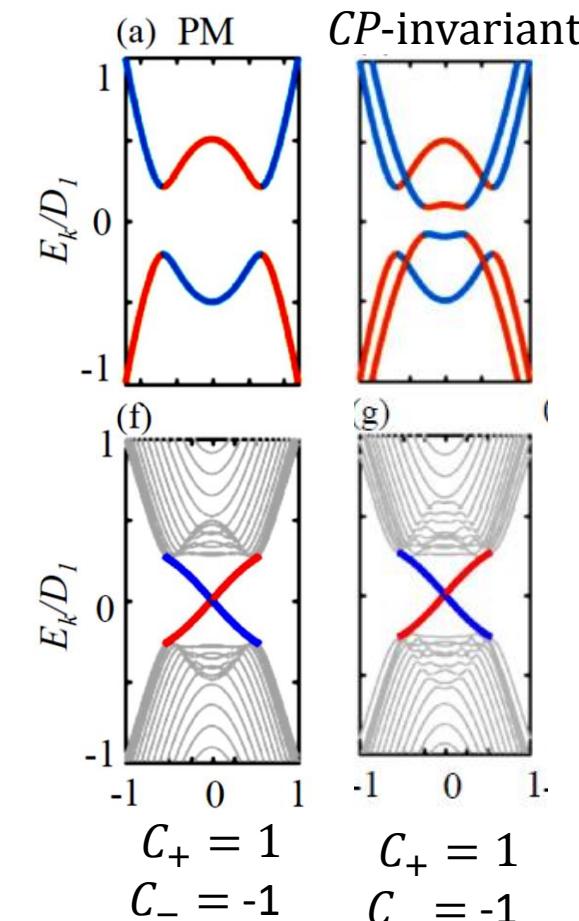
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$$E_m < D_0$$

QSH

$$\begin{aligned} C_+ &= 1 \\ C_- &= 0 \end{aligned}$$

$$E_m > D_0$$

QAH

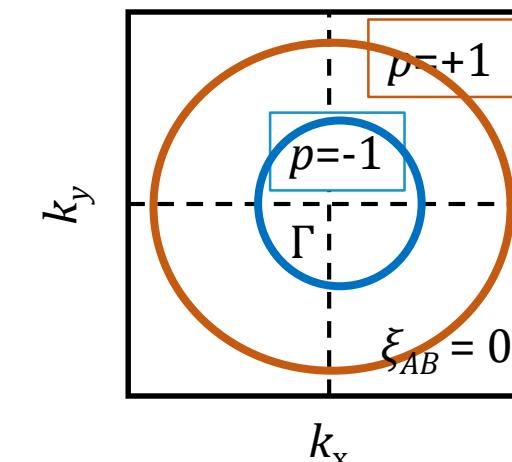
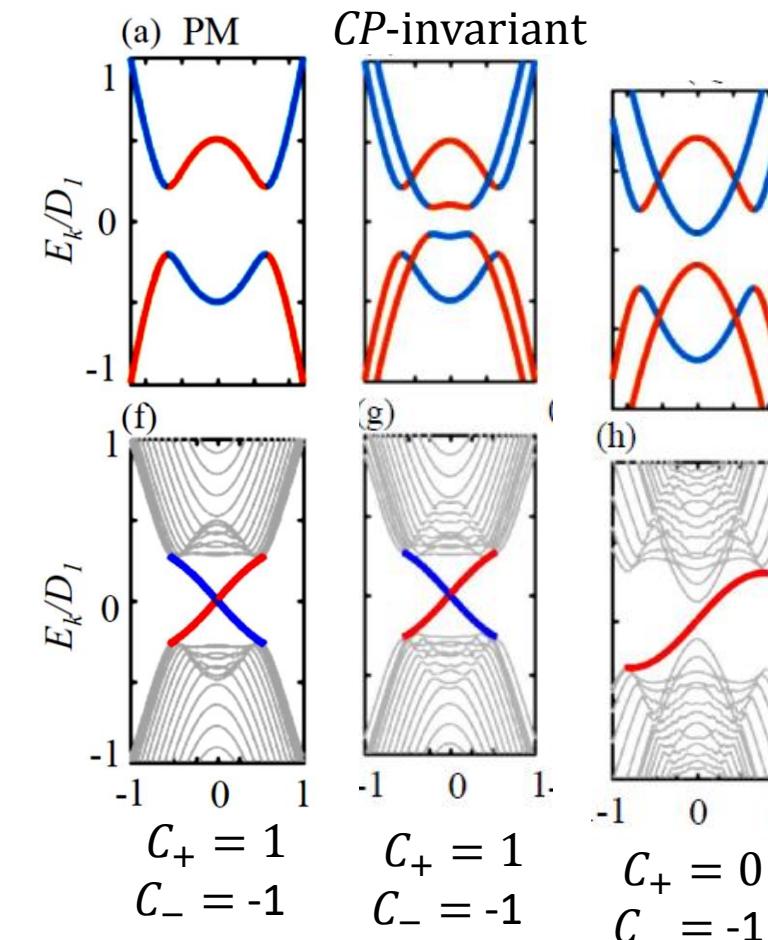
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0	1	0	1	0	0	0	\mathbb{Z} (QAH)	\mathbb{Z} (QAH)	FM
0	0	1	1	0	0	-1	0	\mathbb{Z}_2 (QSH)	Chiral magnet (CM)
0	0	0	0	0	0	-1	\mathbb{Z} (IQH)	\mathbb{Z}_2 (QSH)	CM + P broken

