## Two Body \& Three Body Scattering Theory

## Formulation of Two Body Scattering

- Time-dependent

Schrodinger Equation

$$
i \frac{\partial}{\partial t} \Psi_{a}^{(+)}(t)=H \Psi_{a}^{(+)}(t)
$$

Its solution is

$$
\Psi_{a}^{(+)}(t)=e^{-i H t} \Psi_{a}
$$

Reference Wave-Packet
$\phi_{a}$. Its time dependence

$$
\phi_{a}(t)=e^{-i H_{0} t} \phi_{a}
$$

$$
\lim _{t \rightarrow \infty}\left\|e^{-i H t} \Psi_{a}^{(+)}-e^{-i H_{0} t} \phi_{a}\right\|=0
$$

- This physical requirement implies a certain restriction on the range of the potentials;
- Expressed mathematically

$$
\Psi_{a}^{(+)}=s-\lim _{t \rightarrow-\infty} e^{i H t} e^{-i H_{0} t} \phi_{a} \equiv \Omega^{(+)} \phi_{a}
$$

- This equation defines the Moller operator

$$
\Omega^{( \pm)}=s-\lim _{t \rightarrow \mp \infty} e^{i H t} e^{-i H_{0} t}
$$

- The Moller operator $\Omega^{(-}$produces the scattering state $\Psi_{a}^{(-)}(t)$ which goes over into the free state for

$$
\phi_{a}(t) \quad t \rightarrow \infty
$$

- Extending the definition for any finite time

$$
\begin{aligned}
s-\lim _{t \rightarrow \mp} e^{i H(t+\tau)} e^{-i H_{0}(t+\tau)} & =e^{i H \tau} \Omega^{( \pm)} e^{-i H_{0} \tau} \\
& =\Omega^{( \pm)}(\tau)
\end{aligned}
$$

- By differentiation, we get

$$
0=\frac{d \Omega^{( \pm)}}{d \tau}=e^{i H \tau}\left(H \Omega^{( \pm)}-\Omega^{( \pm)} H_{0}\right) e^{-i H_{0} \tau}
$$

- Giving us the commutation relation.

$$
H \Omega^{( \pm)}=\Omega^{( \pm)} H_{0}
$$

- Domain of Moller operators is the Hilbert space of square integrable free states.
- Work by Faddeev has shown that the domain can , however, be extended to include states of sharp momentum.
- We are thus allowed to use momentum eigen states
- $|\vec{p}\rangle$ instead of wave-packets $\phi_{a}$ and
- Apply the Moller operators $\Omega^{(+)}$on them to get scattering states $|p\rangle^{+}$consisting of a plane wave plus an outgoing spherical wave.
- The commutation relation given above shows
- Applying the Hermitian conjugate operator $\Omega^{( \pm)^{+}}$
- to the bound states of H, i.e., $\left|\Psi_{n}\right\rangle$ they give zero;

$$
\left\langle\Omega^{( \pm)^{+}} \Psi_{n} \mid \vec{p}\right\rangle=\left\langle\Psi_{n} \mid \Omega^{( \pm)}!\vec{p}\right\rangle=\left\langle\Psi_{n} \mid \vec{p}\right\rangle^{( \pm)}
$$

- Expression on the r.h.s is zero because states
- $\left|\Psi_{n}\right\rangle$ and $|p\rangle^{( \pm)}$are eigenstates of H having different energies. Since states $|\vec{p}\rangle$ form a complete set, $\quad \Omega^{()^{t}}\left|\Psi_{n}\right\rangle=0$


## Time limit in $\Omega^{( \pm)}$can be replaced by Euler limit:

$$
\begin{gathered}
\Omega^{( \pm)}=S-\lim _{t \rightarrow \mp \infty} e^{i H t} e^{-i H_{0} t} \\
=s-\lim _{t \rightarrow \mp \infty} \pm \varepsilon \int_{\mp}^{0} d t e^{ \pm \varepsilon t} e^{i H t} e^{-i H_{0} t} \\
\lim _{\varepsilon \rightarrow 0} \int_{-\infty}^{0} d t \varepsilon e^{\varepsilon t} f(t)=f(\infty) \int_{-\infty}^{0} d x e^{x}=f(\infty)
\end{gathered}
$$

By introducing Euler limit, time dependence disappears in scattering theory

## Resolvent Equation \& L-S Equation

- We can not carry out the integration in the integral representation for $\Omega^{( \pm)}$, for the simple reason $H_{0}$ and $H$ do not commute; the two exponential functions

$$
e^{i H t} e^{-i H_{0} t} \neq e^{i\left(H-H_{0}\right) t}
$$

- Since Moller operators can operate on plane wave states, we write

$$
|\vec{p}\rangle^{( \pm)}=\lim _{\varepsilon \rightarrow 0} \pm \varepsilon \int_{\mp \infty}^{0} d t e^{ \pm \varepsilon t} e^{i H t} e^{-i E t}|\vec{p}\rangle
$$

$=\lim _{\varepsilon \rightarrow 0} \pm \varepsilon \int_{\mp}^{0} d t e^{i(H-E \mp i \varepsilon) t}|\vec{p}\rangle=\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon(E \pm i \varepsilon-H)^{-1}|\vec{p}\rangle$

- Here we get the definition of a resolvent or Green's function

$$
g(z)=(z-H)^{-1}
$$

$$
(z=E \pm i \varepsilon)
$$

- And obtain an important relation

$$
|\vec{p}\rangle^{ \pm}=\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon g(z)|\vec{p}\rangle
$$

