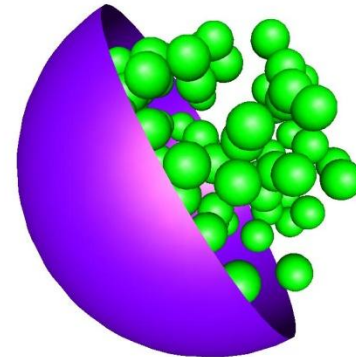


Nucleation at **extreme** supersaturations

David Reguera



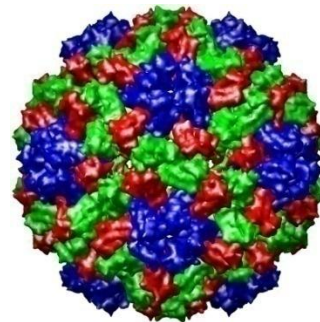
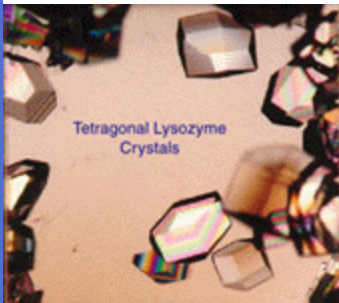
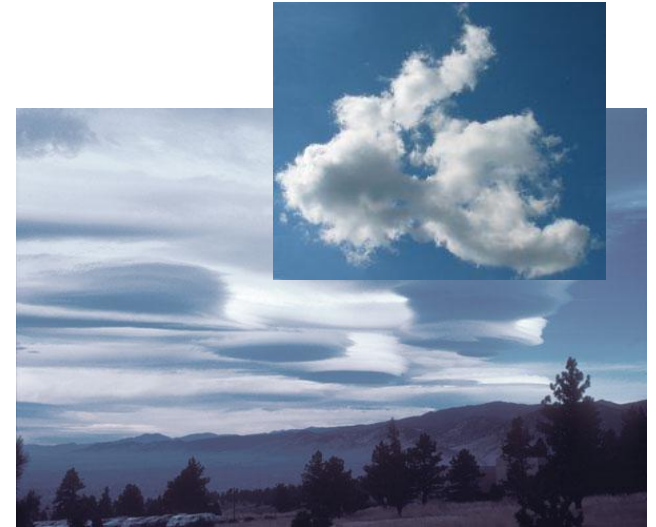
UNIVERSITAT DE BARCELONA



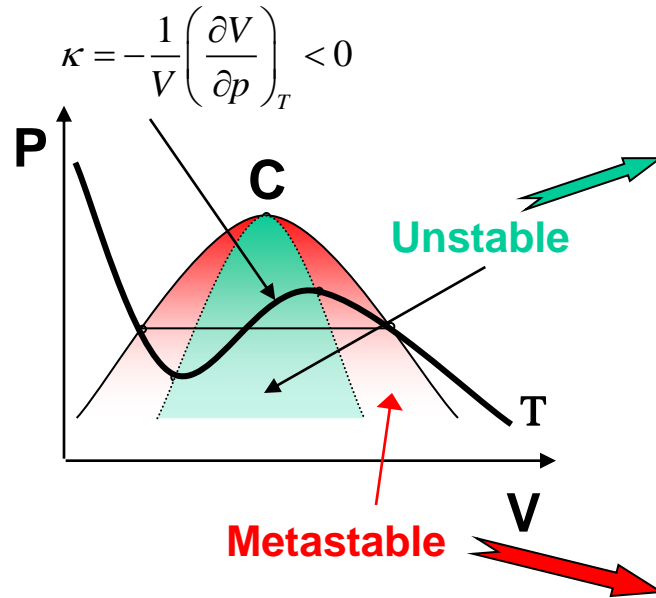
DEPARTAMENT DE FÍSICA
FONAMENTAL

Why is nucleation important?

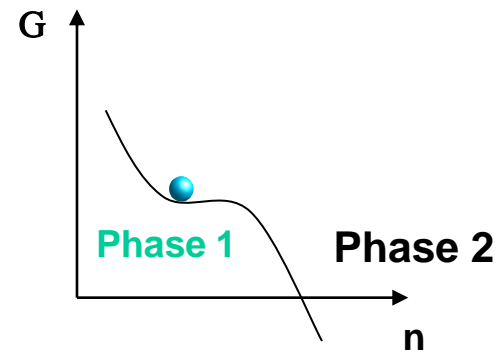
Nucleation is the first and crucial step in many phase transitions



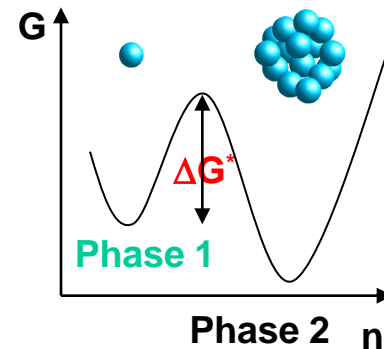
Introduction



Spinodal Decomposition



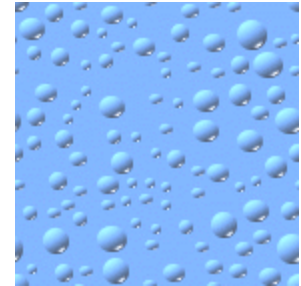
Nucleation



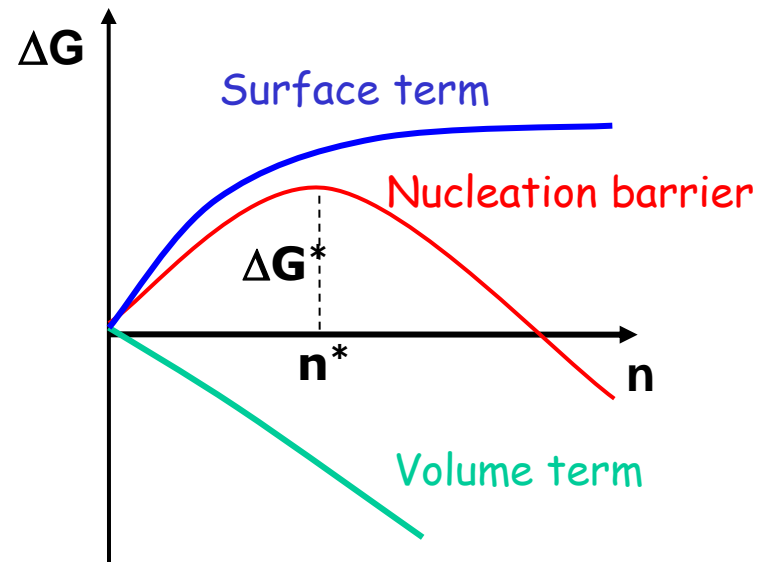
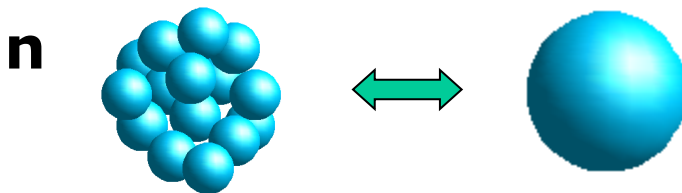
- Supersaturation: $S = p/p_{eq}$
- Nucleation Barrier: ΔG^*
- Critical size: n^*
- Nucleation Rate: J

Simplest example of a nucleation process: condensation of a vapor

- **Nucleation:** Initial mechanism that explains the formation of the first embryos of the new phase
- Classical Nucleation Theory



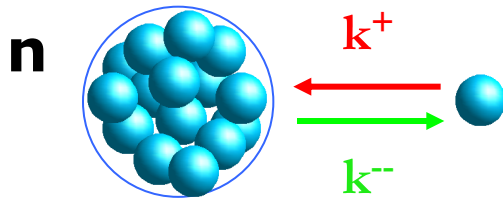
$$\Delta G_{\text{CNT}}(n) = -\Delta\mu n + \gamma A(n)$$



$$\Delta G^* = \frac{16\pi}{3} \frac{v_l^2 \gamma^3}{(kT \ln S)^2}$$

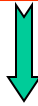
$$n^* = \frac{32\pi}{3} \frac{v_l^2 \gamma^3}{(kT \ln S)^3}$$

Nucleation Kinetics



Nucleation kinetics:

$$\frac{df(n,t)}{dt} = k^+(n-1)f(n-1,t) - k^-(n)f(n,t) - k^+(n)f(n,t) + k^-(n+1)f(n+1,t)$$



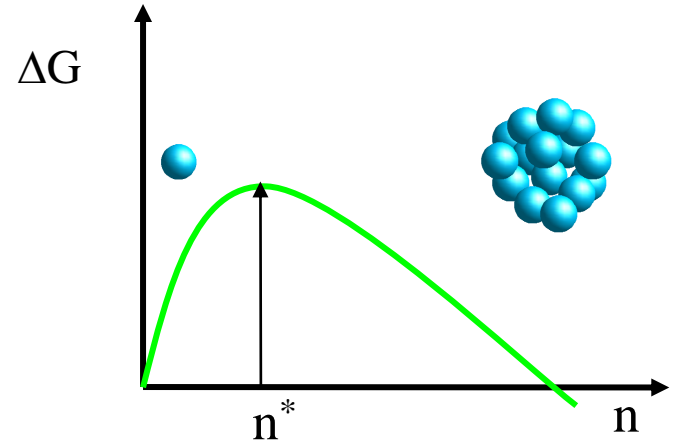
$$\frac{\partial f}{\partial t} = \frac{\partial}{\partial n} \left(k^+(n) \frac{\partial f}{\partial n} + \frac{k^+(n)}{k_B T} \frac{\partial \Delta G(n)}{\partial n} f \right)$$

Boundary conditions

$$\left. \frac{f}{f_{eq}} \right|_{n \rightarrow 0} = 1 \quad \left. \frac{f}{f_{eq}} \right|_{n \gg n^*} = 0$$

$$\frac{\Delta G^*}{kT} \gg 1$$

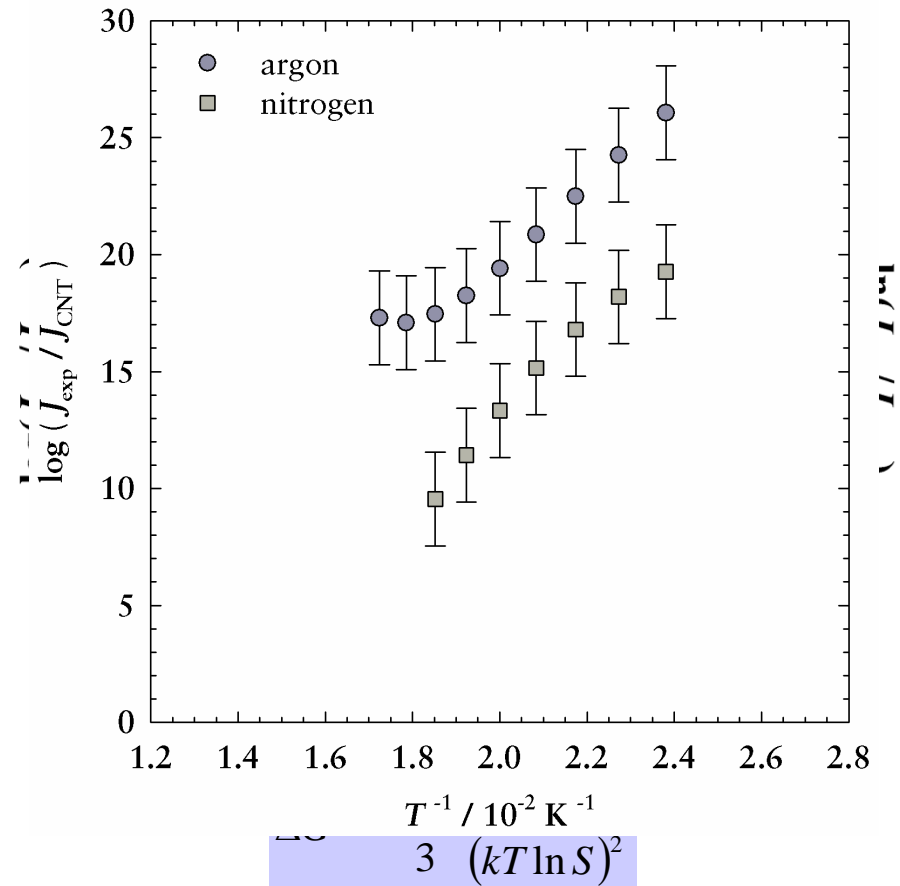
$$J_S = N_{tot} k^+(n^*) Z e^{-\frac{\Delta G^*}{k_B T}}$$



Nucleation rate

How good is CNT?