Topological phases

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Chiral Magnet : *CPT*-invariant TI

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Newtonian mass does **not** affect the Chern number

QSH

$(CPT)^2 = -1$ But a linear operator

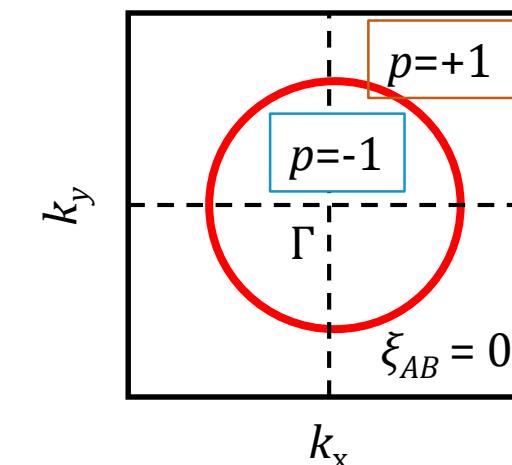
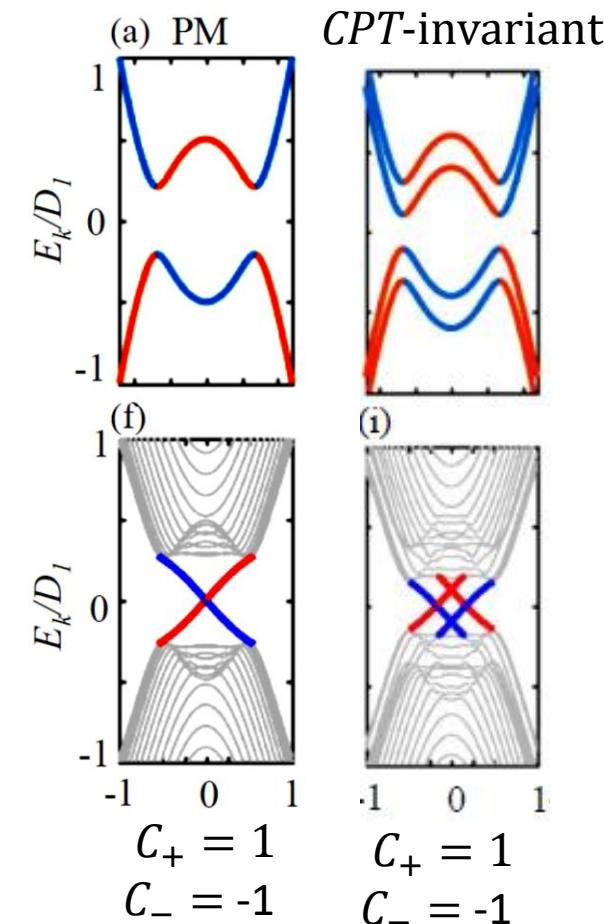
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$$(CPT)^2 = -1 \quad \text{But a linear operator}$$

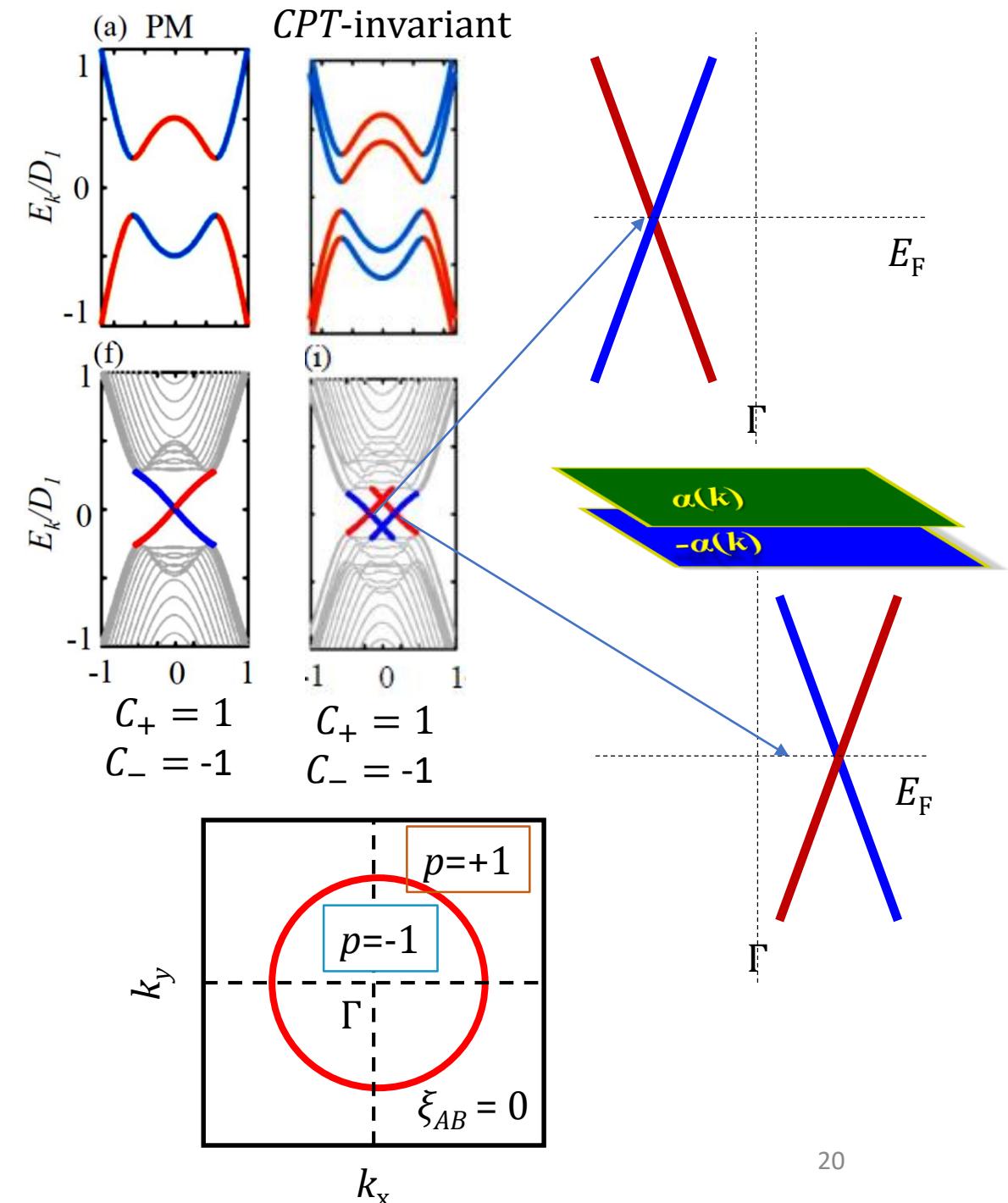
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Helical anomaly