- Which marks a transition from time-dependent to
- Introducing the free resolvent

$$
g_{0}(z) \equiv\left(z-H_{0}\right)^{-1}
$$

- And using the relation

$$
V=H-H_{0}=g_{0}^{-1}(z)-g^{-1}(z)
$$

- We get, by multiplying with $g(z) g_{0}(z)$
- The operator identities

$$
\begin{aligned}
g(z) & =g_{0}(z)+g(z) V g_{0}(z) \\
& =g_{0}(z)+g_{0}(z) V g(z)
\end{aligned}
$$

- With this identity and the relation.

$$
\begin{aligned}
|\vec{p}\rangle^{ \pm} & =\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon g(E \pm i \varepsilon)|\vec{p}\rangle \\
& =\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon\left[g_{0}(E \pm i \varepsilon)+g_{0}(E \pm i \varepsilon) \operatorname{Vg}(E \pm i \varepsilon)\right]|\vec{p}\rangle
\end{aligned}
$$

- Now.
$\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon g_{0}(E \pm i \varepsilon)|\vec{p}\rangle=\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon \frac{1}{E \pm i \varepsilon-H_{0}}|\vec{p}\rangle=|\vec{p}\rangle$
This gives the well-known L-S equation

$$
|\vec{p}\rangle^{ \pm}=|\vec{p}\rangle+g_{0}(E \pm i 0) V|\vec{p}\rangle^{ \pm}
$$

- In configuration space representation

$$
\langle\vec{r}| g_{0}(E \pm i 0)\left|r^{\prime}\right\rangle=-\frac{\mu}{2 \pi} \frac{e^{ \pm i \sqrt{2 \mu E}\left|\vec{r}-\vec{r}^{\prime}\right|}}{\left|\vec{r}-\vec{r}^{\prime}\right|}
$$

Note that the free resolvent $g_{0}(E)$ has a cut along the positive real E-axis. On which side of the cut we should stay in order to fulfil the required boundary condition is decided by the $\varepsilon$-limit . The L-S equation in configuration space

$$
\langle\vec{r} \mid \vec{p}\rangle^{(+)}=\langle\vec{r} \mid \vec{p}\rangle-\int d \vec{r}^{\prime} \frac{\mu}{2 \pi} \frac{e^{i \sqrt{2 \mu E}\left|\vec{r}-\vec{r}^{\prime}\right|}}{\left|\vec{r}-\vec{r}^{\prime}\right|} V\left(\vec{r}^{\prime}\right)\left\langle\vec{r}^{\prime} \mid \vec{p}\right\rangle^{(+)}
$$

- This shows Schmidt norm can be finite when (i) the integral over absolute square of the potential exists -excluding Coulomb and hard core potentials;
- (ii) the imaginary part of $\sqrt{2 \mu z}$ is not zeroexcluding thereby the scattering energies'
- Reason? Recall: the domain of Moller operators
- has been extended!
- A finite Schmidt norm is only a sufficient condition not a necessary one
- In order that L-S equation has a unique solution, it is sufficient to investigate if Schmidt norm of the kernel is finite, viz.,

$$
\|K\|_{S}=\left[\operatorname{Tr}\left(K^{+} K\right)\right]^{1 / 2}=\left[\iint d \vec{r} d \vec{r}^{\prime}\left|K\left(\vec{r}, \vec{r}^{\prime}\right)\right|^{2}\right]^{1 / 2}
$$

- The square of the Schimdt norm

$$
\begin{aligned}
\|K\|_{S}^{2} & =\frac{\mu^{2}}{4 \pi^{2}} \iint d \vec{r} d \vec{r}^{\prime} \frac{e^{-2 \operatorname{Im}(\sqrt{2 \mu z})\left|\vec{r}-\vec{r}^{\prime}\right|}}{\left|\vec{r}-\vec{r}^{\prime}\right|^{2}}\left|V\left(\vec{r}, \vec{r}^{\prime}\right)\right|^{2} \\
& =\frac{\mu^{2}}{4 \pi^{2}} 4 \pi \int d R e^{-2 \operatorname{Im}(\sqrt{2 \mu z}) R} \int d \vec{r}^{\prime}\left|V\left(\vec{r}^{\prime}\right)\right|^{2} \\
& =\frac{\mu^{2}}{\pi} \frac{1}{2 \operatorname{Im}(\sqrt{2 \mu z})} \int d \vec{r}^{\prime}\left|V\left(\vec{r}^{\prime}\right)\right|^{2}
\end{aligned}
$$

- A necessary condition is that the kernel of the integral equation must be compact( completely continuous).
- Lovelace ( Phys.Rev.135, B1225 (‘64)) shows that the kernel remains compact in the Banach space of continuous bounded functions with continuous bounded derivatives.
- S-matrix: A link between the scattering states and the measurable data:

$$
\begin{aligned}
S_{b a} & =\lim _{t \rightarrow \infty}\left\langle\phi_{b}(t) \mid \Psi_{a}^{(+)}(t)\right\rangle=\lim _{t \rightarrow \infty}\left\langle e^{-i H_{0} t} \phi_{b} \mid e^{-i H t} \Psi_{a}^{(+)}\right\rangle \\
& =\lim _{t \rightarrow \infty}\left\langle e^{i H t} e^{-i H_{0} t} \phi_{b} \mid \Psi_{a}^{(+)}\right\rangle=\left\langle\Omega^{(-)} \phi_{b} \mid \Omega^{(+)} \phi_{a}\right\rangle
\end{aligned}
$$

