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# QUANTUM PHASE TRANSITIONS IN STRONGLY CORRELATED AND HIGHLY FRUSTRATED SPIN-LATTICE SYSTEMS :

An Ab Initio Quantum Many-Body Theory Formulation

by

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# OUTLINE

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- An Illustrative Model
- The Coupled Cluster Method
- Results
  - spin-1/2
  - spin-1



Reference ( $s = 1/2$  only)

R.F. Bishop *et al.*, Phys. Rev. B 79, 174405 (2009)

# AN ILLUSTRATIVE MODEL

- $J_1$ - $J_2$  model on a 2D square (or triangular) lattice
- We'll look at two cases :  $s = 1/2$  spins and  $s = 1$  spins

- $$H = J_1 \sum_{\langle i,j \rangle} \mathbf{s}_i \cdot \mathbf{s}_j + J_2' \sum_{[i,j]} \mathbf{s}_i \cdot \mathbf{s}_j \quad (\text{and set } J_1 \equiv 1)$$

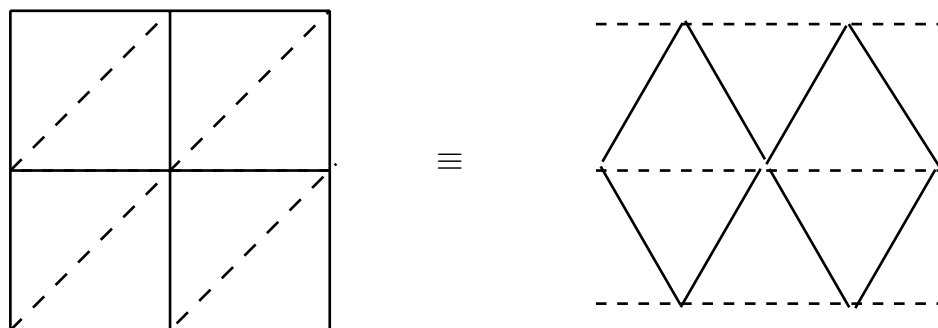
where, on square lattice:

- $\langle i, j \rangle$  bonds  $J_1 \equiv \text{—}$

all NN bonds

- $[i, j]$  bonds  $J_2' \equiv \text{- - -}$

half NNN bonds



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## ● limits

- $J'_2 = 0$  : isotropic HAF on 2D square lattice
- $J'_2 = 1$  : isotropic HAF on 2D triangular lattice
- $J'_2 \rightarrow \infty$  : uncoupled HAF 1D chains

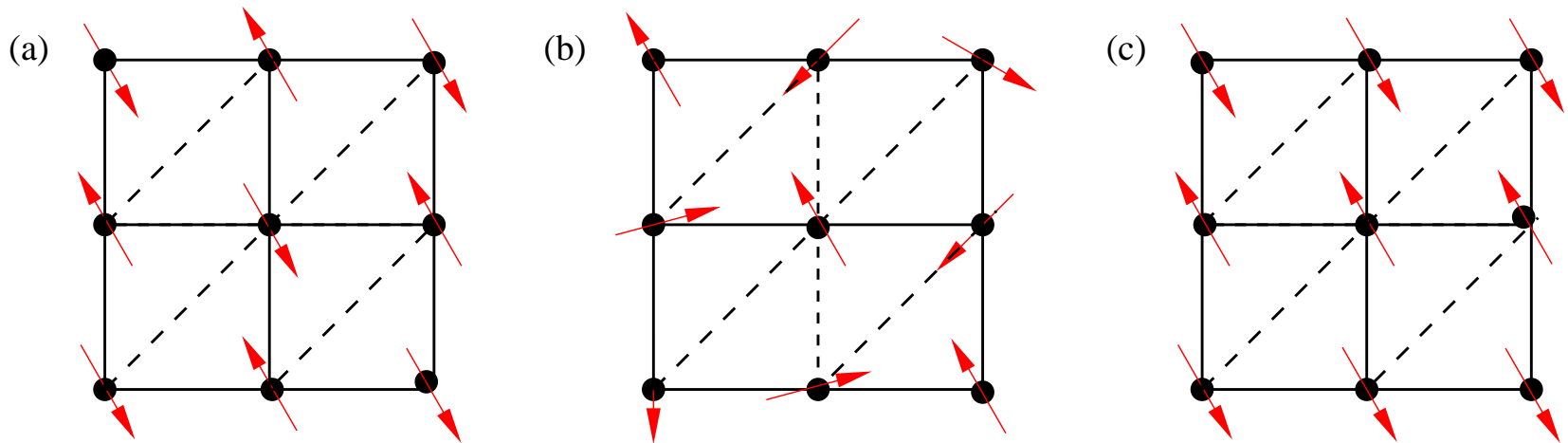
## ● classical limit ( $s \rightarrow \infty$ )

- for  $J'_2 < \frac{1}{2}J_1$  : gs is Néel ordered as in (a) below
- for  $J'_2 > \frac{1}{2}J_1$  : gs is spiral ordered as in (b) below  
with pitch angle at site  $(i, j)$

$$\alpha_{ij} = \alpha_0 + (i + j)\alpha_{cl},$$

$$\alpha_{cl} = \cos^{-1}\left(-\frac{J_1}{2J'_2}\right) \equiv \pi - \phi_{cl}$$

# Néel, Spiral, and Striped Model States



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⇒ in limit  $J'_2 \rightarrow \infty$  : uncoupled 1D HAF chains with relative spin orientation of  $90^\circ$  between neighbouring chains (although with complete degeneracy between states of arbitrary relative ordering between chains)

⇒ **clearly**, exact limit for the spin-1/2 and spin-1 cases should also be 1D uncoupled isotropic HAF chains **but**

*question* : might the *order by disorder* mechanism lift this degeneracy to give, e.g., a striped state as in (c) above?

*question* : will the  $s = 1/2$  and  $s = 1$  cases be different?

# THE COUPLED CLUSTER METHOD

We use the **coupled cluster method** (CCM)

- ground-state wavefunction :

$$|\Psi\rangle = e^S |\Phi\rangle; \quad \langle\tilde{\Psi}| = \langle\Phi|\tilde{S}e^{-S}; \quad \langle\tilde{\Psi}|\Psi\rangle = \langle\Phi|\Phi\rangle \equiv 1$$

$$S = \sum_{I \neq 0} \mathcal{S}_I C_I^+; \quad \tilde{S} = 1 + \sum_{I \neq 0} \tilde{\mathcal{S}}_I C_I^-$$

$$C_0^+ \equiv 0; \quad C_I^- \equiv (C_I^+)^{\dagger}; \quad C_I^- |\Phi\rangle = 0, \quad \forall I \neq 0$$

- $C_I^+ |\Phi\rangle$  are a complete set of wf's;  $[C_I^+, C_J^+] = 0$
- choose model state  $|\Phi\rangle$  to be, e.g., classical gs (either Néel or spiral), and also try striped state
- choose spin axes on each site so that  $|\Phi\rangle = |\downarrow\downarrow \cdots \downarrow\rangle$  in these local axes
- $\Rightarrow C_I^+ \rightarrow s_{i_1}^+ s_{i_2}^+ \cdots s_{i_k}^+; \quad s_j^+ \equiv s_j^x + i s_j^y, \quad \text{in local axes}$

- each  $s_i^+$  in  $C_I^+$  can appear at most once for  $s = 1/2$ , twice for  $s = 1, \dots$ , and  $2s$  times for general spin- $s$  case, on a given lattice site  $i$
- CCM satisfies the **Goldstone linked cluster theorem** and
- satisfies the **Hellmann-Feynman theorem**, for all truncations on complete set  $\{I\}$
- solve for  $\{\mathcal{S}_I, \tilde{\mathcal{S}}_I\}$  from gs Schrödinger eqs. for  $|\Psi\rangle, \langle\tilde{\Psi}|$
- we use triangular lattice geometry to define the approximation schemes and we retain all distinct fundamental configurations (fc) in the set  $\{I\}$  with respect to space- and point-group symmetries of both the Hamiltonian and the model state  $|\Phi\rangle$



- 
- **only** approximation is to truncate set  $\{I\}$ 
    - for  $s = 1/2$  case we use the **LSUB $_m$  scheme** in which we retain all possible multispin-flip correlations over different locales on lattice defined by  $m$  or fewer contiguous lattice sites
    - for  $s = 1$  case we use the **SUB $_{n-m}$  scheme** in which we retain all multispin-flip correlations involving up to  $n$  spin flips spanning a range of no more than  $m$  adjacent (or contiguous) lattice sites. We then set  $m = n$  and employ the so-called **SUB $_{m-m}$  scheme**
- NOTE** : LSUB $_m \equiv$  SUB $_{2sm-m}$  for general spin- $s$  case, e.g., LSUB $_m \equiv$  SUB $_{m-m}$  only for  $s = 1/2$  case)

# Number of fundamental configurations

$s = 1/2$			$s = 1$		
Method	# f.c.		Method	# f.c.	
	stripe	spiral		stripe	spiral
LSUB2	2	3	SUB2-2	2	4
LSUB3	4	14	SUB3-3	4	26
LSUB4	27	67	SUB4-4	60	189
LSUB5	95	370	SUB5-5	175	1578
LSUB6	519	2133	SUB6-6	2996	14084
LSUB7	2617	12878	SUB7-7	11778	131473
LSUB8	15337	79408			

**NOTE:** To obtain a single data point (i.e., for a given value of  $J'_2$ , with  $J_1 = 1$ ) for the spiral phase at the LSUB8 level for the spin-1/2 case we typically required about 0.3 h computing time using 600 processors simultaneously.]