With in-plane electric field:

$$\partial_\mu J_\sigma^\mu = \sigma \frac{e^2}{h} E$$

$J_\sigma^\mu = (\rho_\sigma, \mathbf{J}_\sigma)$: Chiral charge, current per spin $\sigma = \pm$.

Total chiral charge: $\rho = \frac{\rho_\uparrow + \rho_\downarrow}{2}$

Total chiral current: $\mathbf{J} = \frac{\mathbf{J}_\uparrow + \mathbf{J}_\downarrow}{2}$

$$\partial_\mu J^\mu = 0$$

No chiral anomaly

Helical charge: $\rho_s = \frac{\rho_\uparrow - \rho_\downarrow}{2}$

Total chiral current: $\mathbf{J}_s = \frac{\mathbf{J}_\uparrow - \mathbf{J}_\downarrow}{2}$

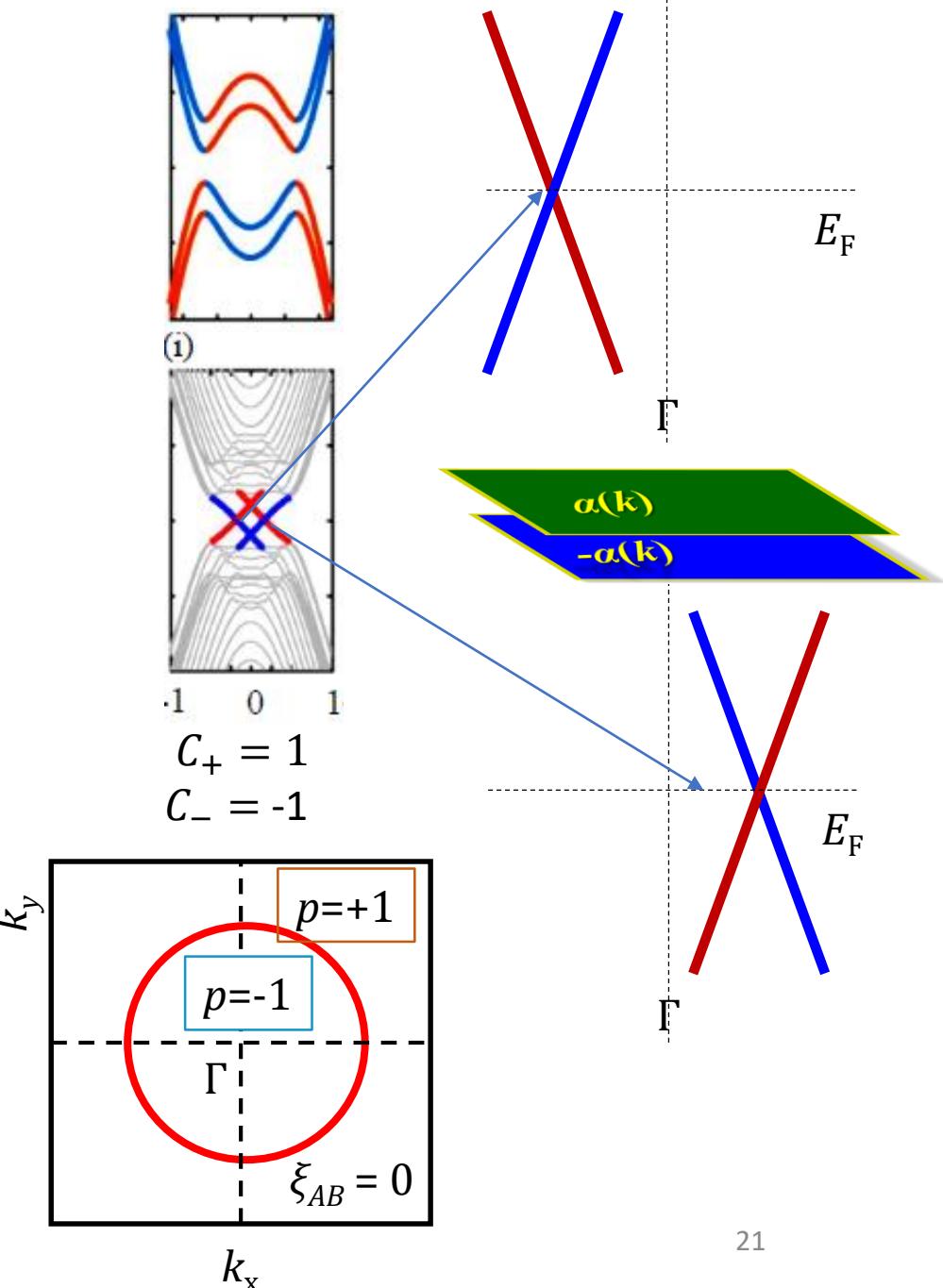
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Helical anomaly