- This enables us to express

$$
S_{b a}=\left\langle\Psi_{b}^{(-)} \mid \Psi_{a}^{(+)}\right\rangle=\left\langle\phi_{b}\right| \Omega^{(-)^{+}} \Omega^{(+)}\left|\phi_{a}\right\rangle
$$

The S-matrix in operator form is $\quad S=\Omega^{(-)^{+}} \Omega^{(+)}$

- With momentum eigen states, its matrix-element

$$
\begin{aligned}
S_{\vec{p}^{\prime} \vec{p}} & =\left\langle\vec{p}^{\prime}\right| \Omega^{(-)^{+}} \Omega^{(+)}|\vec{p}\rangle=\left\langle\vec{p}^{\prime}\right| \Omega^{(-)^{+}}|\vec{p}\rangle^{(+)} \\
& =\lim _{t \rightarrow \infty}\left\langle\vec{p}^{\prime}\right| e^{i H_{0} t} e^{-i H t}|\vec{p}\rangle^{(+)} \\
& =\lim _{t \rightarrow \infty}\left\langle e^{-i E^{\prime} t} \vec{p}^{\prime}\right| e^{-i E t}\left|\vec{p}^{\prime}\right\rangle^{(+)} \\
S_{\vec{p}^{\prime} \vec{p}} & =\lim _{t \rightarrow \infty} \lim _{\varepsilon \rightarrow 0} i \varepsilon e^{i\left(E^{\prime}-E\right) t}\left\langle\vec{p}^{\prime}\right| g(E+i \varepsilon)|\vec{p}\rangle
\end{aligned}
$$

- Recall the Green's function satisfies L-S type equation. $\quad g(z)=g_{0}(z)+g_{0}(z) V g(z)$
- This eqn can be recast as

$$
\begin{aligned}
& g(z)=g_{0}(z)+g_{0}(z) V\left[g_{0}(z)+g(z) V g_{0}(z)\right] \\
& \quad=g_{0}(z)+g_{0}(z) V g_{0}(z)+g_{0} V g(z) V g_{0}(z) \\
& \quad=g_{0}(z)+g_{0}(z)[V+V g(z) V] g_{0}(z) \\
& =
\end{aligned}
$$

- The resolvent is thus related to the operator $\mathrm{t}(\mathrm{z})$, -- the t-matrix , which is less singular than $\mathrm{g}(\mathrm{z})$.
- In momentum representation, the kinematic singularities of $g(z)$ show up explicitly:

$$
\left\langle\vec{p}^{\prime}\right| g(z)|\vec{p}\rangle=\frac{\delta\left(\vec{p}^{\prime}-\vec{p}\right)}{z-p^{2} / 2 \mu}+\frac{\left\langle\vec{p}^{\prime}\right| t(z)|\vec{p}\rangle}{\left(z-p^{\prime 2} / 2 \mu\right)\left(z-p^{2} / 2 \mu\right)}
$$

- Using this result in S-matrix.

$$
\begin{aligned}
S_{\vec{p}^{\prime} \vec{p}} & =\lim _{t \rightarrow \infty} \lim _{\varepsilon \rightarrow 0} i \varepsilon e^{i\left(E^{\prime}-E\right) t}\left[\frac{\delta\left(\vec{p}^{\prime}-\vec{p}\right)}{i \varepsilon}+\frac{\left\langle\vec{p}^{\prime}\right| t(E+i \varepsilon)|\vec{p}\rangle}{i \varepsilon\left(E+i \varepsilon-E^{\prime}\right)}\right] \\
& =\delta\left(\vec{p}^{\prime}-\vec{p}\right)-\lim _{t \rightarrow \infty} \lim _{\varepsilon \leftarrow 0} \frac{e^{i\left(E^{\prime}-E\right) t}}{E^{\prime}-E-i \varepsilon}\left\langle\vec{p}^{\prime}\right| t(E+i \varepsilon)|\vec{p}\rangle
\end{aligned}
$$

- Here we use $z=E+i \varepsilon ; E=p^{2} / 2 \mu$ in the expression for the $S=$ matrix . In the second term,

Using the identity

$$
\begin{aligned}
& \lim _{t \rightarrow \infty} \lim _{\varepsilon \rightarrow 0} \frac{e^{i \omega t}}{\omega-i \varepsilon}=2 \pi i \delta(\omega), \text { we get } \\
& S_{\vec{p}^{\prime} \vec{p}}=\delta\left(\vec{p}^{\prime}-\vec{p}\right)-2 \pi i \delta\left(p^{\prime 2} / 2 \mu-p^{2} / 2 \mu\right)\left\langle\vec{p}^{\prime}\right| t(E+i \varepsilon)|\vec{p}\rangle
\end{aligned}
$$

- Note the two $\delta$ - functions in the S-matrix. One refers to "no scattering (since $\vec{p}^{\prime}=\vec{p}$ )" and the other stands for energy conservation. All information about scattering is contained in t matrix, rglated to

$$
\left.\frac{d \Xi^{t}}{d \Omega}=(2 \pi)^{4} \mu^{2}\left|\left\langle\vec{p}^{\prime}\right| t(E+i \varepsilon)\right| \vec{p}\right\rangle\left.\right|^{2}
$$

