



*CENTRE FOR  
NUCLEAR & RADIATION PHYSICS*

# **Theory of cross-section and polarization effects in $A(d,p)B$ reactions**

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# Outline

- $A(d,p)B$  reactions with exotic beams

Why are these reactions interesting?

Rich source of a range of nuclear properties:

Nuclear Structure and  $V_{np}$

- Nuclear Reaction Theory

Few-body models.

Faddeev methods. How are these linked?

DWBA method

ADW method

- Polarized Deuterons

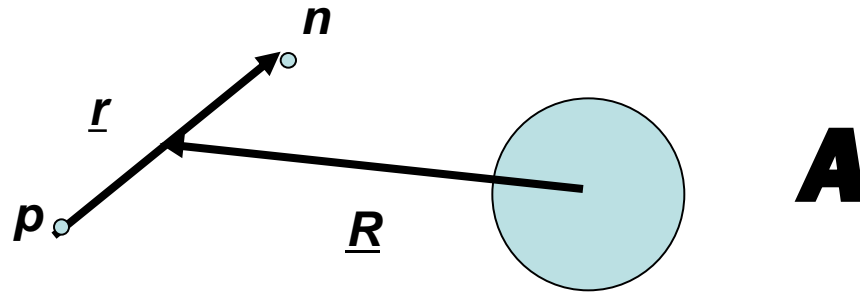
New information: nuclear structure and  $V_{np}$ .

# Why study (d,p) reactions? Nuclear structure aspects.



- (i) Angular distribution of  $p$  gives orbital angular momentum,  $l_n$ , of neutron orbit in B. Polarization may give  $j_n$ .
- (ii) Cross-section magnitude reveals single-particle nature of neutron state in B.
- (iii) Gives insight into the way single particle strength is distributed among states of a given nucleus and over the periodic table.
- (iv) Modern studies seek to answer these questions for exotic nuclei near the drip lines. This may involve experiments in inverse kinematics.
- (v) It is essential that we have credible reaction theories if reliable nuclear structure information is to result.

# Three-body model



$$H = T_R + H_{np} + V(\vec{R}, \vec{r}),$$

$$V(\vec{R}, \vec{r}) \equiv V_{nA}(\vec{R} + \vec{r}/2) + V_{pA}(\vec{R} - \vec{r}/2)$$

$$H_{np} = K_r + V_{np}$$

$$H_{np}\phi_d(\vec{r}) = -\epsilon_d\phi_d(\vec{r}), \quad \epsilon_d = 2.225 \text{ MeV}$$

$$H_{np}\phi_{\vec{k}}^{(+)}(\vec{r}) = \epsilon_k\phi_{\vec{k}}^{(+)}(\vec{r}), \quad 0 < \epsilon_k < \infty,$$

$$\phi_d(\vec{r}) \rightarrow \exp(-\gamma r)/r, \quad r \rightarrow \infty, \quad \gamma = 0.236 \text{ fm}^{-1}$$

# Connection between transition operators of Alt, et al., and Timofeyuk and Johnson

Alt, et al., NPB2(1967)167 :

$$U_{pd} = G_0^{-1} + V_{pA} + (V_{pA} + V_{pn})G(V_{pA} + V_{nA})$$

Equivalent integral equation:

$$U_{pd} = \bar{U}_{pd}(1 - G_0 V_{np}) + \bar{U}_{pd}G_0 V_{np}G_p U_{pd}.$$

Johnson, PRC80(2009)044616

Definition

$$\bar{U}_{pd} = G_0^{-1} + V_{pA} + V_{pA}\bar{G}(V_{pA} + V_{nA})$$

Alternative:

$$\bar{U}_{pd}G_0 = 1 + V_{pA}\bar{G}$$

# On Shell reduction

$$(1 - G_0 V_{np}) | \phi_{\vec{k}_d, d} \rangle = 0.$$

Three-body scattering wavefunction:

$$G_p U_{pd} | \phi_{\vec{k}_d, d} \rangle = | \Psi_{\vec{k}_d, d}^{(+)} \rangle$$

**(d,p) transition matrix:**

$$\begin{aligned} \langle \phi_{\vec{k}_p, B} | U_{pd} | \phi_{\vec{k}_d, d} \rangle &= \langle \phi_{\vec{k}_p, B} | \bar{U}_{pd} G_0 V_{np} G_p U_{pd} | \phi_{\vec{k}_d, d} \rangle \\ &= \langle \bar{\Psi}_{\vec{k}_p, B}^{(-)} | V_{np} | \Psi_{\vec{k}_d, d}^{(+)} \rangle, \end{aligned}$$

$$(E - K - V_{nA} - V_{pA}^*) \bar{\Psi}_{\vec{k}_p, B}^{(-)} = 0.$$

**Timofeyuk and Johnson,  
PRC59(1999)1545**

# Limit $m_n/m_A=0$

$$\begin{aligned}
 & \langle \phi_{\vec{k}_p, B}^- | \bar{U}_{pd} G_0 \\
 &= \langle \phi_{\vec{k}_p, B}^- | \left[ 1 + \left( V_{pA} \frac{1}{E^+ - K_{nA} - V_{nA} - K_{pA} - V_{pA}} \right) \right] \\
 &= \langle \phi_{\vec{k}_p, B}^- | \left[ 1 + \left( V_{pA} \frac{1}{E_p^+ - K_{pA} - V_{pA}} \right) \right] \\
 &= \langle \chi_{\vec{k}_p}^{(-)} \phi_B |, \tag{7}
 \end{aligned}$$

Proton distorted wave:

$$(E - K_{pA} - V_{pA}^*) \chi_{\vec{k}_p}^{(-)}(\vec{r}_{pA}) = 0,$$

Neutron bound  
state:

$$(-\epsilon_B - K_{nA} - V_{nA}) \phi_B(\vec{r}_{nA}) = 0$$

# Many-body generalization

(i) Exact many-body:

$$T_{AB}(d, p) = \langle \Psi_{pB}^{(-)} | V_{np} | \Psi_{dA}^{(+)} \rangle$$

(ii) Direct reaction assumptions:

$$\Psi_{dA}^{(+)} \rightarrow \chi_d^{(+)}(n, p) \Phi_A(1 \dots A)$$

$$\Psi_{pB}^{(-)} \rightarrow \chi_p^{(-)}(p) \Phi_B(1 \dots A, N + 1)$$

$$T_{AB}(d, p) \rightarrow \langle \chi_p^{(-)}(p) \Phi_B(1 \dots A, N + 1) | V_{np} | \chi_d^{(+)}(n, p) \Phi_A(1 \dots A) \rangle$$

$$T_{AB}(d, p) \rightarrow (\sqrt{N + 1})^{-1} \langle \chi_p^{(-)}(p) \phi_{BA}(r) | V_{np} | \chi_d^{(+)}(n, p) \rangle$$



## Overlap Functions-the link with nuclear structure

Definition of Overlap Function for

A(d,p)B:

$$\phi_{BA}(r) = \sqrt{N+1} \int d1..dA \phi_A^*(1..A) \phi_B(1..A, r_{N+1} = r)$$

Definition of Spectroscopic Factor:  $S_{BA} = \int dr | \phi_{BA}(r) |^2$

$$\left[ \text{Approximation: } \phi_{BA}(r) = \sqrt{S_{BA}} \phi^{HF}(r) \right]$$

Definition of ANC:

$$\phi_{BA}(r) \rightarrow C_{BA} \exp(-\lambda r)/r, \quad r \rightarrow \infty.$$

$$\lambda = \sqrt{2mB_n/\hbar^2}.$$

# Inhomogeneous Equation for the Overlap Function.

Timofeyuk, PRL 103(2009)242501, PRC81(2010)064306

$$(S_{BA} + T_r)\langle\phi_A | \phi_B\rangle = \langle\phi_A | (V_A - V_B) | \phi_B\rangle$$

$$\langle\phi_A | \phi_B\rangle = (S_{BA} + T_{r_{nA}})^{-1}\langle\phi_A | (V_A - V_B) | \phi_B\rangle$$

- (i)  $S_{BA}$  is the neutron separation energy.
- (ii)  $V_B - V_A$  is the interaction between the last neutron in  $B$  and the nucleons in  $A$ .

$$\phi_{BA}(\vec{r}_{nA}) \rightarrow C_{BA} \exp(-\lambda_{BA} r_{nA}) / r_{nA}$$

$$\lambda_{BA} = \sqrt{\frac{2\mu_{nA} S_{nA}}{\hbar^2}}$$

## The DWBA

$$\chi_d^{(+)}(n, p) \rightarrow (\chi_d^{(+)})_{\text{elastic}} \left( \frac{n+p}{2} \right) \phi_d(n-p)$$

Deuteron Optical potential

Deuteron Ground State

## Beyond the DWBA. 3-body models

Faddeev equations give  $\chi_d^{(+)}(n, p)$

Fonseca, Deluva, Cravo, Crespo(Lisbon), Moro(Sevilla),  
Nunes (MSU)

# Effective 3-body Hamiltonian.

$$H_{eff} = T_R + H_{np} + \langle \phi_A | U | \phi_A \rangle$$

$$U = (v_{nA} + v_{pA}) + (v_{nA} + v_{pA}) \frac{Q_A}{e} U$$

$$v_{NA} = \sum_{i=1}^A v(N, i)$$

$$U = (U_{nA} + U_{pA}) + U_{nA} \frac{Q_A}{e} U_{pA} + U_{pA} \frac{Q_A}{e} U_{nA} + ..$$

$$U_{nA} = v_{nA} + v_{nA} \frac{Q_A}{e} U_{nA}, \quad U_{pA} = v_{pA} + v_{pA} \frac{Q_A}{e} U_{pA}$$

# Link to n and p optical potentials

$$U_{nA} = v_{nA} + v_{nA} \frac{Q_A}{e} U_{nA}$$

where

$$e = (E^+ - T_R - H_{np} - H_A)$$

Approximation:

$$e \approx (1/2E_d^+ - T_n - H_A)$$

$$U_n \approx v_{nA} + v_{nA} \frac{Q_A}{(1/2E_d^+ - T_n - H_A)} v_{nA} \dots$$

$$= U_{nA}^{opt}(1/2E_d^+)$$

$$V_{nA}^{opt}(r_n, 1/2E_d) = \langle \phi_A | U_{nA}^{opt}(1/2E_d^+) | \phi_A \rangle$$

# Effective 3-body Hamiltonian.

## 1. Multiple scattering corrections

$$H = T_R + T_r + V_{np}(r) + V_{nA}^{opt}(r_n, 1/2E_d) + V_{pA}^{opt}(r_p, 1/2E_d) + \langle \phi_A | U_{nA} \frac{Q_A}{e} U_{pA} | \phi_A \rangle + (n \leftrightarrow p) + \dots$$

High energy: corrections suppressed by the weak correlation of n and p in the deuteron

# Effective 3-body Hamiltonian.

## 1. Multiple scattering corrections

$$H = T_R + T_r + V_{np}(r) + V_{nA}^{opt}(r_n, 1/2E_d) + V_{pA}^{opt}(r_p, 1/2E_d) + \langle \phi_A | U_{nA} \frac{Q_A}{e} U_{pA} | \phi_A \rangle + (n \leftrightarrow p) + \dots$$

## 2. Pauli blocking. The Bethe-Goldstone equation.

$$H \rightarrow T_R + T_r + Q_F V_{np}(r) + V_{nA}^{opt} + V_{pA}^{opt}$$
$$Q_F |n, p\rangle = 0, \quad \text{if } \epsilon_n \text{ or } \epsilon_p < \epsilon_F.$$

Ioannides and Johnson Phys. Rev. C17 (1978)1331.  
Binding energy of a d propagating in nuclear matter.