$$\text{Steady state: } J_s = \frac{e^2}{h} V$$

A new quantized anomaly indicator

CPT-invariant



Chiral Magnet : *CPT*-invariant TI

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Helical anomaly

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A new quantized anomaly indicator

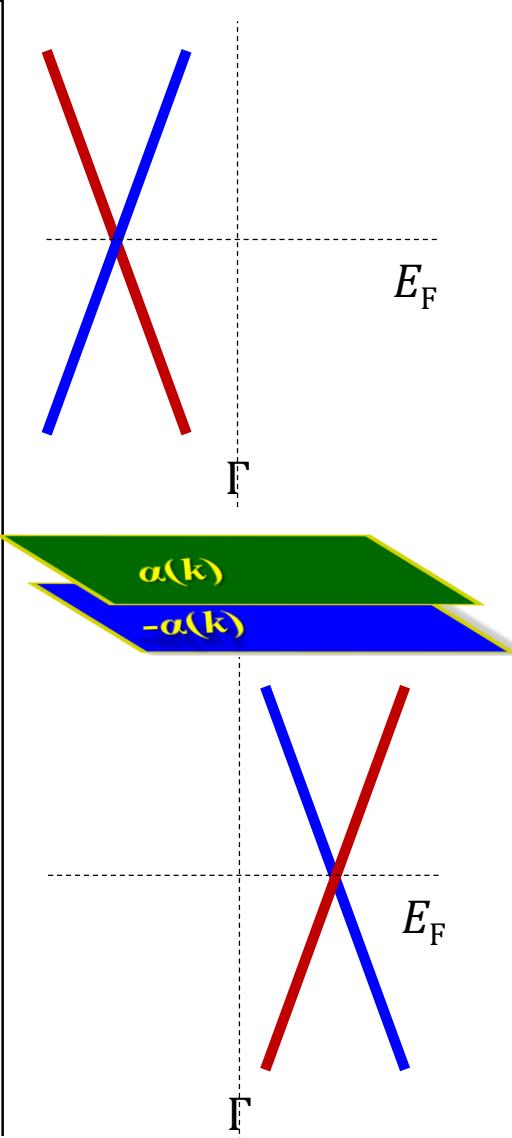
With in-plane magnetic field:

Zeeman energy density :

$$E_z = \mu_B \rho_s B$$

$$\mathbf{J}_s = \mu_B \frac{e^2}{h} \mathbf{B}$$

Helical magnetic effect



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0	1	0	1	0	0	0	\mathbb{Z} (QAH)	\mathbb{Z} (QAH)	FM
0	0	1	1	0	0	-1	0	\mathbb{Z}_2 (QSH)	Chiral magnet (CM)
0	0	0	0	0	0	-1	\mathbb{Z} (IQH)	\mathbb{Z}_2 (QSH)	CM + P broken
0	1	0	0	-1	0	-1	\mathbb{Z}	\mathbb{Z}_2 (Anomalous spin Hall)	AF

Topological phases

Symmetry			Dimension			
T	C	S	1	2	3	4
0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
0	0	1	\mathbb{Z}	0	\mathbb{Z}	0
1	0	0	0	0	0	\mathbb{Z}
1	1	1	\mathbb{Z}	0	0	0
0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0
-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
1	-1	1	0	0	\mathbb{Z}	0

T = Time-reversal

C = Charge conjugation

$S = TC$ = Chiral/sublattice

P = Parity

Altland, Zirnbauer (AZ),
PRB **55**, 1142 (1997).
Chiu, Teo, Schnyder, Ryu
RMP **88**, 035005 (2016)

