

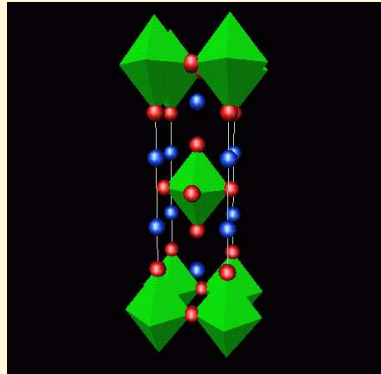


## Superconductivity in bilayer $t$ - $J$ model: A few variational Monte Carlo results

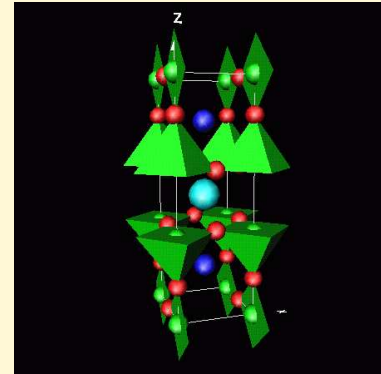
Amal Medhi

Department of Basic Sciences and Social Sciences  
North-Eastern Hill University  
Shillong 793022, India

## Cuprates



LSCO



YBCO

- Theoretical studies on cuprates - mainly the focus is on a single CuO<sub>2</sub> layer.
- Other important factors to consider - crystal structure details, intrinsic disorder, interlayer couplings etc.
- Bilayer cuprates (e.g. YBa<sub>2</sub>CuO<sub>6+x</sub>) - Interlayer couplings.

## Interlayer hopping

Bilayer band splitting  
(ARPES & ab initio study)

$$t_{\perp}(\mathbf{k}) = \frac{t_{\perp}}{4} [\cos(k_x a) - \cos(k_y a)]^2$$

$$t_{\perp} \sim 0.1 - 0.15 \text{ eV}$$
$$(t \sim 0.44 \text{ eV})$$

## Interlayer exchange

$$J_{\perp} \sim 0.01 \text{ eV}$$
$$(J \sim 0.13 \text{ eV})$$

- How the interlayer couplings affect the properties of bilayers?

### Bilayer $t$ - $J$ model

- Variational Monte Carlo
- Pairing symmetry, magnetic and superconducting correlations, coexistence of AF & SC

### Interlayer pair-tunneling (ILPT) in bilayer

- Grand canonical VMC
- Energy due to ILPT

## Superconductivity in bilayer $t$ - $J$ model

## The Model

$$\begin{aligned} \mathcal{H} = & -t \sum_{\langle i,j \rangle \sigma} \left( c_{i\sigma}^\dagger c_{j\sigma} + H.c. \right) - t_\perp \sum_{\langle i,k \rangle \sigma} \left( c_{i\sigma}^\dagger c_{k\sigma} + H.c. \right) \\ & + J \sum_{\langle i,j \rangle} \left( \mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} n_i n_j \right) + J_\perp \sum_{\langle i,k \rangle} \left( \mathbf{S}_i \cdot \mathbf{S}_k - \frac{1}{4} n_i n_k \right) \end{aligned}$$

The operators act in a subspace of no doubly occupied site.

- Represents bilayer cuprates like,  $\text{YBa}_2\text{CuO}_{6+x}$  (YBCO),  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+x}$  (Bi2212) etc.

## Parameter values (from exp and theory)

$$t_\perp/t = 0.05 - 0.20, \quad J/t = 0.35, \quad J_\perp/t = 0.03 - 0.10$$

## Variational Monte Carlo

- 😊 Treats strong correlations exactly, applicable for wide range of parameter values, no sign problem.
- 😞 Biased by the choice of variational wavefunction

## Formalism

- Choose the trial wavefunction,

$$|\Psi_{var}\rangle \equiv |\Psi_{var}(\alpha)\rangle, \quad \alpha \text{ is the variational parameter}$$

- Transform  $|\Psi_{var}\rangle$  into real space representation

$$|\Psi_{var}\rangle = \sum_R C(R) |R\rangle$$

$|R\rangle = c_{i_1\uparrow}^\dagger \dots c_{i_P\uparrow}^\dagger c_{j_1\downarrow}^\dagger \dots c_{j_P\downarrow}^\dagger |0\rangle$  is an electronic configuration in real space.



- **Expectation value**

$$\langle \hat{A} \rangle = \frac{\langle \Psi_{var} | \hat{A} | \Psi_{var} \rangle}{\langle \Psi_{var} | \Psi_{var} \rangle}$$

$$= \sum_R P(R) \frac{\langle \Psi_{var} | \hat{A} | R \rangle}{C^*(R)} \quad P(R) = \frac{|C(R)|^2}{\sum_{R'} |C(R')|^2}$$

- **Exact summation is not possible. Use Monte Carlo.**
- **Generate a Markov chain of  $M$  ( $\ll N$ ) configurations  $|R_1\rangle, |R_2\rangle, \dots, |R_M\rangle$  according to weights  $P(R)$ . The sum is approximated by,**

$$\langle \hat{A} \rangle \approx \langle \hat{A} \rangle_M = \frac{1}{M} \sum_{i=1}^M \frac{\langle \Psi_{var} | \hat{A} | R_i \rangle}{C^*(R_i)}$$

## Wavefunction optimization

- Calculate energy as a function of  $\alpha$

$$E_{var}(\alpha) = \frac{\langle \Psi_{var}(\alpha) | \hat{H} | \Psi_{var}(\alpha) \rangle}{\langle \Psi_{var}(\alpha) | \Psi_{var}(\alpha) \rangle}$$

- Minimize  $E_{var}(\alpha)$ . Obtain optimized  $|\Psi_{var}\rangle$ .

$$E_{min} = E_{var}(\tilde{\alpha}), \quad |\Psi_0\rangle = |\Psi_{var}(\tilde{\alpha})\rangle$$

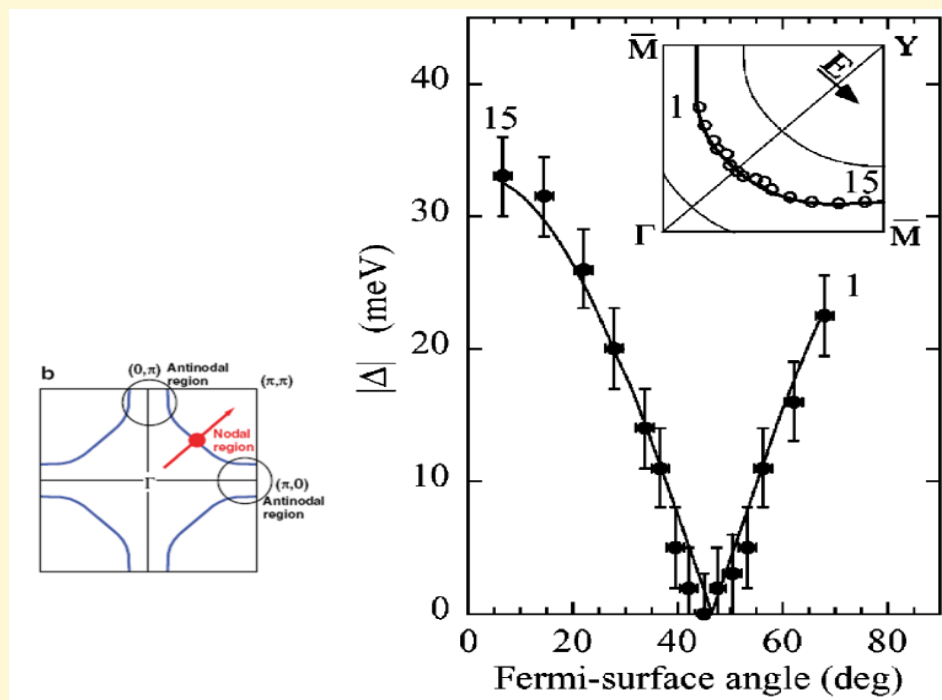
- Calculate correlation functions in the optimized wavefunction,

$$\langle \hat{A}\hat{B} \rangle = \frac{\langle \Psi_0 | \hat{A}\hat{B} | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}$$

# Superconductivity in $t$ - $J$ bilayer

## Pairing symmetry

- In cuprates, superconducting pairing symmetry is  $d$ -wave ( $\Delta_{\mathbf{k}} = \Delta_d (\cos k_x - \cos k_y)$ ) (ARPES and other exp)