- 
- at each  $\text{LSUB}_m$  or  $\text{SUB}_{m-m}$  level the CCM operates at the  $N \rightarrow \infty$  limit from the outset
  - calculate  $E/N$  and onsite magnetization  $M \equiv -\langle \tilde{\Psi} | s_i^z | \Psi \rangle$  in local axes
  - extrapolate to the exact  $m \rightarrow \infty$  limit, using well-tested empirical scaling laws
    - $E/N = a_0 + a_1 m^{-2} + a_2 m^{-4}$
    - $M = b_0 + b_1 m^{-1} + b_2 m^{-2}$

# RESULTS

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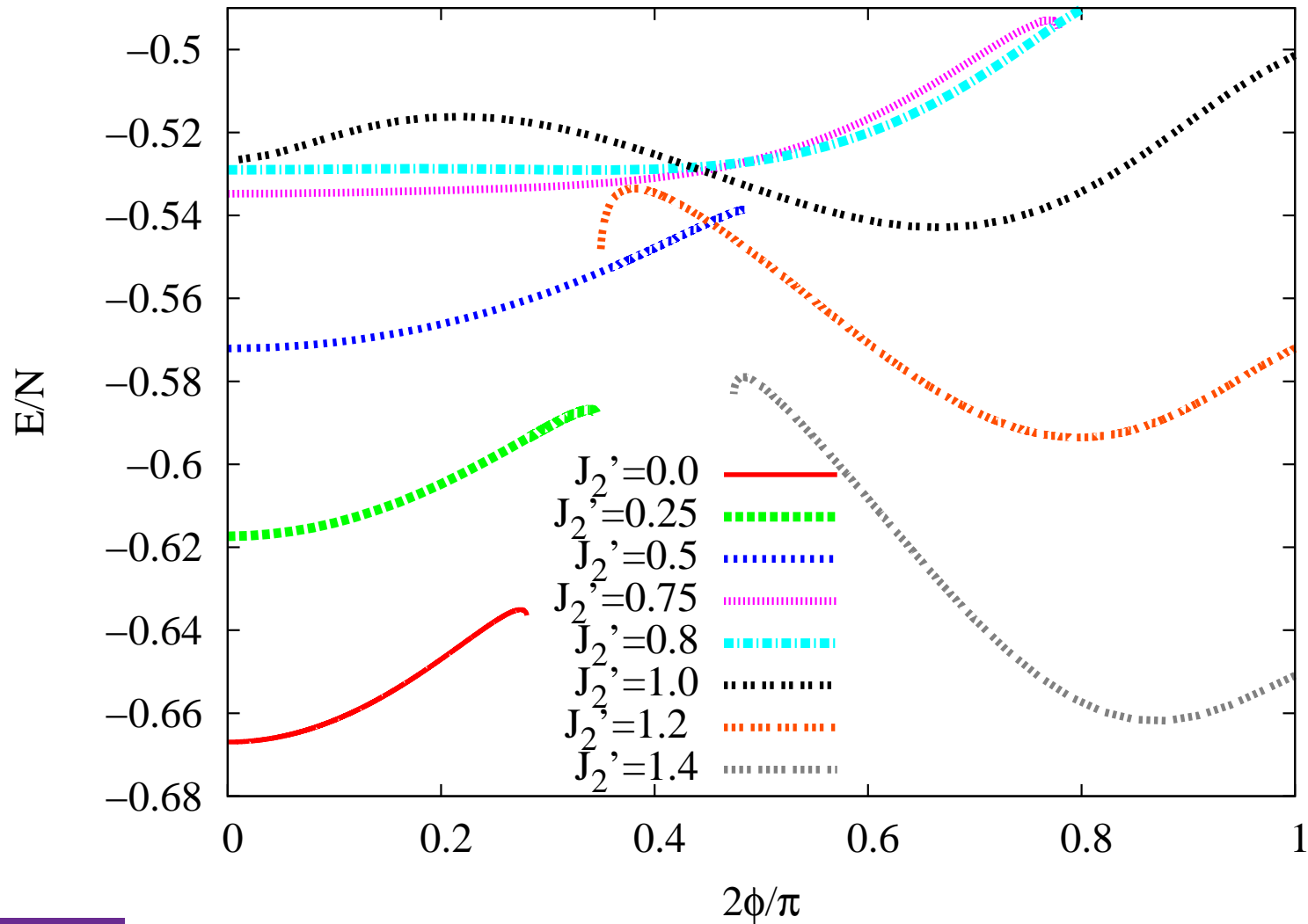
## ■ SPIN-1/2 CASE

### 1. Néel and Spiral Phases

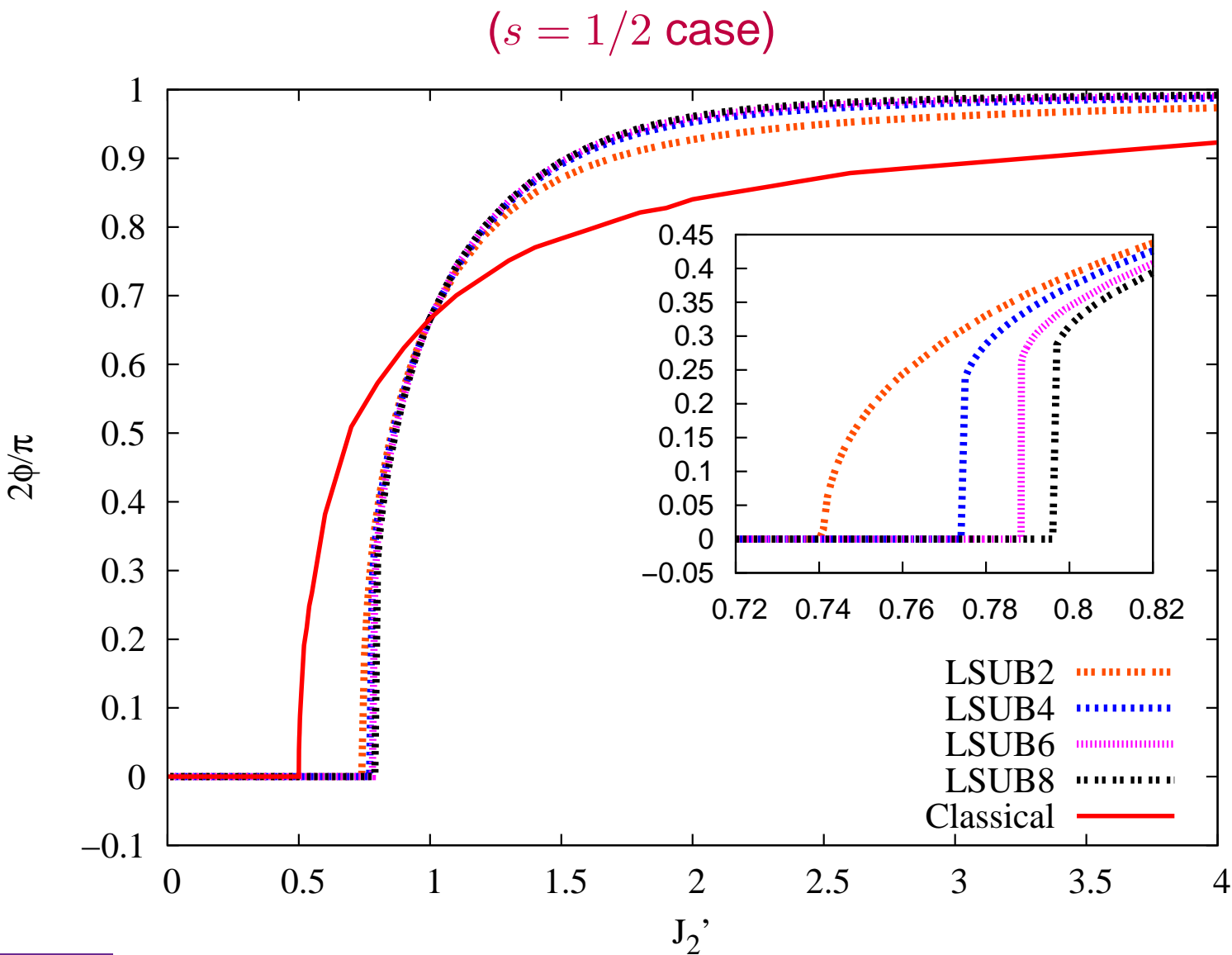
- for  $|\Phi\rangle = |\Phi_{\text{spiral}}\rangle$  we treat pitch angle  $\phi$  as a variable and choose  $\phi$  such that
$$E_{\text{LSUB}m}(\phi) = \min \text{ at } \phi = \phi_{\text{LSUB}m}$$
- we observe how  $\phi \rightarrow \frac{1}{2}\pi$  as  $J'_2 \rightarrow \infty$  much faster than for classical counterpart ( $\Rightarrow$  more rapid approach to collinearity on the uncoupled 1D chains)
- notice how we often obtain (real) solutions only for certain ranges of  $\phi$  depending on the value of  $\kappa \equiv J'_2/J_1$ , with termination points shown