- Predominant theory: CNT
 - Only uses bulk (measurable) thermodynamic parameters
- CNT has serious problems:
 - Wrong T dependence of nucleation rates:
 - J_{CNT} too high at high T
 - J_{CNT} too low at low T
 - It does not account for the spinodal



Outline

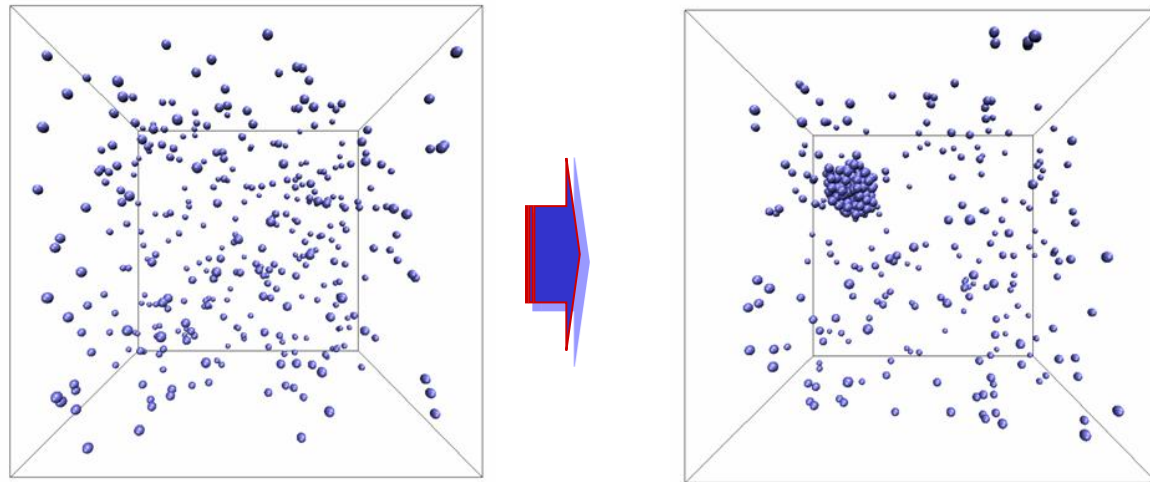
Nucleation at extreme supersaturations

- MD Simulations:
 - Optimization of system size: Finite-size effects
 - A new method to evaluate rates: MFPT
 - Kinetic reconstruction of the free-energy landscape
- MD results:
 - Nucleation Rates, critical cluster sizes and barrier heights
 - Comparison with CNT
 - Transition between nucleation and spinodal decomposition
- Conclusions and outlook

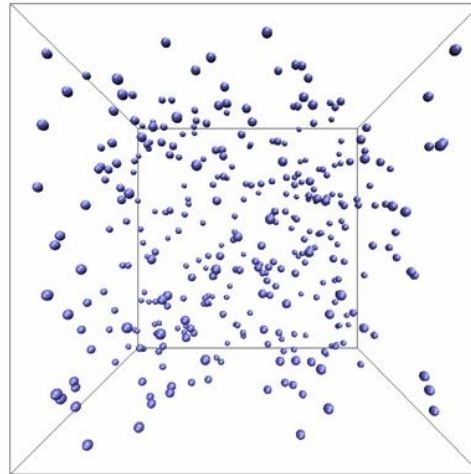
MD Simulations of Lennard-Jones Argon Nucleation:

MD “brute force” simulations
in the **canonical** (NVT) ensemble

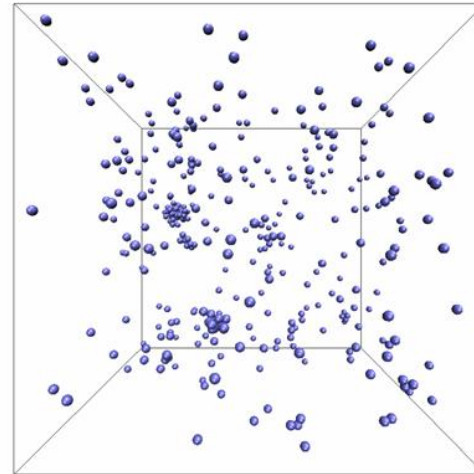
$T = 80.7\text{K}$ ($T^*=0.67$)



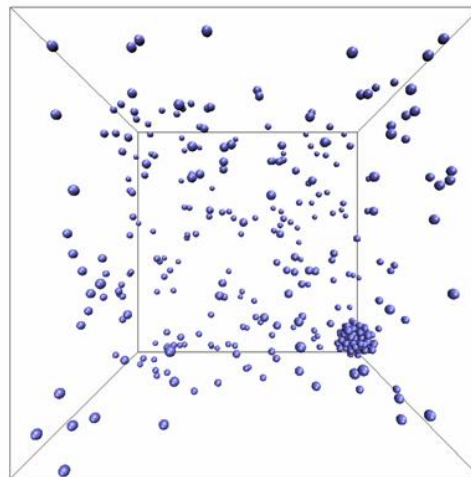
Molecular Dynamics Simulation⁴⁾



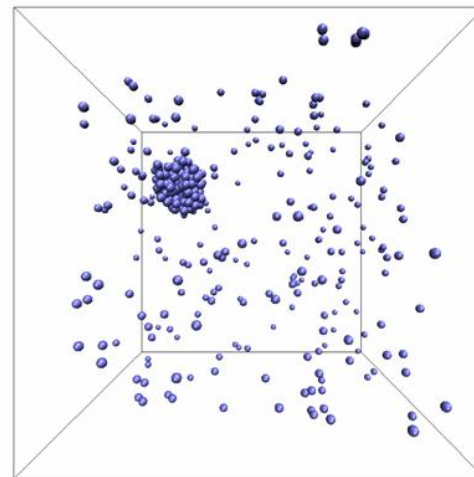
0 ns



32 ns



34 ns



36 ns

4) Frenkel and Smit, Understanding Molecular Simulation, 2nd ed. (Academic Press, San Diego, 2002).

5) Wonczak, Ph.D. Thesis, Universität zu Köln, Cologne 2001.

MD Simulations of Argon Nucleation:

Q1: How large should the system be???

“The bigger, the better”

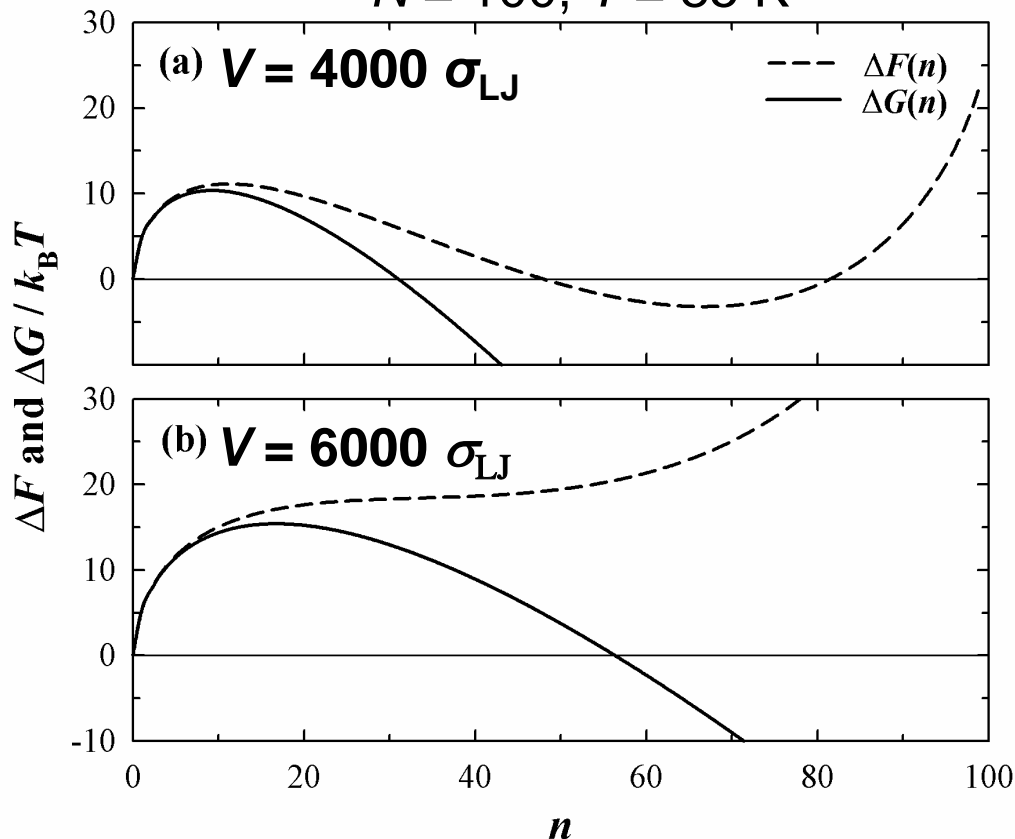
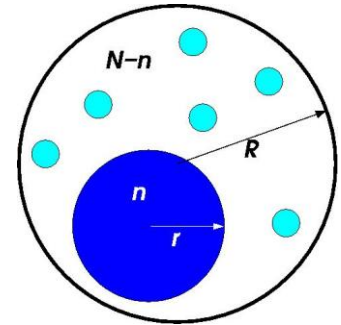
Optimization of system size
and
finite size effects

Finite-size effects: Condensation in a **finite** (N,V,T) system

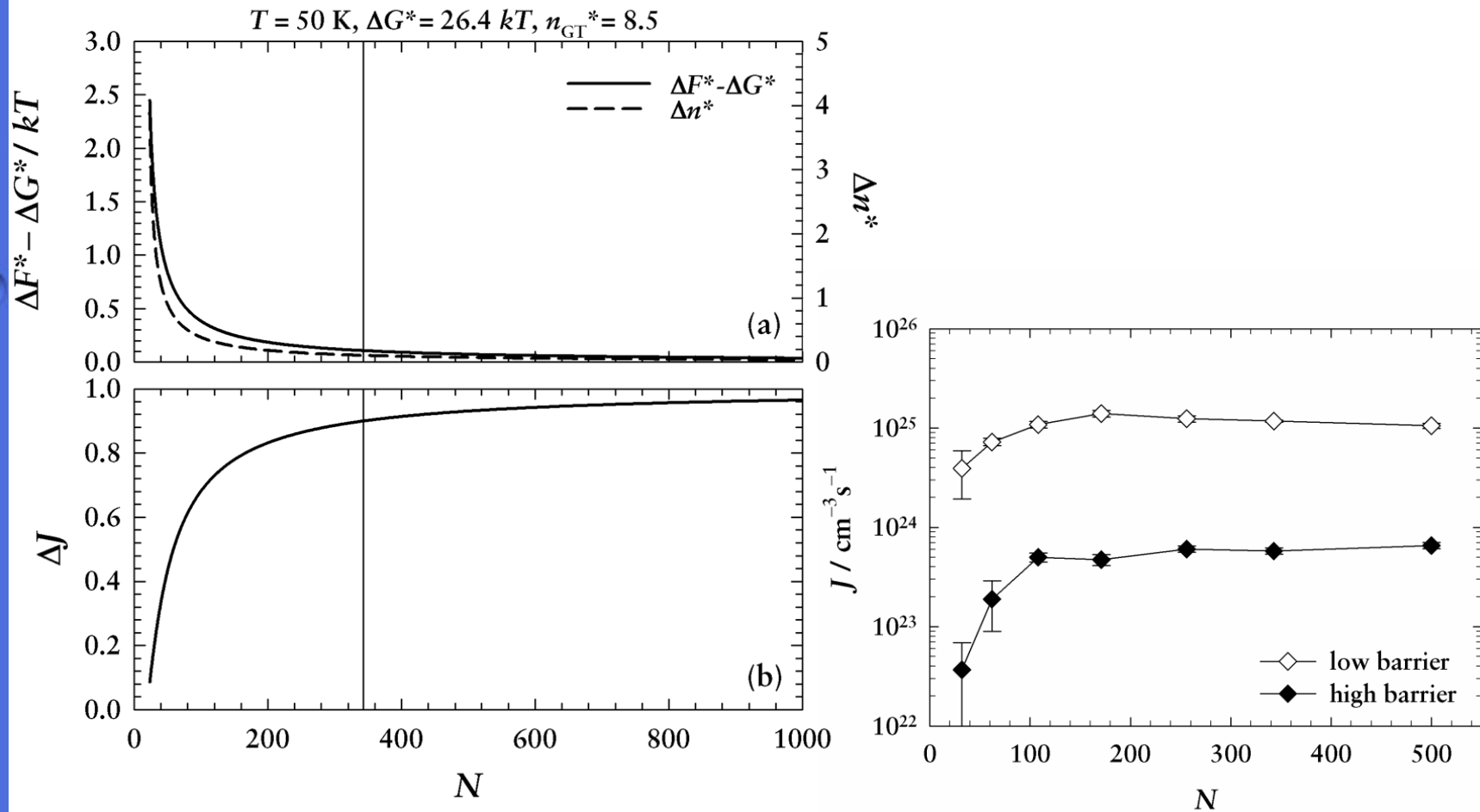
Modified Liquid Drop (MLD) Model

$$\Delta F(n) = -n kT \ln \frac{\rho_1}{\rho_{\text{eq}}} + \sigma A + n(kT - v_l \rho_{\text{eq}}) + N kT \ln \frac{\rho_1}{\rho_0}$$

$N = 100, T = 85 \text{ K}$



Optimization of system size





Nucleation at extreme supersaturations

Q2: How can we analyze the rates???

**A new method to analyze rates
in simulations of activated processes**

How to analyze the rates?

