

# Lecture 3.

## Granular superconductors and Josephson Junction arrays

### Plan of the Lecture

- 1). Superconductivity in a single grain
- 2) Granular superconductors: experiments
- 3) Theories of SIT. Which parameter drives the S-I transition ?
- 4) BKT transitions in 2D JJ arrays
- 5) Quantum transitions in 2D JJ arrays with magnetic field: intermediate “Bose metal” state

Reviews: I.Beloborodov *et al*, Rev. Mod.Phys.**79**, 469 (2007)  
R.Fazio and H. van der Zant, Phys. Rep. **355**, 235 (2001)  
V.Gantmakher and V.Dolgoplov, Russian Physics-USPEKHI (2009)

# 1) Superconductivity in a single grain

- What is the critical size of the grain  $a_c$ ?
- What happens if  $a < a_c$ ?
- Assuming  $\xi_0 \gg a \gg a_c$ , what is the critical magnetic field ?

# Critical grain size

Mean-field theory gap equation:

$$\Delta = (g/2) \sum_i \Delta / [\epsilon_i^2 + \Delta^2]^{1/2}$$

$$\delta \sum_{\xi_k} \rightarrow \int_{-\infty}^{\infty} d\xi,$$

Level spacing  $\delta \ll \Delta$  allows to replace sum by the integral and get back usual BCS equation

$$\text{Grain radius } a \gg a_c = (1 / \Delta v)^{1/3}$$

# Ultra-small grains $a \ll a_c$

- No off-diagonal correlations
- Parity effect

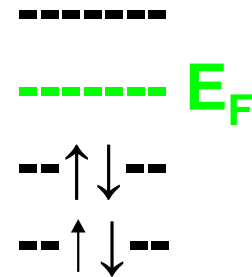
K. Matveev and A. Larkin PRL **78**, 3749 (1997)

Perturbation theory w.r.t. Cooper attraction:

$$\Delta_P = \frac{1}{2} \lambda \delta$$

Take into account higher-order terms (virtual transitions to higher levels):

$$\lambda_R = \lambda / (1 - \lambda \log(\epsilon_0 / \delta)). \quad \longrightarrow \quad \Delta_P = \frac{\delta}{2 \ln \frac{\delta}{\Delta}}$$



# Critical magnetic field for small grain

$$a_c \ll R \ll \xi_0$$

A.Larkin 1965

Orbital critical field for the grain  $H_c^{\text{gr}} = \frac{c}{e} \sqrt{\frac{5\Delta_0}{2R^2D}},$

Local transition temperature  $T_c$  is determined by equation:

$$\ln(T_c/T_{c0}) = \psi(1/2) - \psi(1/2 + \alpha/2\pi T_c).$$

Which follows from  $\Delta(\mathbf{r}) = \lambda_0 \pi T \sum_{\omega} f_{\omega}(\mathbf{r}), \quad (|\omega| + D(-i\nabla - 2e\mathbf{A}/c)^2/2) f_{\omega}(\mathbf{r}) = \Delta(\mathbf{r}),$

Deparing parameter (orbital)  $\alpha = R^2 D (eH/c)^2 / 5.$

Zeeman term alone leads to  $H_c^z = \Delta_0 / \sqrt{2} \mu_B$

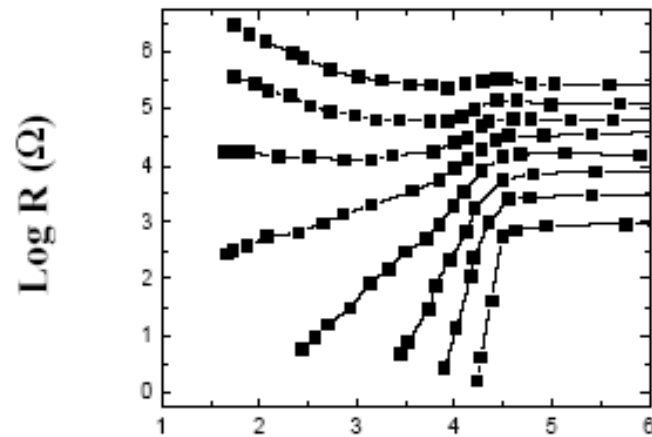
Orbital deparing prevails at  $R > R_{o-z} \sim \sqrt{\frac{5}{D\Delta_0 m^2}} \sim \frac{1}{p_0} \sqrt{\frac{E_{Th}}{\Delta_0}} \gg a_c$