# GS Energy versus Pitch Angle for Spiral Phase

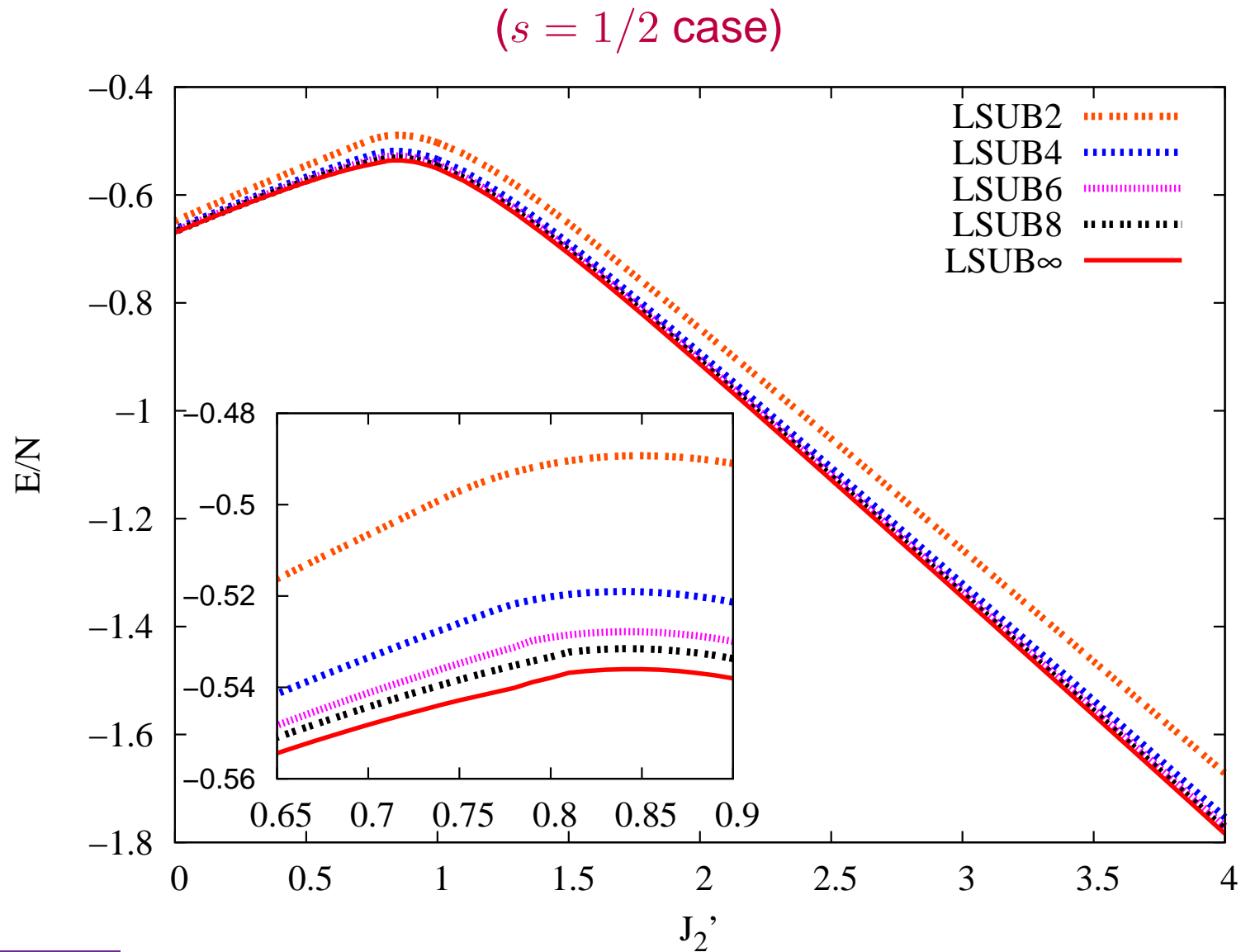
( $s = 1/2$  case; LSUB6 results shown)



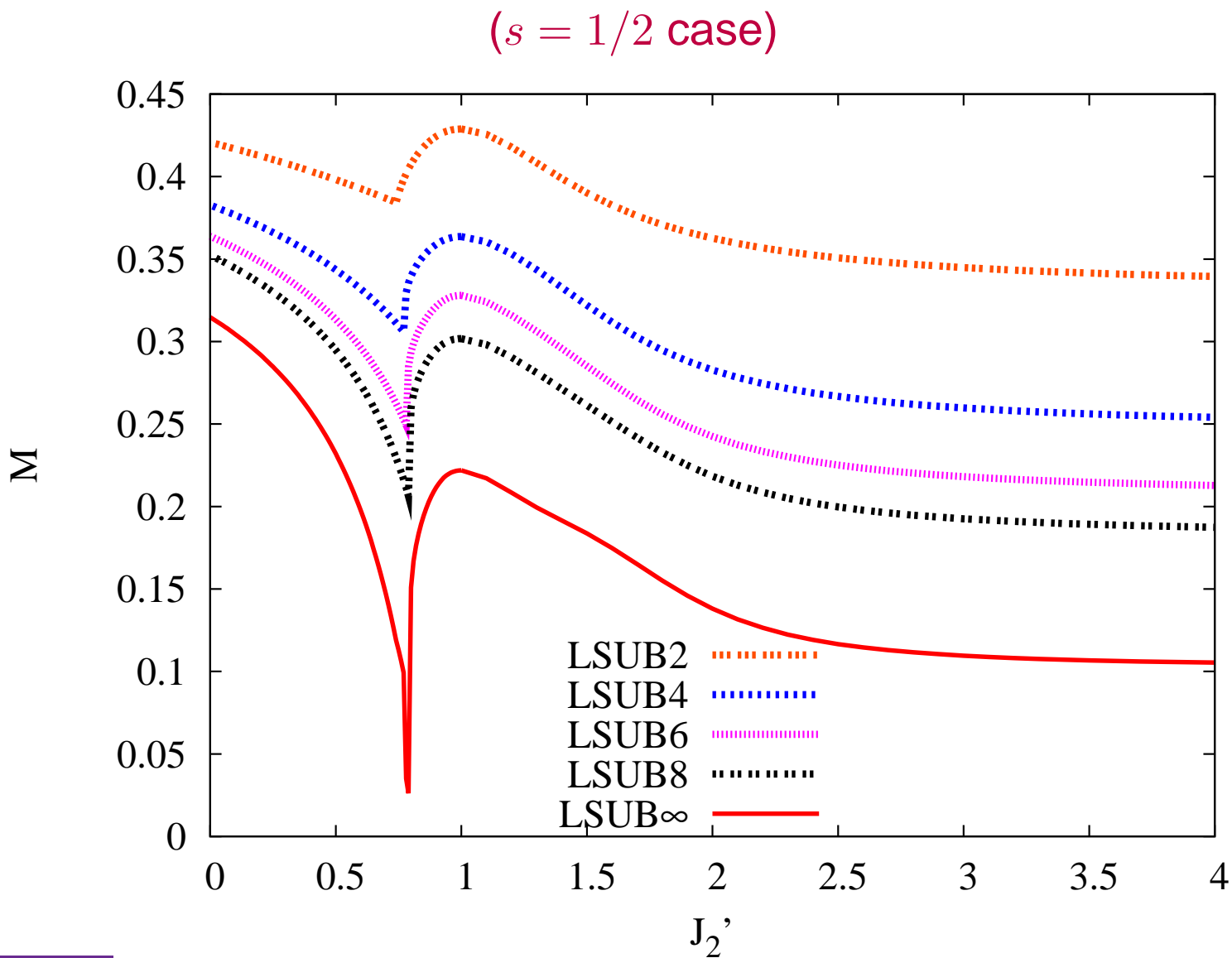
# Pitch Angle $\phi = \phi_{\text{LSUB}m}$ of Spiral Phase versus $J_2'$ ( $\phi = 0 \Rightarrow$ Néel Phase)