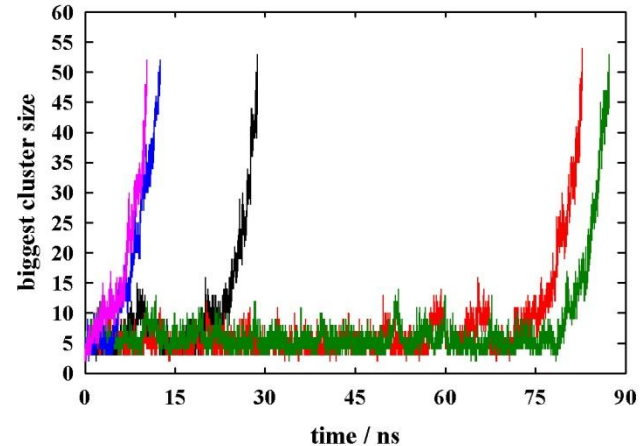
- General problems:
 - Nucleation is a stochastic process: **one realization is not enough**
 - n^* is not known a priori

- Different methods:
 - Time required to have a cluster of a particular size

$$rate = \frac{1}{Vt}$$

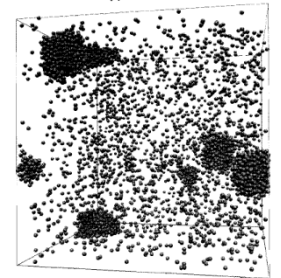
Problems:

- arbitrary size
- more than 1 cluster can be formed



- Number of large drops formed per unit time and V

$$J = \frac{1}{V} \frac{dN(t)}{dt}$$



Problems:

- Nucleation/ growth are coupled
- Depletion effects
- Nonstationary rates

New method to analyze rates in MD simulations

- Based on evaluation of Mean First Passage Times
- Advantages:
 - Efficient and easy to implement
 - Allows an accurate evaluation of nucleation rates
 - One can easily see if nucleation and growth are coupled
 - Allows to extract additional useful information:
 - evaluation of the critical cluster size from pure kinetic considerations!
 - estimates of the height of the nucleation barrier, the Zeldovich factor and the time lag

Nucleation (Activation) Rates and MFPT

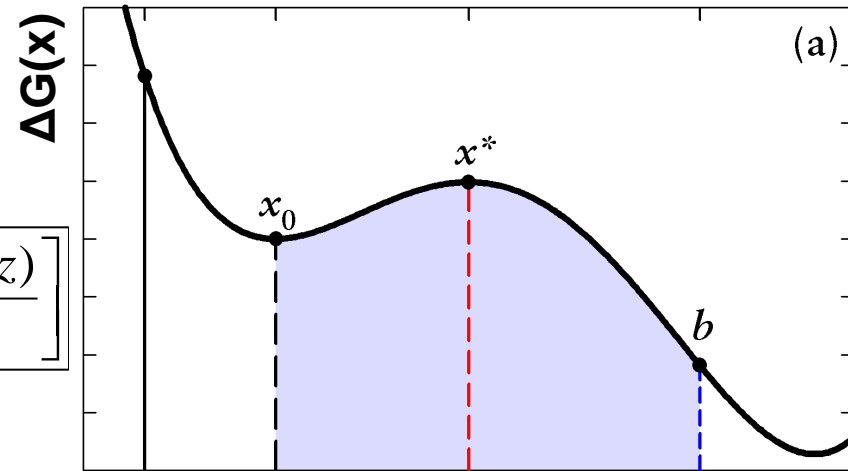
Fokker-Planck equation

$$\frac{\partial f(n,t)}{\partial t} = \frac{\partial}{\partial n} \left(D(n) e^{-\beta \Delta G(n)} \frac{\partial}{\partial n} \left(f(n,t) e^{\beta \Delta G(n)} \right) \right)$$

Diffusion over the free energy landscape

Mean First Passage Time to leave the domain (a,b)

$$\tau(b; x_0) = \int_{x_0}^b dy \frac{1}{D} \exp\left[\frac{\Delta G(y)}{kT}\right] \int_a^y dz \exp\left[\frac{-\Delta G(z)}{kT}\right]$$



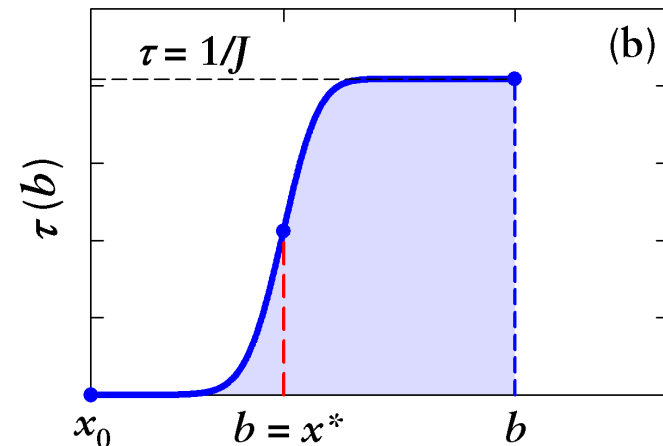
$$\left. \frac{\partial^2 \tau}{\partial b^2} \right|_{b=n^*} = \frac{1}{D} \approx 0$$

Locates the critical cluster!

$$J_{st} = \frac{1}{2\tau(n^*)}$$

For $\frac{\Delta G^*}{kT} \gg 1$

$$J_{st} = \frac{1}{\tau_{plateau}}$$



Extracting thermodynamic information from the kinetics

For $\frac{\Delta G^*}{kT} \gg 1$

Fitting function:

$$\tau(n) = \frac{\tau_J}{2} \left[1 + \text{Erf} \left(b(n - n^*) \right) \right]$$

where:

$$J_{st} = \frac{1}{\tau_J}$$

Steady state nucleation rate

$$n^*$$

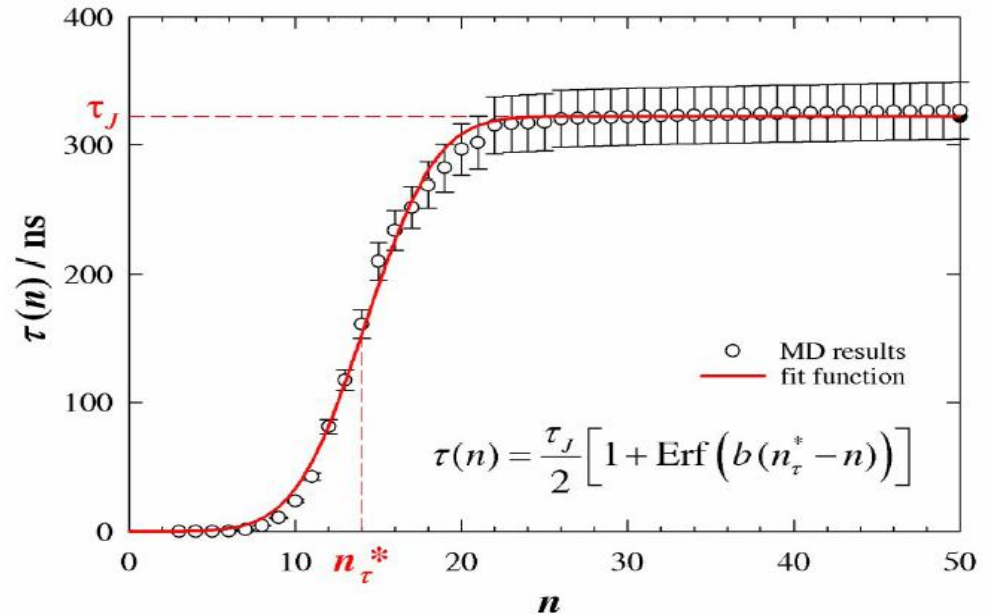
Critical cluster size

$$b = \sqrt{\pi Z}$$

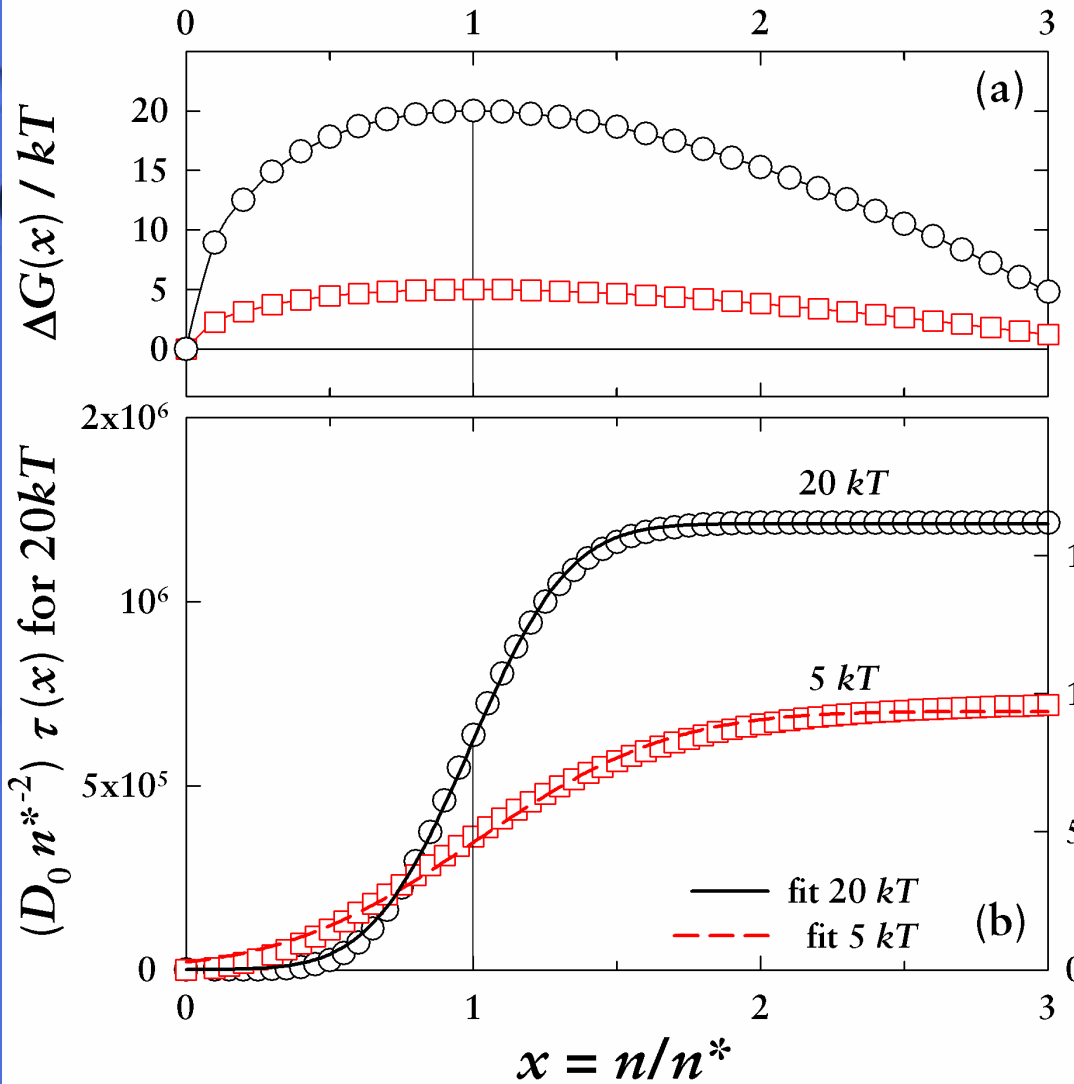
Zeldovich factor

$$t_{lag} \sim \frac{1}{D(n^*)b^2}$$

Time lag



Comparison: Analytical Result and Fit



$$\Delta G(x) = 2\Delta G^* \left(-x + \frac{3}{2} x^{2/3} \right)$$

$$\tau(n) = \frac{\tau_J}{2} \left[1 + \text{Erf} \left(b(n - n^*) \right) \right]$$

$\Delta G^* = 20 \text{ kT}$

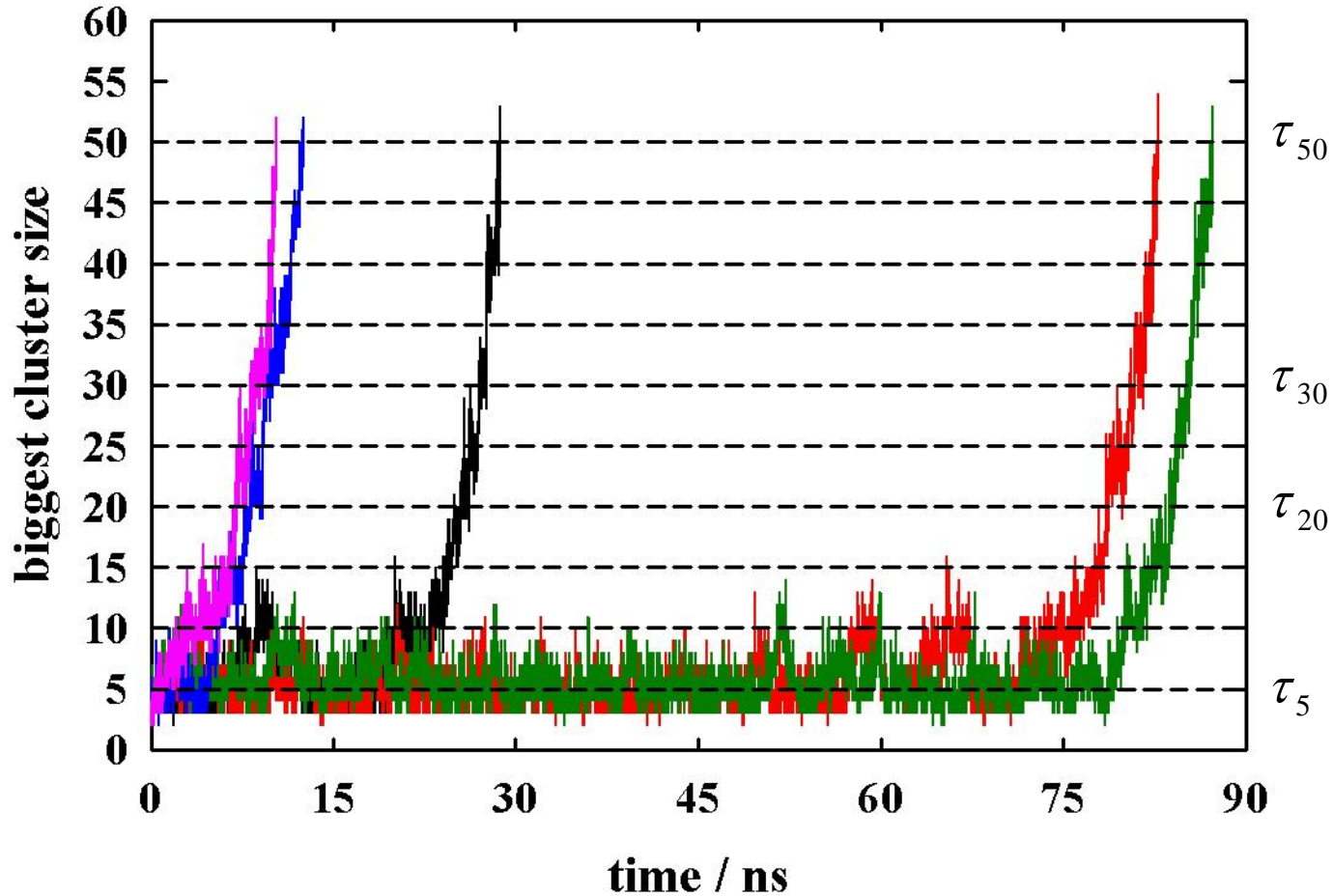
| | Exact | Fit | % |
|-------|----------------------|----------------------|---|
| x^* | 1.00 | 0.99 | 1 |
| J | $8.24 \cdot 10^{-7}$ | $8.30 \cdot 10^{-7}$ | 1 |
| Z | 1.46 | 1.47 | 1 |