## Unitarity Relation \& Optical Theorem

- For a Hermitian potential, unitarity of S-matrix, i.e

$$
S^{+} S=I
$$

ensures conservation law for probability flux. In operator form,

$$
S=1-2 \pi i t \text { and } S^{+}=1+2 \pi i t^{+}
$$

leads to

$$
S^{+} S=1-2 \pi i t+2 \pi i t^{+}+4 \pi^{2} t^{+} t=1
$$

Writing explicitly
$t(E+i 0)-t^{+}(E+i 0)=-2 \pi i t(E+i 0) \delta\left(E-H_{0}\right) t^{+}(E+i 0)$

- It follows

$$
\begin{aligned}
& \left.\operatorname{Im}\langle\vec{p}| t(E+i 0)|\vec{p}\rangle=-\pi \int d \vec{p}^{\prime \prime} \delta\left(E-p^{\prime \prime 2} / 2 \mu\right)|\langle\vec{p}| t(E+i 0)| \vec{p}^{\prime \prime}\right\rangle\left.\right|^{2} \\
& \quad=-\pi \frac{1}{(2 \pi)^{4} \mu^{2}} \int p^{\prime \prime 2} d p^{\prime \prime} d \Omega_{p^{\prime \prime}} \delta\left(p^{2} / 2 \mu-p^{\prime \prime 2} / 2 \mu\right) \frac{d \sigma}{d \Omega_{p^{\prime \prime}}} \\
& \quad=-\frac{p}{16 \pi^{3} \mu} \sigma_{\text {tot }}
\end{aligned}
$$

- This is the well-known optical theorem.
- Before studying three-body scattering, let us recall the relations between the two body $t$ matrix and the resolvent $g(z)=g_{0}+g_{0} t g_{0}$
- and comparing it with

$$
\begin{aligned}
g(z) & =g_{0}+g_{0} V g(z) \\
& =g_{0}+g_{0} V\left[g_{0}+g_{0} t g_{0}\right] \\
& =g_{0}+g_{0}\left[V+V g_{0} t\right] g_{0}
\end{aligned}
$$

- leads to the relation

$$
t=V+V g_{0} t
$$

- Or ,equivalently

$$
t=V+t g_{0} V
$$

## Three Body Scattering

: Consider three particles $1,2,3$ having masses $m_{1}, m_{2}$ and $m_{3}$ interacting through two body potentials, denoted by

$$
V^{1}=V_{23}, V^{2}=V_{31}, V^{3}=V_{12}
$$

- We write its Hamiltonian

$$
H=H_{0}+\sum_{i=1}^{3} V^{i}
$$

- In three particle space, two body sub-systems have an important role. Recognizing this, we introduce channel Hamiltonians:

$$
H^{i}=p_{i}^{2} / 2 \mu_{i}+q_{i}^{2} / 2 M_{i}+V^{i}
$$

- Note that because of translational invariance of two body potentials, three body C.M motion becomes a momentum eigenstate and can be factored out resulting in two independent momenta, $\vec{p}^{i}$ and $\vec{q}^{i}$
- Asymptotic states with two particles in a bound state, as for example, in elastic scattering are eigen states of $H^{i}$
- For the full Hamiltonian

$$
H=H^{i}+\bar{V}^{i}, \text { where } \bar{V}^{i}=V-V^{i}
$$

- Generalizing the definition of Moller operators and boundary conditions to three particle scattering, we write time-development of a 3-particle wavepacket
- While reference wavepackets develop according to

$$
\begin{aligned}
& \Psi_{m}^{i(+)}(t)=e^{-i H t} \Psi_{m}^{i(+)} \\
& \phi_{m}^{i(+)}(t)=e^{-i H^{i} t} \phi_{m}^{i} \\
& \boldsymbol{\phi}_{m}^{i}
\end{aligned}
$$

- The wavepacket $\phi^{0}$ describes the free motion of particle $\mathrm{i}(\mathrm{i}=1,2,3)$ relative to the other two particles which are in their mth bound state. For $\mathrm{i}=\mathrm{o}$, we can have a wavepacket with three free particles.
- The boundary condition is formulated by requiring

$$
\lim _{t \rightarrow-\infty}\left\|e^{-i H^{t} t} \Psi_{m}^{i(+)}-e^{-i H^{i} t} \phi_{m}^{i}\right\|=0
$$

- This leads to the representation

$$
\Psi_{m}^{i( \pm)}=s-\lim _{t \rightarrow \mp \infty} e^{i H t} e^{-i H^{i} t} \phi_{m}^{i} \equiv \Omega^{i( \pm)} \phi_{m}^{i}
$$

- And to the definition of Moller operators

$$
\Omega^{i( \pm)}=s-\lim _{t \rightarrow \mp \infty} e^{i H t} e^{-i H^{i} t} \quad(i=0,1,2,3)
$$

- Note that here a separate Moller operator is needed for every channel i--- signalling the complications in 3-body problem. Indeed, one is required to prove that Moller operators exist and
- That they can be applied to states of sharp energy. The study of these mathematical details has been carried out by Faddeev.
- The domain of Moller operators defined above is the space of channel states:

$$
\left|\Psi_{m}^{i( \pm)}\right\rangle=\Omega^{i( \pm)}\left|\phi_{m}^{i}\right\rangle
$$

- Transition from time limit to Euler limit is also possible in 3-particle scattering:

$$
\left|\Psi_{m}^{i( \pm)}\right\rangle=\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon G(E \mp i \varepsilon)\left|\phi_{m}^{i}\right\rangle
$$

- $\mathrm{G}(\mathrm{z})$ is the full resolvent satisfying

$$
\begin{gathered}
G(z)=G^{i}(z)+G^{i}(z) \bar{V}^{i} G(z) \\
=G^{i}(z)+G(z) \bar{V}^{i} G^{i}(z)
\end{gathered}
$$

## Difficultv with the L-S Equation

- In terms of the channel resolvents (i).