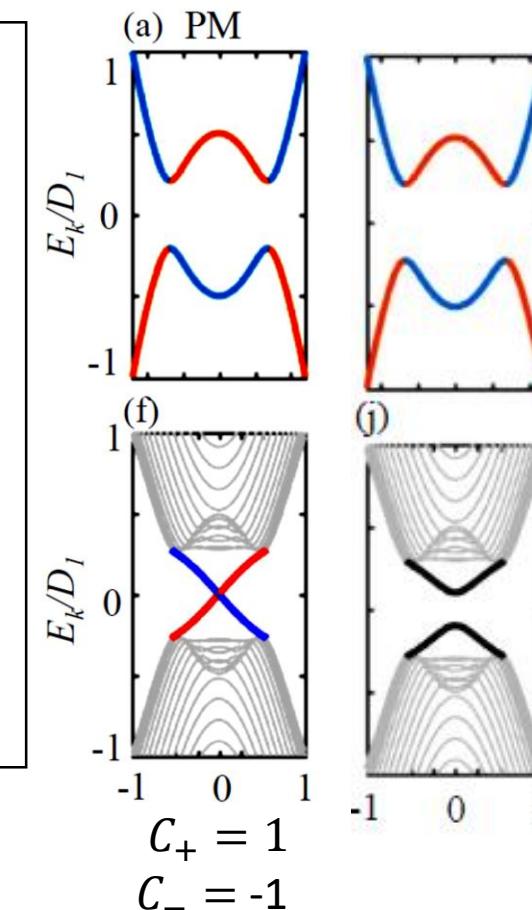
AF order: *PT*-invariant TI

$$H_{int} = |E_m| \tau_x \otimes \sigma_x$$

Off diagonal terms. Chern number is not defined.

Bulk bands are adiabatically connected to the QSH state.

So what is the topological anomaly?



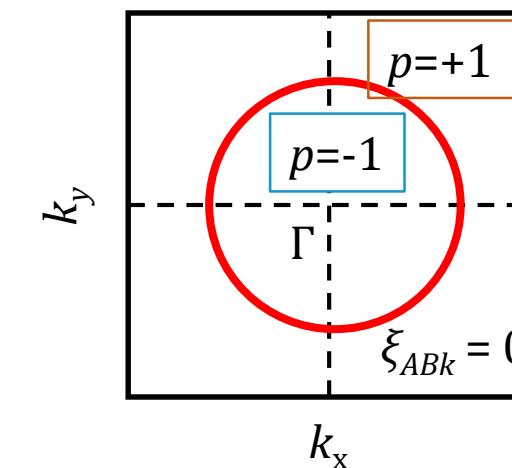
Quantum Spin-Hall state : TR invariant

$$H = \xi_A I_{4 \times 4} + \begin{pmatrix} h_k^+ & \mathbf{0} \\ \mathbf{0} & h_k^- \end{pmatrix}, \quad h_k^\pm = \xi_{AB} \sigma_z \pm \alpha'_k \sigma_x + \alpha''_k \sigma_y$$

Chern numbers \$C_\pm = \pm 1\$

Condition: Dirac mass (\$\xi_{ABk}\$) much change sign

$$\xi_{ABk} = 0 \quad \text{at} \quad \frac{D_0}{D_1} = k_0^2 > 0$$



AF order: *PT*-invariant TI

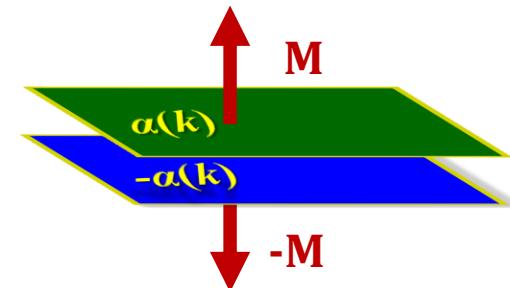
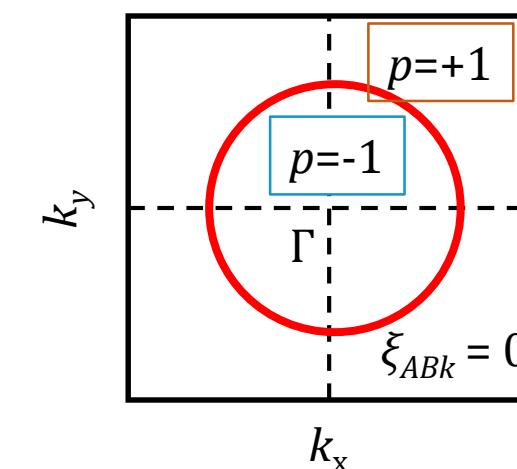
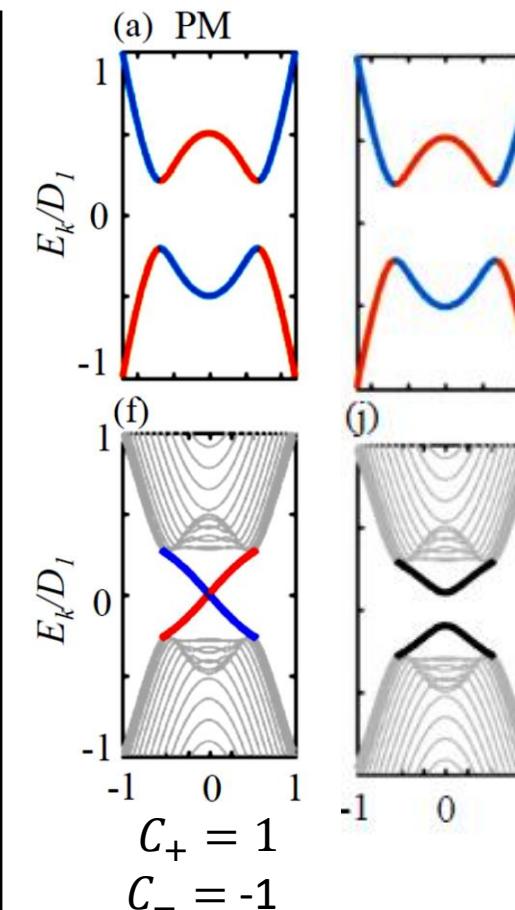
$$H_{int} = |E_m| \tau_x \otimes \sigma_x$$

Off diagonal terms. Chern number is not defined.