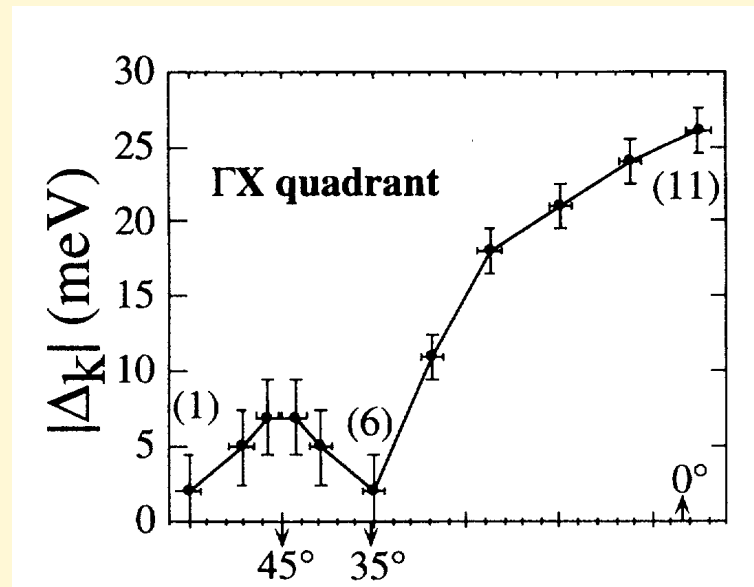


- Supported by numerical and analytical studies of two dimensional (2D) Hubbard and  $t$ - $J$  model.

## Contradictory reports for bilayered cuprates

- Could be an extended  $s$ -wave with eight line nodes.

(Ding et al, PRL 74, 2784 (1995), Vobornik et al, Physica C 317, 589 (1999), Zhao, PRB 75, 140510(R) (2007))



- Supported by SBMFT study of a bilayer  $t$ - $J$  model.

(P. A. Lee et al, J. Phys. Chem. Solids 56, 1633 (1995)).

## Variational Monte Carlo

- We consider as the variational wavefunction, the Gutzwiller projected BCS state,

$$|\Psi_{var}\rangle = \mathcal{P}_G \left( \sum_{\mathbf{k}} \varphi(\mathbf{k}) c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger \right)^{N/2} |0\rangle$$

$$\text{with } \varphi(\mathbf{k}) = \frac{\Delta_{\mathbf{k}}}{(\varepsilon_{\mathbf{k}} - \mu) + \sqrt{(\varepsilon_{\mathbf{k}} - \mu)^2 + \Delta_{\mathbf{k}}^2}}$$

## Pairing symmetries considered

- (a)  $\Delta_{\mathbf{k}} = \Delta_d (\cos k_x - \cos k_y)$  (**d-wave**)
- (b)  $\Delta_{\mathbf{k}} = \Delta_{\parallel} (\cos k_x - \cos k_y) + \Delta_{\perp} \cos k_z$  (**d + d<sub>z</sub>-wave**)  
(**would give eight nodes**)
- (c)  $\Delta_{\mathbf{k}} = \Delta_{\parallel} (\cos k_x - \cos k_y) + \Delta_{\perp} (1 - \cos k_z)$

## Some numerical details

**Lattice size** =  $8 \times 8 \times 2$ .

**Parameter values:**  $(t_{\perp}/t, J_{\perp}/t) = (0.05, 0.10),$   
 $(0.20, 0.10), (0.20, 0.03)$ .  $J/t = 0.35$ .

**Monte Carlo sweeps:**  $10^6 - 10^7$  for calculation of expectation values.

## Variational energies

---

Pairing symmetry (c): energy always higher - discarded.

# Variational energies

Paring symmetry (c): energy always higher - discarded.

Paring symmetry (b): have lower energy only at  $x = 0$  and  $(t_{\perp}, J_{\perp}) = (0.05t, 0.10t)$ .

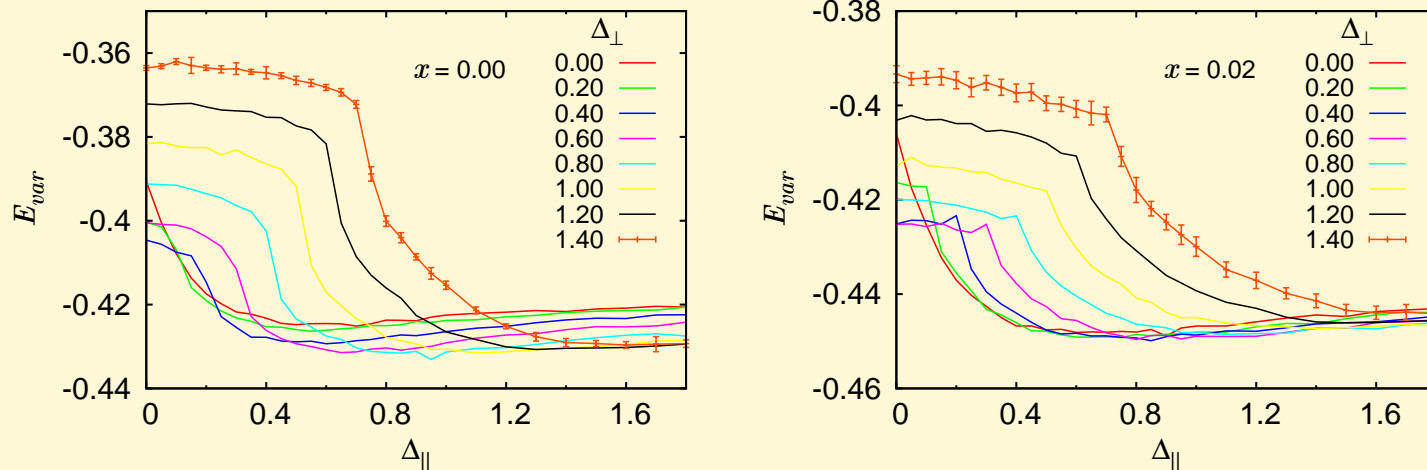


Fig: Energy of the  $(d + d_z)$ -wave state



# Variational energies

Paring symmetry (c): energy always higher - discarded.

Paring symmetry (b): have lower energy only at  $x = 0$  and  $(t_{\perp}, J_{\perp}) = (0.05t, 0.10t)$ .

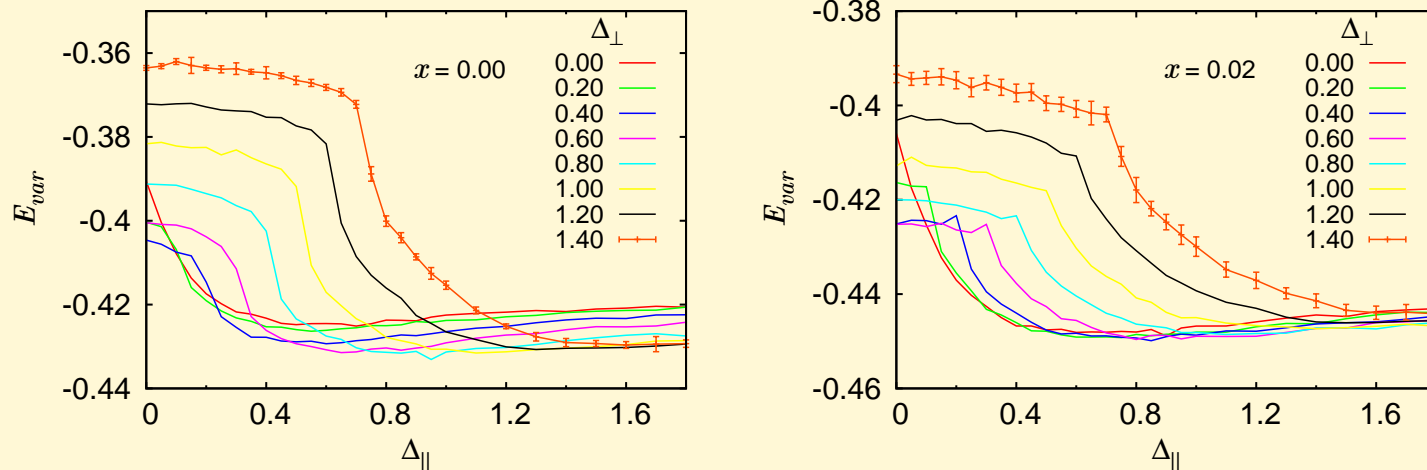


Fig: Energy of the  $(d + d_z)$ -wave state

Paring symmetry (a): The  $d$ -wave state yields lowest energy in all other cases.