The L-S equation for the scattering state

$$
\left|\Psi_{m}^{i( \pm)}\right\rangle=\left|\phi_{m}^{i}\right\rangle+G^{i}(E \pm i 0) \bar{V}^{i}\left|\Psi_{m}^{i( \pm)}\right\rangle
$$

- Note that

$$
\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon G^{i}(E \pm i \varepsilon)\left|\phi_{m}^{i}\right\rangle=\left|\phi_{m}^{i}\right\rangle
$$

- Using this result, we get the L-S equation

$$
\left|\Psi_{m}^{i( \pm)}\right\rangle=\left|\phi_{m}^{i}\right\rangle+G^{i}(E \pm i \varepsilon) \bar{V}^{i}\left|\Psi_{m}^{i( \pm)}\right\rangle
$$

- Solution to the L-S equation is not uniquely determined.
- Because the homogeneous equation

$$
|\Psi\rangle=G^{i}(E+i 0) \bar{V}^{i}|\Psi\rangle
$$

- has solutions for energies in the scattering region. Consider, for instance, another channel state
- For such a state

$$
\left|\phi_{n}^{j}\right\rangle j \neq i .
$$

$$
\lim _{\varepsilon \rightarrow 0} \pm i \varepsilon G^{i}(E+i \varepsilon)\left|\phi_{n}^{j}\right\rangle=0
$$

- Because the state $\left|\phi_{n}{ }^{j}\right\rangle j \neq i$ is not an eigenstate of $H^{i}$
- Therefore, we get $\left|\Psi_{n}^{j( \pm)}\right\rangle=G^{i}(E+i 0) \bar{V}^{i}\left|\Psi_{n}^{j( \pm)}\right\rangle$
- This equation tells us that the homogeneous eqn which belongs to the inhomogeneous L-S eqn. has non trivial solutions of the scattering states
- in channels $j \neq i$


## Kernel Disconnected

$$
\left\langle\vec{k}_{1} \vec{k}_{2} \vec{k}_{3}\right| g_{0} \bar{V}^{i}\left|\vec{k}_{1}^{\prime} \vec{k}_{2}^{\prime} \vec{k}_{3}^{\prime}\right\rangle=\left(z-\sum_{i=1}^{3} k_{i}^{2} / 2 m_{i}\right)^{-1} \sum_{k \neq i} \delta\left(\vec{q}_{k}-\vec{q}_{k}^{\prime}\right)\left\langle\vec{p}_{k}\right| V^{k}\left|\vec{p}_{k}^{\prime}\right\rangle
$$

Here the $\delta-\quad$ function belonging to the total C.M motion is omitted. However, the $\delta$ - functions associated with the two body potentials signify that the third particle remains free when the other two are interacting -the kernel $g_{0} V$ of the integral equation thus becomes disconnected. As a result the matrix-element of the kernel of L-S equation is not an $£^{2}$ operator.

In momentum representation, the matrix element of the kernel

$$
\begin{aligned}
& \left\langle\vec{k}_{1}^{\prime} \vec{k}_{2}^{\prime} \vec{k}_{3}^{\prime}\right| K\left|\vec{k}_{1} \vec{k}_{2} \vec{k}_{3}\right\rangle=\frac{\delta\left(\vec{k}_{1}^{\prime}+\vec{k}_{2}^{\prime}+\vec{k}_{3}^{\prime}-\vec{k}_{1}+\vec{k}_{2}+\vec{k}_{3}\right)}{E+i \varepsilon-\sum_{i=1}^{3}\left(k_{i}^{2} / 2 m_{i}\right)} \times \\
& {\left[\delta\left(\vec{k}_{1}^{\prime}-\vec{k}_{1}\right)\left\langle\vec{p}_{1}^{\prime}\right| V^{\prime}\left|\vec{p}_{1}\right\rangle+\delta\left(\vec{k}_{2}^{\prime}-\vec{k}_{2}\right)\left\langle\vec{p}_{2}^{\prime}\right| V^{2}\left|\vec{p}_{2}\right\rangle+\delta\left(\vec{k}_{3}^{\prime}-\vec{k}_{3}\right)\left\langle\vec{p}_{3}^{\prime}\right| V^{3}\left|\vec{p}_{3}\right\rangle\right]}
\end{aligned}
$$

- In the C.M of the 3-particle system, one can get rid of the total momentum conserving $\delta$-function. But the integrand still contains terms like

$$
\left[\delta\left(\vec{k}_{1}^{\prime}-\vec{k}_{1}\right)\right]^{2}
$$

- As a result, its $\operatorname{Tr}\left(K K^{+}\right)$is infinite and the kernel K is no longer $£$