## Conclusion from many-body considerations.

The usual 3-body model Hamiltonian ignores many-body effects whose importance is not understood quantitatively, especially at low energies. The validity of the model requires that break-up into states of high relative n-p energy ( $>20\text{MeV}$ ) must not play an important role in the 3-body scattering wavefunction.



# What can we learn from Faddeev solutions of 3-body models?

- Important developments in the study of **3-body models** of nuclear reactions using **the Faddeev equations**  
(e.g., Alt, et al, PRC75(2007)05403, Deltuva, et al, PRC76(2007)064602).
- Need to connect **3-body models and real laboratory experiments**.  
(e.g., Catford, NPA701(2002)1), Thomas, et al, PRC76(2007)044302, Lee, et al, PRC73(2006)044608. ).
- We cannot estimate spectroscopic factors by simply taking ratio of experimental cross-sections and Faddeev predictions!
- We use Faddeev equations to understand the validity of approximate evaluations of the (d,p) transition matrix.
- How well do CDCC calculations represent the 3-body dynamics when used in an appropriate matrix expression for the (d,p) transition matrix? (Moro, Nunes and Johnson, 2009)

# Approximate 3-body models

$$T_{AB}(d, p) \rightarrow (\sqrt{N+1})^{-1} \langle \chi_p^{(-)}(p) \phi_{BA}(r) | V_{np} | \chi_d^{(+)}(n, p) \rangle$$

$\chi_d^{(+)}(n, p)$  is needed for  $r_{np}$  inside range of  $V_{np}$  only.

$$V_{np} \chi_d^{(+)}(\vec{r}, \vec{R}) \rightarrow V_{np} \phi_0(\vec{r}) \tilde{\chi}_d^{(+)}(\vec{R})$$

Zero range  $V_{np}$ :

$$(K_R + V_{pA}(R) + V_{nA}(R)) \tilde{\chi}_d^{(+)}(\vec{R}) = E_d \tilde{\chi}_d^{(+)}(\vec{R})$$

**N.B.**  $\tilde{\chi}_d^{(+)}(\vec{R}) \neq (\chi_d^{(+)})_{\text{elastic}}(\vec{R})$

Johnson and Soper (1970)

# Separable $V_{np}$

$$V_{np} = - \sum_{SJM} | f_{SJM} \rangle \langle f_{SJM} |$$

Exact:

$$V_{np} | \phi_{k,11M} \rangle = V_{np} | \phi_{d,M} \rangle \times g(k)$$

Where:

$$g(k) = \frac{\langle f_{11M} | \phi_{k,11M} \rangle}{\langle f_{11M} | \phi_{d,M} \rangle}$$

# The 3-body Wave function inside the range of $V_{np}$

Johnson and Soper, PRC1(1970)976, Johnson, 2<sup>nd</sup> RIA Workshop  
 2005 (AIP Conf Proc 791)

$$\chi_d^{(+)}(\vec{r}, \vec{R}) = (\chi_d^{(+)}(\vec{R}))_{\text{elastic}} \phi_d(\vec{r}) + \int d\vec{k} (\chi_{\vec{k}}(\vec{R}))_{\text{b-up}} \phi_{\vec{k}}(\vec{r})$$

$$V_{np} \phi_{\vec{k}}(\vec{r}) \approx g(k) V_{np} \phi_d(\vec{r}) \quad r < \text{range } V_{np}.$$

$$\begin{aligned} V_{np} \chi_d^{(+)}(\vec{r}, \vec{R}) &= V_{np} \phi_d(\vec{r}) [(\chi_d^{(+)}(\vec{R}))_{\text{elastic}} \\ &+ \int d\vec{k} g(k) (\chi_{\vec{k}}(\vec{R}))_{\text{b-up}}] \\ &= V_{np} \phi_d(\vec{r}) [\tilde{\chi}_d^{(+)}(\vec{R})] \end{aligned}$$

$$\begin{aligned} \tilde{\chi}_d^{(+)}(\vec{R}) &= (\chi_d^{(+)}(\vec{R}))_{\text{elastic}} \\ &+ \int d\vec{k} g(k) (\chi_{\vec{k}}(\vec{R}))_{\text{b-up}} \end{aligned}$$

# Application to (d,p)

Johnson and Soper PRC1(1970)976); Harvey and Johnson, PRC3(1971)636;  
Wales and Johnson, NPA274(1976))168.

$$V_{np} \Psi_{\vec{K}_d}^{ad}(\vec{R}, \vec{r}) = V_{np} \phi_0(\vec{r}) \chi_{\vec{K}_d}^{ad(+)}(\vec{R}, \vec{r}) = V_{np} \phi_0(\vec{r}) \chi_{\vec{K}_d}^{ad(+)}(\vec{R}, 0)$$

$$T_{d,p} = \int d\vec{R} \chi_p^{(-)*}(\vec{R}) \phi_n^*(\vec{R}) [V_{np} \phi_0(\vec{r})] \chi_{\vec{K}_d}^{ad(+)}(\vec{R}, 0)$$

$$(T_R + V(\vec{R}, 0) - E_d) \chi_{\vec{K}_d}^{ad(+)}(\vec{R}, 0) = 0$$

$$V(R, 0) = V_{nA}(R) + V_{pA}(R)$$

**N.B.**

$V(R, 0)$  not designed to fit elastic deuteron scattering. Designed to generate amplitude for finding  $n$  and  $p$  at  $R$  with  $r$  less than range of  $V_{np}$  and not necessarily in the form of a deuteron in its ground state.



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## The CDCC Method

$$\Psi_{\vec{K}}^{(+)}(\vec{r}, \vec{R}) = \sum_s \psi_s(\vec{r}) \chi_s(\vec{R})$$

$$\langle \psi_s | H_{np} | \psi_{s'} \rangle = \epsilon_s \delta_{s,s'}$$

$$(E_d - \epsilon_s - T_R) \chi_s(\vec{R}) = \sum_{s'} \langle \psi_s | (V_{nA} + V_{pA}) | \psi_{s'} \rangle \chi_{s'}(\vec{R})$$

$$\Psi_{\vec{K}}^{(+)}(\vec{r}, \vec{R}) \xrightarrow{\epsilon_s \rightarrow 0} \Psi_{\vec{K}}^{\text{ad}}(\vec{r}, \vec{R})$$

Austern, et al, Phys.Rep.154(1987)125.

Al-Khalili and Tostevin, in "Scattering" Academic Press 2002, p1373.

Comparison with Faddeev calculations:

Deltuva, Moro, Cravo, Nunes and Fonseca, PRC76(2007)064602

Alt, Blokhintsev, Mukhamedzhanov and Sattarov,

PRC75(02007)054003.

# The Sturmian Method of Johnson and Tandy

*Johnson and Tandy NuclPhys A235(1974)56*

$$\Psi_{\vec{K}}^{(+)}(\vec{r}, \vec{R}) = \sum_0^{\infty} \bar{\phi}_i(\vec{r}) \bar{\chi}_i(\vec{R})$$

$$(T_r + \alpha_i V_{np}) \bar{\phi}_i = -\epsilon_0 \bar{\phi}_i \quad \langle \bar{\phi}_i | V_{np} | \bar{\phi}_j \rangle = -\delta_{i,j}$$

$$V_{np} \Psi_{\vec{K}}^{(+)}(\vec{r}, \vec{R}) \approx V_{np} \bar{\phi}_0(\vec{r}) \bar{\chi}_0(\vec{R})$$

$$\bar{V}(R) = \frac{\langle \phi_0 | V_{np} (V_{nA} + V_{pA}) | \phi_0 \rangle}{\langle \phi_0 | V_{np} | \phi_0 \rangle}$$

Finite range version of Johnson-Soper:

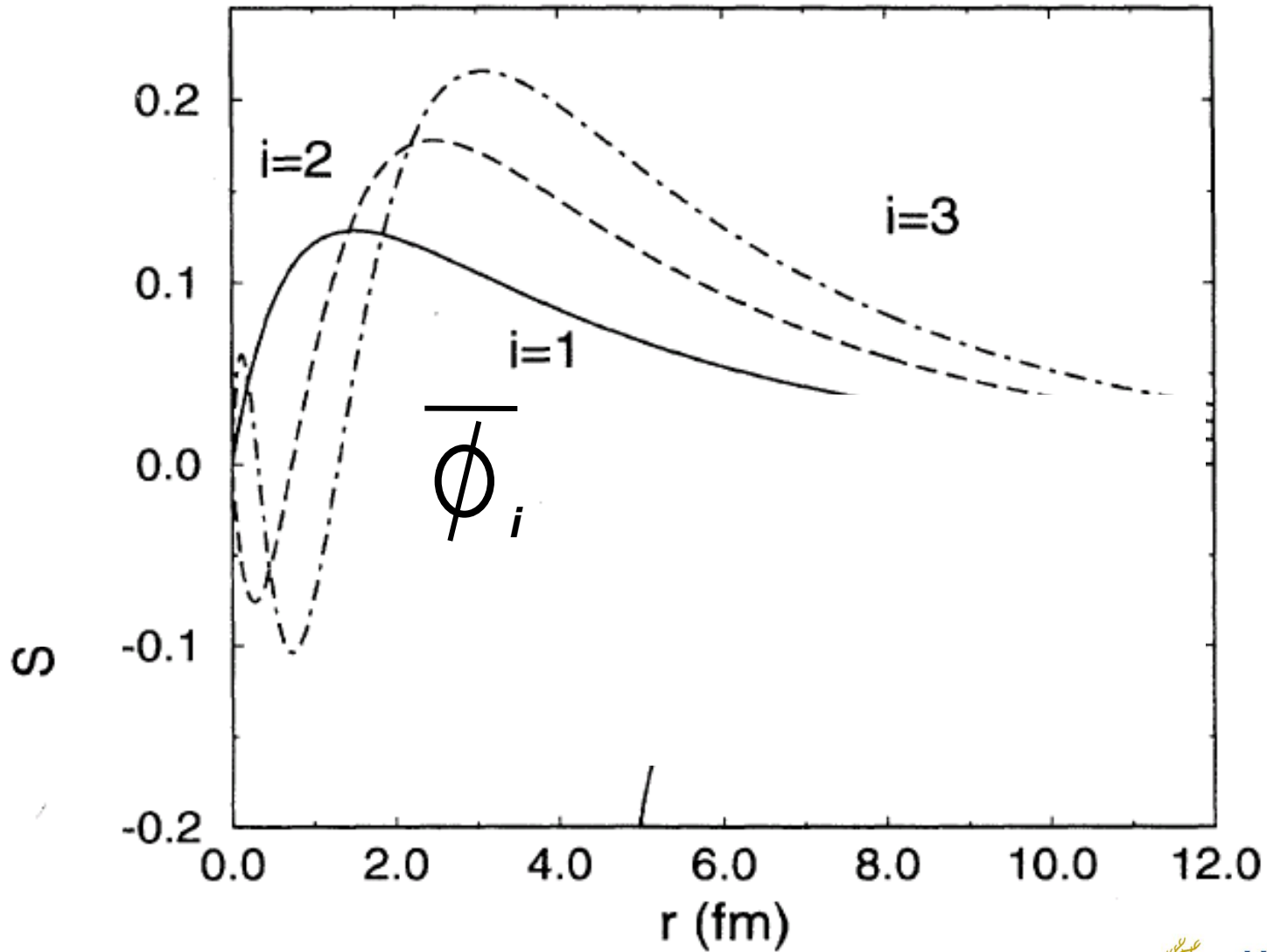
$$(T_R + \bar{V}(\vec{R}) - E_d) \bar{\chi}_0(\vec{R}) = 0$$

Zero range  $V_{np}$ :

$$\bar{V}(R) \rightarrow (V_{nA}(\vec{R}) + V_{pA}(\vec{R}))$$



# Sturmian States for $V_{np}$ (Hulthen)





# Adiabatic Distorted Wave model

$$T_{AB}(d, p) \rightarrow (\sqrt{N+1})^{-1} \langle \chi_p^{(-)}(p) \phi_{BA}(r) | V_{np} | \chi_d^{(+)}(n, p) \rangle$$

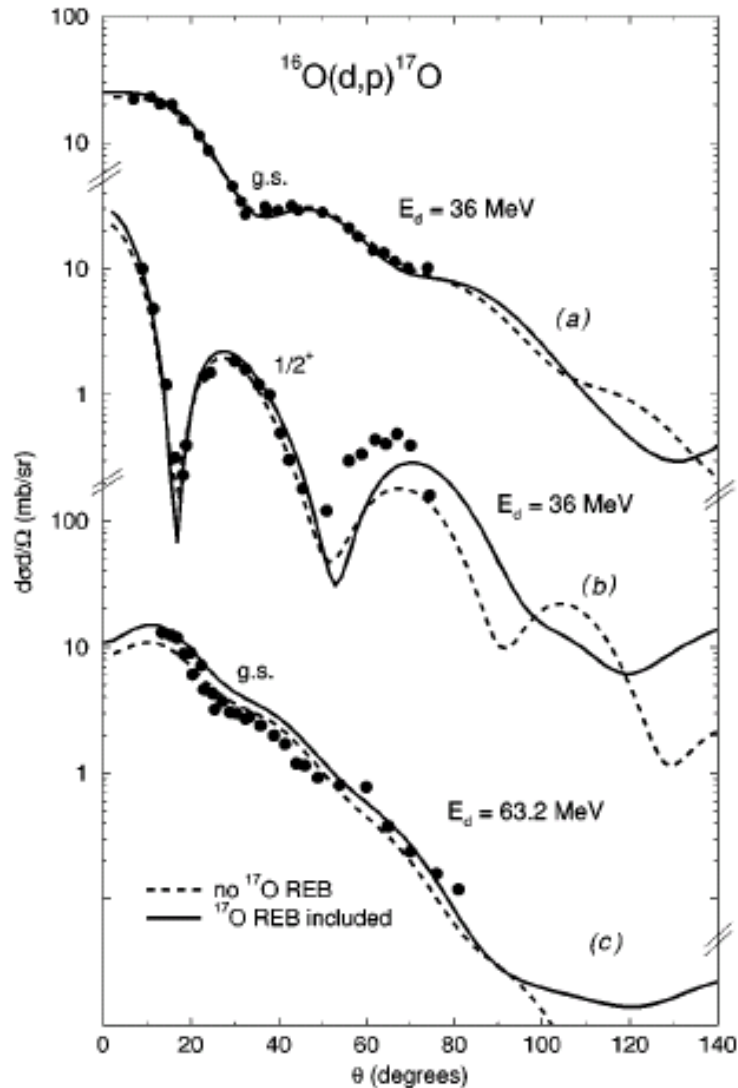
$$V_{np} \chi_d^{(+)}(\vec{r}, \vec{R}) \rightarrow V_{np} \phi_0(\vec{r}) \tilde{\chi}_d^{(+)}(\vec{R})$$

$$\bar{V}(R) = \frac{\langle \phi_0 | V_{np}(V_{nA} + V_{pA}) | \phi_0 \rangle}{\langle \phi_0 | V_{np} | \phi_0 \rangle}$$

## Some applications of the ADW model to (d,p) and (p,d) reactions.

- 1 Cadmus and Haeberli, Nucl Phys A327(1979)419; A349(1980)103.  $E_d=12.9\text{MeV}$ , Sn target. DWBA fails. ADW works.
2. Liu, et al, Phys.Rev.C69(2004)064313  $E_d=12\text{-}60\text{MeV}$ ,  $^{12}\text{C}(d,p)^{13}\text{C}$  and  $^{13}\text{C}(p,d)^{12}\text{C}$ . Consistent spectroscopic factors with ADW.
5. Lee, et al, Phys.Rev.C73(2006)064320. Links with Hartree-Fock densities
3. Jones, et al., Nature, 465(2010)454. Magic nature of  $^{132}\text{Sn}$  with  $^{132}\text{Sn}(d,p)^{133}\text{Sn}$ . DWBA used!
4. Catford, et al, Phys.Rev.Lett. 104(2010)192501 Study of nuclear shell gaps through  $^{24}\text{Ne}(d,p)^{25}\text{Ne}$ .

# Application of ADW method



Timofeyuk and Johnson  
Phys.Rev.C 59(1999)1545

Data:Cooper, Hornyak and Roos,  
Nucl.Phys.A218(1974)249

FIG. 2. (a)  $^{16}\text{O}(d,p)^{17}\text{O}(\text{g.s.})$  at  $E_d=36$  MeV, (b)  $^{16}\text{O}(d,p)^{17}\text{O}(1/2^+)$  at  $E_d=36$  MeV, and (c)  $^{16}\text{O}(d,p)^{17}\text{O}(\text{g.s.})$  at  $E_d=63.2$  MeV calculated with deuteron breakup taken into account. Solid lines denote REB and dashed, no-REB calculations.

# Dependence of (d,p) crosssections on $V_{np}$

(i) Explicit in (d,p) matrix element

$$\langle \vec{k} | V_{np} | \phi_d \rangle = D_0 \left( 1 - \frac{k^2}{\beta^2} \dots \right).$$

$$D_0 = \int d\vec{r} V_{np} \phi_d,$$

$$\frac{1}{\beta^2} = \frac{1}{6} \frac{\int d\vec{r} r^2 V_{np} \phi_d}{\int d\vec{r} V_{np} \phi_d}.$$

**(ii) In the distorted wave**

## Deuteron Analyzing Powers

Cross-section for polarized d beam :

$$d\sigma/d\Omega = (d\sigma/d\Omega)_0 \left[ 1 + \frac{3}{2} \vec{A} \cdot \vec{P}_d + \text{2nd rank tensor terms} \right]$$

$$\vec{A} = \vec{n} \begin{cases} \frac{\ell}{3(\ell+1)} \frac{\langle \vec{\ell} \cdot \vec{n} \rangle}{\ell} & \text{if } j = \ell + 1/2 \\ -\frac{1}{3} \frac{\langle \vec{\ell} \cdot \vec{n} \rangle}{\ell} & \text{if } j = \ell - 1/2 \end{cases}$$