# GS Energy versus $J_2'$ in Néel and Spiral Phases



# Onsite Magnetization (Order Parameter) versus $J_2'$ in Néel and Spiral Phases





# Results for Isotropic HAF on Square and Triangular Lattices

( $s = 1/2$  case)

Method	$E/N$		$M$	
	square ( $\kappa = 0$ )		triangular ( $\kappa = 1$ )	
LSUB2	-0.64833	0.4207	-0.50290	0.4289
LSUB3	-0.64931	0.4182	-0.51911	0.4023
LSUB4	-0.66356	0.3827	-0.53427	0.3637
LSUB5	-0.66345	0.3827	-0.53869	0.3479
LSUB6	-0.66695	0.3638	-0.54290	0.3280
LSUB7	-0.66696	0.3635	-0.54502	0.3152
LSUB8	-0.66816	0.3524	-0.54679	0.3018
Extrapolations				
LSUB $_{\infty}$ <sup>a</sup>	-0.66974	0.3099	-0.55244	0.1893
LSUB $_{\infty}$ <sup>b</sup>	-0.67045	0.3048	-0.55205	0.2085
QMC	-0.669437(5)	0.3070(3)	-0.5458(1)	0.205(10)
SE	-0.6693(1)	0.307(1)	-0.5502(4)	0.19(2)

<sup>a</sup> Using LSUB $m$ ;  $m = \{4, 6, 8\}$       <sup>b</sup> Using LSUB $m$ ;  $m = \{3, 5, 7\}$

- 
- we observe a **weakly first-order** (or possibly second-order) **quantum phase transition** at a **first critical point**  $\kappa_{c_1} = 0.80 \pm 0.01$  ( $\kappa \equiv J'_2/J_1$ ) [c.f. second-order classical transition at  $\kappa_{c_1} = 0.5$ ]
    - and thus the collinear Néel order exists to larger frustration than in the classical counterpart
    - which is another example of the fact that **quantum fluctuations favour collinearity**

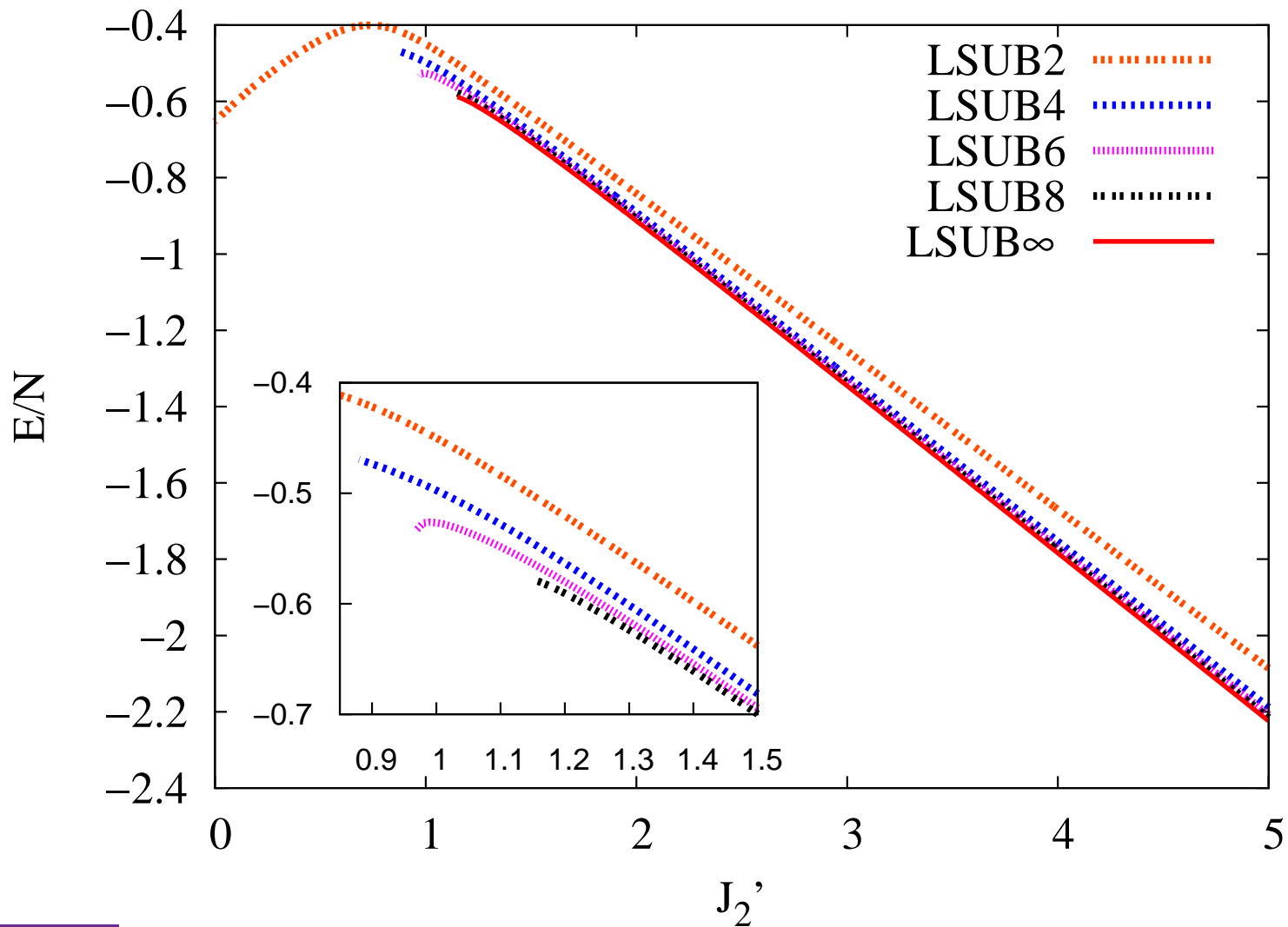
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## 2. Spiral and Striped Phases

- we also use  $|\Phi\rangle \rightarrow |\Phi_{\text{stripe}}\rangle$  as CCM model state
- and **recall again** : the spiral phase approaches collinearity ( $\phi = \frac{1}{2}\pi$ ) for the uncoupled 1D chain limit (as  $J'_2 \rightarrow \infty$ ) much faster than in the corresponding classical model