## The Faddeev Equations

- In order to remove these difficulties, Faddeev's starting point is to study the L-S equation for the three body T-matrix:

$$
T=\sum_{i=1}^{3} V^{i}+\sum_{i=1}^{3} V^{i} G_{0} T
$$

- This equation also suffers from the same disadvantage as the L-S equation for the three particle states $\mid \Psi_{m}^{i \pm}$, viz., the kernel is no longer Hilbert-Scmidt type together with the nonuniqueness of the L-S equation.
- The turning point in Faddeev's approach is to suggest that the scattering operator can be split into three parts as

$$
T(E)=T^{(1)}(E)+T^{(2)}(E)+T^{(3)}(E)
$$

- where $T^{(1)}(E)$ represents the sum of all the diagrams contributing to $T(E)$ in which particle 2 and 3 are the last to interact. Diagramatically, each of the three parts are as shown.
- Splitting the basic L-S equation into 3-equations

$$
T^{(i)}=V^{(i)}+V^{(i)} G_{0} T \quad(i=1,2,3)
$$

- Substituting for the T operator in terms of its components, we write

$$
T^{(1)}-V^{(1)} G_{0} T^{(1)}=V^{(1)}+V^{(1)} G_{0}\left(T^{(2)}+T^{(3)}\right)
$$

- Multiplying by $\left(1-V^{(1)} G_{0}\right)^{-1}$ from the left, yields

$$
T^{(1)}=\left(1-V^{(1)} G_{0}\right)^{-1} V^{(1)}+\left(1-V^{(1)} G_{0}\right)^{-1} V^{(1)} G_{0}\left(T^{(2)}+T^{(3)}\right)
$$

Note the first term, which by iteration can be shown to be a two body t-operator

$$
t_{1}=\left(1-V^{(1)} G_{0}\right)^{-1} V^{(1)}
$$

- so is the first part of the second term. One can

$$
T^{(1)}=t_{1}+t_{1} G_{0}\left(T^{(2)}+T^{(3)}\right)
$$

## Faddeev Equations

- Similarly the other two components $T^{(2}$ and $T^{(3)}$ can be transformed and the set of three coupled Tmatrices can be expressed in the matrix form

$$
\left(\begin{array}{l}
T^{(1)} \\
T^{(2)} \\
T^{(3)}
\end{array}\right)=\left(\begin{array}{l}
t_{1} \\
t_{2} \\
t_{3}
\end{array}\right)+\left(\begin{array}{lll}
0 & t_{1} & t_{1} \\
t_{2} & 0 & t_{2} \\
t_{3} & t_{3} & 0
\end{array}\right) G_{0}\left(\begin{array}{l}
T^{(1)} \\
T^{(2)} \\
T^{(3)}
\end{array}\right)
$$

- These coupled equations are the Faddeev Eqns.
- These new equations exhibit two strking features: ( i ) while the two body t-matrices appearing in the kernel are indeed disconnected, but since only the off-diagonal elements of $K_{i j}$ are present, any iteration of the kernel will suppress the trouble some $\delta$ - -functions. On iterating once the Faddeev equations take the form

$$
\left(\begin{array}{l}
T^{(1)} \\
T^{(2)} \\
T^{(3)}
\end{array}\right)=\left(\begin{array}{l}
t_{1} \\
t_{2} \\
t_{3}
\end{array}\right)+\left[K\left(\begin{array}{l}
t_{1} \\
t_{2} \\
t_{3}
\end{array}\right)+\left[K^{2}\right]\left(\begin{array}{l}
T^{(1)} \\
T^{(2)} \\
T^{(3)}
\end{array}\right)\right.
$$

$$
\left[K^{2}\right]=\left[\begin{array}{ccc}
t_{1} G_{0} t_{2}+t_{1} G_{0} t_{3} & t_{1} G_{0} t_{3} & t_{1} G_{0} t_{2} \\
t_{2} G_{0} t_{3} & t_{2} G_{0} t_{1}+t_{2} G_{0} t_{3} & t_{2} G_{0} t_{1} \\
t_{3} G_{0} t_{2} & t_{3} G_{0} t_{1} & t_{3} G_{0} t_{1}+t_{3} G_{0} t_{2}
\end{array}\right]
$$

- We see that the kernel $\left[K^{2}\right]$ contains only the connected elements. As for example the element $K_{12}^{2}$ can be interpreted through a diagram as:
- The second feature is that now the two particle Tmatrices appear as operators in 3-particle space. The evaluation of the operator product
$t_{i} G_{0}{ }^{i} \bar{F}_{p}^{i}$ lies the integration over all possible intermediate states $\left.\left|\vec{p}_{i}^{\prime \prime}\right\rangle,\left|\overrightarrow{\boldsymbol{q}}_{i}^{\prime \prime}\right\rangle\right\rangle_{{ }^{\prime \prime}}$ involving the independent momenta, $\quad \vec{p}_{i}^{\prime \prime}, \vec{q}_{i}^{\prime \prime}$
where now

$$
p_{i}^{2} / 2 \mu_{i} \neq z-q_{i}^{\prime \prime 2} / 2 M_{i} \neq p_{i}^{\prime \prime 2} / 2 \mu_{i}
$$