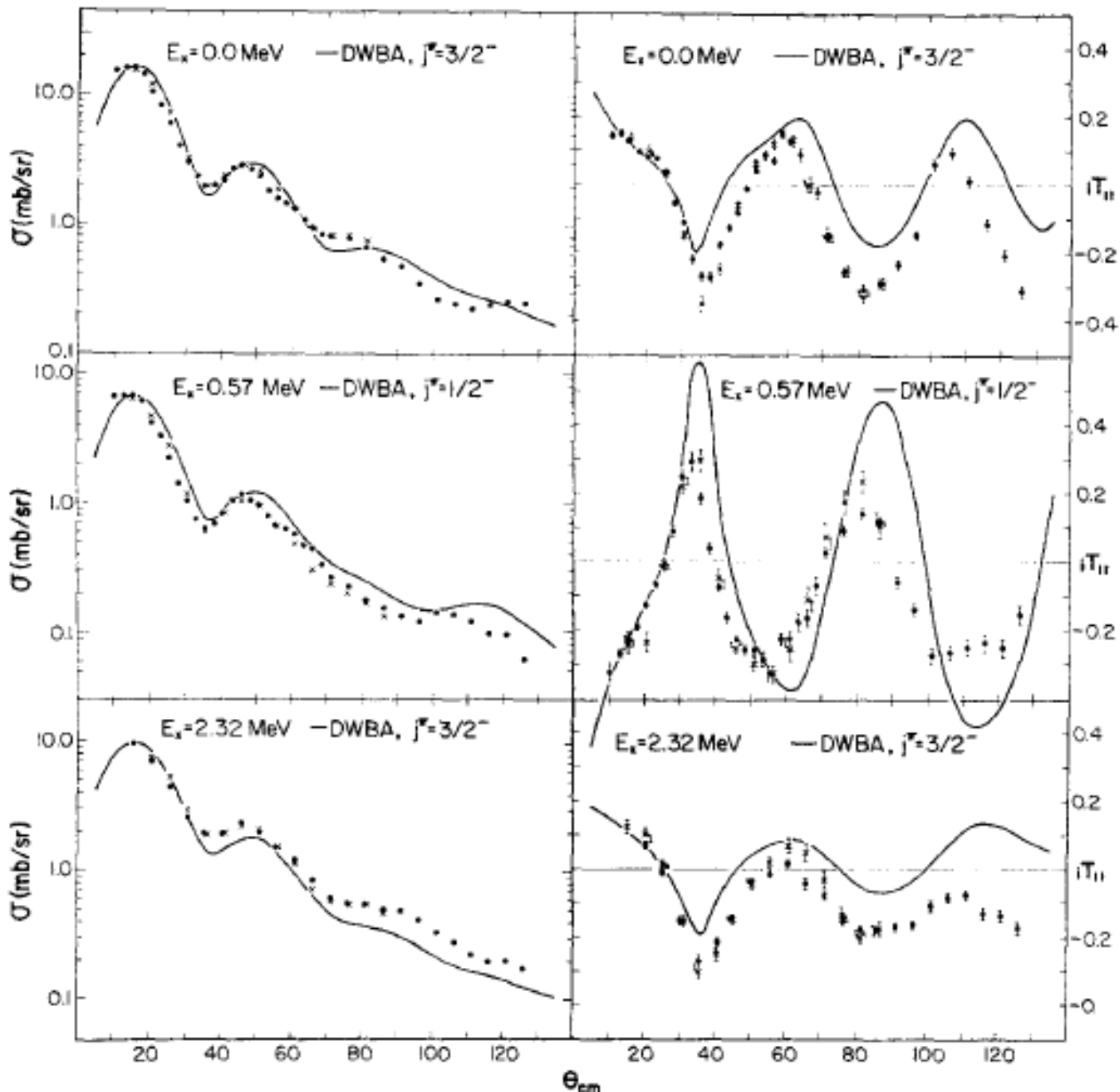
$$\langle \vec{\ell} \cdot \vec{n} \rangle = \frac{\sum_m m |B_{\ell m}|^2}{\sum_m |B_{\ell m}|^2} \quad \vec{n} = \vec{k}_d \times \vec{k}_p / |\vec{k}_d \times \vec{k}_p|$$

$$B_{\ell m} = \langle \bar{\Psi}_{\vec{k}_p}^{(-)}(p) u_{\ell j} Y_{\ell m}(n) | V_{np} | \phi_d \chi_{\vec{k}_d, d}^{(+)} \rangle$$

# Nuclear Structure: J-dependence of Vector Analyzing Power

$$\frac{\vec{A} \cdot \vec{n}(\theta)_{j=\ell+\frac{1}{2}}}{\vec{A} \cdot \vec{n}(\theta)_{j=\ell-\frac{1}{2}}} = -\frac{\ell}{\ell+1}.$$

$^{52}\text{Cr}(d,p)^{53}\text{Cr}$   $E_d=10\text{MeV}$



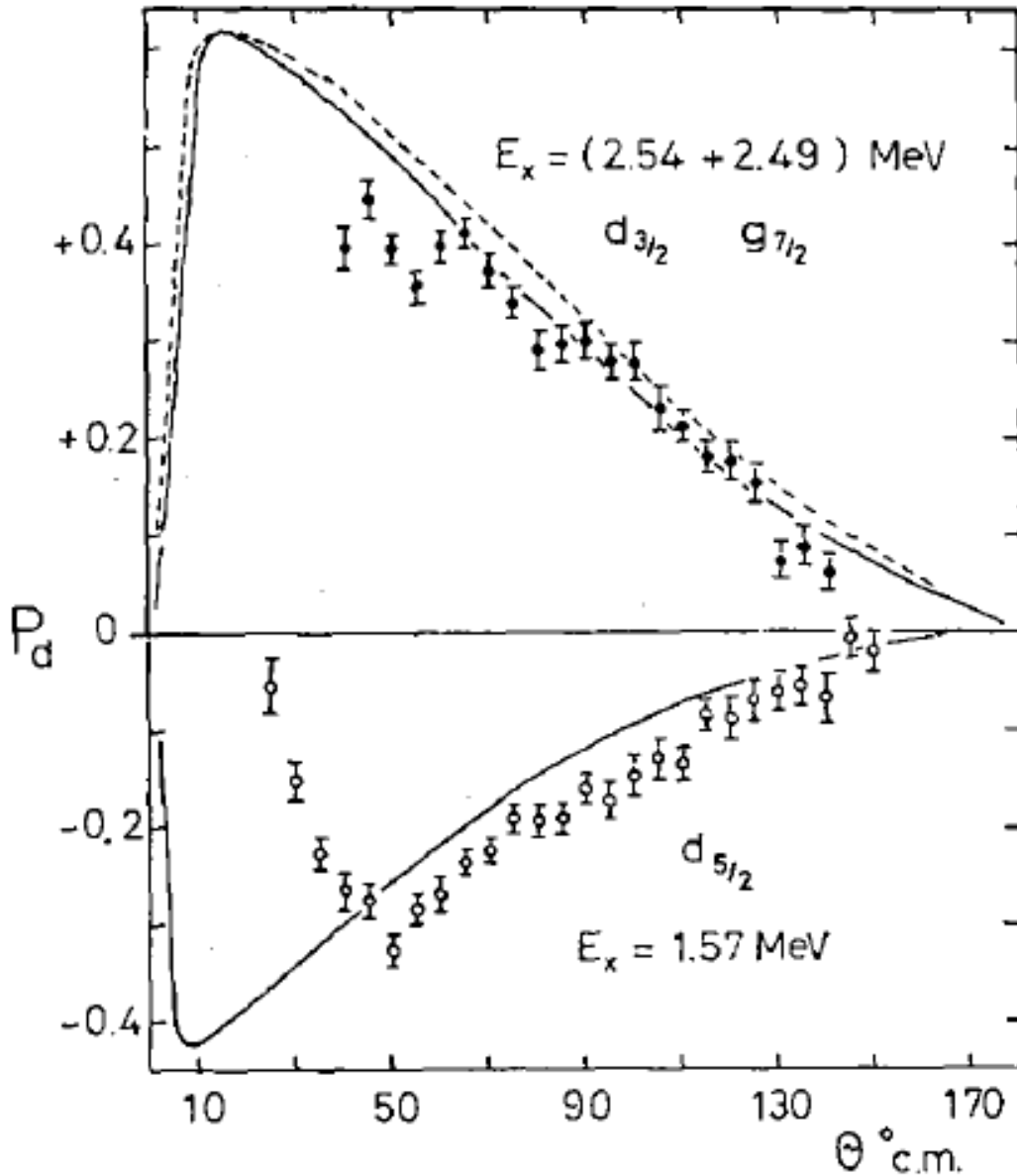
Kocher and  
Haeberli  
Nucl.Phys.A196  
(1972)225

$$iT_{11} =$$

$$3^{1/2}/2 A_y$$

# Sub-Coulomb Analyzing Power ( $P_d=A$ )

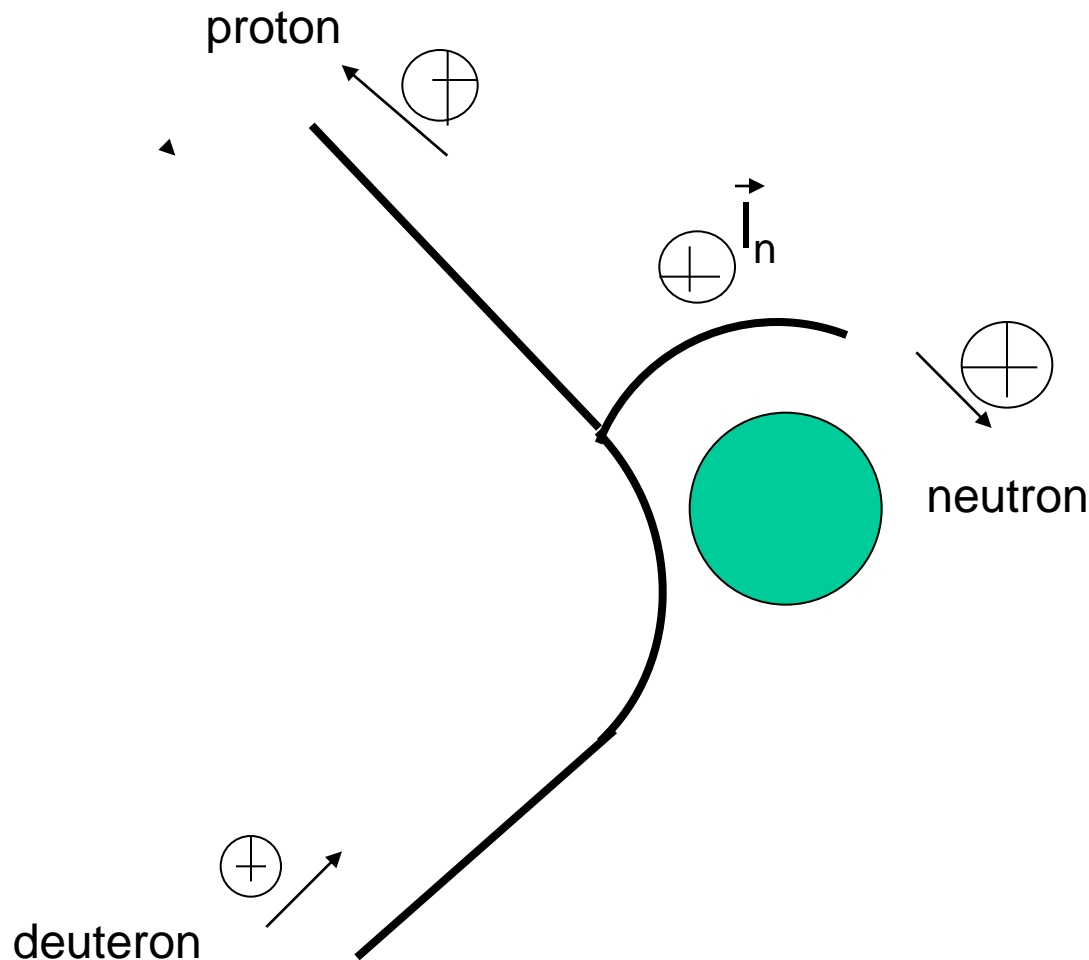
$^{208}\text{Pb}(d,p)^{209}\text{Pb}$   $E_d=12.3\text{MeV}$