$\Delta G^* = 5 \text{ kT}$

| | Exact | Fit | % |
|-------|-------|-------|---|
| x^* | 1.00 | 1.03 | 3 |
| J | 0.104 | 0.104 | 1 |
| Z | .73 | .72 | 2 |

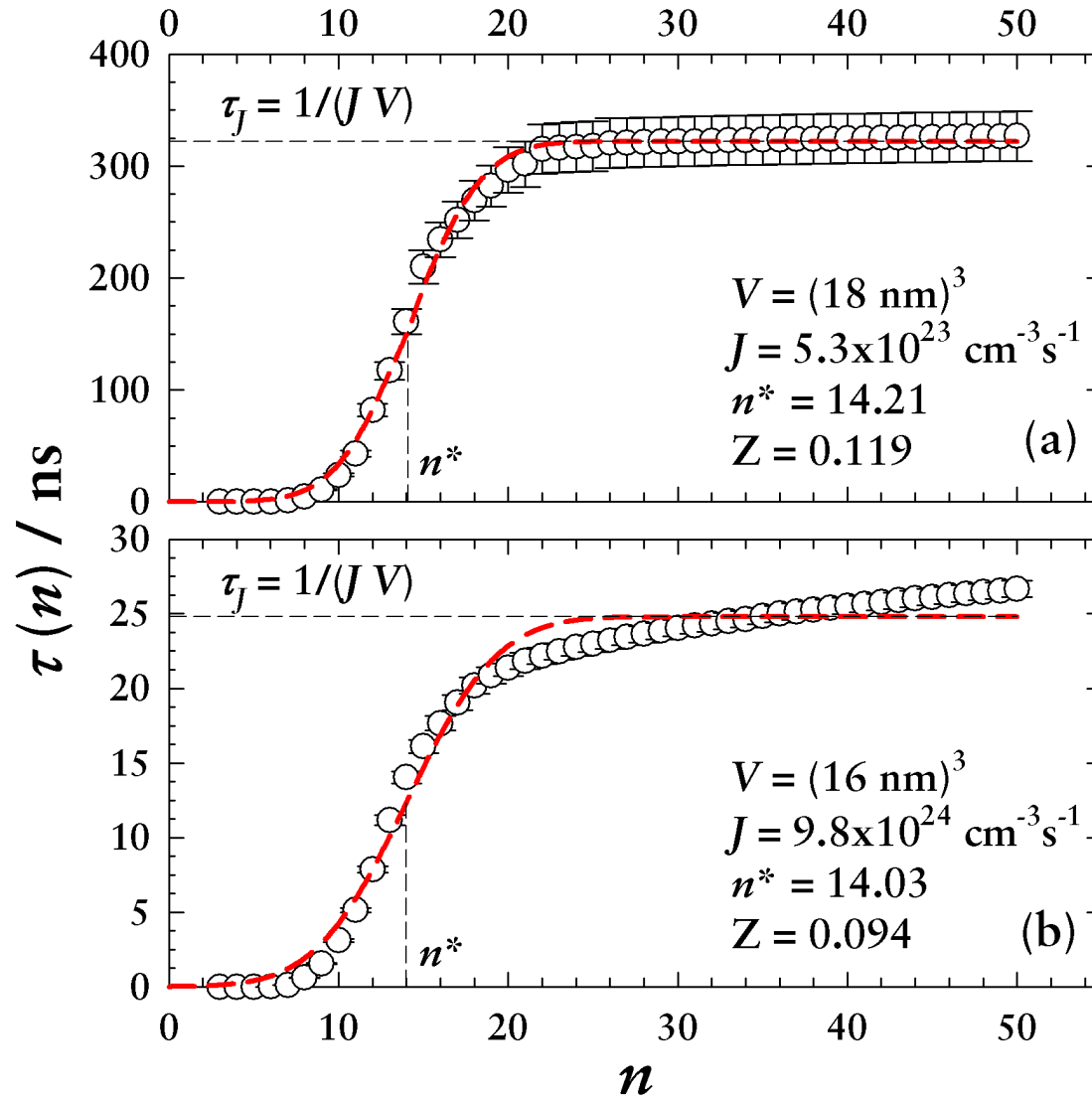
Excellent agreement!!

Practical implementation



$$\tau(n) = \frac{\sum_{i=1}^M t_i(n)}{M}$$

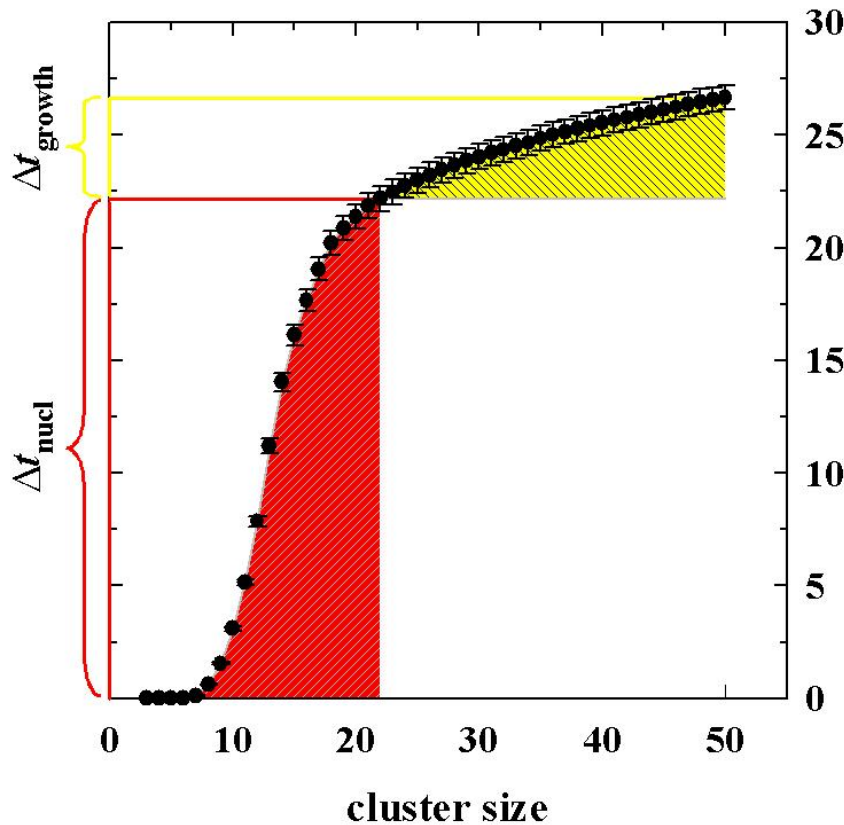
MD results and fits



$$\tau(n) = \frac{\tau_J}{2} \left[1 + \text{Erf} \left(b(n - n^*) \right) \right]$$

Coupling of nucleation and growth

50 K, 16nm box

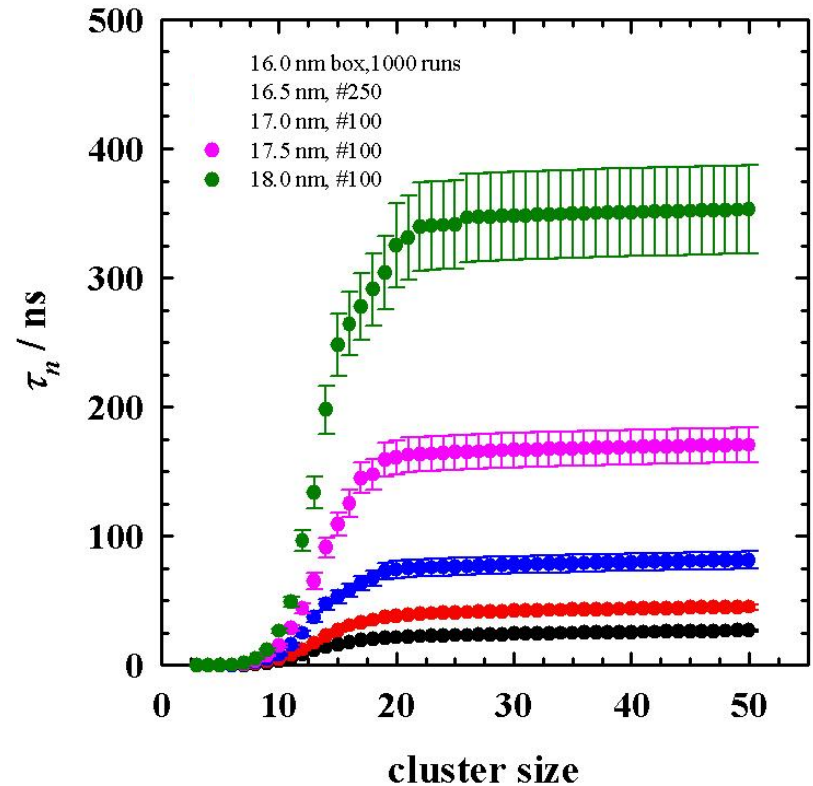


low barrier:

$$\rightarrow Dt_{\text{nucl}} \sim Dt_{\text{growth}}$$

\rightarrow no plateau: **Nucleation** and **growth** are coupled!

50 K



high barrier:

$$\rightarrow \Delta t_{\text{nucl}} \gg \Delta t_{\text{growth}}$$

\rightarrow plateau



Nucleation at extreme supersaturations

**Kinetic Reconstruction of the
free energy landscape**

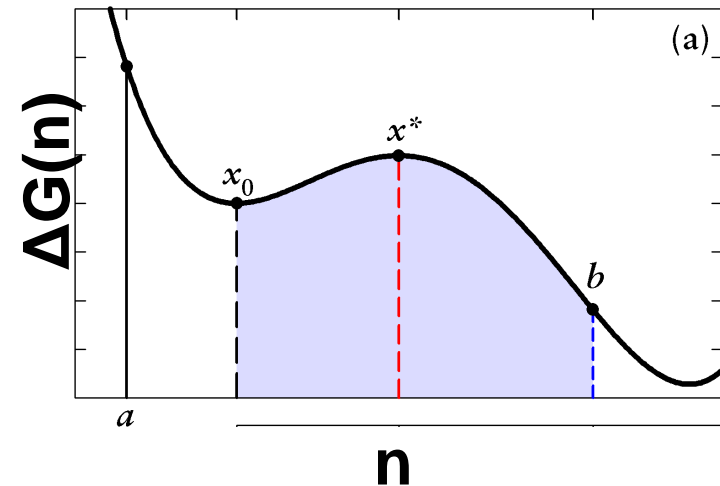
Kinetic Reconstruction of the free energy landscape

- Dynamics of an activated process

$$\frac{\partial f(n,t)}{\partial t} = \frac{\partial}{\partial n} \left(D(n) e^{-\beta \Delta G(n)} \frac{\partial}{\partial n} \left(f(n,t) e^{\beta \Delta G(n)} \right) \right)$$

- Ingredients:

- Steady-state Probability: $P^{st}(n)$
- MFPT: $\tau(n)$



Free energy landscape

$$\beta \Delta G(n) = \ln B(n) - \int \frac{1}{B(n')} dn' + C$$

$$B(n) = \frac{1}{P^{st}(n)} \left[\int_0^n P^{st}(n') dn' - \frac{\tau(n)}{\tau(b)} \right]$$