# Energy of the $d$ -wave state

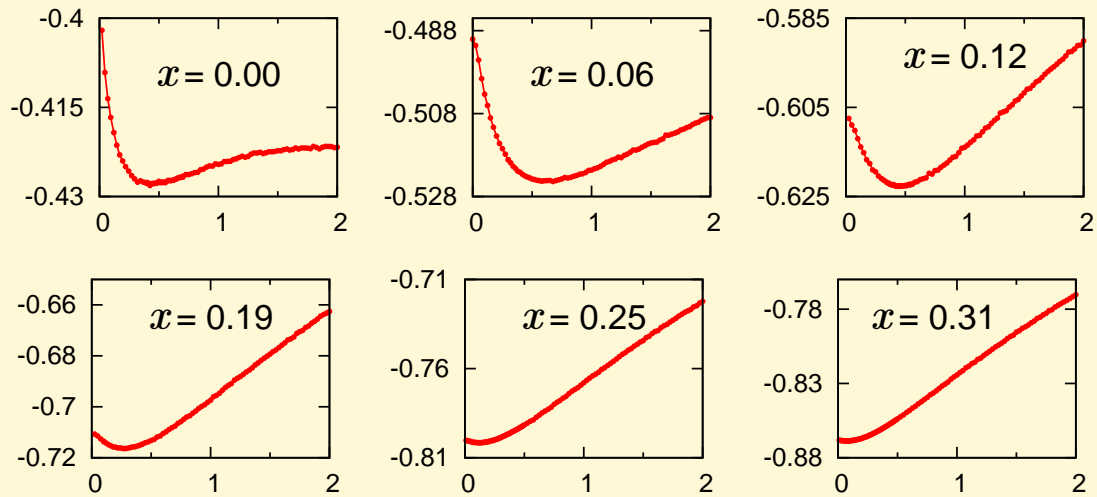


Fig: Variational energy of the  $d$ -wave state at various  $x$ .

$$(t_{\perp}, J_{\perp}) = (0.20, 0.10).$$

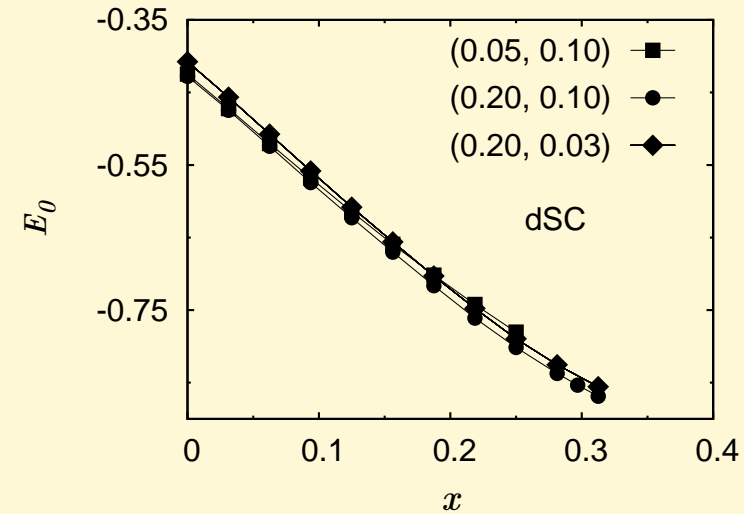


Fig: Optimal energy,  $E_0$  vs  $x$ .

# Energy of the $d$ -wave state

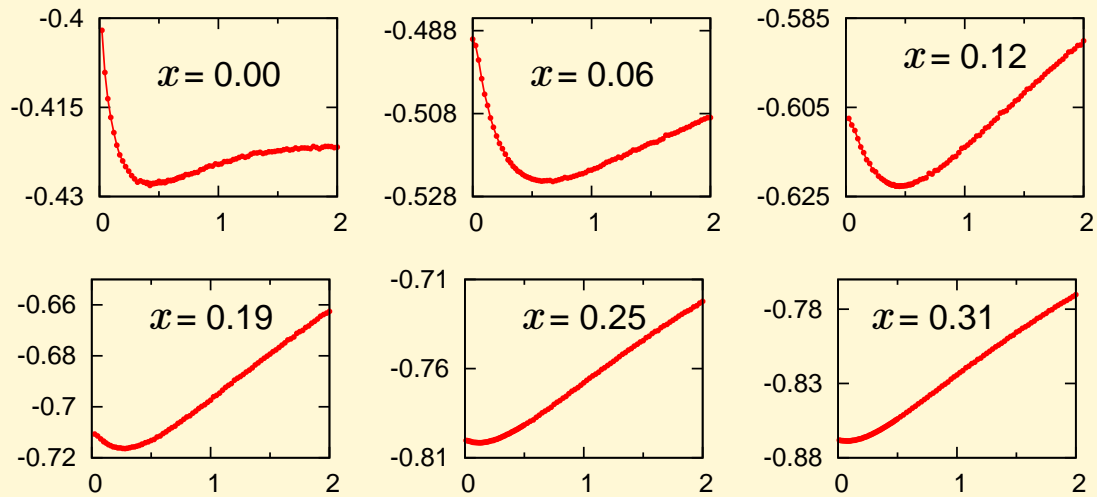


Fig: Variational energy of the  $d$ -wave state at various  $x$ .

$$(t_{\perp}, J_{\perp}) = (0.20, 0.10).$$

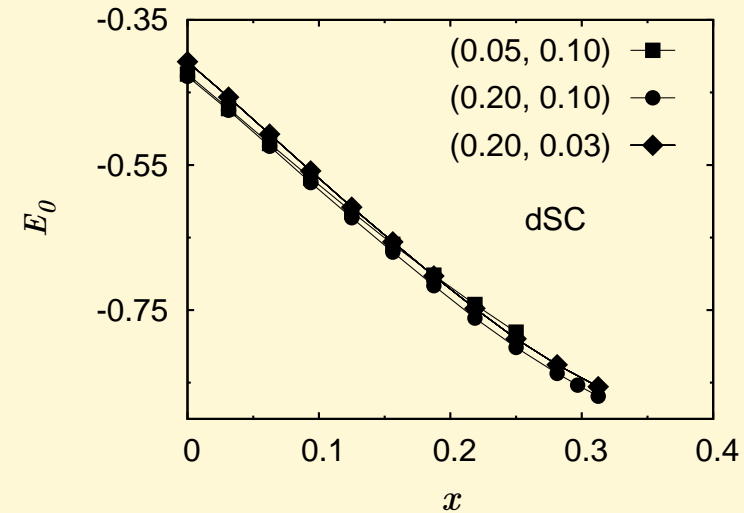
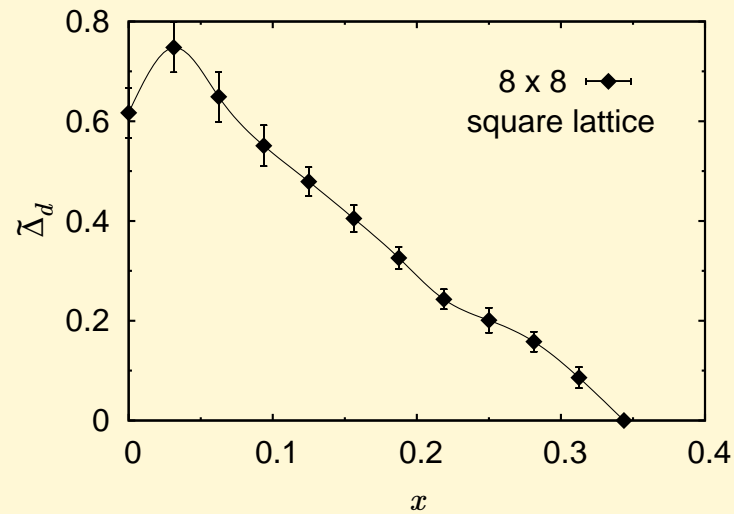
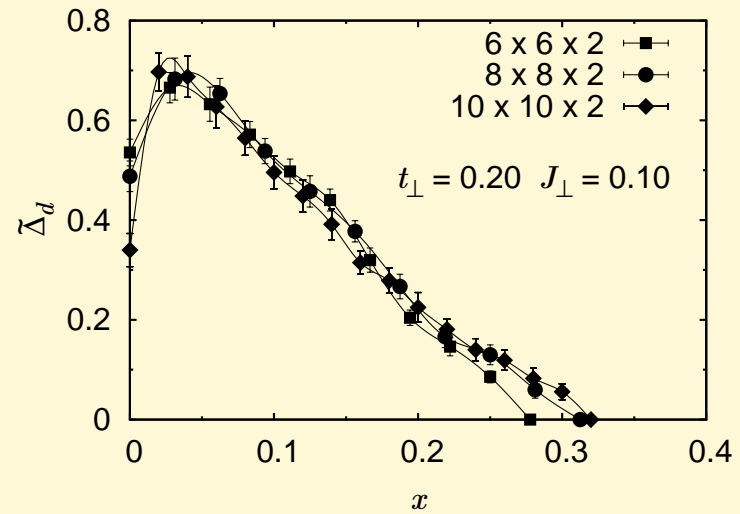
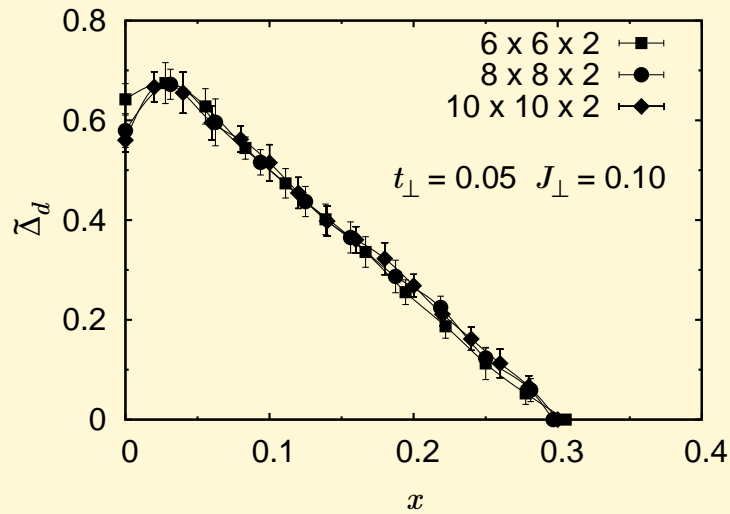