Debenham, Griffith,  
Irshad and Roman,  
Nucl.Phys.A151(1970)81



# Sub-Coulomb (d,p) with $j_n = l_n + 1/2$



$$\vec{P}_{inc} \cdot \vec{n} = -1$$

## Tensor analyzing powers

$$T_{2q}(\theta), \quad q = -2, -1, 0, 1, 2. \quad T_{2-q} = (-1)^q T_{2q}^*,$$

Completely aligned along beam direction:

$$P_{M=+1} = P_{M=-1}, \quad P_{M=0} = 0.$$

$$d\sigma/d\Omega = (d\sigma/d\Omega)_0 \left[ 1 + \frac{1}{\sqrt{2}} T_{20}(\theta) \right]$$

## Novel Information about $V_{np}$ .

Plane wave limit (Johnson, Nucl.Phys.A90(1967)289)

$$T_{20} = -2 \frac{\Delta + \sqrt{1/8 \Delta^2}}{1 + \Delta^2}$$

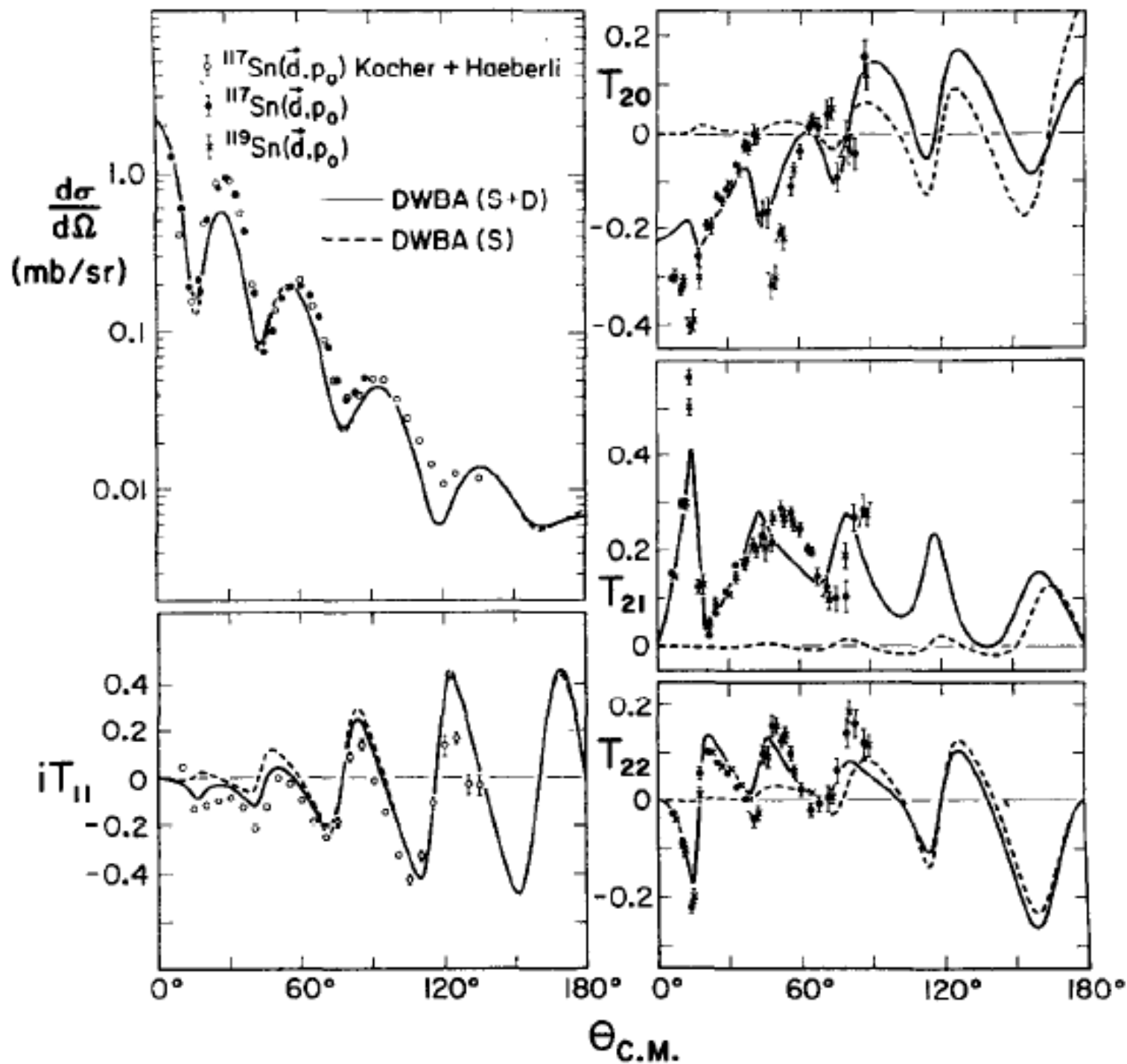
$$\Delta(K) = \frac{u_2(K)}{u_0(K)}, \quad \vec{K} = \frac{1}{2} \vec{k}_d - \vec{k}_p.$$

$$\Delta(K) = D_2 K^2 + \dots \text{for small } K.$$

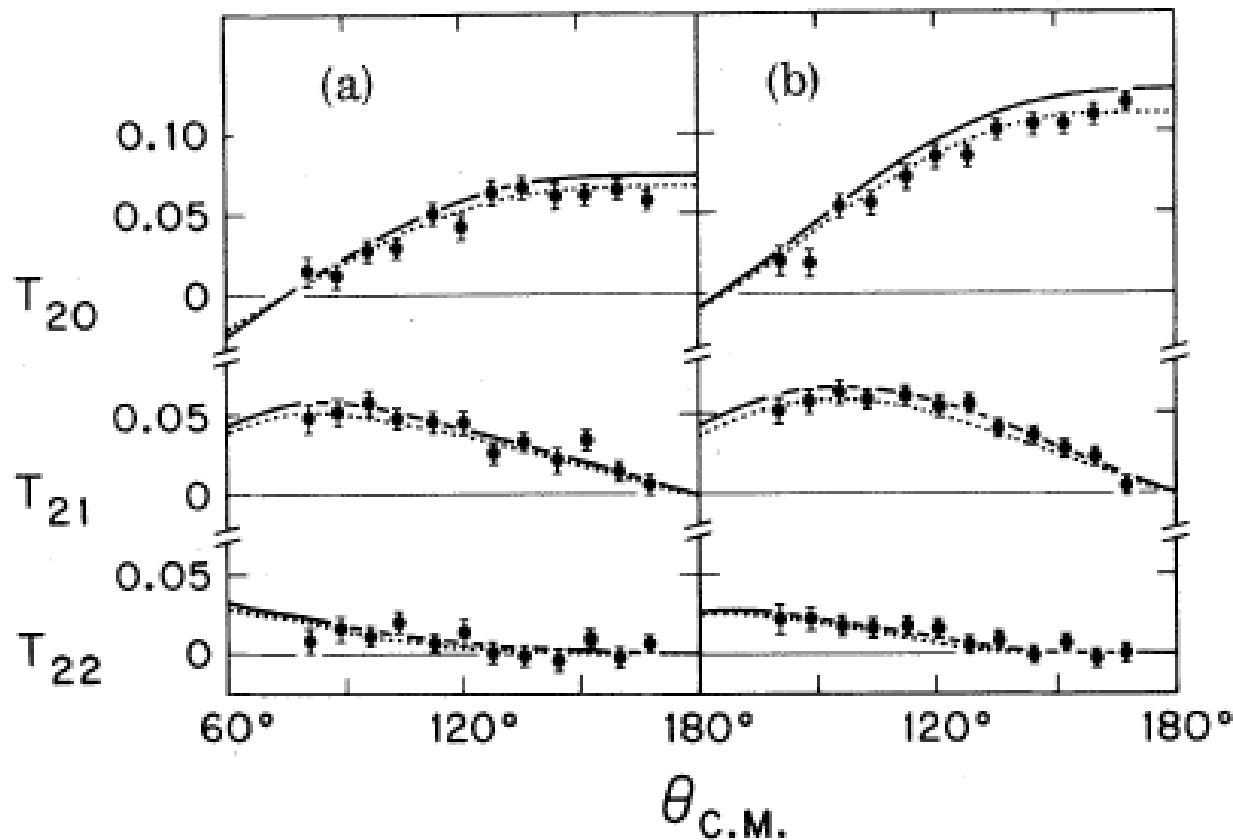
$$D_2 = \sqrt{2} F |Q_0| \quad F=1 \text{ to 1 percent}$$

$$D_2 = \frac{\eta}{M \epsilon_d / \hbar^2}$$

$$u_2(r)/u_0(r) \xrightarrow{r \rightarrow \infty} \eta$$



Knutson,  
 Thomson,  
 Meyer,  
 Nucl.Phys.  
 A241(1975)36



Knutson, Haeberli  
 Phys.Rev.Lett.  
 35(1975)560

FIG. 2. Angular distributions of the tensor analyzing powers  $T_{20}$ ,  $T_{21}$ , and  $T_{22}$  for the reaction  $^{208}\text{Pb}(d,p)^{209}\text{Pb}$  at 9 MeV leading to states with (a)  $J^\pi = \frac{1}{2}^+$ ,  $E_x = 2.03$  MeV and (b)  $J^\pi = \frac{5}{2}^+$ ,  $E_x = 1.57$  MeV. The displayed errors are statistical only. The curves show the result of DWBA calculations which include the effects of the deuteron  $D$  state. The solid curves were obtained with  $D_2 = 0.484 \text{ fm}^2$ . The dotted curves show the best fit to the measurements, which was obtained with  $D_2 = 0.432 \text{ fm}^2$ .