- As a result the two body t - matrices appearing in the Faddeev kernel are now off-the-energy shell.
- The next important work of Faddeev was to show that the operator $K^{2}$ is compact or even square integrable ( with some restrictions on the two body potentials) for all real ( physical) values of z . Note that

$$
t_{i}(z) G_{0}(z) t_{j}(z) \quad \text { for } i \neq j
$$

## Compactness of the Kernel

- diverges for $\operatorname{Im} z \rightarrow 0$ and $\operatorname{Re} z>E_{\text {min }}^{B}$ because the t -matrices (showing poles at bound states) as well as the free propagator are singular. For example

$$
\left\langle\vec{p}_{i,} \vec{q}_{i}\right| G_{0}(z)\left|\vec{p}_{i}^{\prime}, \vec{q}_{i}^{\prime}\right\rangle=\frac{\delta\left(\vec{q}_{i}-\vec{q}_{i}^{\prime}\right) \delta\left(\vec{p}_{i}-\vec{p}_{i}^{\prime}\right)}{z-q_{i}^{2} / 2 M_{i}-p_{i}^{2} / 2 \mu_{i}}
$$

- The limit as z becomes real has been studied in great detail by Faddeev. He shows that for real $z$ the fifth power of the kernel is a compact operator in a certain Banach space ensuring that the solutions are unique. For interactions involving superposition of Yukawa potentials, analysis becomes considerably simplified.


## Banach Spaces

- A vector space V over the real or complex numbers with a norm \|\| \| such that every Cauchy sequence ( w.r.t metric $\mathrm{d}(\mathrm{x}, \mathrm{y})=\|x-y\|)$ in V has a limit in V.
- The familiar Eucldean space $K$, where the norm of
- $\mathrm{x}=\left(x_{1}, x_{2}, \ldots \ldots x_{n}\right)$
- is given by
- is a Banach space.

$$
\|x\|=\left(\sum\left|x_{i}\right|^{2}\right)^{1 / 2}
$$

- The space of all continuous functions f :
$[a, b] \rightarrow K$ defined on a closed interval [a,b] becomes a Banach space if we can define the norm of such a function.
- Let us now see how Faddeev eqns resolve the problem of nonuniqueness of the solutions. In order to see this, let us recast these eqns in terms of the three body resolvent G . Starting from the equation

$$
G(z)=G_{0}(z)+G_{0}(z) T(z) G_{0}(z)
$$

- Splitting the T-matrix into three terms

$$
\begin{align*}
& G(z)=G_{0}+G^{(1)}+G^{(2)}+G^{(3)} \\
& \text { with } \quad G^{(i)}=G_{0} T^{(i)} G_{0} \tag{i}
\end{align*}
$$

- The integral equations for the components inserting the Faddeev equations to get

$$
G^{(i)}=G_{0} t_{i} G_{0}+G_{0} \sum_{j=1}^{3}(t)_{i j} G_{0} T^{(j)} G_{0}
$$

- Recall that $\quad G_{i}-G_{0}=G_{0} t_{i}$ Gubstituting it in the above, we get

$$
\left(\begin{array}{l}
G^{(1)} \\
G^{(2)} \\
G^{(3)}
\end{array}\right)=\left(\begin{array}{l}
G_{1}-G_{0} \\
G_{2}-G_{0} \\
G_{3}-G_{0}
\end{array}\right)-G_{0}\left(\begin{array}{lll}
0 & t_{1} & t_{1} \\
t_{2} & 0 & t_{2} \\
t_{3} & t_{3} & 0
\end{array}\right)\left(\begin{array}{l}
G^{(1)} \\
G^{(2)} \\
G^{(3)}
\end{array}\right)
$$

- Consider an eigenstate $\Psi_{n}=\Psi_{i, n}$ of the total Hamiltonian H corresponding to an initial state $\phi_{n}=\phi_{i, n}$ Here the subscript ' $i$ ' refers to the channel index which may take on the values $i=0,1,2,3$ and ' $n$ ' contains additional information on the bound state
- For the particular case $i=1$, we have a situation in which particle 1 is free and the pair $(2,3)$ is bound. This corresponds to the case when the Hamiltonian
, $H=H^{(1)}+\bar{V}$ where

$$
H^{(1)} \phi_{1, n}=E_{1, n} \phi_{1, n} ; G^{(1)}(z)=\frac{1}{z-H^{(1)}}
$$

Thus for the eigenstate

$$
\bar{V}^{(1)}=V_{13}+V_{12} \text { and } H^{(1)}=H_{0}+V_{23}
$$

$$
\Psi_{1, n}=\Psi_{1, n}^{(1)}+\Psi_{1, n}^{(2)}+\Psi_{1, n}^{(3)}
$$

- Operating the first of the resolvent equations

$$
G^{(1)}=G_{1}-G_{0}+G_{0} t_{1}\left(G^{(2)}+G^{(3)}\right)
$$

on the initial state $\quad \phi_{1, n}$

Using the definition $\quad \lim _{\varepsilon \rightarrow 0} i \varepsilon G^{(i)} \phi_{1, n}=\Psi_{1, n}^{(i)}$ We write

$$
\Psi_{1 n}^{(1)}=\lim _{\varepsilon \rightarrow 0} i \varepsilon\left[G_{1}(E+i \varepsilon)-G_{0}(E+i \varepsilon)+\sum_{j=1}^{3} G_{0}(E+i \varepsilon) t_{j} G^{(j)}\right]\left|\phi_{1, n}\right\rangle
$$

Now

$$
G_{1}(E+i \varepsilon)=\frac{1}{E_{1, n}+i \varepsilon-H_{0}-V_{23}} \quad \therefore \lim _{\varepsilon \rightarrow 0} i \varepsilon G_{1}(E+i \varepsilon)\left|\phi_{1, n}\right|=\left|\phi_{1, n}\right\rangle
$$