Fig: Optimal energy,  $E_0$  vs  $x$ .

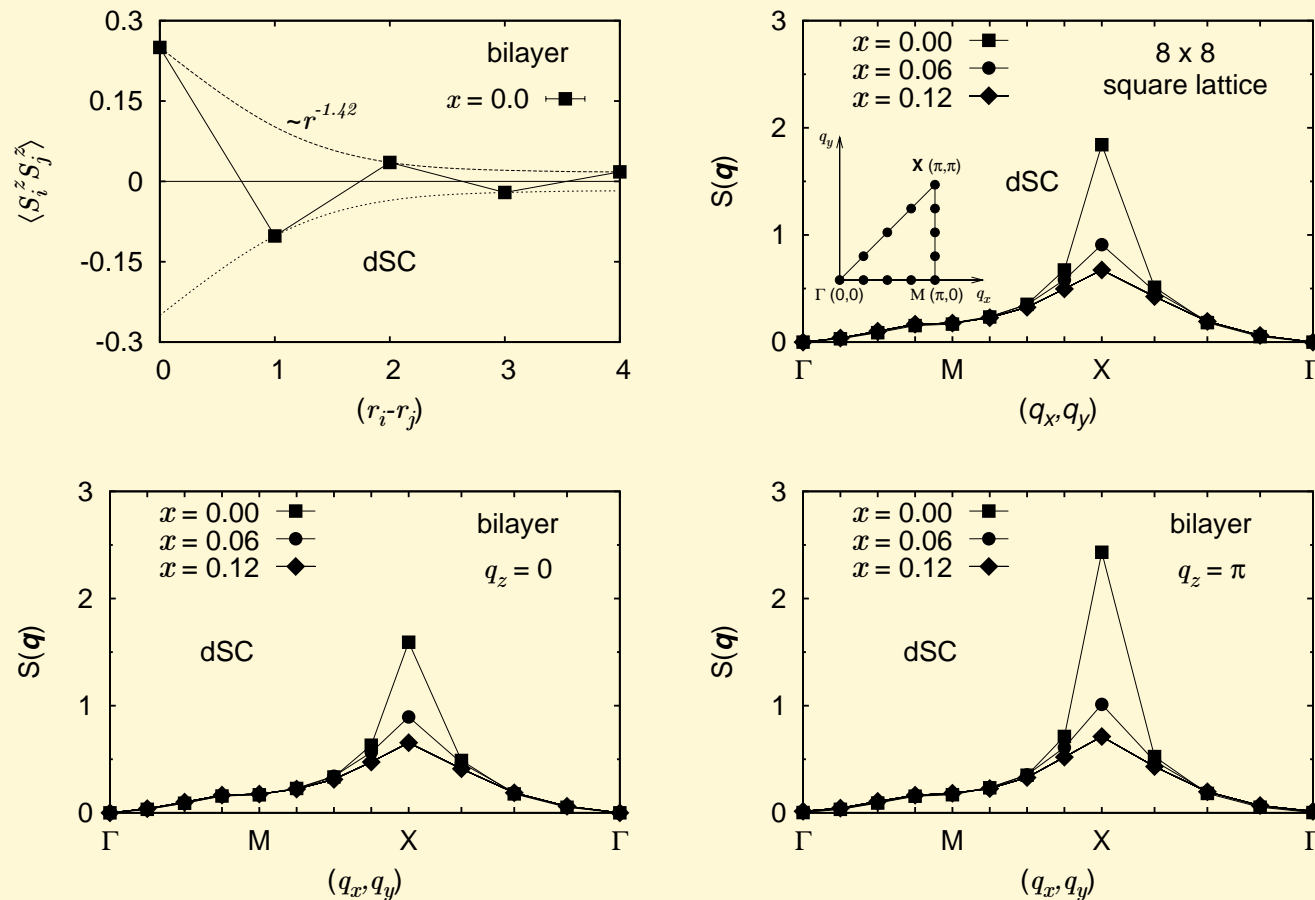
**Conclusion:** Favourable pairing symmetry in bilayer for experimentally relevant parameter values is  $d$ -wave.

# Optimal gap parameter

## Doping dependence of the optimal gap parameter



## Weak magnetic correlations



**Fig: Spin correlations,  $\langle S_i^z S_j^z \rangle$  and spin structure factor,  $S(\mathbf{q}) = \sum_{ij} e^{-\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)} / N_s$  in the  $d$ -wave state.**

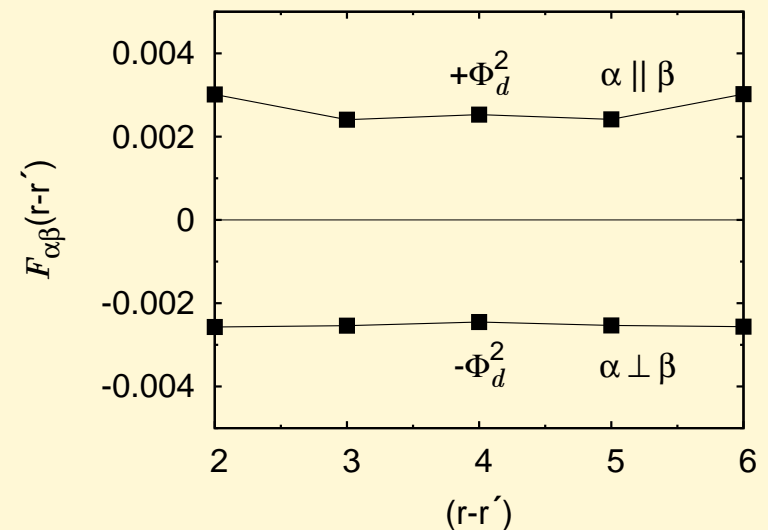
## Superconducting correlations

- SC pair-pair correlation function,

$$F_{\alpha,\beta}(\mathbf{r} - \mathbf{r}') = \langle B_{\mathbf{r}\alpha}^\dagger B_{\mathbf{r}'\beta} \rangle$$

$B_{\mathbf{r}\alpha}^\dagger = \frac{1}{2}(c_{\mathbf{r}\uparrow}^\dagger c_{\mathbf{r}+\alpha\downarrow}^\dagger - c_{\mathbf{r}\downarrow}^\dagger c_{\mathbf{r}+\alpha\uparrow}^\dagger)$  creates an electron pair at bond  $(\mathbf{r}, \mathbf{r} + \alpha)$ .  $\alpha$  and  $\beta$  are unit vectors  $\hat{x}$ ,  $\hat{y}$ , or  $\hat{z}$ .