# Overview of ADW and adiabatic methods

1. ADW method gives a simple prescription for taking into account coherent, 'entangled', effects of d break-up on (d,p) reactions.
2. Johnson and Soper sought to justify their assumptions ('adiabatic') by applying the adiabatic idea to 20 MeV elastic d scattering, with some success.
3. They were able to explain some outstanding discrepancies between old DWBA calculations and experiment. New theory could use existing codes and was simple to implement. Need for deuteron optical potentials disappeared.
4. Johnson and Tandy introduced a new approach which made clear that the adiabatic assumption was not a necessary condition for the validity of the J-S method for transfer. Implemented by Laid, Tostevin and Johnson.
5. For application to low energy the big question is 'What is the correct 3-body Hamiltonian?'
6. Validity of adiabatic approximation for **elastic scattering** investigated by comparison with CDCC calculations. Generalised to 3-body projectiles.

# Concluding Remarks

## 1. 3-body aspects of (d,p).

DWBA does not work.

3-body wavefunction needed within  $V_{np}$  only.

Adiabatic approximation is sufficient but not necessary condition for the J-T distorting potential to be valid for transfer.

Evidence for validity at low energy(4 MeV/A).

Need to extend work of Laid et al PRC48(1993)1307 on Johnson-Tandy expansion to low energy.

Validity of adiabatic approximation for elastic d scattering is a separate question.

(See Summers, et al, PRC66(2002)014614).

## 2. Overlap functions.

What properties of this quantity are measured in any one (d,p) experiment?

See Nunes, et al, PRCC72(2005)017602, C75(2005)024601.

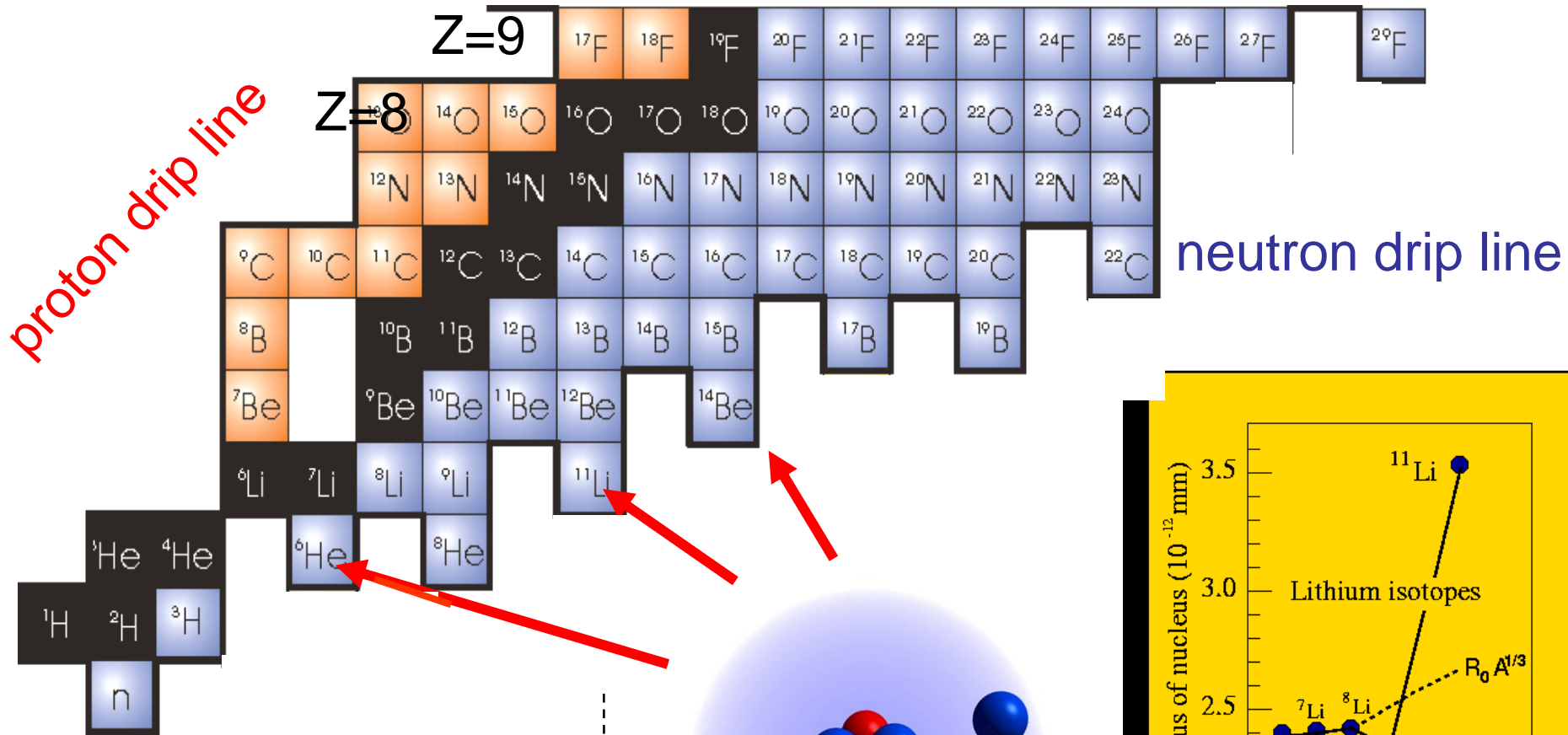
## 3. What is the effective 3-body Hamiltonian at low energy?

Multiple scattering effects?

Effective  $V_{np}$ ? Pauli blocking?

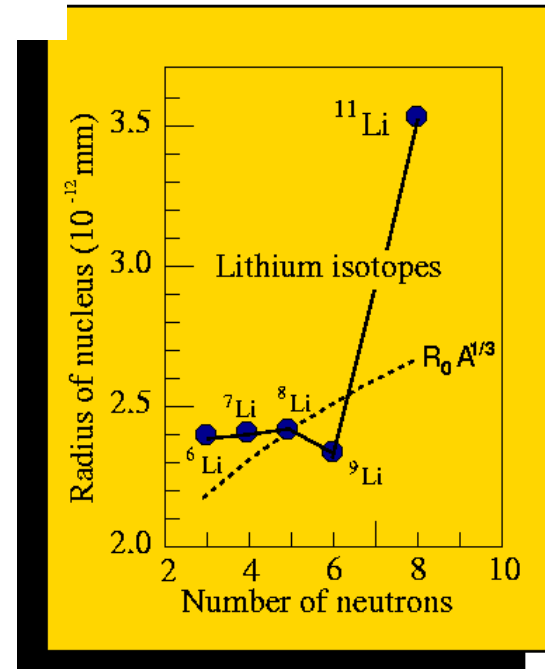
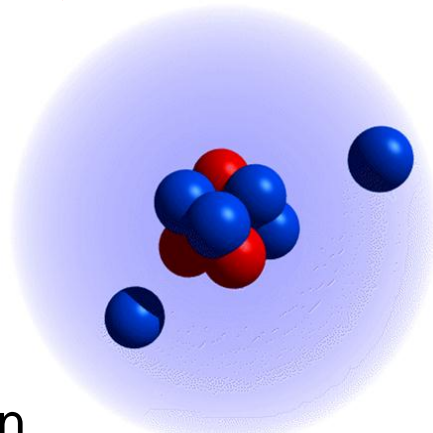
## 4. To deduce reliable nuclear structure information we need a co-ordinated programme of transfer and relevant nucleon elastic and inelastic scattering measurements, including polarization variables.