Bulk bands are adiabatically connected to the QSH state.

So what is the topological anomaly?

$$H = \begin{matrix} & \xi_A + E_m & \alpha_k & \xi_{AB} & 0 \\ & \alpha_k^* & & \xi_A - E_m & 0 & \xi_{AB} \\ & & & \xi_B - E_m & -\alpha_k \\ c.c. & & -\alpha_k^* & & \xi_B + E_m \end{matrix}$$



AF order: PT-invariant TI

$$H_{int} = |E_m| \tau_x \otimes \sigma_x$$

Off diagonal terms. Chern number is not defined.

Bulk bands are adiabatically connected to the QSH state.

So what is the topological anomaly?

$$H = \begin{matrix} & \xi_A + E_m & \alpha_k & \xi_{AB} + iE_m & 0 \\ & \alpha_k^* & \xi_A - E_m & 0 & \xi_{AB} - iE_m \\ & & & \xi_B - E_m & -\alpha_k \\ & c.c. & & -\alpha_k^* & \xi_B + E_m \end{matrix}$$

Jackiw-Rossi model: $H = \alpha_k \cdot \gamma + \tilde{\xi}_{AB} \cdot \Gamma$ Nucl. Phys. B 190, 681 (1981)

Complex hopping (vortex): $\tilde{\xi}_{AB} = \xi_{AB} + iE_m$

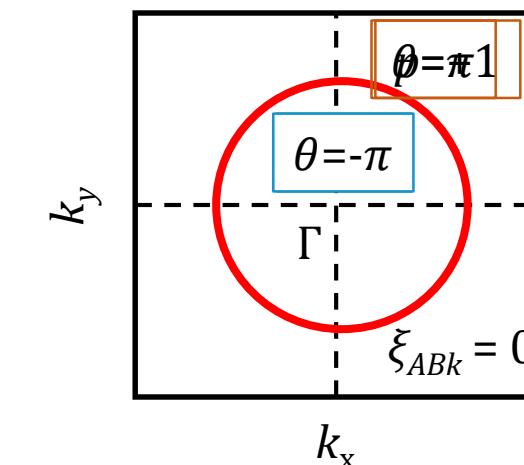
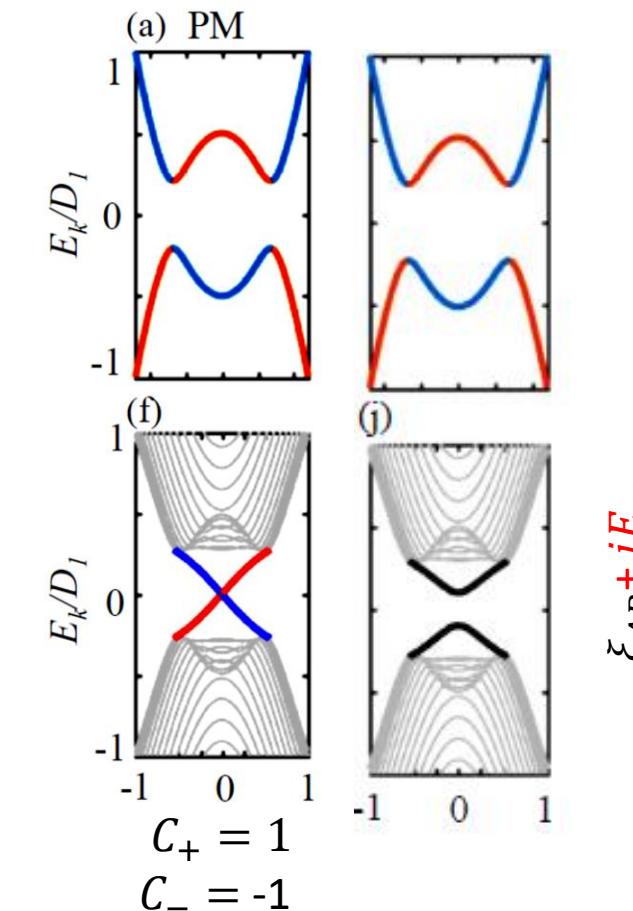
$$\theta_k = \tan^{-1} \frac{E_m}{\xi_{AB}}$$

$$\nabla \theta_k = \pi \delta(k - k_0) \hat{k}$$

(Across the band inversion: $\xi_{ABk} = 0$)

$$\begin{aligned} \sigma_{xy}^\pm &= \pm \frac{e^2}{\pi h} \frac{1}{(2\pi)^2} \int d\mathbf{k} \cdot \nabla \theta_k \\ &= \pm \frac{e^2 k_0}{2\pi h} \end{aligned}$$

Anomalous spin edge current:
 $\partial_\mu J_\pm^\mu = (\partial_\mu \sigma_{xy}^\pm) E$