- SC order parameter,  $F_{\alpha,\beta}(\mathbf{r} - \mathbf{r}') \rightarrow \pm\Phi_d^2$  for large  $|\mathbf{r} - \mathbf{r}'|$ ,  $+$  ( $-$ ) correspond to  $\alpha \parallel$  ( $\perp$ ) to  $\beta$  (for planar  $\alpha, \beta$ ).



## Superconducting order parameter

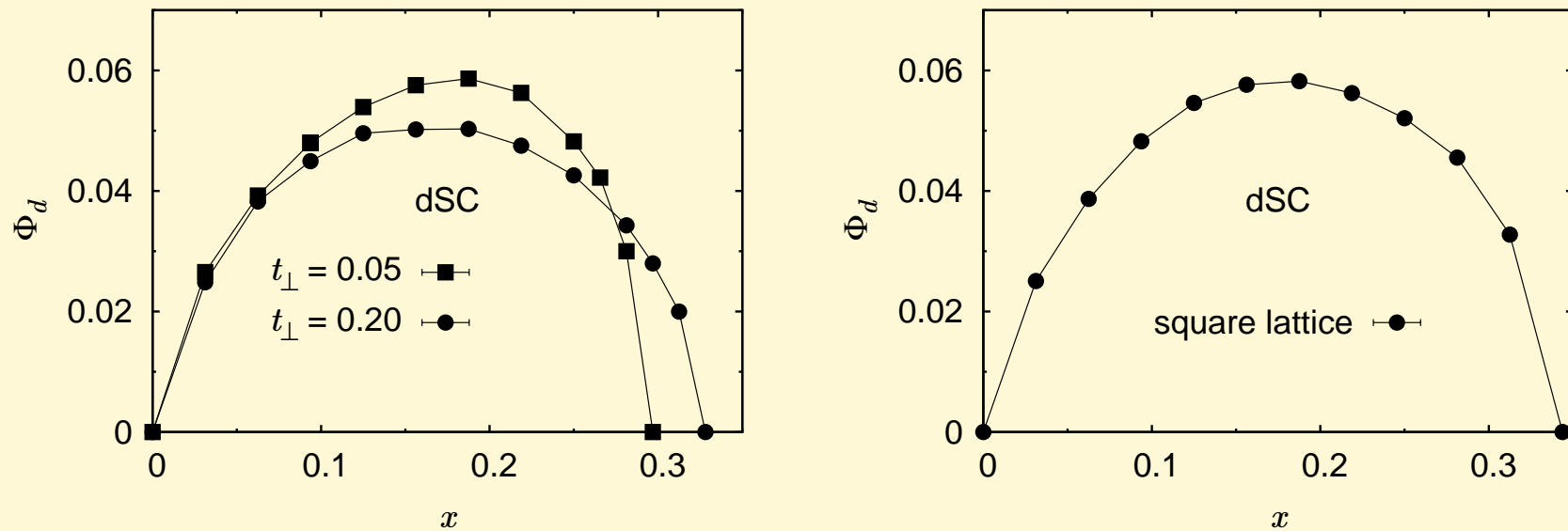


Fig: SC order parameter corresponding to planar correlations ( $J_{\perp} = 0.10t$ ).

Interplanar correlations are negligibly small

## Coexistence of AF & SC

- Interplay of SC and antiferromagnetism (AF) order has been an important issue in cuprates.
- In the  $t$ - $J$  model in 2D, there is evidence for coexistence.
- What is the scenario in bilayer? How the coexisting AF order affect SC correlations?



# Coexistence of AF & SC

We consider a wavefunction with both SC and AF orders,

$$|\Psi_{var}(\Delta_{SC}, \Delta_{AF})\rangle = \mathcal{P}_G \mathcal{P}_N \prod_{\mathbf{k}} \left( u_{\mathbf{k}} + v_{\mathbf{k}} d_{\mathbf{k}\uparrow}^\dagger d_{-\mathbf{k}\downarrow}^\dagger \right) |0\rangle$$

$$\frac{v_{\mathbf{k}}}{u_{\mathbf{k}}} = \frac{\Delta_{\mathbf{k}}}{(\mp E_{\mathbf{k}} - \mu) + \sqrt{(\mp E_{\mathbf{k}} - \mu)^2 + \Delta_{\mathbf{k}}^2}}, \quad E_{\mathbf{k}} = \sqrt{\varepsilon_{\mathbf{k}}^2 + \Delta_{AF}^2}$$

$d_{\mathbf{k}\sigma}^\dagger \rightarrow$  diagonalizes the AF Hartree-Fock Hamiltonian.

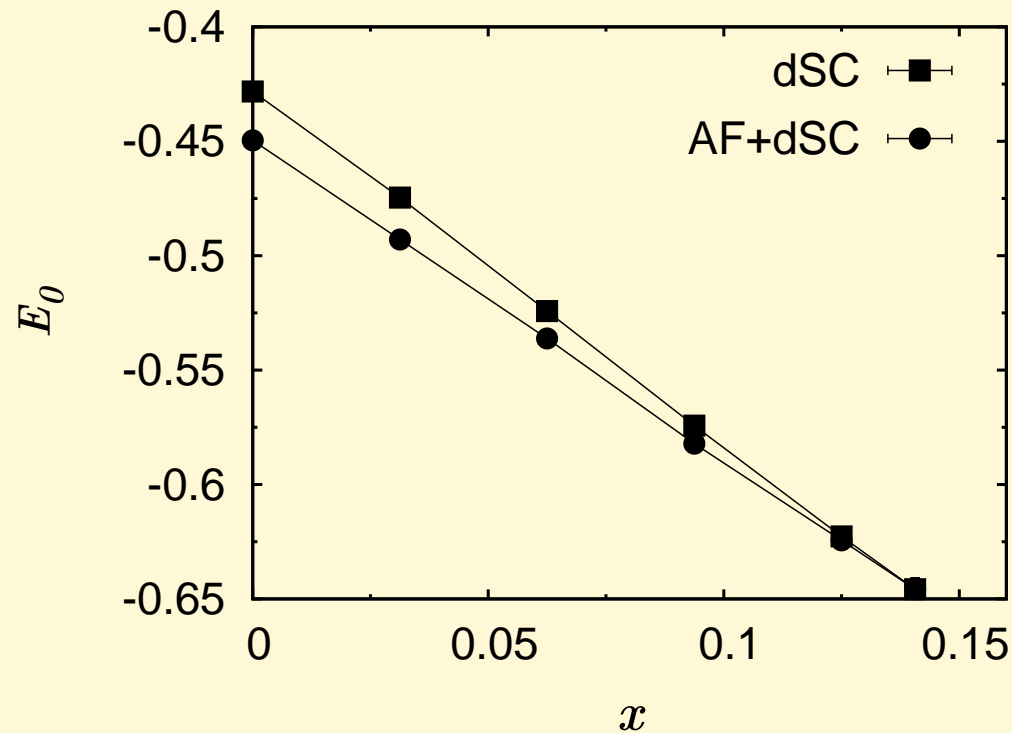
Variational parameters -  $\Delta_{SC}$  and  $\Delta_{AF}$

Phases described by the wavefunction

- AF phase for  $\Delta_{AF} \neq 0$  and  $\Delta_{SC} \rightarrow 0$ .
- SC phase for  $\Delta_{AF} = 0$  and  $\Delta_{SC} \neq 0$
- Coexisting AF - SC phase for  $\Delta_{AF}, \Delta_{SC} \neq 0$
- Normal state for  $\Delta_{AF}, \Delta_{SC} = 0$