# Part of the N-Z plane



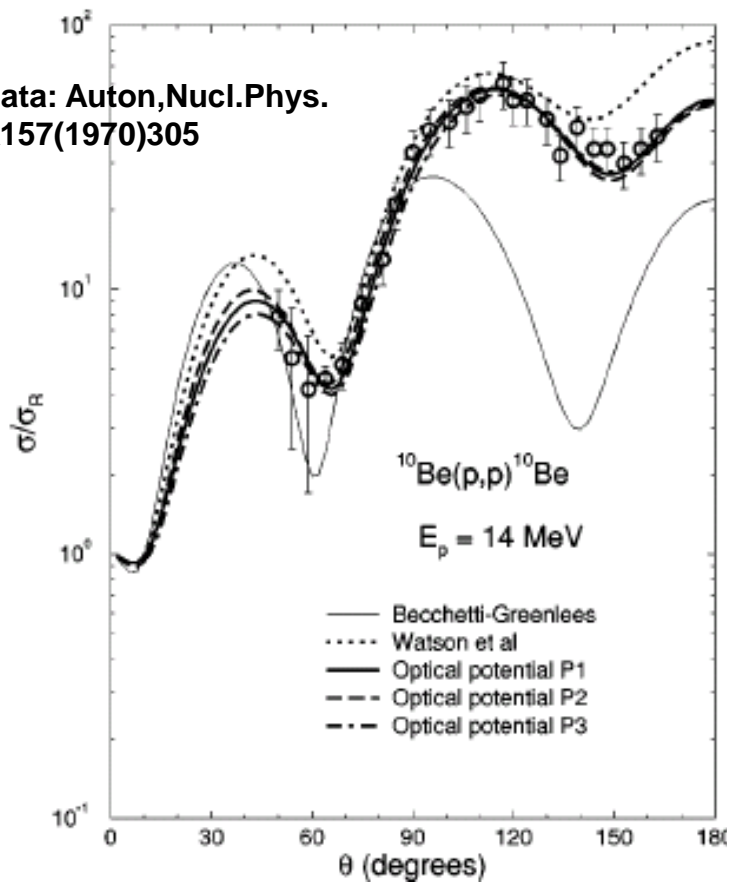
N=8

Borromean  
halo nuclei





# Application of ADW method to $^{10}\text{Be}(d,p)^{11}\text{Be}(\text{g.s.})$

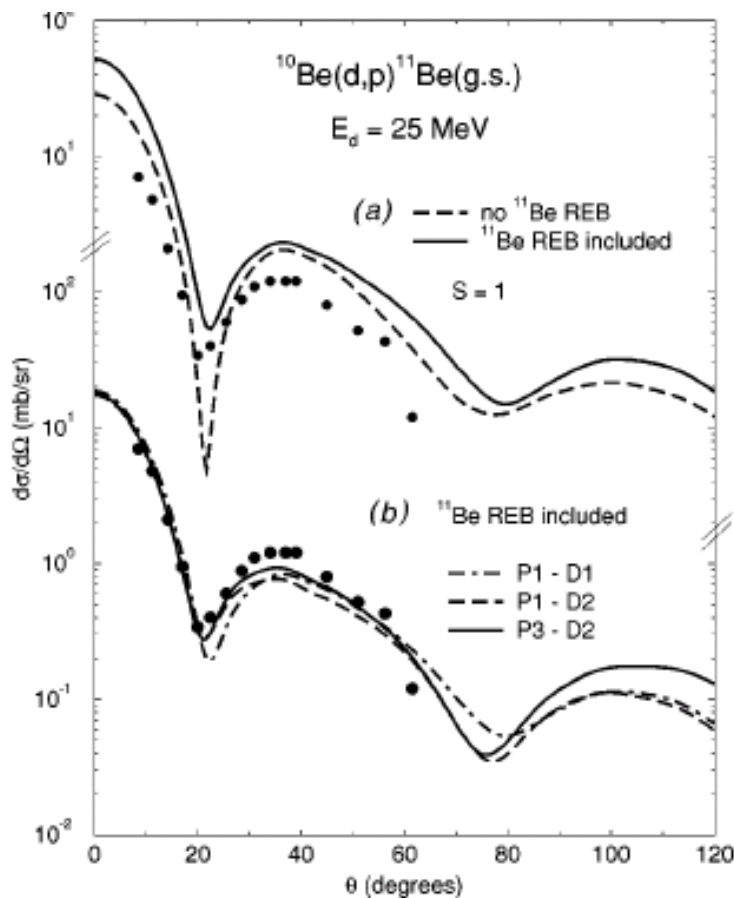


Data: Auton, Nucl. Phys. A157(1970)305

$^{10}\text{Be}(p,p)^{10}\text{Be}$   
 $E_p = 14 \text{ MeV}$

— Becchetti-Greenlees  
 ..... Watson et al  
 — Optical potential P1  
 - - - Optical potential P2  
 - · - Optical potential P3

FIG. 3. Elastic scattering of the  $p + ^{10}\text{Be}$  at  $E_p = 14 \text{ MeV}$  calculated with different proton optical potentials.



$^{10}\text{Be}(d,p)^{11}\text{Be}(\text{g.s.})$   
 $E_d = 25 \text{ MeV}$

(a) - - - no  $^{11}\text{Be}$  REB  
 —  $^{11}\text{Be}$  REB included  
 $S = 1$

(b)  $^{11}\text{Be}$  REB included  
 - - - P1 - D1  
 - · - P1 - D2  
 — P3 - D2

FIG. 4. Cross sections of the  $^{10}\text{Be}(d,p)^{11}\text{Be}$  reaction at  $E_d = 25 \text{ MeV}$  calculated with adiabatic deuteron wave function. (a) Calculations have been done with proton optical potential P1 and deuteron adiabatic potential D1; spectroscopic factor  $S=1$  was used. (b) Different sets of proton and deuteron optical potentials were used and theoretical curves are normalized to the experimental data.

Data:  
 Zwieglinki, et al. Nucl. Phys. A315(1979)124

Timofeyuk and Johnson  
 Phys. Rev. 59(1999)1545

## Proof of alternative formula for $U_{pd}$

$$\begin{aligned}\bar{U}_{pd}G_0 &= 1 + V_{pA}G_0 + V_{pA}\bar{G}(V_{pA} + V_{nA})G_0 \\ &= 1 + V_{pA}G_0 + V_{pA}(\bar{G} - G_0) \\ &= 1 + V_{pA}\bar{G},\end{aligned}$$

Important identity:

$$\begin{aligned}\bar{G} - G_0 &= \bar{G}(G_0^{-1} - \bar{G}^{-1})G_0 \\ &= \bar{G}[(z - K) - (z - K - V_{nA} - V_{pA})]G_0 \\ &= \bar{G}(V_{nA} + V_{pA})G_0.\end{aligned}$$

# Integral equation for $U_{pd}$ (2)

$$G = G_p U_{pd} G_d$$

$$\begin{aligned} G_p U_{pd} &= G G_d^{-1} = G(G_d^{-1} - G^{-1}) + 1 \\ &= G(V_{nA} + V_{pA}) + 1, \end{aligned}$$

$$\begin{aligned} U_{pd} &= \bar{U}_{pd}[1 + G_0 V_{np}(G_p U_{pd} - 1)] \\ &= \bar{U}_{pd} G_0 V_{np} G_p U_{pd} + \bar{U}_{pd}(1 - G_0 V_{np}). \end{aligned}$$

$$\begin{aligned} (1 - G_0 V_{np})|\phi_{\vec{k}_d, d}\rangle &= G_0(G_0^{-1} - V_{np})|\phi_{\vec{k}_d, d}\rangle \\ &= G_0(G_0^{-1} - V_{np})|\phi_{\vec{k}_d, d}\rangle \\ &= G_0(E + i\varepsilon - K - V_{np})|\phi_{\vec{k}_d, d}\rangle \\ &= i\varepsilon G_0|\phi_{\vec{k}_d, d}\rangle, = 0 \end{aligned}$$

General:  $U_{\beta\alpha}|\phi_\alpha\rangle = \bar{U}_{\beta\alpha} G_0 V_\alpha G_\beta U_{\beta\alpha}|\phi_\alpha\rangle \quad \alpha \neq \beta$

$$G_p U_{pd} |\phi_{\vec{k}_d, d}\rangle = |\Psi_{\vec{k}_d, d}^{(+)}\rangle$$

$$G = G_p U_{pd} G_d$$

$$\iota \varepsilon G_d |\phi_{\vec{k}_d, d}\rangle = |\phi_{\vec{k}_d, d}\rangle$$

$$|\Psi_{\vec{k}_d, d}^{(+)}\rangle = \iota \varepsilon G |\phi_{\vec{k}_d, d}\rangle$$

$$\begin{aligned} \langle \phi_{\vec{k}_p, B} | U_{pd} | \phi_{\vec{k}_d, d} \rangle &= \langle \phi_{\vec{k}_p, B} | \bar{U}_{pd} G_0 V_{np} G_p U_{pd} | \phi_{\vec{k}_d, d} \rangle \\ &= \langle \bar{\Psi}_{\vec{k}_p, B}^{(-)} | V_{np} | \Psi_{\vec{k}_d, d}^{(+)} \rangle, \end{aligned}$$

$$(E - K - V_{nA} - V_{pA}^*) \bar{\Psi}_{\vec{k}_p, B}^{(-)} = 0.$$

Timofeyuk and  
Johnson(1999)

**Connection between transition operators of Alt, et al., (1967) and Timofeyuk and Johnson (PRC80,044616(2009))**

Alt, et al. :

$$U_{pd} = G_0^{-1} + V_{pA} + (V_{pA} + V_{pn})G(V_{pA} + V_{nA})$$

Equivalent on-shell: Johnson, PRC80(2009)044616

$$U_{pd} = \bar{U}_{pd}G_0 V_{np} G_p U_{pd}$$

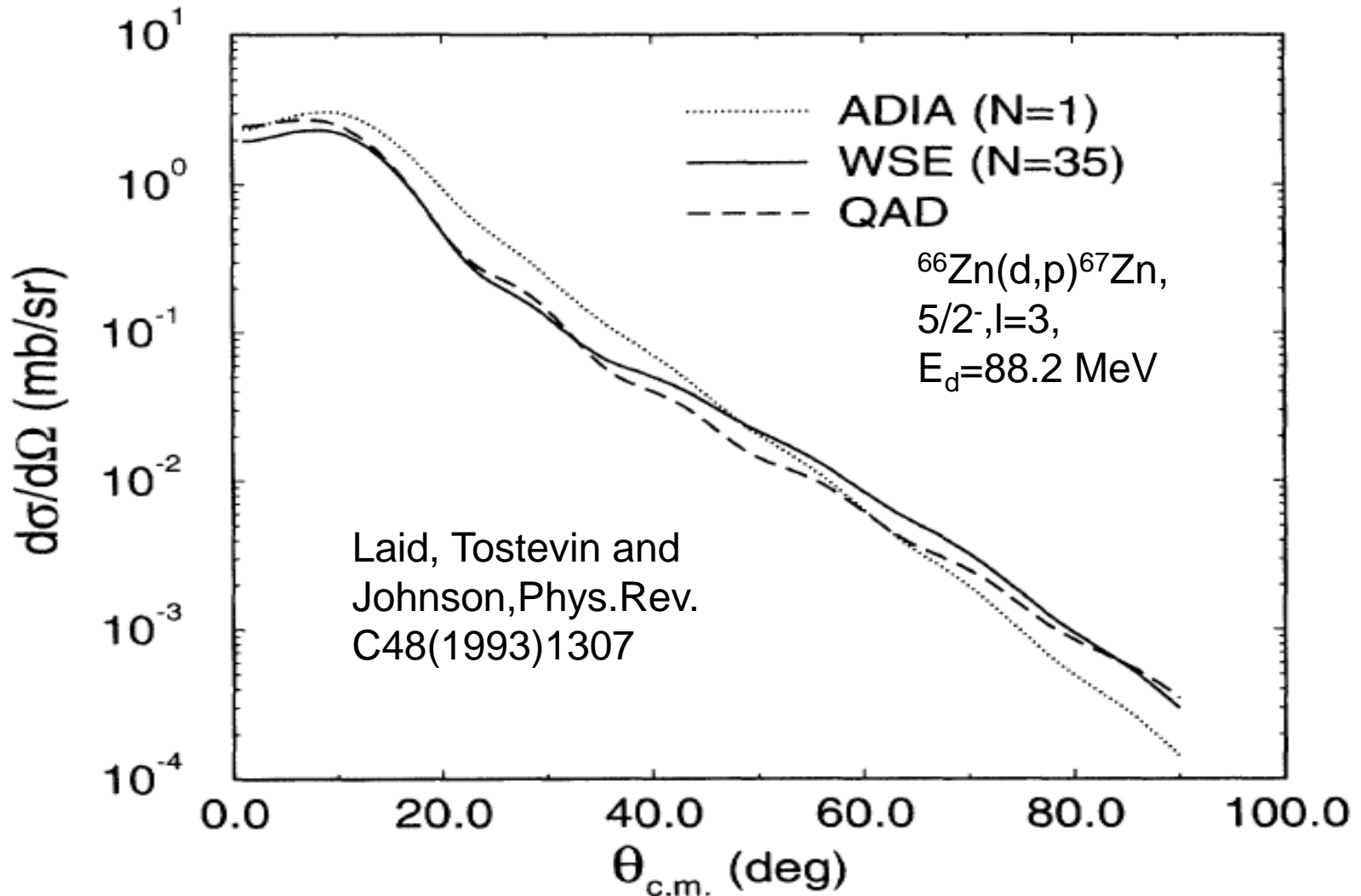
Definition:

$$\bar{U}_{pd} = G_0^{-1} + V_{pA} + V_{pA}\bar{G}(V_{pA} + V_{nA})$$

Alternative:

$$\bar{U}_{pd}G_0 = 1 + V_{pA}\bar{G}$$

# Corrections to the Adiabatic approximation at $E_d=88.2$ MeV



# Integral equation for $U_{pd}$ (1)

$$\begin{aligned}U_{pd} &= G_0^{-1} + V_{pA} + V_{pA}G(V_{pA} + V_{nA}) \\ &\quad + V_{pn}G(V_{pA} + V_{nA}) \\ &= G_0^{-1} + V_{pA} + V_{pA}(\bar{G} + \bar{G}V_{np}G)(V_{pA} + V_{nA}) \\ &\quad + V_{pn}G(V_{pA} + V_{nA}) \\ &= \bar{U}_{pd} + V_{pA}\bar{G}V_{np}G(V_{pA} + V_{nA}) \\ &\quad + V_{pn}G(V_{pA} + V_{nA}) \\ &= \bar{U}_{pd} + (V_{pA}\bar{G} + 1)V_{np}G(V_{pA} + V_{nA}),\end{aligned}$$

Use identity previously proved:

$$U_{pd} = \bar{U}_{pd}[1 + G_0V_{np}G(V_{pA} + V_{nA})]$$

## Concluding Remark



1. “Adiabatic” approximation. Must distinguish between

(a) Stripping and pick-up.

Johnson and Soper, Johnson and Tandy;

Laid, Tostevin and Johnson, PRC(1993)1307.

(b) Elastic Scattering.

Summers, Tostevin and Johnson, PRC66(2002)014614.

### Adiabatic Distorted Waves:

2. Implementation needs optical potentials for **nucleons**, not deuterons.

3. CDCC is very complicated to implement. It needs excited continuum state wavefunctions.

4-body CDCC: Matsimoto, et al, PRC70,(2004)061601,

Rodriguez-Gallardo, et al, PRC77064609(2008)

4-body adiabatic: Christley, et al, Nucl.Phys.A624(1997)275.



## Deuteron break-up effects

1. In 1970 Johnson-Soper and Harvey-Johnson gave a simple prescription for taking into account coherent, 'entangled', effects of d break-up on (d,p) reactions. Need for Deuteron optical potentials disappears. Only need NUCLEON optical potentials at several energies. No change in the way nuclear structure parameters (overlap functions, spectroscopic factors, ANC's) appear in the theory.
2. J-S sought to justify their assumptions ('adiabatic') by applying the same ideas to 20 MeV elastic d scattering, with some success. (See Chau Huu-Tau, Nucl.Phys.A773(2006)56;A776(2006)80 for CDCC developments.)
3. H-J were able to explain some outstanding discrepancies between old DWBA calculations and experiment. New theory could use existing codes and was simple to implement..
4. Johnson and Tandy introduced a new approach which made clear that the adiabatic assumption was not a necessary condition for the validity of the J-S method for transfer. Implemented by Laid, Tostevin and Johnson.
5. For application to modern low energy experiments (GANIL, MSU) the big question is 'What is the correct 3-body Hamiltonian?'
6. Validity of adiabatic approximation for elastic scattering investigated by comparison with CDCC calculations. Generalised to 3-body projectiles.

# Calculating Transfer Amplitudes: B(p,d)A

**The 3-body Schroedinger equation:**

$$(E - K - V_{nA} - V_{pA} - U_i) | \Psi \rangle = (V_{np} - U_i) | \Psi \rangle$$

**Exact solution of inhomogeneous equation:**

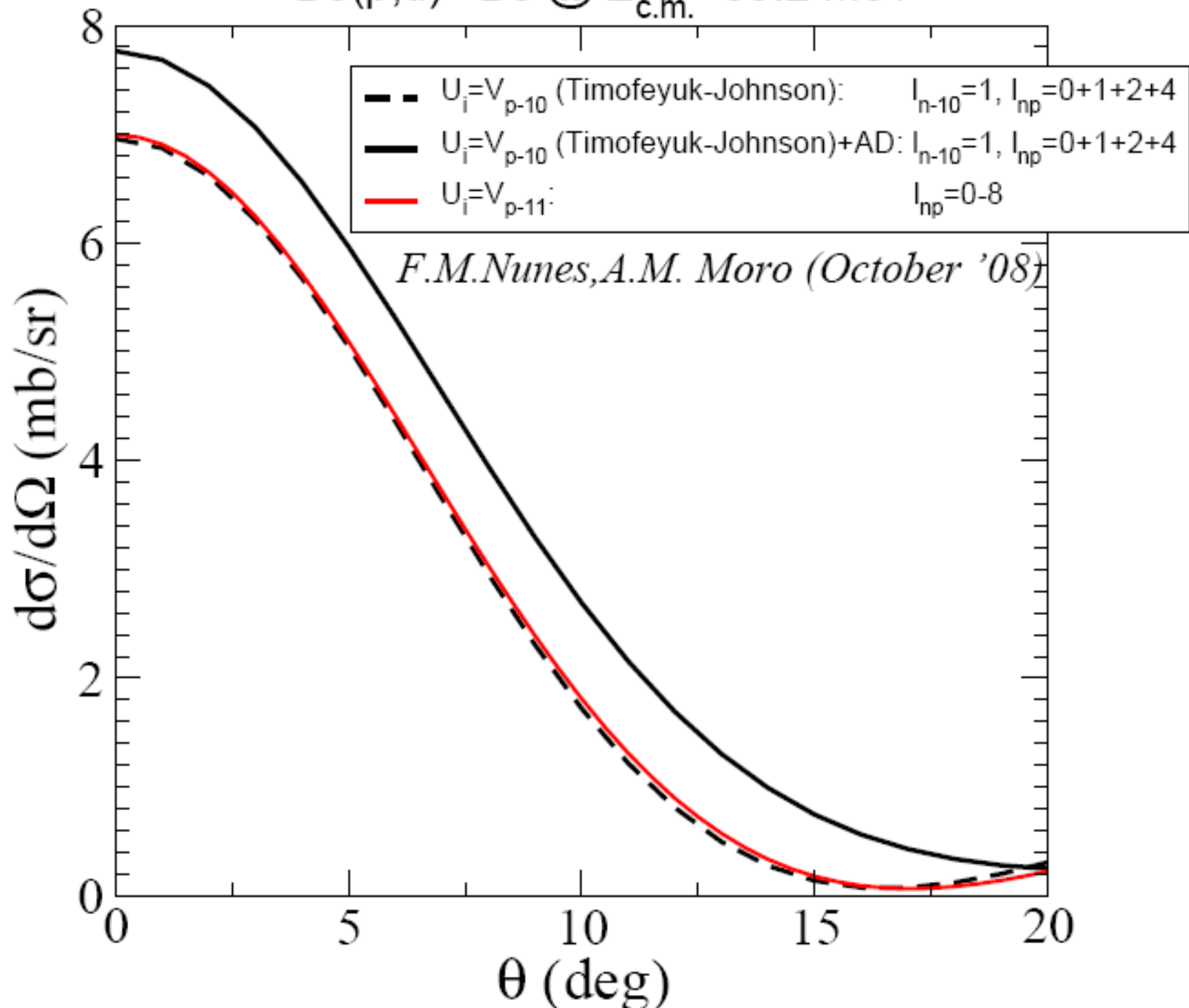
$$T_{\text{prior}} = \langle \Psi_{dA}^{(-)} | V_{pn} + V_{pA} - U_i | \Phi_i^{(+)} \rangle$$

$$\langle \Psi_{dA}^{(-)} | (E + i\epsilon - H) = i\epsilon \langle \phi_d, \mathbf{K}_d |$$

$$[E + i\epsilon - K - V_{nA} - U_i] | \Phi_i^{(+)} \rangle$$

$$= i\epsilon | \phi_B \mathbf{K}_p \rangle$$

${}^9\text{Be}(p,d){}^{10}\text{Be}$  @  $E_{\text{c.m.}} = 35.2$  MeV



# DWBA

- (i) Ignore all components of the 3-body wave function except the elastic A(d, d)A components.
- (ii) Find deuteron and proton optical potentials which correctly describe elastic data.
- (iii) Deuteron excitation (break-up) and excitation of A taken into account only in so far as these channels influence elastic d channel.

$$\Psi_{\vec{K}_d}^{el}(\vec{R}, \vec{r}) = \phi_0(\vec{r}) \chi_{\vec{K}_d}^{el(+)}(\vec{R}),$$

$$(T_R + V^{opt}(\vec{R}) - E_d) \chi_{\vec{K}_d}^{el(+)}(\vec{R}) = 0,$$

$$\chi_{\vec{K}_d}^{el(+)}(\vec{R}) \xrightarrow{R \rightarrow \infty} \exp(i\vec{K}_d \cdot \vec{R}) + f^{el}(\hat{R}) \frac{\exp(iK_d R)}{R}.$$

$$T_{dW} = \langle \chi_p^{(-)} \phi_n | V_{np} | \Psi_{\vec{K}_d}^{el} \rangle,$$

$$B_A = \langle \chi_p^{(-)} \phi_n | V_{np} | \phi_0 \chi_{\vec{K}_d}^{el(+)} \rangle,$$