Attachment rate

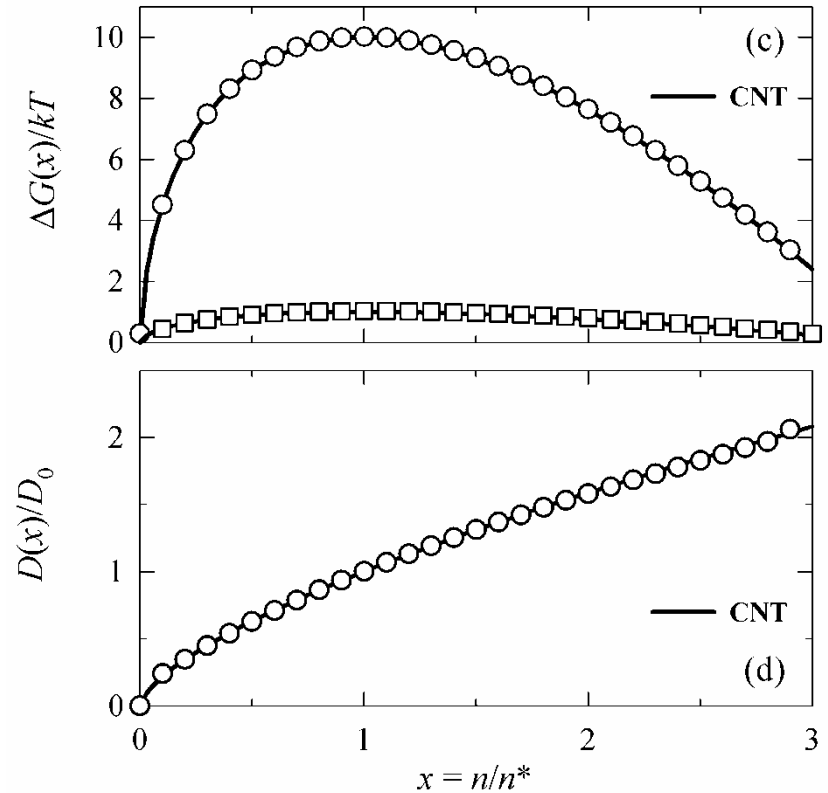
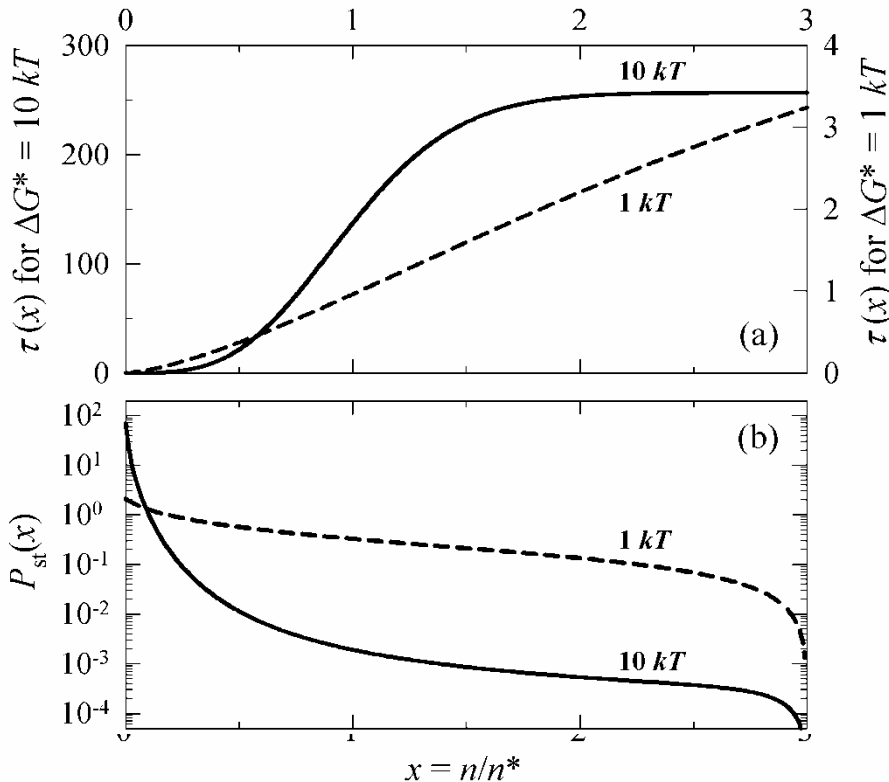
$$D(n) = \frac{B(n)}{\left(\frac{\partial \tau(n)}{\partial n} \right)}$$

Comparison: Analitic results vs Reconstruction

$$\Delta G(x) = 2\Delta G^* \left(-x + \frac{3}{2} x^{2/3} \right)$$

$$D(x) = D_0 x^{2/3}$$

$$x = n/n^*$$



$$\beta\Delta G(x) = \ln B(x) - \int \frac{1}{B(x')} dx' + C$$

$$B(x) = \frac{1}{P_{st}(x)} \left[\int_0^x P_{st}(x') dx' - \frac{\tau(x)}{\tau(b)} \right]$$

$$D(x) = \frac{B(x)}{\left(\frac{\partial \tau(x)}{\partial x} \right)}$$

Perfect Reconstruction!

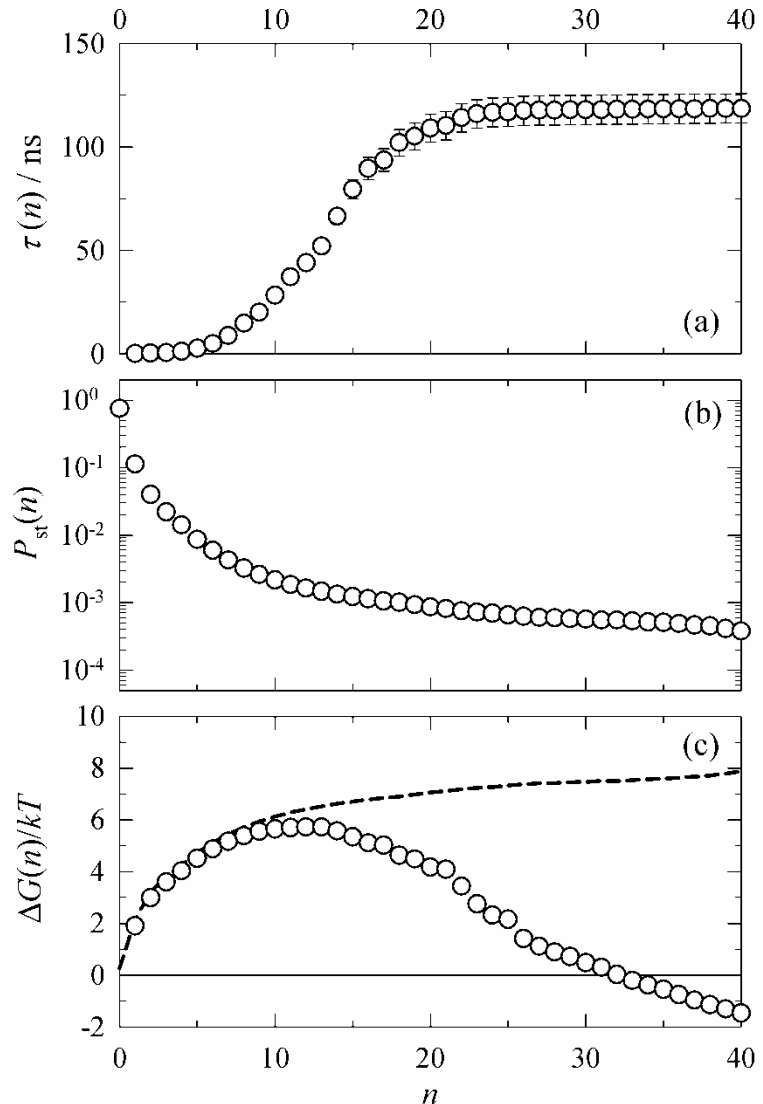
Kinetic reconstruction in a simulation

- Example:
 - MD simulation of argon nucleation at $T=70\text{K}$; $V=(11\text{nm})^3$

$$\beta\Delta G(n) = \ln B(n) - \int \frac{1}{B(n')} dn' + C$$

$$B(n) = \frac{1}{P^{st}(n)} \left[\int_0^n P^{st}(n') dn' - \frac{\tau(n)}{\tau(b)} \right]$$

$$\beta\Delta G(n) \neq -\ln P^{st}(n)$$





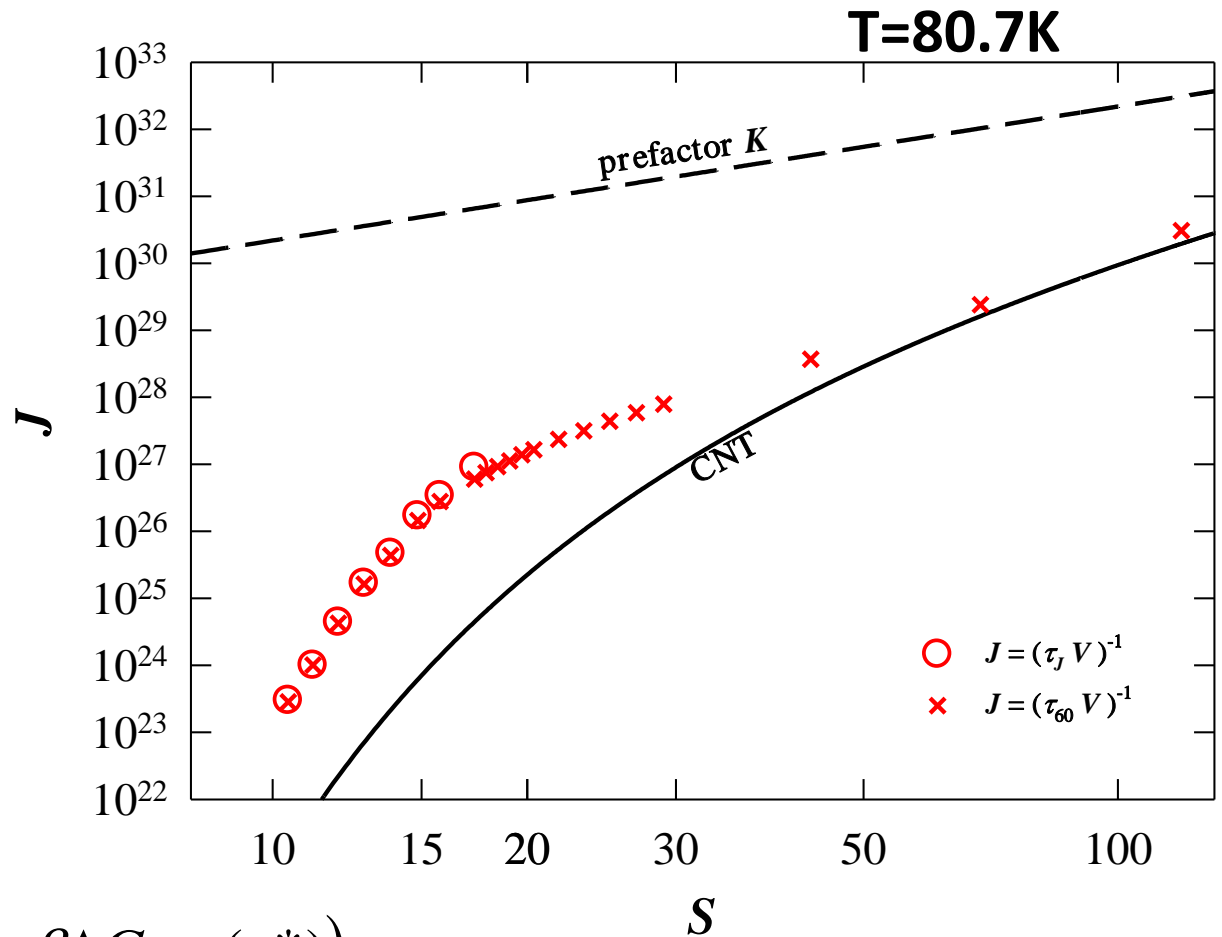
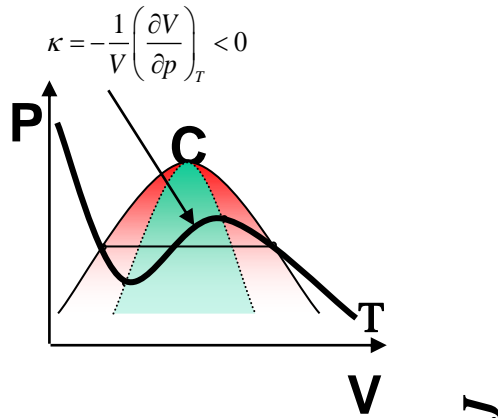
MD Simulations of Lennard-Jones Argon Nucleation at extreme supersaturations