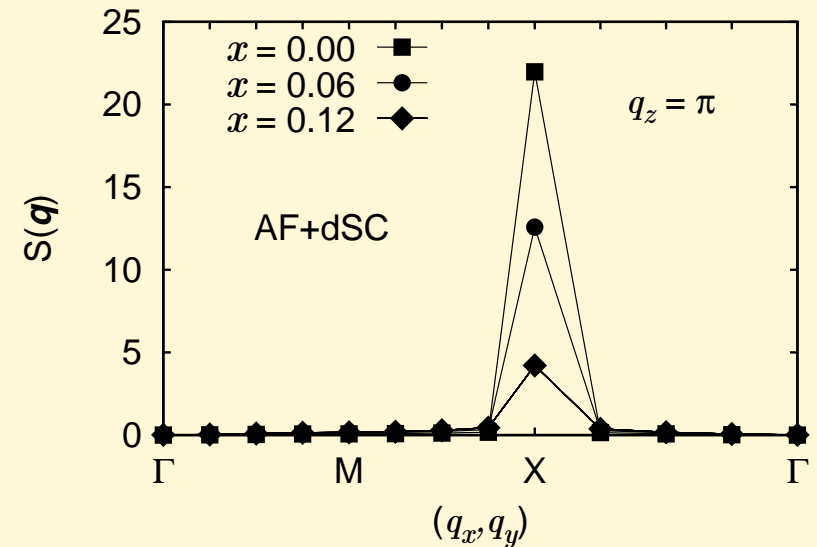
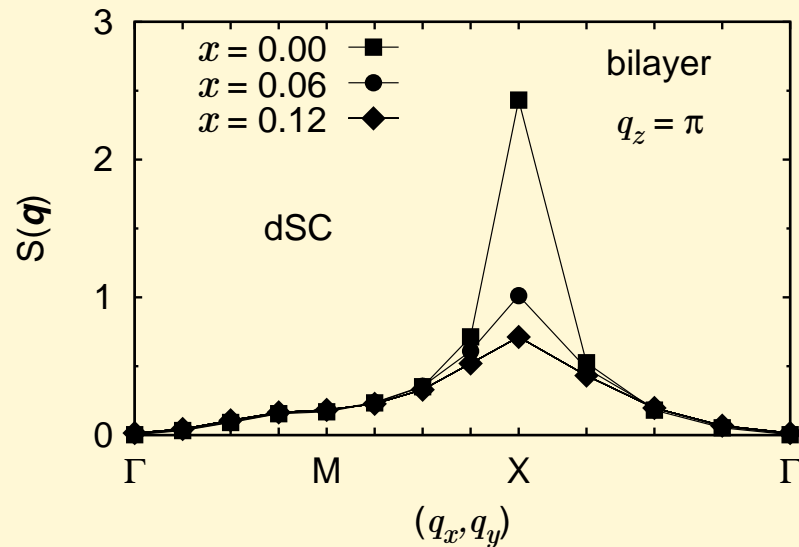
## Coexistence of AF & SC

Optimized energy for the pure  $d$ -wave state (dSC) and the coexisting state (AF+dSC)



AF coexists with SC in the underdoped region upto hole doping  $x \sim 0.14$ .

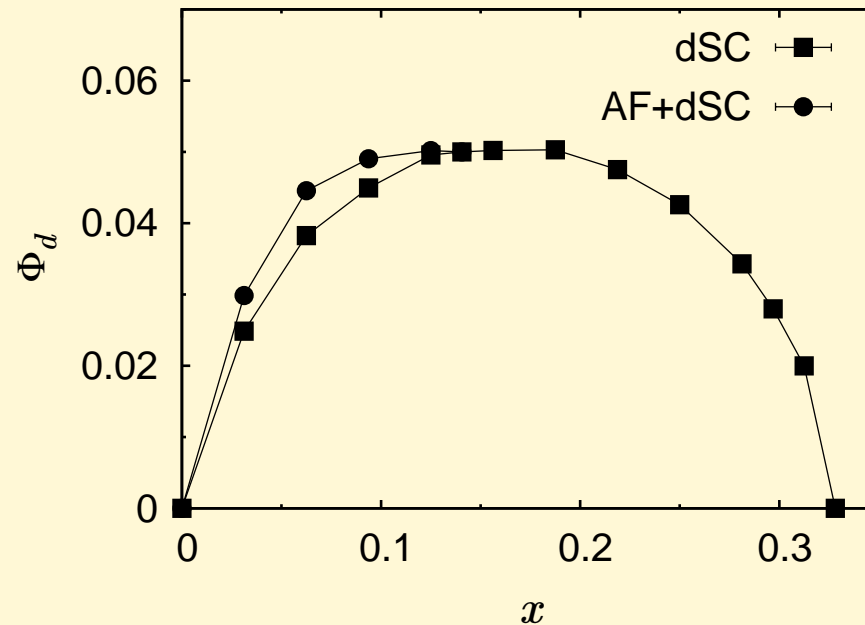
## Enhanced magnetic correlations - expected



Spin structure factor in the pure  $d$ -wave (dSC) and the coexisting (AF+dSC) states.

## Coexisting state

Enhanced SC correlations too - interesting



SC order parameter,  $\Phi_d$  for the pure  $d$ -wave (dSC) and the coexisting (AF+dSC) states.

---

## Interlayer pair-tunneling (ILPT)

## Interlayer pair-tunneling

### As a mechanism behind high $T_c$ in cuprates

- Cooper pairs in SC state tunnel across  $\text{CuO}_2$  layers by the Josephson tunneling process,

$$\mathcal{H}_J = - \sum_{\mathbf{k}} T_J(\mathbf{k}) \left( c_{\mathbf{k}\uparrow}^{(1)\dagger} c_{-\mathbf{k}\downarrow}^{(1)\dagger} c_{-\mathbf{k}\downarrow}^{(2)} c_{\mathbf{k}\uparrow}^{(2)} + h.c. \right)$$

- It was believed that this lowers kinetic energy in the SC state and provides the large SC condensation energy in cuprates.
- So makes the  $T_c$  high.

## Experimental contradictions

- $c$ -axis penetration depth,  $\lambda_c$  is related to the condensation energy due to the lowering of kinetic energy.
- Experimentally measured value of  $\lambda_c$  in a number of cuprates differs the predicted value by an order of magnitude.

## A relook from a different point of view

- The Gutzwiller projected  $d$ -wave BCS wavefunction is used quite often to describe superconductivity in cuprates.
- How well does this state support the ILPT scenario?



## A relook from a different point of view

- The Gutzwiller projected  $d$ -wave BCS wavefunction is used quite often to describe superconductivity in cuprates.
- How well does this state support the ILPT scenario?

## We examine this issue using VMC

Model - Consider two 2D  $t$ - $J$  layers connected by  $H_J$ ,

$$\mathcal{H} = -t \sum_{m\langle i,j\rangle\sigma} \left( c_{i\sigma}^{(m)\dagger} c_{j\sigma}^{(m)} + h.c. \right) + J \sum_{m\langle i,j\rangle} \left( \mathbf{S}_i^{(m)} \cdot \mathbf{S}_j^{(m)} - \frac{1}{4} n_i^{(m)} n_j^{(m)} \right) - \sum_{\mathbf{k}} T_J(\mathbf{k}) \left( c_{\mathbf{k}\uparrow}^{(1)\dagger} c_{-\mathbf{k}\downarrow}^{(1)\dagger} c_{-\mathbf{k}\downarrow}^{(2)} c_{\mathbf{k}\uparrow}^{(2)} + h.c. \right)$$

$m$  (=1, 2) is the layer index.

## Pair tunneling amplitude

$$T_J(\mathbf{k}) = \frac{t_{\perp}^2}{16t} (\cos k_x - \cos k_y)^4$$

- $t_{\perp} \sim 0.15 \text{ eV}$ ,  $t \sim 0.4 \text{ eV}$ .  $t_{\perp}/t \sim 0.4$ .  $J = 0.35t$ .

## Variational wavefunction

- Product of two Gutzwiller projected  $d$ -wave BCS states with variable particles numbers, one for each layer,

$$|\Psi_{var}\rangle = |\Psi\rangle^{(1)} |\Psi\rangle^{(2)}$$

$$|\Psi\rangle^{(m)} = \mathcal{P}_G^{(m)} |\Psi_{BCS}\rangle^{(m)} = \mathcal{P}_G^{(m)} \prod_{\mathbf{k}} \left( u_{\mathbf{k}} + v_{\mathbf{k}} c_{\mathbf{k}\uparrow}^{(m)\dagger} c_{-\mathbf{k}\downarrow}^{(m)\dagger} \right) |0\rangle$$

- **Allowing particle number fluctuations is necessary.**

## Why GVMC

- Since the particle number is not fixed, it is necessary to perform simulation in grand canonical scheme.

## Why GVMC

- Since the particle number is not fixed, it is necessary to perform simulation in grand canonical scheme.

## VMC vs GVMC

- Concentrate on the grandcanonical BCS wavefunction for a single layer

$$|\Psi\rangle = \sum_{N=0,2,\dots}^{N_s} S_N \left( \sum_{R_N} C(R_N) |R_N\rangle \right)$$

$$|R_N\rangle = c_{i_1\uparrow}^\dagger \cdots c_{i_{N/2}\uparrow}^\dagger c_{j_1\downarrow}^\dagger \cdots c_{j_{N/2}\downarrow}^\dagger |0\rangle. \quad S_N = \pm 1.$$

It is a state in a Hilbert space,  $\mathbb{H}$  with fluctuating particle number.