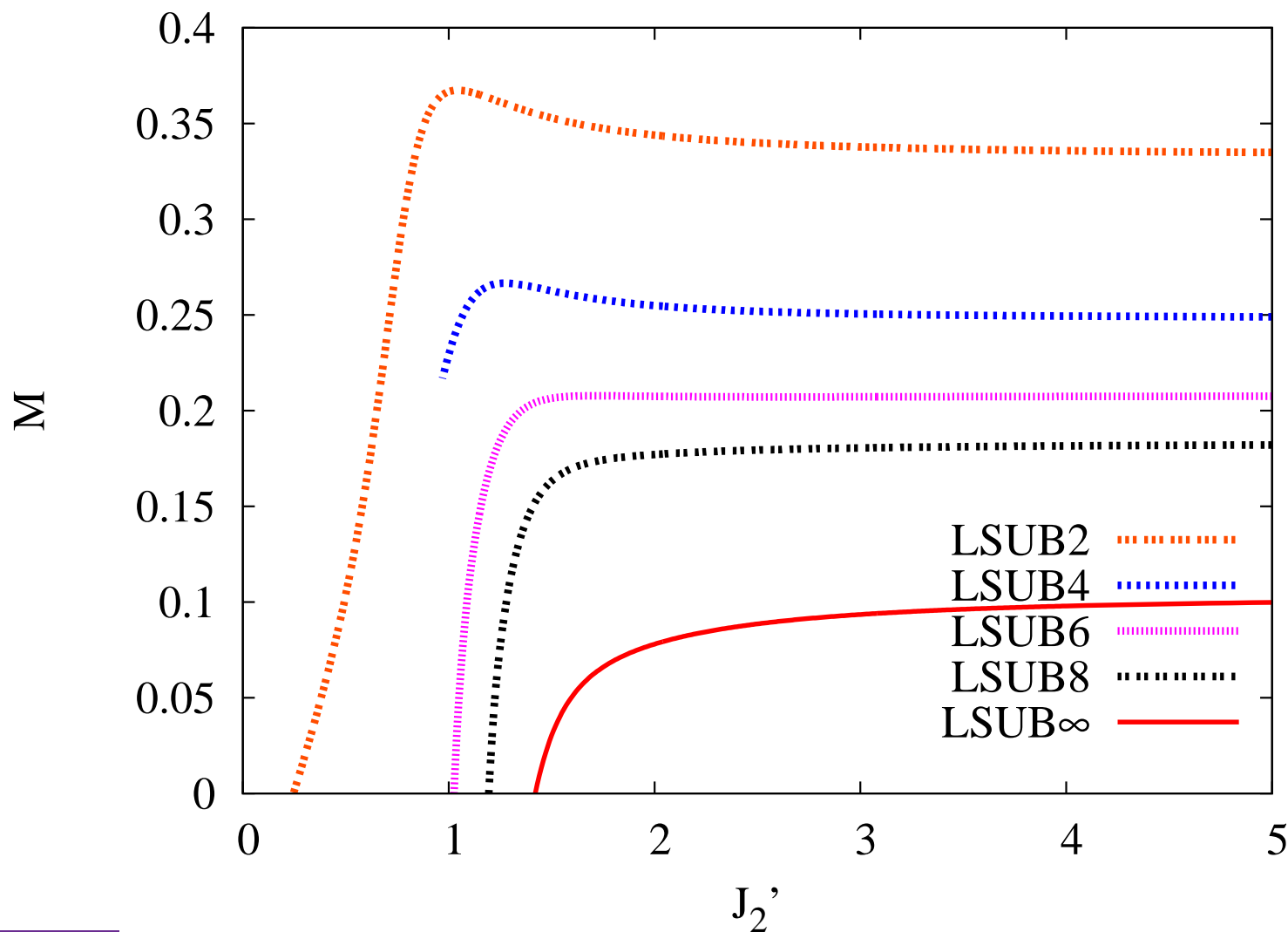
# GS Energy versus $J'_2$ in Striped Phase

( $s = 1/2$  case)



# Onsite Magnetization (Order Parameter) versus $J_2'$ in Striped Phases

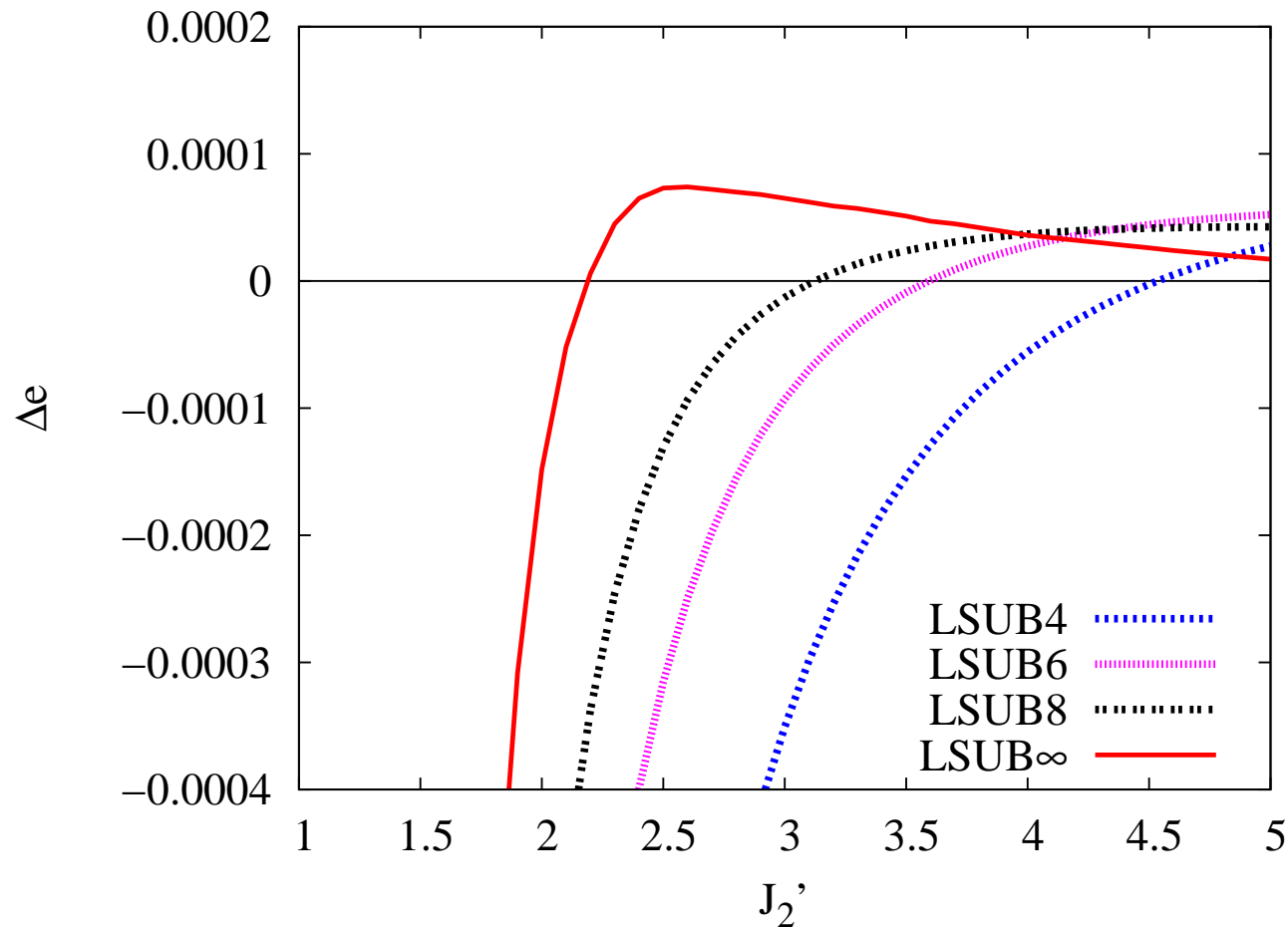
( $s = 1/2$  case)



# Energy Difference Between Spiral and Striped Phases versus $J_2'$

( $s = 1/2$  case)

• we calculate  $\Delta e = e^{\text{spiral}} - e^{\text{stripe}}$ ,  $e \equiv E/N$



- 
- we observe a *second critical point* at  $\kappa_{c_2} = 1.8 \pm 0.4$  where a *first-order quantum phase transition* occurs from the spiral phase to the striped phase
    - thereby providing quantitative verification of a recent qualitative prediction of Starykh and Balents using an RG analysis of this model, which did not, however, evaluate the actual critical point
    - thus providing yet another example of the fact that *quantum fluctuations tend to preserve collinear order*
    - and where, this time, the quantum phase transition is driven by the competition between different collinear structures on the chains connected by  $J'_2$  bonds
    - but where the striped phase is also completely collinear