RESULTS

T = 80.7 K

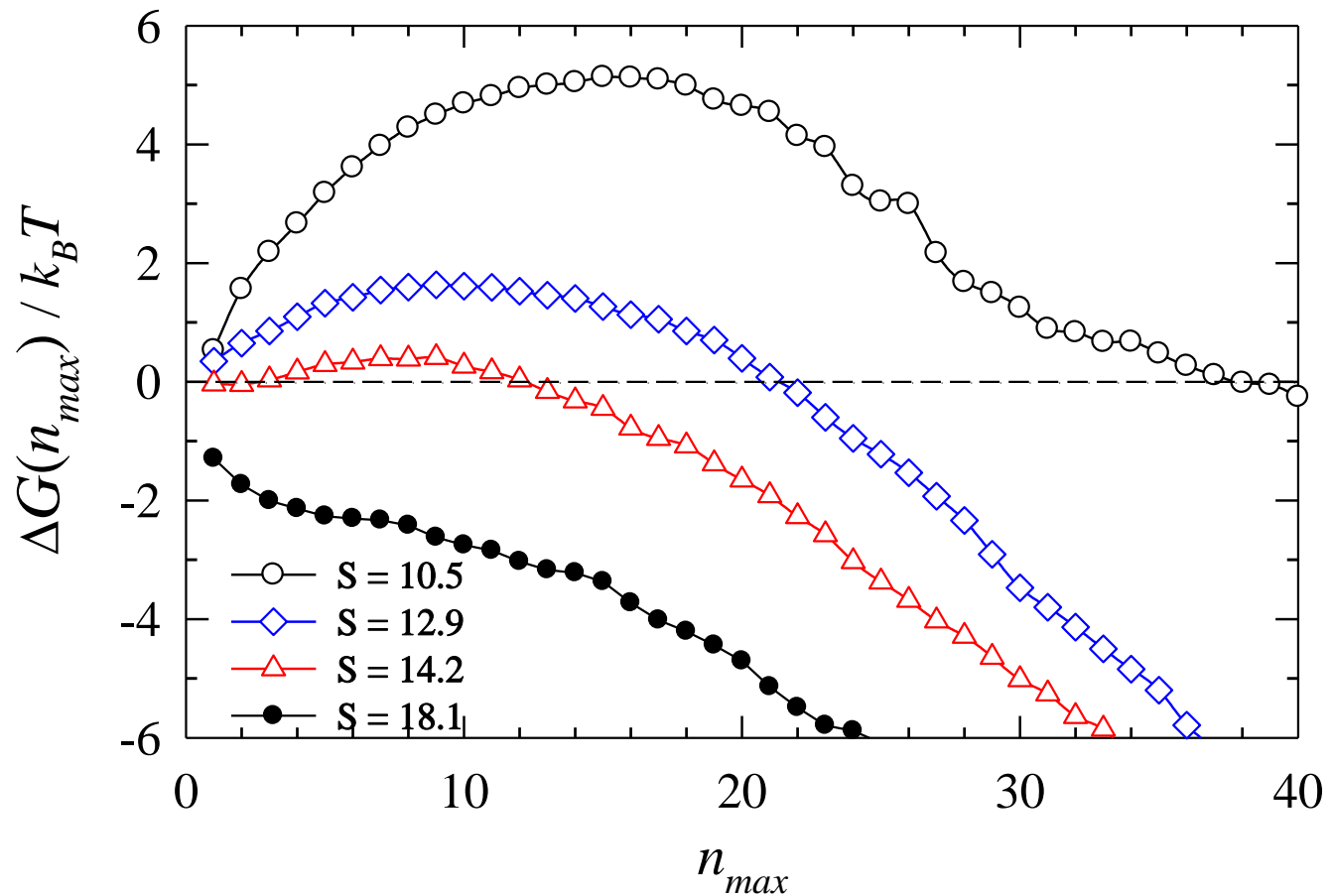
Nucleation Rates

- Condensation at extreme supersaturations

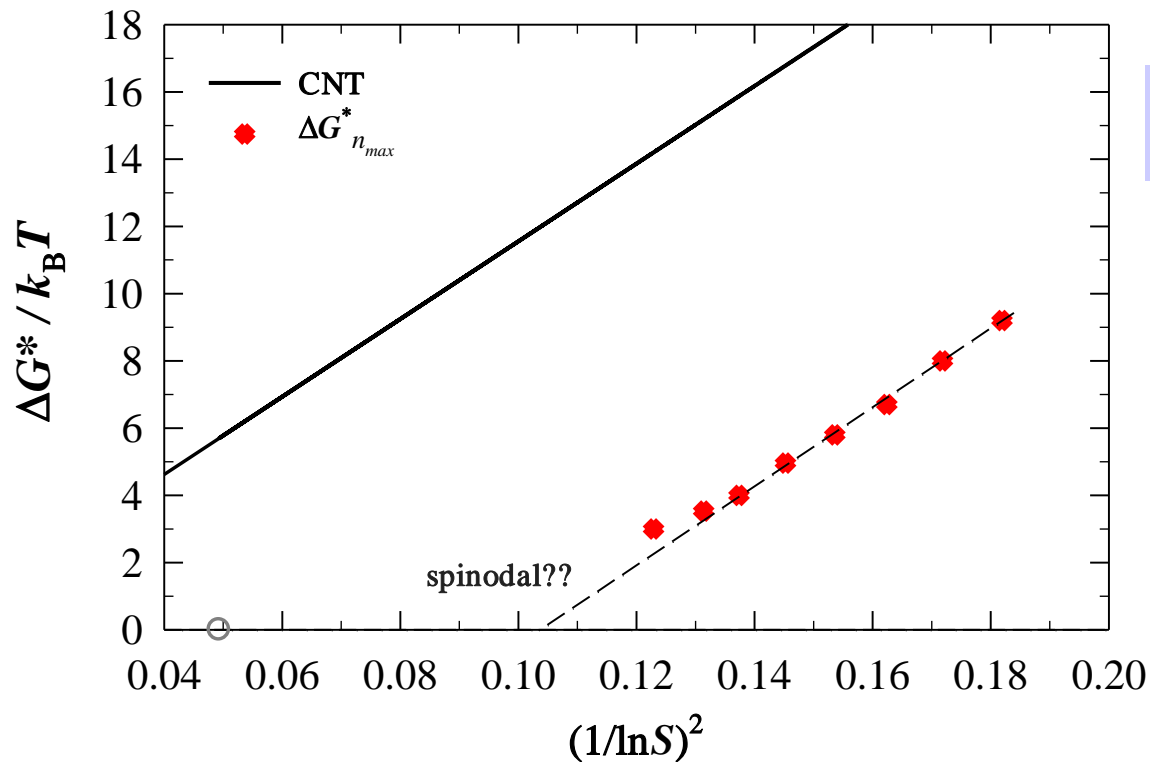


$$J_{CNT} = K_{CNT} \exp(-\beta \Delta G_{CNT}(n^*))$$

Reconstruction of the free energy of cluster formation for the **largest** cluster



Nucleation Barrier Height: reaction coordinate matters

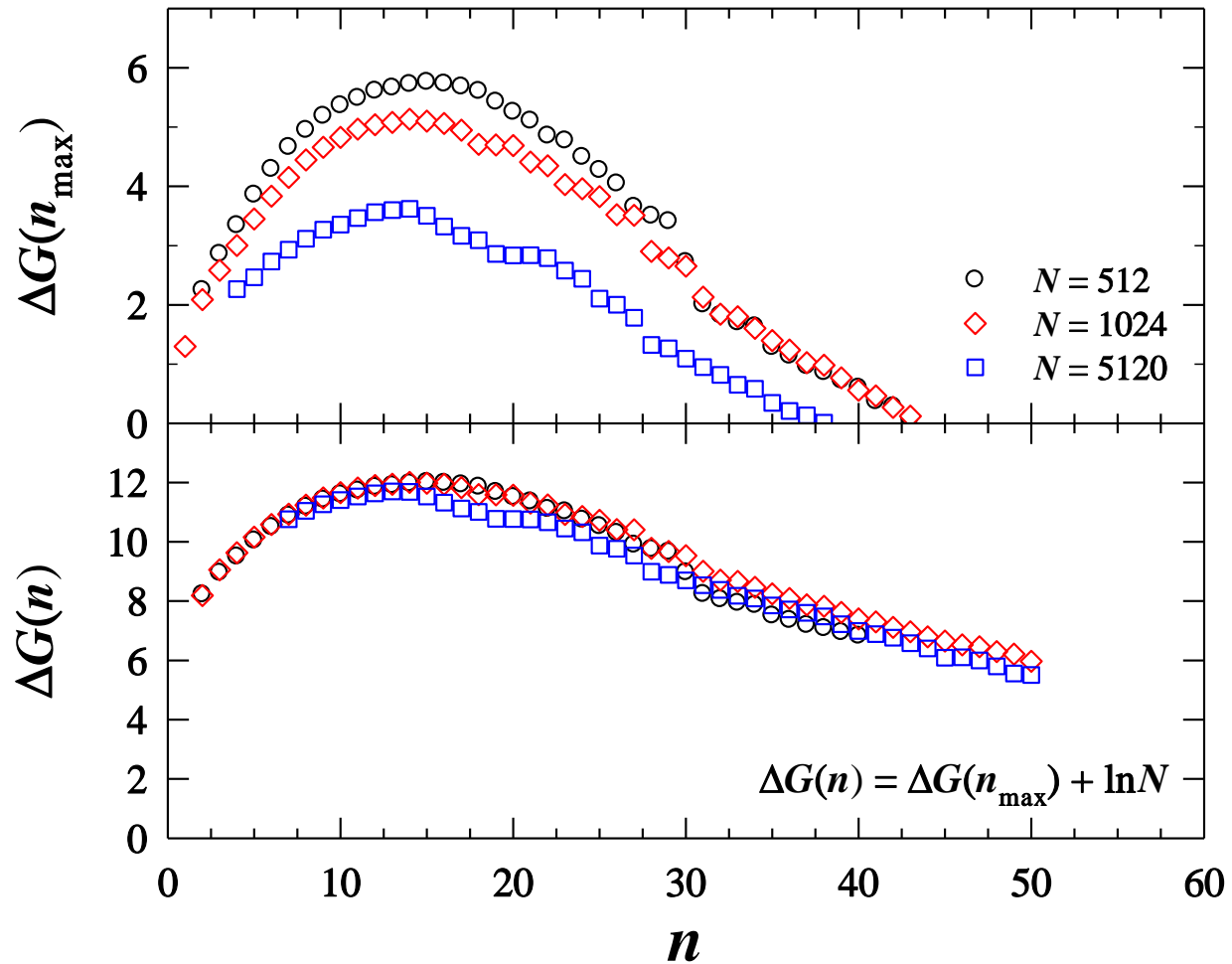


$$\Delta G^*_{CNT} = \frac{16\pi}{3} \frac{v_l^2 \gamma^3}{(kT \ln S)^2}$$

The vanishing of the barrier for the largest is **NOT** the signature of the spinodal

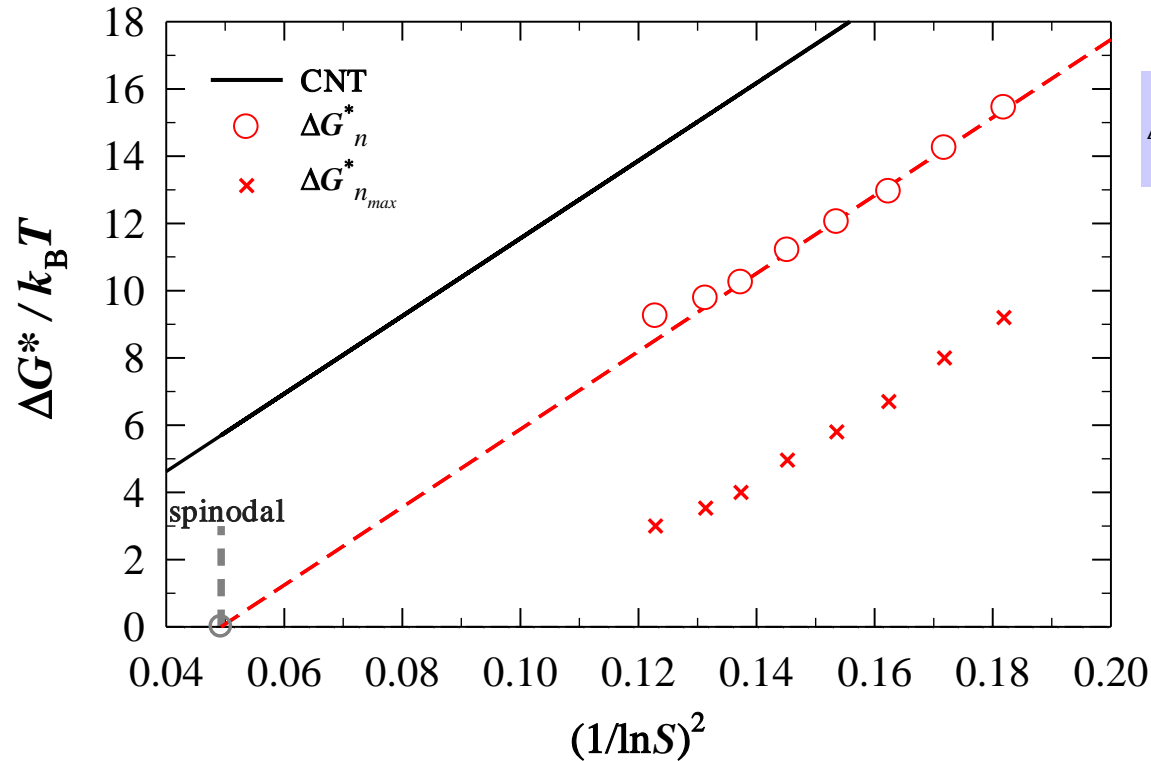
System size dependence of the free energy landscape for the largest

Fixed S



Nucleation Barrier Height: reaction coordinate matters

$$\beta\Delta G(n) = \beta\Delta G(n_{\max}) + \ln N$$



$$\Delta G^*_{CNT} = \frac{16\pi}{3} \frac{v_l^2 \gamma^3}{(kT \ln S)^2}$$

Scaling relations seem to hold

$$\Delta G(n^*) = \Delta G_{CNT}(n^*) + D(T)$$

What does the vanishing of the free energy for the largest mean?