## Fixed $N$ VMC

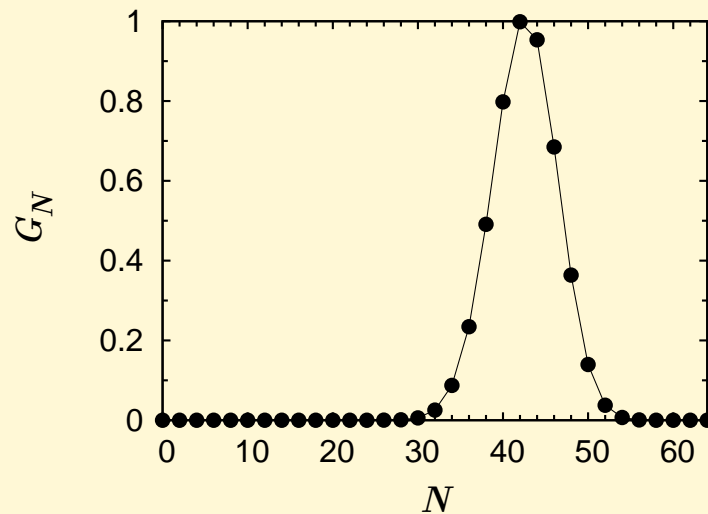
- Generate a Markov chain of states  $|R'_N\rangle \rightarrow |R'_N\rangle \rightarrow \dots$  by doing a random walk in a fixed  $N$  subspace,  $\mathbb{H}_N$ .
- Monte Carlo moves - (i) hopping a spin to a vacant site  
(ii) exchanging two antiparallel spins.

## GVMC

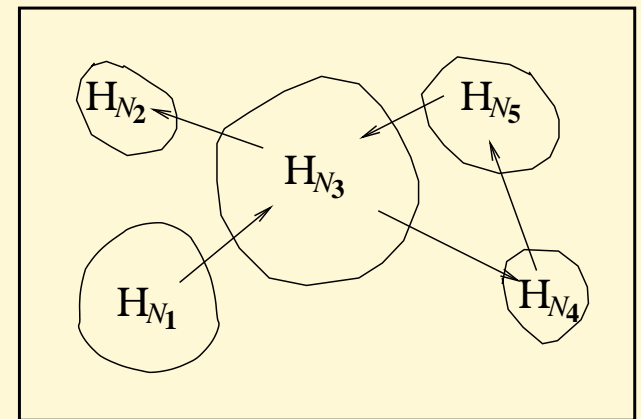
- Generate a Markov chain of states  $|R'_{N_1}\rangle \rightarrow |R'_{N_2}\rangle \rightarrow \dots$  by doing a walk in  $\mathbb{H}$ .
- Monte Carlo moves - **need to create or destroy spins in pairs** in addition to (i) and (ii).

## A random walk in $\mathbb{H}$

- Dimension,  $G_N$  of a subspace  $\mathbb{H}_N$  strongly depend on  $N$ .



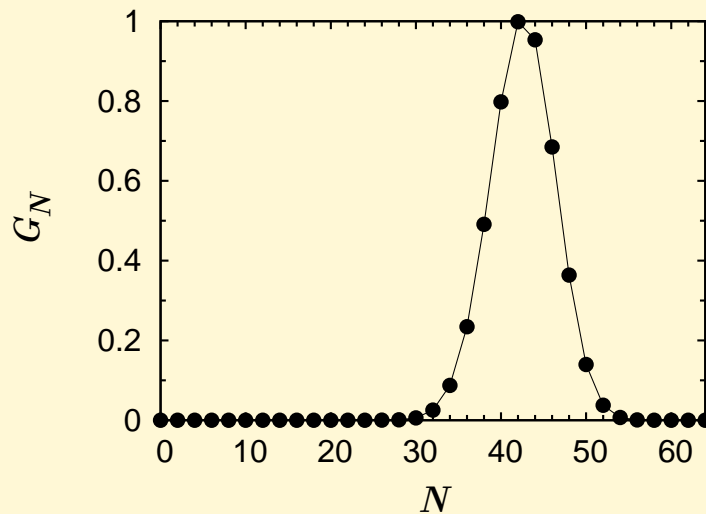
$G_N$  vs  $N$  for a  $8 \times 8$  lattice



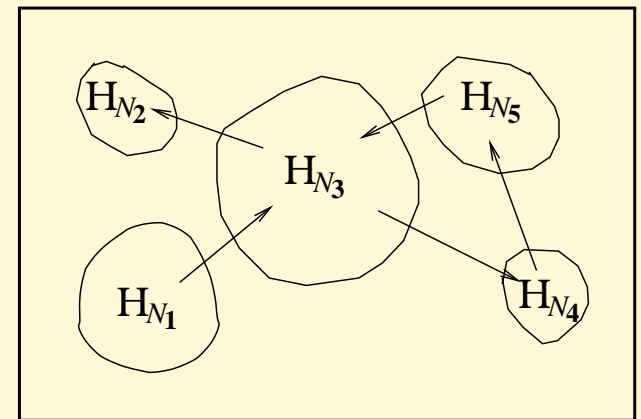
A random walk in  $\mathbb{H}$

## A random walk in $\mathbb{H}$

- Dimension,  $G_N$  of a subspace  $\mathbb{H}_N$  strongly depend on  $N$ .



$G_N$  vs  $N$  for a  $8 \times 8$  lattice



A random walk in  $\mathbb{H}$

How often should we attempt jumping to a particular  $\mathbb{H}_N$ ?

- Should be proportional to  $G_N$ . However the actual number may be different. It depends on  $C(R_N)$ .



- Should be proportional to  $G_N$ . However the actual number may be different. It depends on  $C(R_N)$ .

Consider the hypothetical wavefunction,

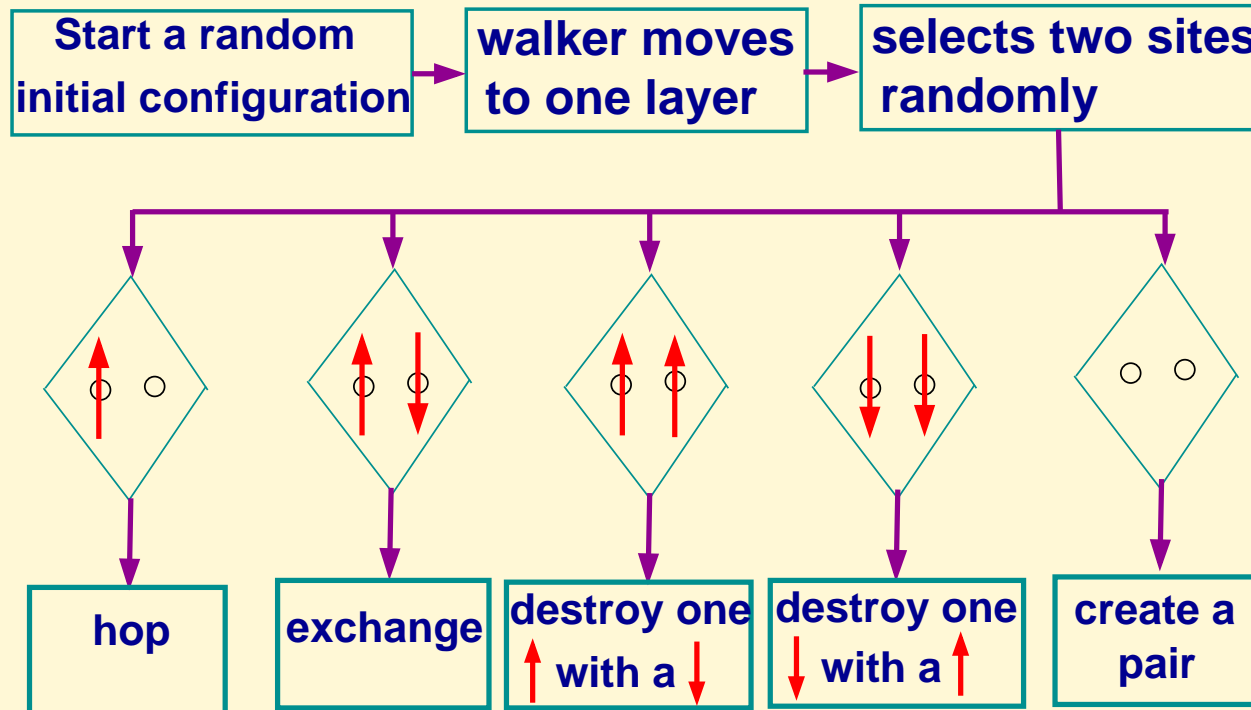
$$|\Psi_{hyp}\rangle = \sum_{N=0,2,\dots}^{N_s} \sum_{R_N} |R_N\rangle$$

- In simulation of  $|\Psi_{hyp}\rangle$ , the number of actual jumps,  $M_N$  to a  $\mathbb{H}_N$  must be proportional to  $G_N$ . That is here we require

$$M_N \propto G_N$$

How to satisfy the above condition?