## ■ SPIN-1 CASE

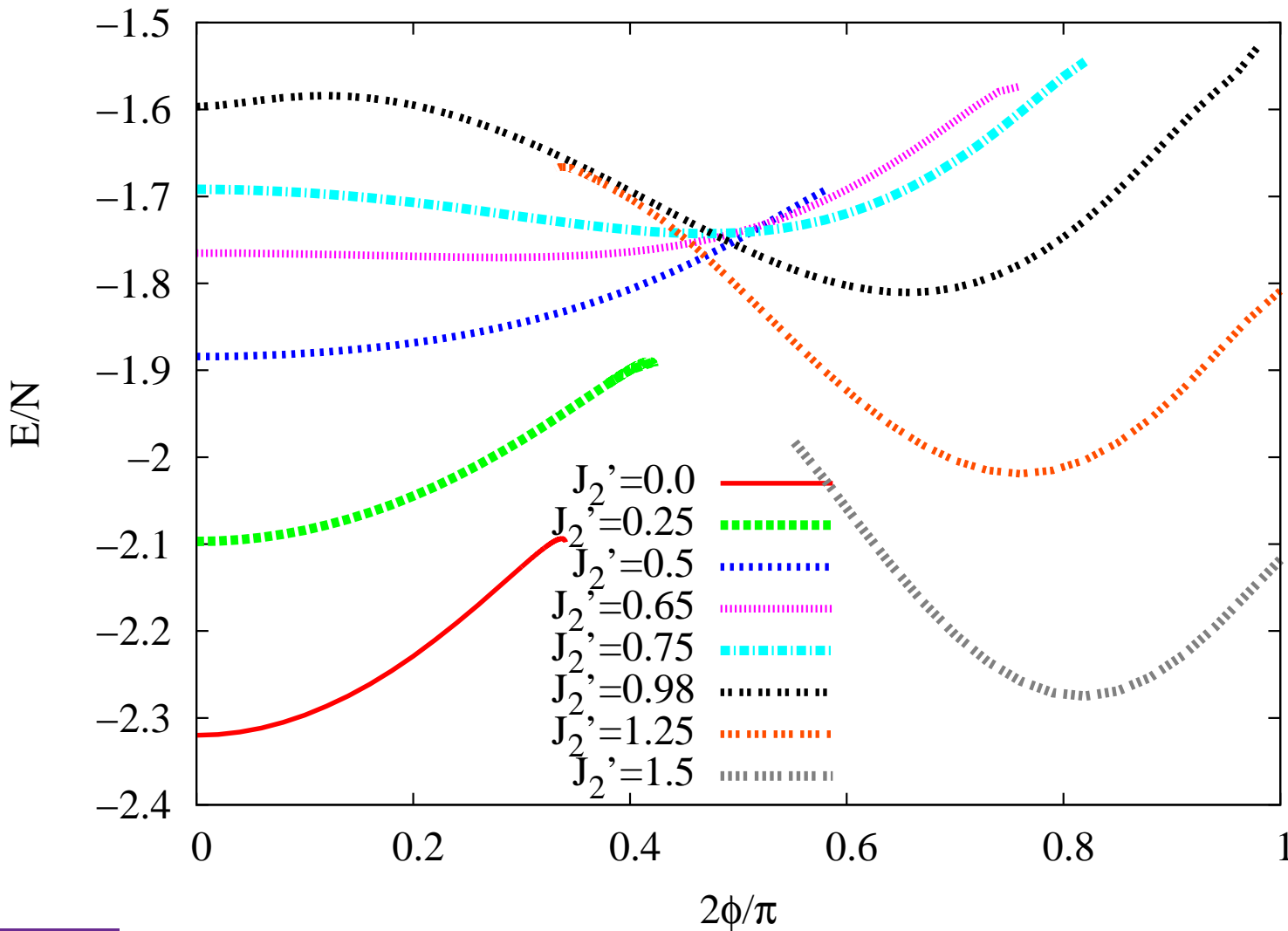
### 1. Néel and Spiral Phases

- again, for  $|\Phi\rangle = |\Phi_{\text{spiral}}\rangle$  we treat pitch angle  $\phi$  as a variable to be chosen so that
$$E_{\text{SUB}m-m}(\phi) = \min \text{ at } \phi = \phi_{\text{SUB}m-m}$$
- again, we observe how  $\phi \rightarrow \frac{1}{2}\pi$  as  $J'_2 \rightarrow \infty$  faster than for the corresponding classical case, but by no means as fast as for the  $s = 1/2$  case considered previously
- again, we often obtain (real) solutions only for certain ranges of  $\phi$  depending on the value of  $\kappa \equiv J'_2/J_1$ , with termination points clearly seen, exactly as in the  $s = 1/2$  case considered previously



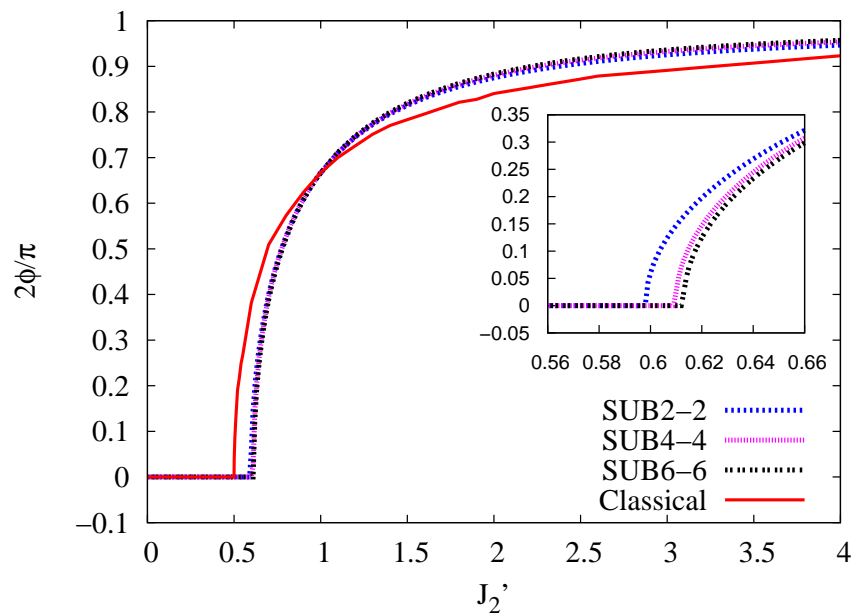
# GS Energy versus Pitch Angle for Spiral Phase

( $s = 1$  case; SUB4-4 results shown)

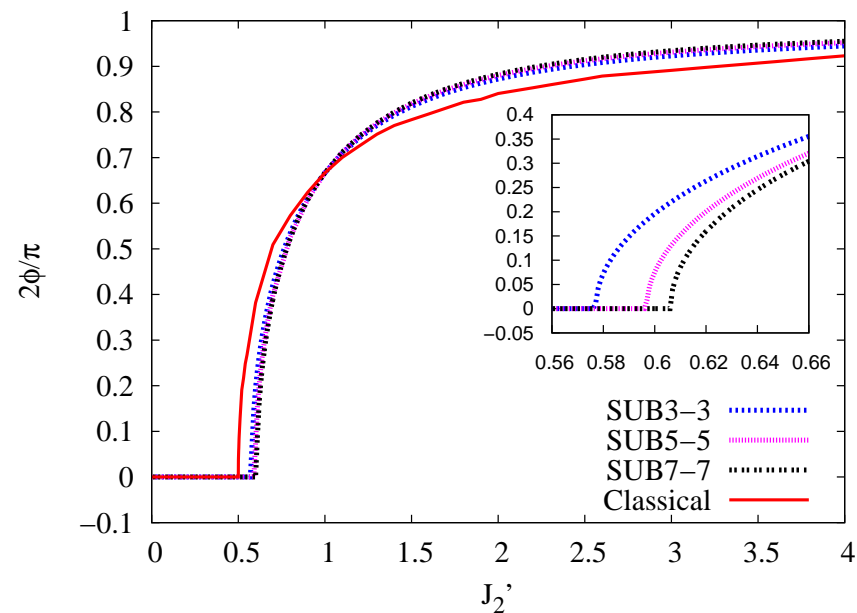


# Pitch Angle $\phi = \phi_{\text{SUB}m-m}$ of Spiral Phase versus $J_2'$ ( $\phi = 0 \Rightarrow$ Néel Phase)

( $s = 1$  case)