- “Equilibrium” probability of having 1 critically-sized cluster:

$$N(n^*) = N \exp(-\beta\Delta G(n^*)) = 1$$



$$\ln N = \beta\Delta G(n^*)$$



$$\beta\Delta G(n^*) = \beta\Delta G(n^*_{\max}) + \ln N$$

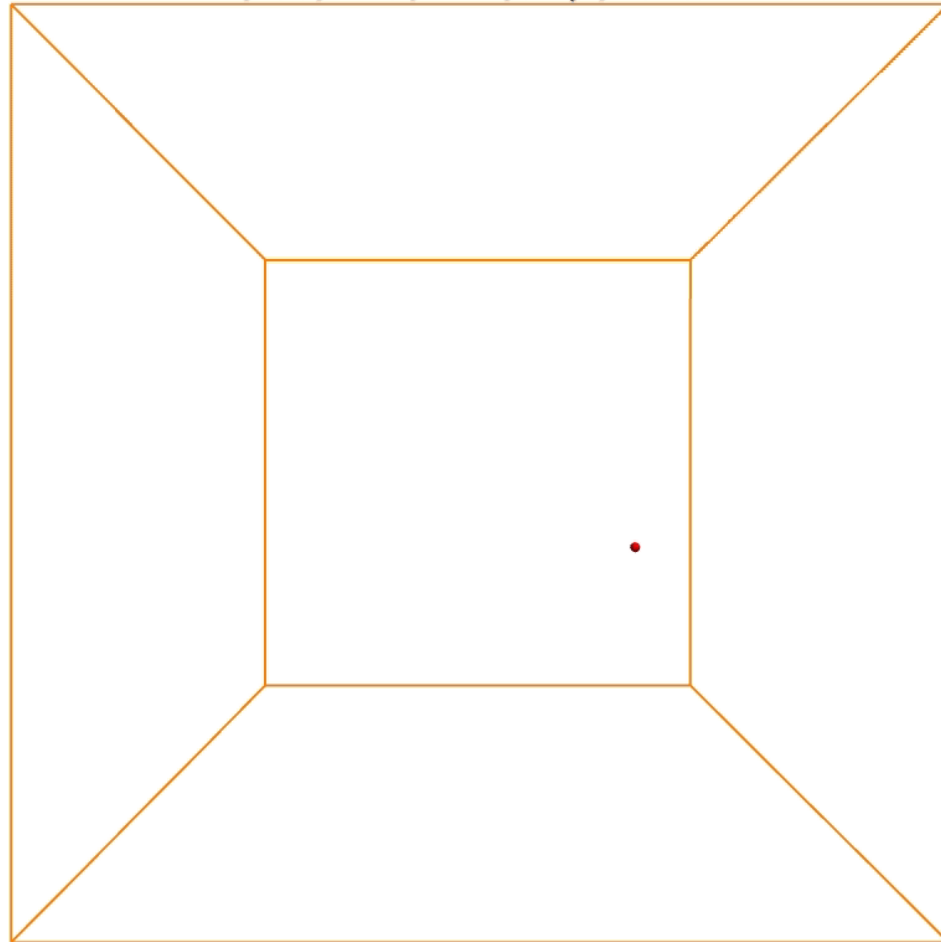
$$\beta\Delta G(n^*_{\max}) \approx 0$$

- The vanishing of the barrier for the largest indicates where the system has probably a critical cluster right at the start \longleftrightarrow the limit of **kinetic metastability**, which is **NOT** the spinodal
- The kinetic limit of stability of a metastable phase is system size dependent!