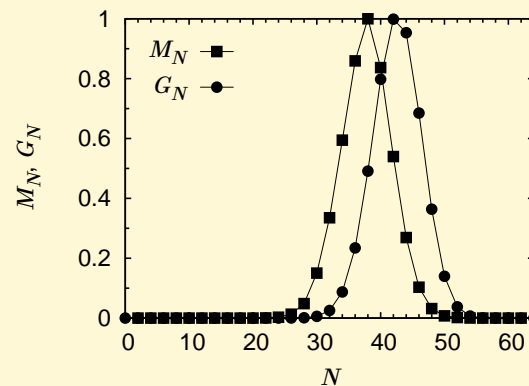
## A new algorithm



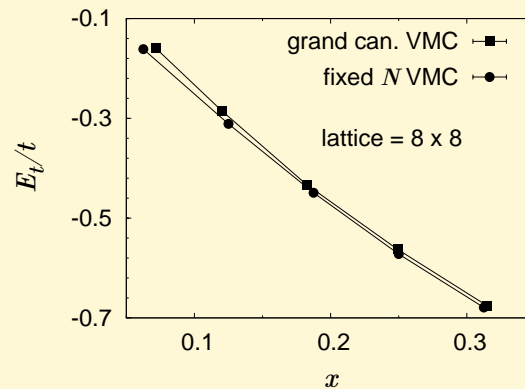
Monte Carlo moves for GVMC simulation

## Verifying the algorithm

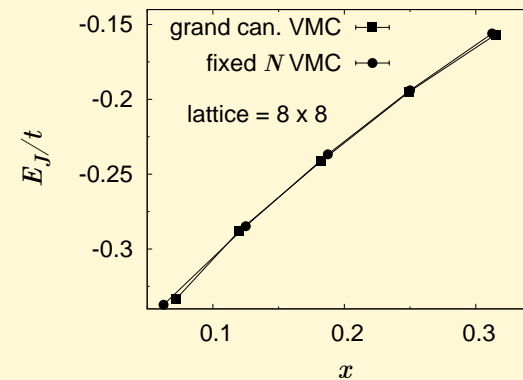
- Comparison of calculated  $M_N$  with  $G_N$



- Energy for the 2D  $t$ - $J$  model



hopping



exchange

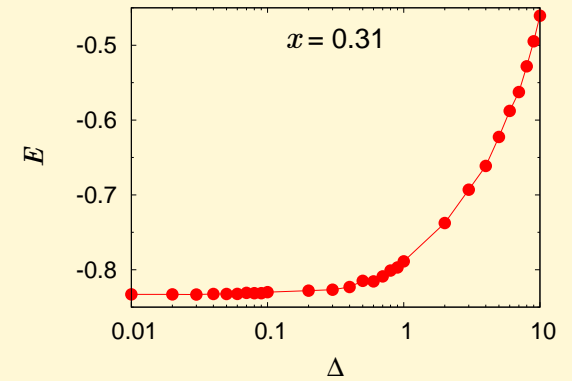
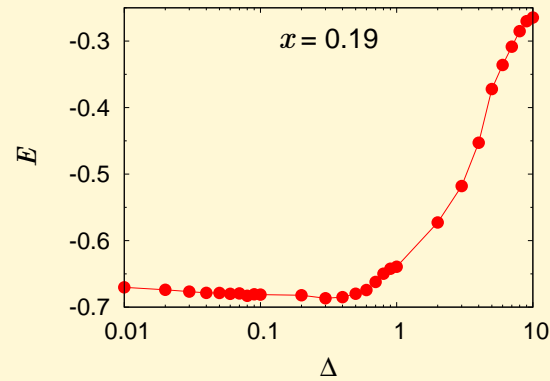
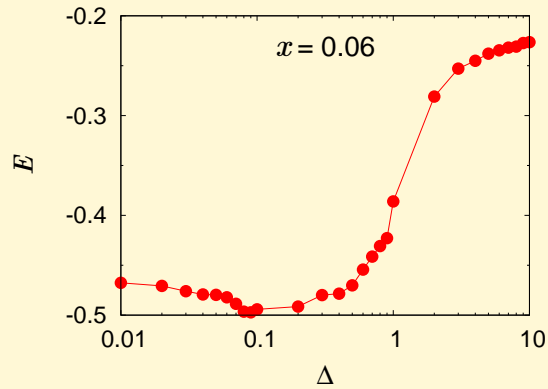
### Wavefunction in real space,

$$|\Psi_{var}\rangle = \sum_{N,N'} S_N S_{N'} \left( \sum_{R_N^{(1)}} \sum_{R_{N'}^{(2)}} C(R_N^{(1)}) C(R_{N'}^{(2)}) |R_N^{(1)}\rangle |R_{N'}^{(2)}\rangle \right)$$

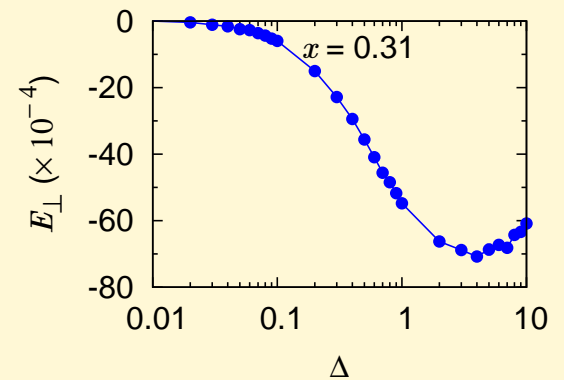
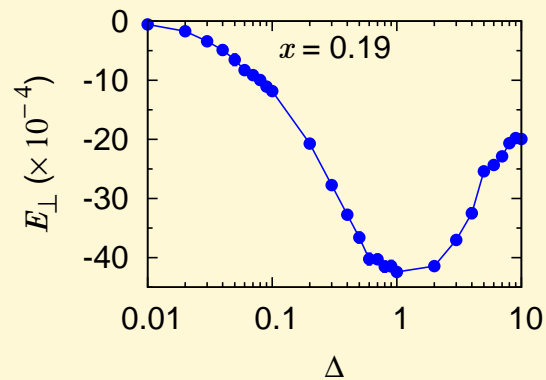
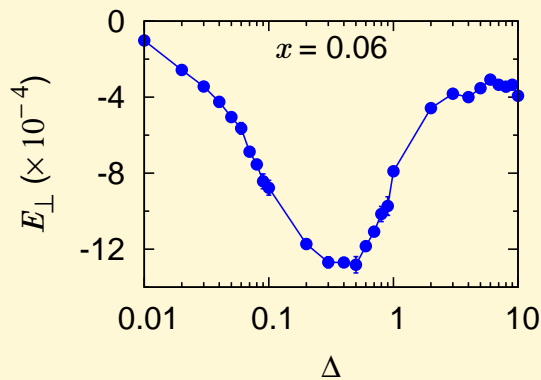
- **Variational parameter is  $\Delta$  (*d*-wave SC gap parameter).**
- **Average particle number is fixed by chemical potential,  $\mu$ .**
- **Lattice parameters: size= $8 \times 8 \times 2$ .  $J = 0.35t$ .  $t_{\perp}/t = 1$ .**

# Pair tunneling energy

## Total variational energy, $E$ vs $\Delta$



## Pair tunneling energy, $E_{\perp}$ vs $\Delta$



### Interesting variation of $E_{\perp}$ with $\Delta$ .

- $E_{\perp}$  tends to enhance optimal  $\Delta$ , thereby SC pairing.
- However the magnitude of  $\Delta$  is too small to have any appreciable effect eventually.
- Contribution of  $E_{\perp}$  towards the SC condensation energy is only 10% of the total.

---

**Thank You**