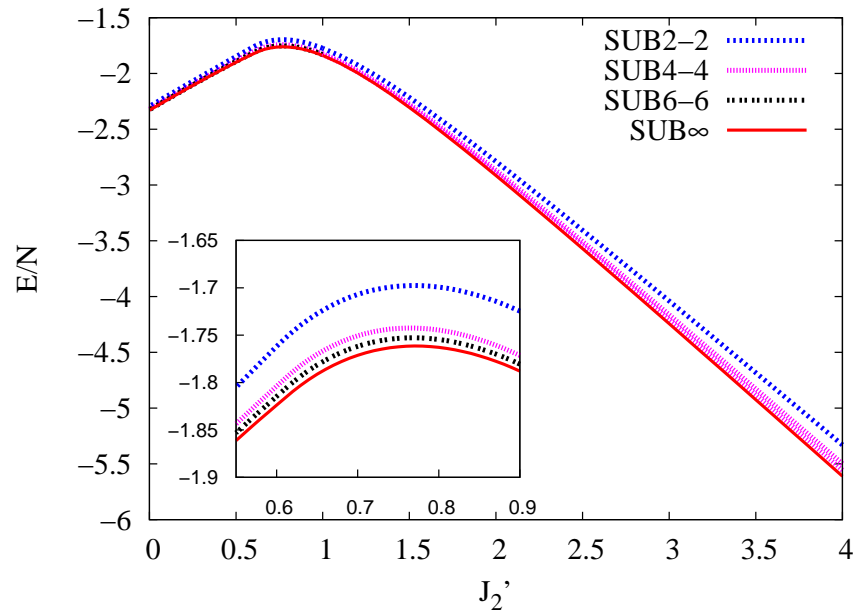
(a)  $\text{SUB}m-m; m = \{2, 4, 6\}$



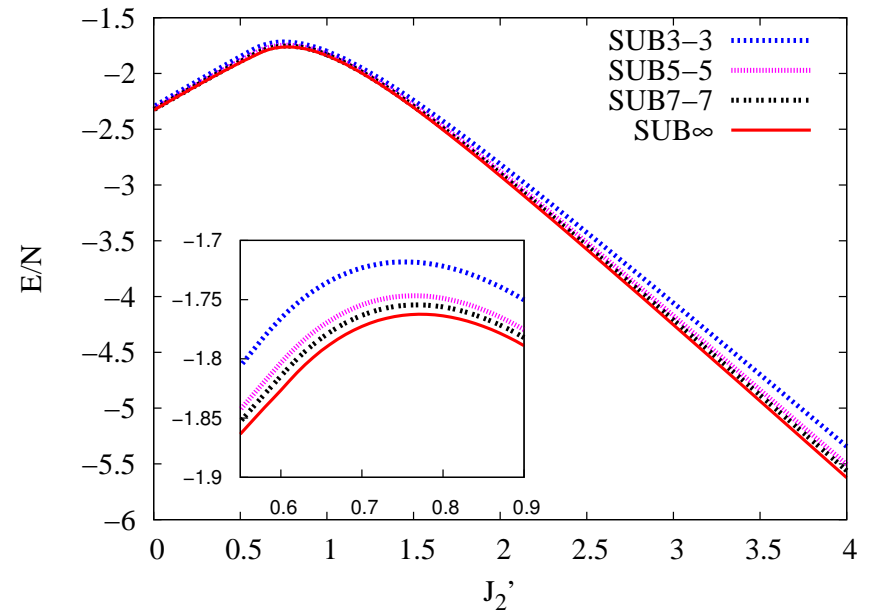
(b)  $\text{SUB}m-m; m = \{3, 5, 7\}$

# GS Energy versus $J_2'$ in Néel and Spiral Phases

( $s = 1$  case)



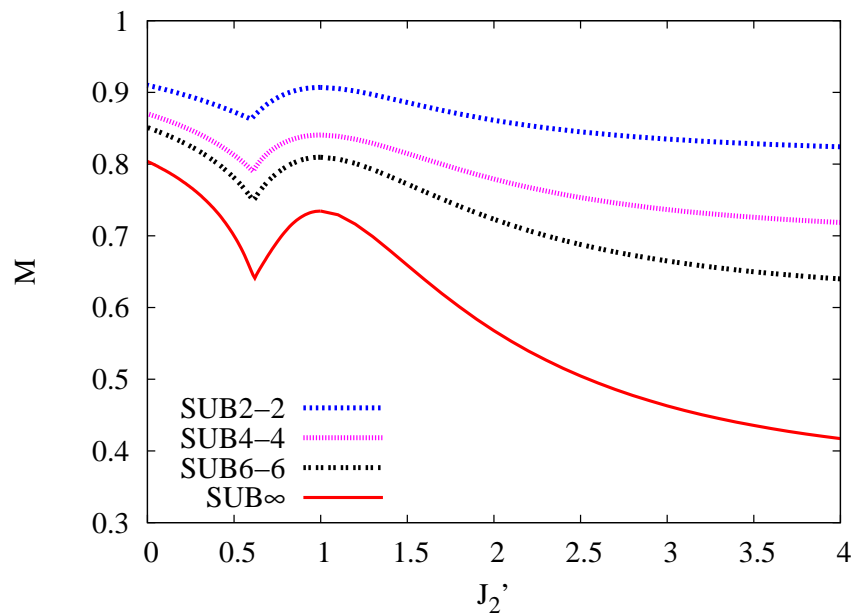
(a) SUB $m$ - $m$ ;  $m = \{2, 4, 6\}$



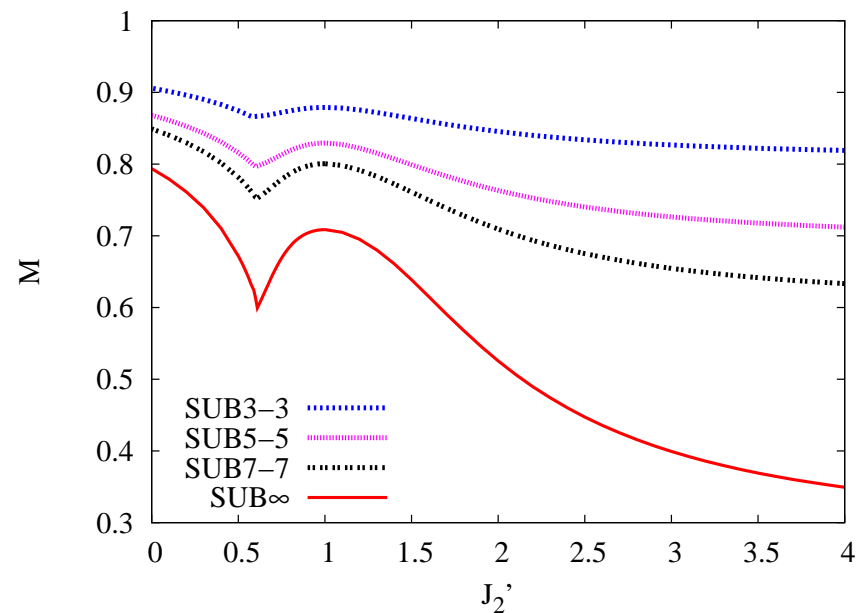
(b) SUB $m$ - $m$ ;  $m = \{3, 5, 7\}$

# Onsite Magnetization (Order Parameter) versus $J_2'$ in Néel and Spiral Phases

( $s = 1$  case)



(a)  $\text{SUB}m-m$ ;  $m = \{2, 4, 6\}$



(b)  $\text{SUB}m-m$ ;  $m = \{3, 5, 7\}$

# Results for Isotropic HAF on Square and Triangular Lattices

( $s = 1$  case)

Method	square ( $\kappa = 0$ )		triangular ( $\kappa = 1$ )	
	$E/N$	$M$	$E/N$	$M$
SUB2-2	-2.29504	0.9100	-1.77400	0.9069
SUB3-3	-2.29763	0.9059	-1.80101	0.8791
SUB4-4	-2.31998	0.8702	-1.82231	0.8405
SUB5-5	-2.32049	0.8682	-1.82623	0.8294
SUB6-6	-2.32507	0.8510	-1.83135	0.8096
SUB7-7	-2.32535	0.8492	-1.83288	0.8006
Extrapolations				
SUB $\infty$ <sup>a</sup>	-2.32924	0.8038	-1.83860	0.7345
SUB $\infty$ <sup>b</sup>	-2.32975	0.7938	-1.83968	0.7086
SWT-3	-2.3282	0.8043		
SE	-2.3279(2)	0.8039(4)		

<sup>a</sup> Using SUB $m$ - $m$ ;  $m = \{2, 4, 6\}$

<sup>b</sup> Using SUB $m$ - $m$ ;  $m = \{3, 5, 7\}$

- 
- we observe now a **second-order quantum phase transition** at a **critical point**  $\kappa_c = 0.62 \pm 0.01$  ( $\kappa \equiv J'_2/J_1$ ) [c.f. second-order classical transition at  $\kappa_{c1} = 0.5$  for  $s \rightarrow \infty$  case, and weakly first-order transition at  $\kappa_c \equiv 0.80 \pm 0.01$  for  $s = 1/2$  case]
  - where the collinear Néel order continues to exist to larger frustration than in the classical counterpart, but not to such high value of frustration as for the intrinsically more quantum-mechanical  $s = 1/2$  counterpart