Symmetry breaking topological phases

Symmetry invariant

T^2	C^2	S^2	P	$(PT)^2$	$(CP)^2$	$(CPT)^2$	AZ (2D)	Our result (2D)	Sym broken order
-1	1	1					\mathbb{Z}_2 (QSH)		
0	1	0	1	0	-1	0	\mathbb{Z} (QAH)	\mathbb{Z}_2 (QSH)	FM
0	1	0	1	0	0	0	\mathbb{Z} (QAH)	\mathbb{Z} (QAH)	FM
0	0	1	1	0	0	-1	0	\mathbb{Z}_2 (QSH)	Chiral magnet (CM)
0	0	0	0	0	0	-1	\mathbb{Z} (IQH)	\mathbb{Z}_2 (QSH)	CM + P broken
0	1	0	0	-1	0	-1	\mathbb{Z}	\mathbb{Z}_2 (Anomalous spin Hall)	AF
0	1	0	1	0	-1	0	\mathbb{Z} (QAH)	\mathbb{Z}_2 (ASH)	Chiral sublattice (CS)

Topological phases

Symmetry			Dimension			
T	C	S	1	2	3	4
0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
0	0	1	\mathbb{Z}	0	\mathbb{Z}	0
1	0	0	0	0	0	\mathbb{Z}
1	1	1	\mathbb{Z}	0	0	0
0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0
-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
1	-1	1	0	0	\mathbb{Z}	0

T = Time-reversal
 C = Charge conjugation
 S = TC = Chiral/sublattice
 P = Parity

Altland, Zirnbauer (AZ),
 PRB **55**, 1142 (1997).
 Chiu, Teo, Schnyder, Ryu
 RMP **88**, 035005 (2016)

CS order (non-magnetic): PT -invariant TI



AF order: PT -invariant TI

$$H = \begin{matrix} & \xi_A + E_m & \alpha_k & \xi_{AB} + iE_m & 0 \\ & \alpha_k^* & \xi_A - E_m & 0 & \xi_{AB} - iE_m \\ & & & & \\ & c.c. & & \xi_B - E_m & -\alpha_k \\ & & & -\alpha_k^* & \xi_B + E_m \end{matrix}$$

Jackiw-Rossi model: $H = \alpha_k \cdot \gamma + \tilde{\xi}_{AB} \cdot \Gamma$ Nucl. Phys. B 190, 681 (1981)

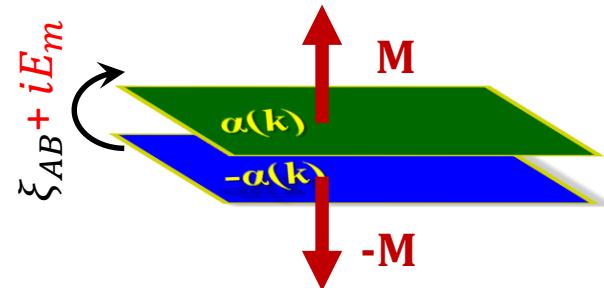
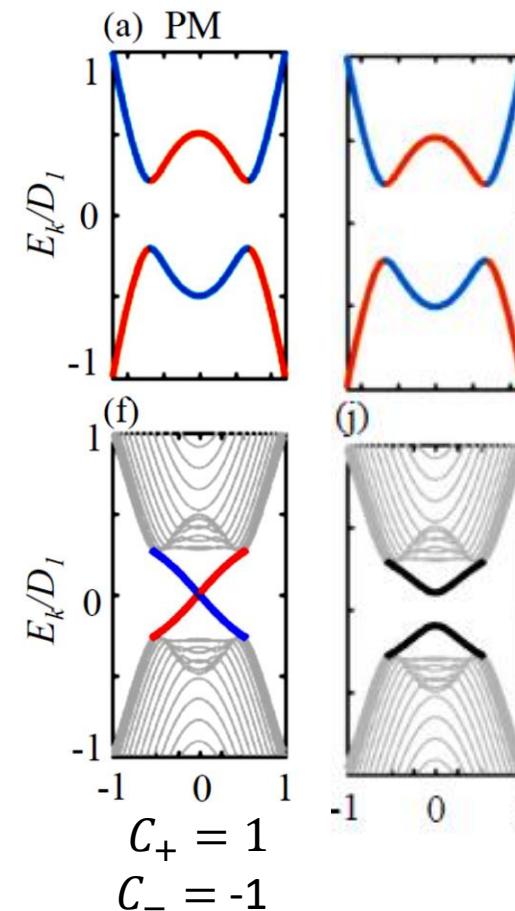
Complex hopping (vortex): $\tilde{\xi}_{AB} = \xi_{AB} + iE_m$

$$\theta_k = \tan^{-1} \frac{E_m}{\xi_{AB}} \quad \nabla \theta_k = \pi \delta(k - k_0) \hat{k}$$

$$\sigma_{xy}^\pm = \pm \frac{e^2}{\pi h} \frac{1}{(2\pi)^2} \int d\mathbf{k} \cdot \nabla \theta_k \quad (\text{Across the band inversion: } \xi_{ABk} = 0)$$

$$= \pm \frac{e^2 k_0}{2\pi h}$$

Anomalous spin edge current:
 $\partial_\mu J_\pm^\mu = (\partial_\mu \sigma_{xy}^\pm) E$