Nucleation vs spinodal decomposition

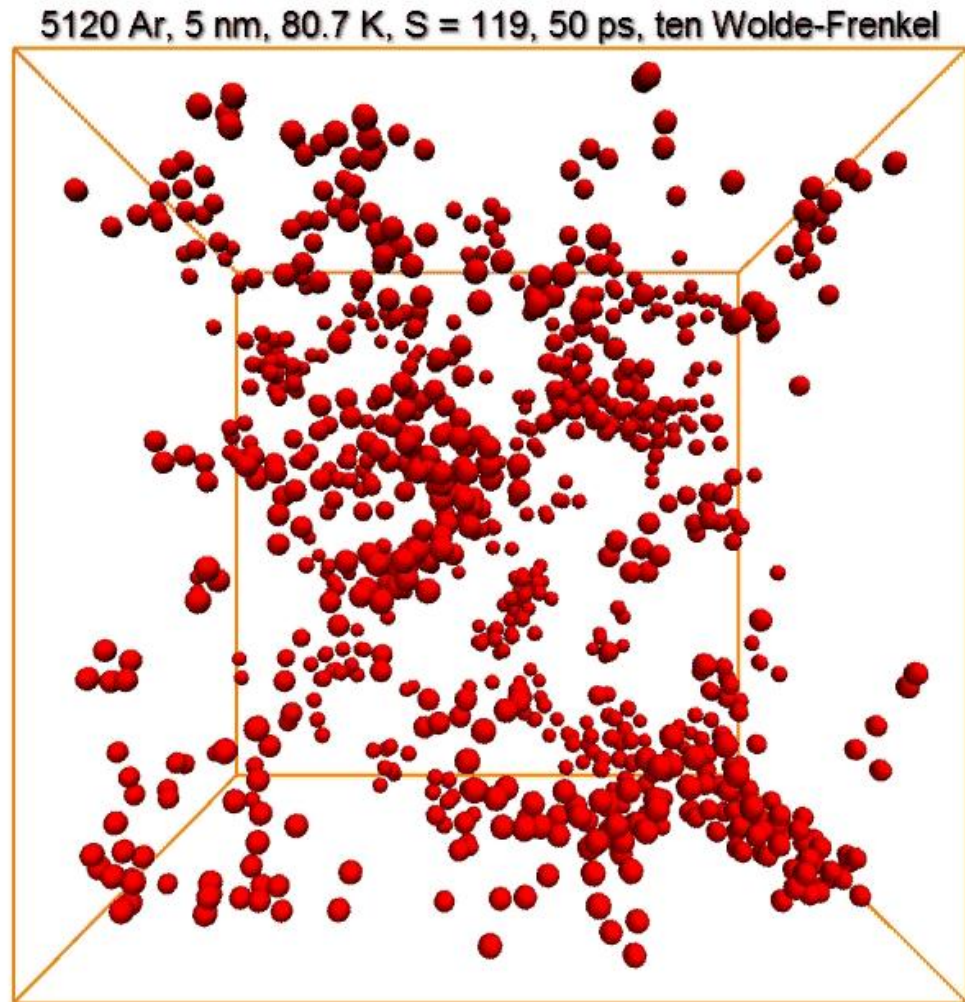
- Nucleation

5120 Ar, 9 nm, 80.7 K, S = 20, 400 ps, ten Wolde-Frenkel

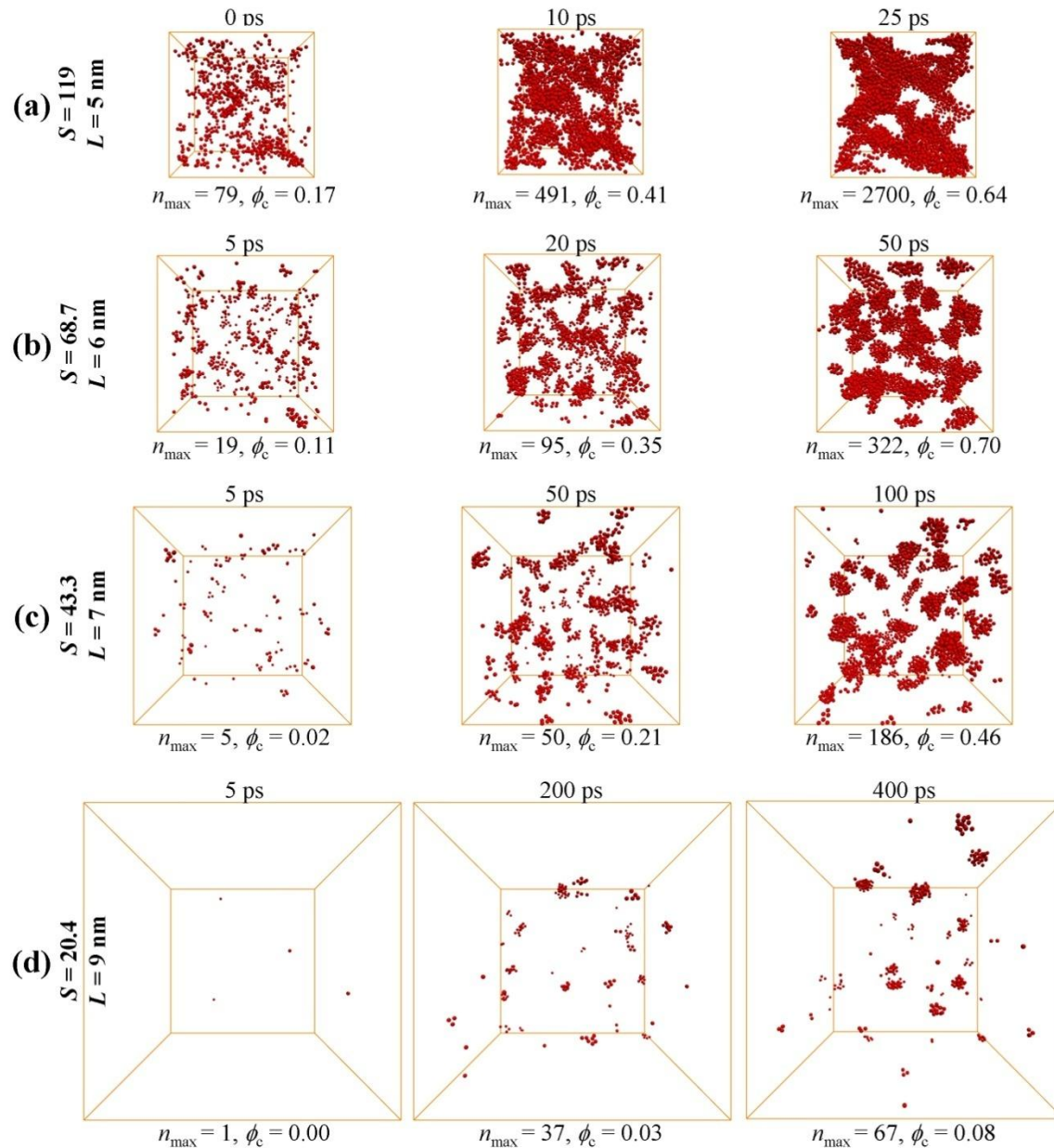


Nucleation vs spinodal decomposition

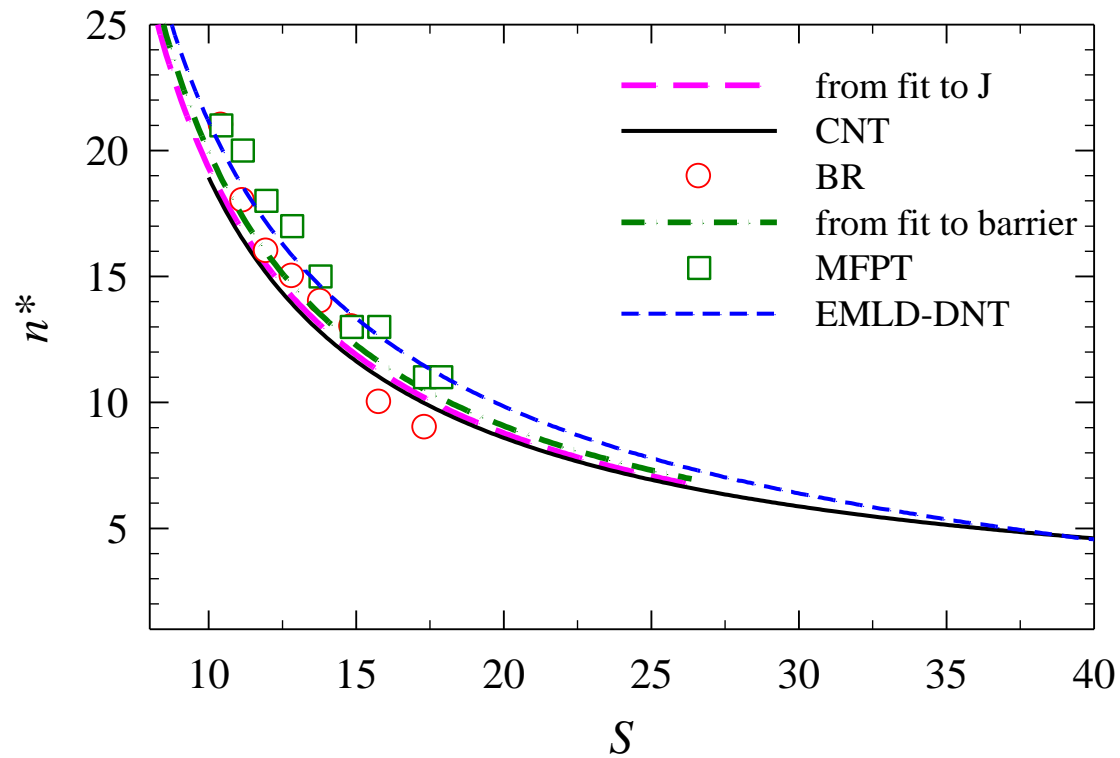
- Spinodal decomposition



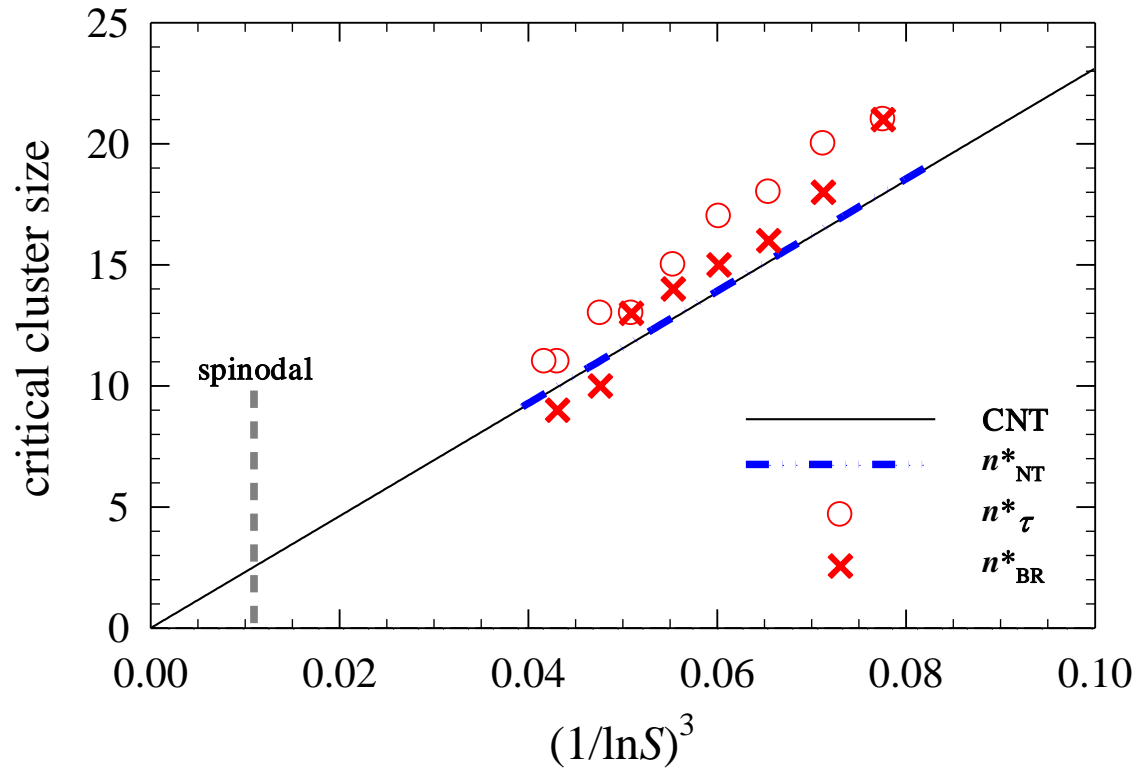
From nucleation to spinodal decomposition



Critical cluster size approaching the spinodal: No evidence of divergency



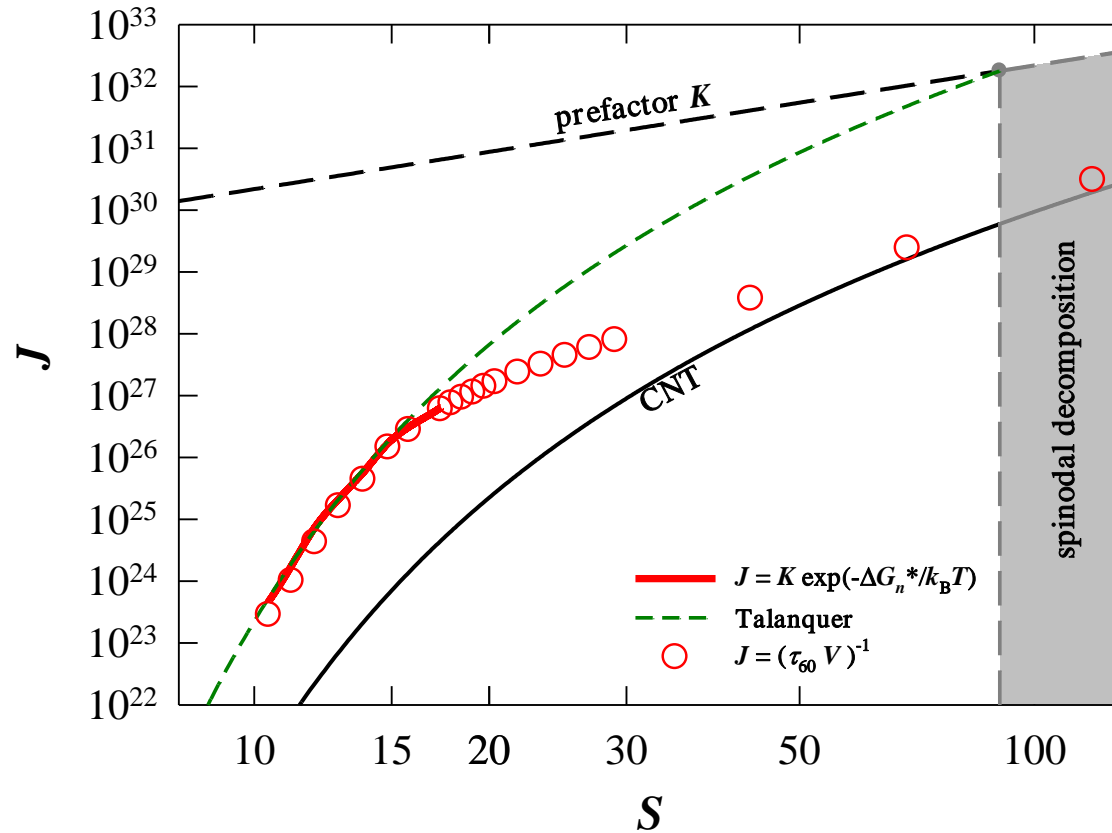
Critical cluster size approaching the spinodal



$$n^* = \frac{32\pi}{3} \frac{v_l^2 \gamma^3}{(kT \ln S)^3}$$

CNT prediction is surprisingly good

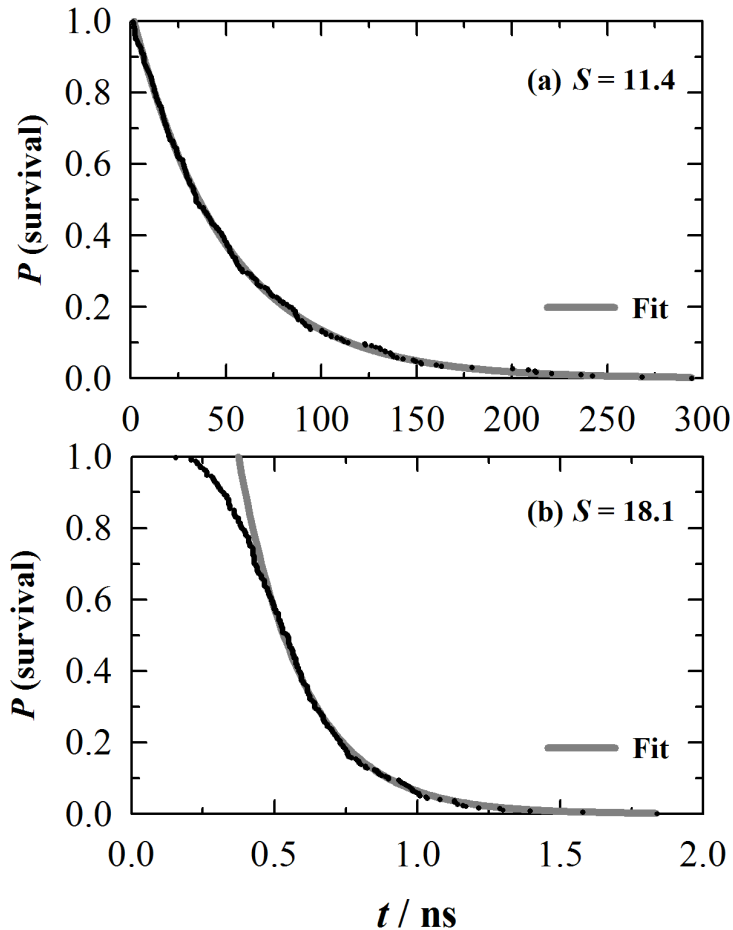
Putting the pieces back together



$$J_{Talanquer} = K_{CNT} \exp\left(-\beta(\Delta G_{CNT}(n^*) - \Delta G_{CNT}^{spinodal})\right)$$

Timescales: nucleation vs growth

- Survival probabilities



$$P_{\text{surv}}(t) = \exp\left(-\frac{t-t_0}{\tau_J}\right)$$

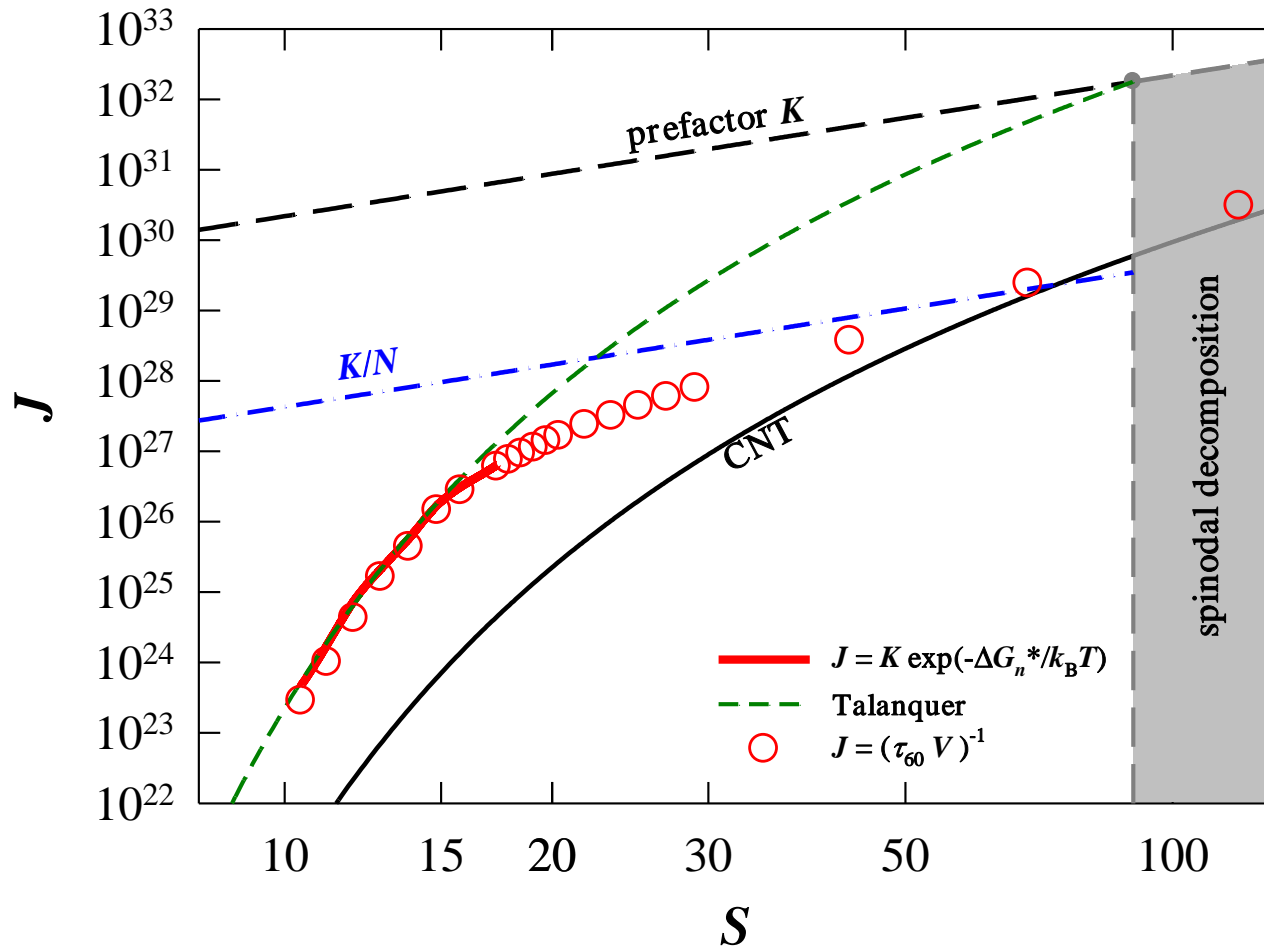
Beyond $\Delta G(n^*_{\text{max}})=0$

$$\tau_J < t_0$$



The speed of phase transformation is controlled by how fast a cluster can grow

Crossover between nucleation and (diffusion-limited) growth



Conclusions

- **Nucleation at extreme supersaturations** using MD simulations
- **New simulation techniques:**
 - **Finite-size effects** in simulations of nucleation
 - **A new method to analyze simulations of activated processes: Mean First-Passage Times**
 - **Kinetic Reconstruction** of the free-energy landscape
- **Main Results:**
 - Reaction coordinate matters
 - The **kinetic stability** limit of a metastable phase **depends on system size** and it is different from the spinodal
 - Crossover between **nucleation** and **(diffusion-limited) growth** of the new phase
 - **CNT** predicts accurately n^* but **mispredicts ΔG^*** by a temperature dependent constant

Acknowledgements



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UCLA

H. Reiss



UNIVERSITÄT ZU KÖLN

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DAAD