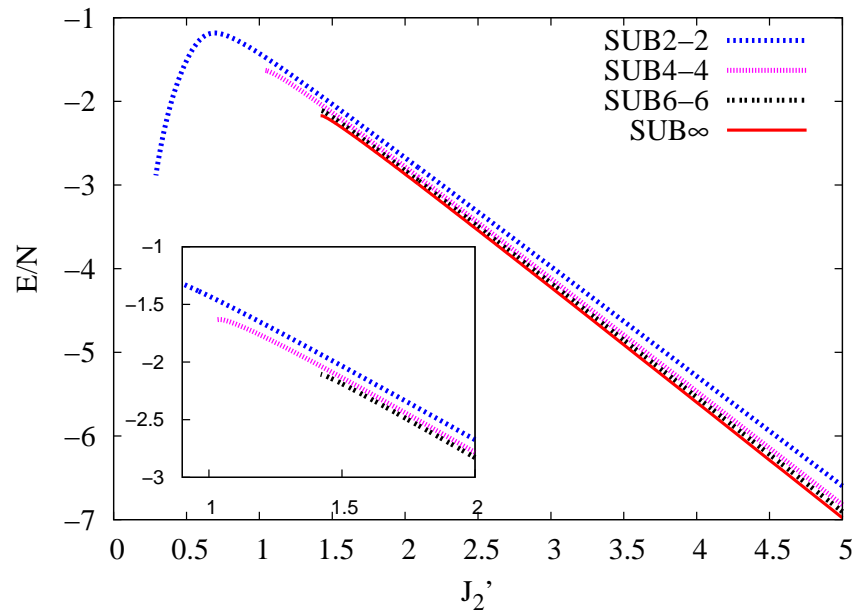
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## 2. Spiral and Striped Phases

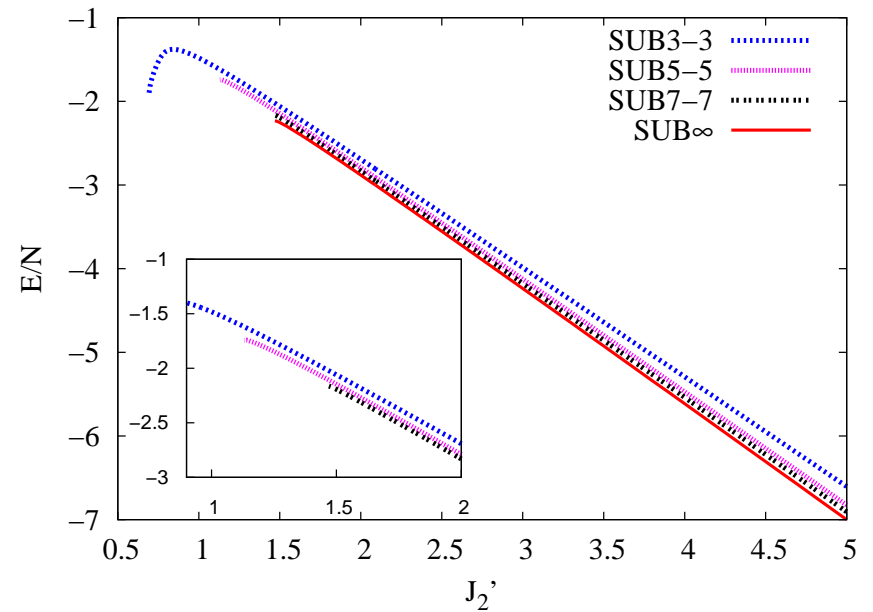
- once again we also use  $|\Phi\rangle \rightarrow |\Phi_{\text{stripe}}\rangle$  as an alternative CCM model state
- and now we **recall again** that although the spiral phase approaches collinearity ( $\phi = \frac{1}{2}\pi$ ) for the uncoupled 1D chain limit (as  $J'_2 \rightarrow \infty$ ) faster than in the corresponding classical model, the approach is not as rapid as for the  $s = 1/2$  counterpart  
→ the striped phase, if it exists at all, is likely to be even more fragile than in the  $s = 1/2$  case

# GS Energy versus $J_2'$ in Striped Phase

( $s = 1$  case)



(a)  $SUB_{m-m}$ ;  $m = \{2, 4, 6\}$



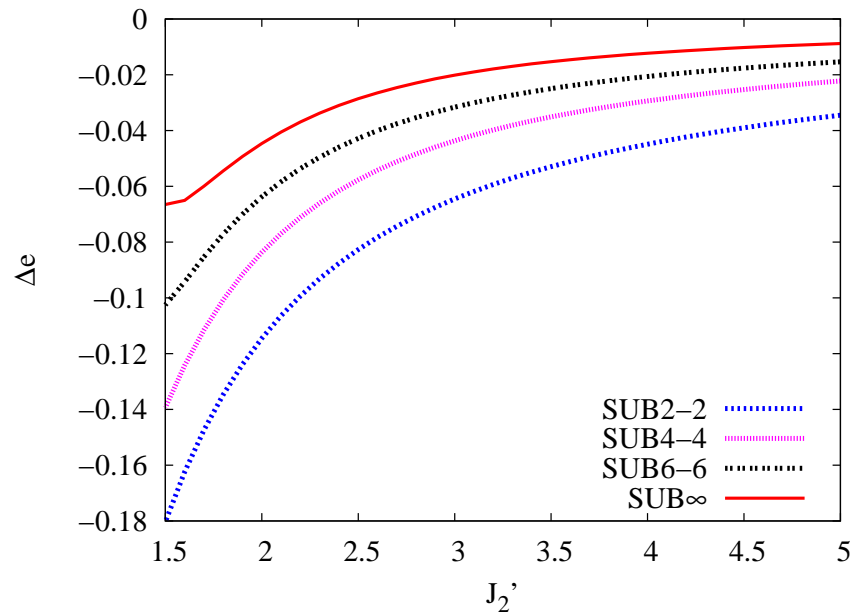
(b)  $SUB_{m-m}$ ;  $m = \{3, 5, 7\}$



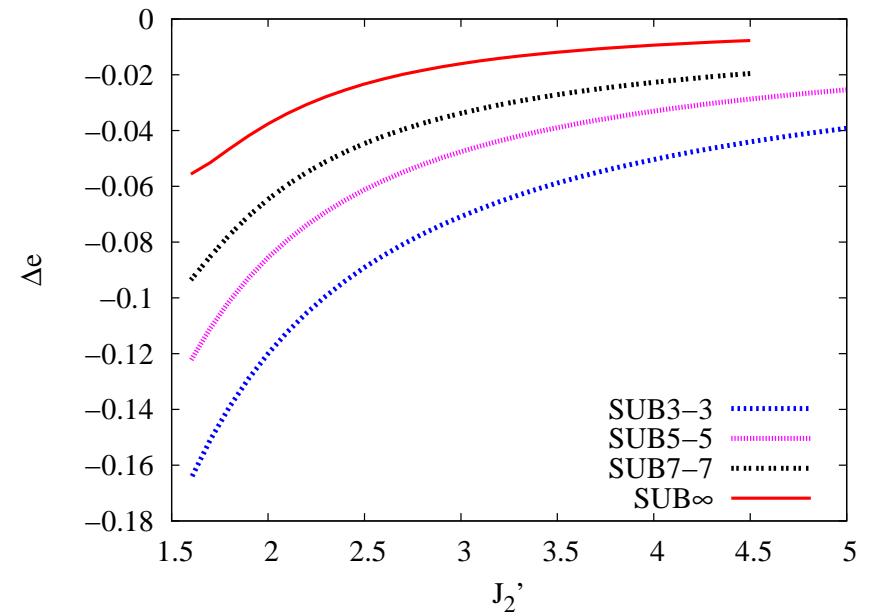
# Energy Difference Between Spiral and Striped Phases versus $J_2'$

( $s = 1$  case)

we calculate  $\Delta e = e^{\text{spiral}} - e^{\text{stripe}}$ ,  $e \equiv E/N$



(a) SUB $m$ - $m$ ;  $m = \{2, 4, 6\}$



(b) SUB $m$ - $m$ ;  $m = \{3, 5, 7\}$

- 
- we observe now the spiral phase always lies considerably lower in energy than the striped phase for the  $s = 1$  case, at all values of  $J'_2$ , compared with the much smaller energy advantage of the striped phase over the spiral phase for values of  $\kappa > \kappa_{c2} = 1.8 \pm 0.4$  in the  $s = 1/2$  case
  - and hence, that for the  $s = 1$  case, there is no second phase transition from a spiral to a striped phase, unlike in the  $s = 1/2$  case

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- In conclusion, we know of no more powerful nor more accurate method than the CCM for dealing with these strongly correlated and highly frustrated 2D spin-lattice models of quantum magnets, such as the one example used here for an illustration
  - We, and our collaborators, have studied **many** other spin-lattice models using the CCM – by now there are probably more than 100 papers on such applications

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**THANK YOU FOR YOUR ATTENTION!**