- But
$\lim _{\varepsilon \rightarrow 0} i \varepsilon G_{1}(E+i \varepsilon)\left|\phi_{j, n}\right\rangle=0$ for $j \neq 1$
Thus

$$
\begin{aligned}
& \Psi_{1, n}^{(1)}=\phi_{1, n}+G_{0} t_{1}\left(\Psi_{1, n}^{(2)}+\Psi_{1, n}^{(3)}\right) \\
& \Psi_{1, n}^{(2)}=G_{0} t_{2}\left(\Psi_{1, n}^{(1)}+\Psi_{1,}^{(3)}\right) \\
& \Psi_{1, n}^{(3)}=G_{0} t_{3}\left(\Psi_{1, n}^{(1)}+\Psi_{1, n}^{(2)}\right)
\end{aligned}
$$

- Is the solution of the inhomogeneous eqn unique? To see, write the homogeneous eqn corresponding to the first eqn:

$$
\Psi_{n}^{(1)}=G_{0}(E) t_{1}(E)\left[\Psi_{n}^{(2)}+\Psi_{n}^{(3)}\right]
$$

- Now

$$
G_{0} t_{1}(E)=G_{1}^{+} V^{1} \quad \text { with } \quad G_{1}=\left(E-H_{0}-V^{1}\right)^{-1}
$$

This gives

$$
G_{1}^{-1} \Psi_{n}^{(1)}=G_{1} V^{1}\left(\Psi_{n}^{(2)}+\Psi_{n}^{(3)}\right)
$$

- Multiplying by from left, we get

$$
\begin{gathered}
\left(E-H_{0}\right) \Psi_{n}^{(1)}=V^{(1)}\left(\Psi_{n}^{(2)}+\Psi_{n}^{(3)}\right)+V^{1} \Psi_{n}^{(1)} \\
=V^{1} \Psi_{n} \quad \text { with } V^{1}=V_{23} \\
\left(E-H_{0}\right) \Psi_{n}^{(2)}=V^{2} \Psi_{n}^{(2)} \text { and }\left(E-H_{0}\right) \Psi_{n}^{(3)}=V^{3} \Psi_{n}^{(3)}
\end{gathered}
$$

- Similarly
- Adding the three eqns for the three components, $, \Psi^{(1)}, \Psi^{(2)}, \Psi^{(3)}$ of $\Psi$
- we get the Schrodinger eqn,

$$
\left(E-H_{0}-V\right) \Psi=0
$$

- which is a solution of the homogeneous part of the Faddeev three coupled eqns for $\Psi$ and clearly corresponds to the three body bound state.


## Lovelace Equations

- A slightly different version of Faddeev Equations leading directly to the transition matrix elements for a process $i \alpha \rightarrow j \beta$
- Define

$$
\begin{gathered}
U_{f i}=V_{i}+V_{f} G V_{i} \\
\text { and } \bar{U}_{f i}=V_{f}+V_{f} G V_{i} \\
V_{i}=V-V^{i} ; V_{1}=V-V^{1}=V_{12}+V_{31} \\
H_{i}=V-V^{i} ; V_{f}=V-V^{f} ; \quad i, f=0,1,2,3
\end{gathered}
$$

- Here $V_{f}=V-V^{f}$ is the interaction between the outgoing particles in the channel f . If, for example, $\mathrm{f}=2$, then $V_{f}$ is the interaction between particle 2 and the bound pair ( 1,3 ) ,i.e, 21+23.
- The off-shell transition matrix-elements for a process i $\alpha$ f $\beta$ are given by

$$
\begin{aligned}
\langle f \beta| T^{(+)}|i \alpha\rangle & =\left\langle\Phi_{f \beta}\left(E_{f \beta}\right)\right| V_{f}+V_{f} G\left(E_{i \alpha}+i \varepsilon\right) V_{i}\left|\Phi_{i \alpha}\left(E_{i \alpha}\right)\right\rangle \\
& =\left\langle\Phi_{f \beta}\left(E_{\beta}\right)\right| \bar{U}_{f i}\left(E_{i \alpha}\right)\left|\Phi_{i \alpha}\left(E_{i \alpha}\right)\right\rangle
\end{aligned}
$$

## and

$$
\begin{aligned}
\langle f \beta| T^{(-)}|i \alpha\rangle & =\left\langle\Phi_{f \beta}\left(E_{f \beta}\right)\right| V_{i}+V_{f} G\left(E_{f \beta}+i \varepsilon\right) V_{i}\left|\Phi_{i \alpha}\left(E_{i \alpha}\right)\right\rangle \\
& =\left\langle\Phi_{f \beta}\left(E_{f i}\right)\right| U_{f \beta}\left(E_{f \beta}\right)\left|\Phi_{i \alpha}\left(E_{i \alpha}\right)\right\rangle
\end{aligned}
$$

The physical on-shell T-matrix is clearly obtained by setting and taking the limit $E_{i \alpha}=E_{f \beta}=E$ To establish the relationship between the Faddeev operators $T^{(i)}$ and the Lovelace operators and $\bar{U}_{f i}$ we use the Faddeev equations and obtain the Lovelace equations

$$
\begin{aligned}
& \bar{U}_{f i}=V_{f}+\sum_{k \neq i} \bar{U}_{f k} G_{0} t_{k} \\
& U_{f i}=V_{i}+\sum_{k \neq f} t_{k} G_{0} U_{k i}
\end{aligned}
$$