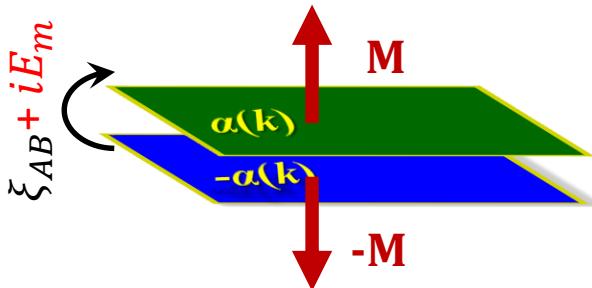


CS order (non-magnetic): PT -invariant TI



AF order: PT -invariant TI

$$H = \begin{matrix} & \xi_A + E_m & \alpha_k & \xi_{AB} & 0 \\ & \alpha_k^* & & \xi_A - E_m & 0 \\ & & & & \xi_{AB} \\ c.c. & & \xi_B - E_m & -\alpha_k & \\ & & -\alpha_k^* & \xi_B + E_m & \end{matrix}$$



Jackiw-Rossi model: $H = \alpha_k \cdot \gamma + \tilde{\xi}_{AB} \cdot \Gamma$ Nucl. Phys. B 190, 681 (1981)

Complex hopping (vortex): $\tilde{\xi}_{AB} = \xi_{AB} + iE_m$

Magneto-electric effect

$$\theta_k = \tan^{-1} \frac{E_m}{\xi_{AB}} \quad \nabla \theta_k = \pi \delta(k - k_0) \hat{k}$$

$$\sigma_{xy}^\pm = \pm \frac{e^2}{\pi h} \frac{1}{(2\pi)^2} \int d\mathbf{k} \cdot \nabla \theta_k \quad (\text{Across the band inversion: } \xi_{ABk} = 0)$$

$$= \pm \frac{e^2 k_0}{2\pi h}$$

Anomalous spin edge current:
 $\partial_\mu J_\pm^\mu = (\partial_\mu \sigma_{xy}^\pm) E$

$$J_x^\pm = \sigma_{xy}^\pm E_y$$

Surface bound current: $\mathbf{J} = \nabla \times \mathbf{M}$

$$M_y^\pm = -\sigma_{xy}^\pm V$$

Symmetry breaking topological phases

Symmetry invariant

T^2	C^2	S^2	P	$(PT)^2$	$(CP)^2$	$(CPT)^2$	AZ (2D)	Our result (2D)	Sym broken order
-1	1	1					\mathbb{Z}_2 (QSH)		
0	1	0	1	0	-1	0	\mathbb{Z} (QAH)	\mathbb{Z}_2 (QSH)	FM
0	1	0	1	0	0	0	\mathbb{Z} (QAH)	\mathbb{Z} (QAH)	FM
0	0	1	1	0	0	-1	0	\mathbb{Z}_2 (QSH)	Chiral magnet (CM)
0	0	0	0	0	0	-1	\mathbb{Z} (IQH)	\mathbb{Z}_2 (QSH)	CM + P broken
0	1	0	0	-1	0	-1	\mathbb{Z}	\mathbb{Z}_2 (Anomalous spin Hall)	AF
0	1	0	1	0	-1	0	\mathbb{Z} (QAH)	\mathbb{Z}_2 (ASH)	Chiral sublattice (CS)
0	0	0	0	-1	-1	0	\mathbb{Z} (IQH)	\mathbb{Z}_2 (ASH)	CS+ P broken
-1	1	1	1	-1	1	-1	\mathbb{Z}_2	\mathbb{Z}_2	s, d SC (spinful)
-1	1	1	0	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	p SC (spinful)
-1	-1	1	1	-1	-1	1	0	0	s, d SC (spinless)
-1	-1	1	0	0	0	0	0	0	p SC (spinless)
0	-1	0	1	0	-1	0	\mathbb{Z}	\mathbb{Z}_2	FM, DM + SC
0	-1	0	0	0	-1	1	\mathbb{Z}	\mathbb{Z}/\mathbb{Z}_2	AF, SO + SC

Topological phases

Symmetry			Dimension			
T	C	S	1	2	3	4
0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
0	0	1	\mathbb{Z}	0	\mathbb{Z}	0
1	0	0	0	0	0	\mathbb{Z}
1	1	1	\mathbb{Z}	0	0	0
0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0
-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
1	-1	1	0	0	\mathbb{Z}	0

T = Time-reversal
 C = Charge conjugation
 $S = TC$ = Chiral/sublattice
 P = Parity

Altland, Zirnbauer (AZ),
 PRB **55**, 1142 (1997).
 Chiu, Teo, Schnyder, Ryu
 RMP **88**, 035005 (2016)

Conclusions

- With symmetry breakings, is the *ten-fold* topological classification table modified?
- Z_2 topology can be robust to time-reversal symmetry breaking.
- Novel **helical** anomaly in 2+1D systems. (No chiral anomaly due to Z_2 invariance).
- Novel magneto-electric effect in 2+1 D.
- Novel quantization with respect to electric **potential**.

$$\text{Spin current: } J_s = \frac{e^2}{h} V$$

$$\text{Helical magnetic effect: } \mathbf{J} = \mu_B \frac{e^2}{h} \mathbf{B}$$

$$M_y^\pm = -\sigma_{xy}^\pm V$$