## 2) Granular superconductors: experiments

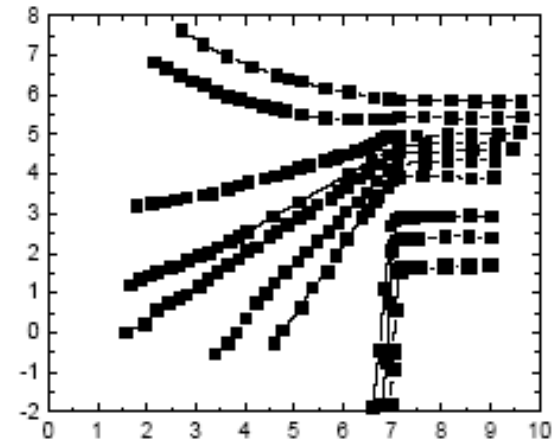
- Very thin granular films
- 3D granular materials
- E-beam - produced regular JJ arrays

# Thin quenched-condensed films

A.Frydman, O Naaman, R.Dynes 2002

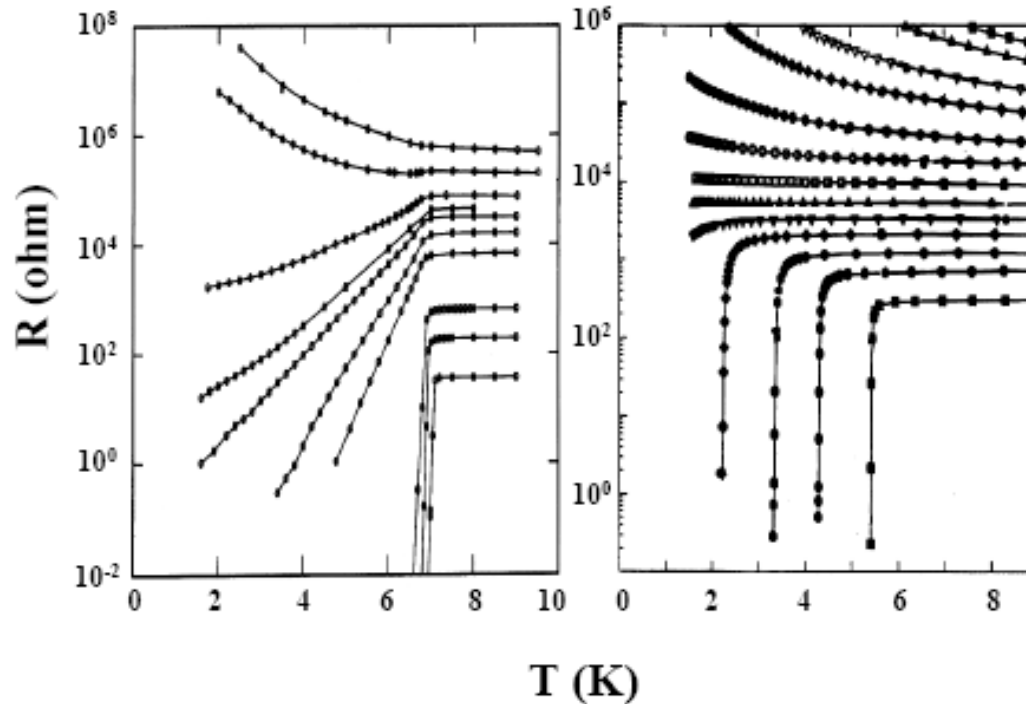


Sn grains



Pb grains

# Granular v/s Amorphous films



A. Frydman  
Physica C  
**391**, 189 (2003)

FIG. 1. Resistance versus temperature for sequential layers of quench-condensed granular Pb (left) and uniform Pb evaporated on a thin Ge layer (right). Different curves correspond to different nominal thickness.



# Onset of superconductivity in ultrathin granular metal films Phys Rev B **40** 182 (1989)

H. M. Jaeger,\* D. B. Haviland, B. G. Orr,<sup>†</sup> and A. M. Goldman

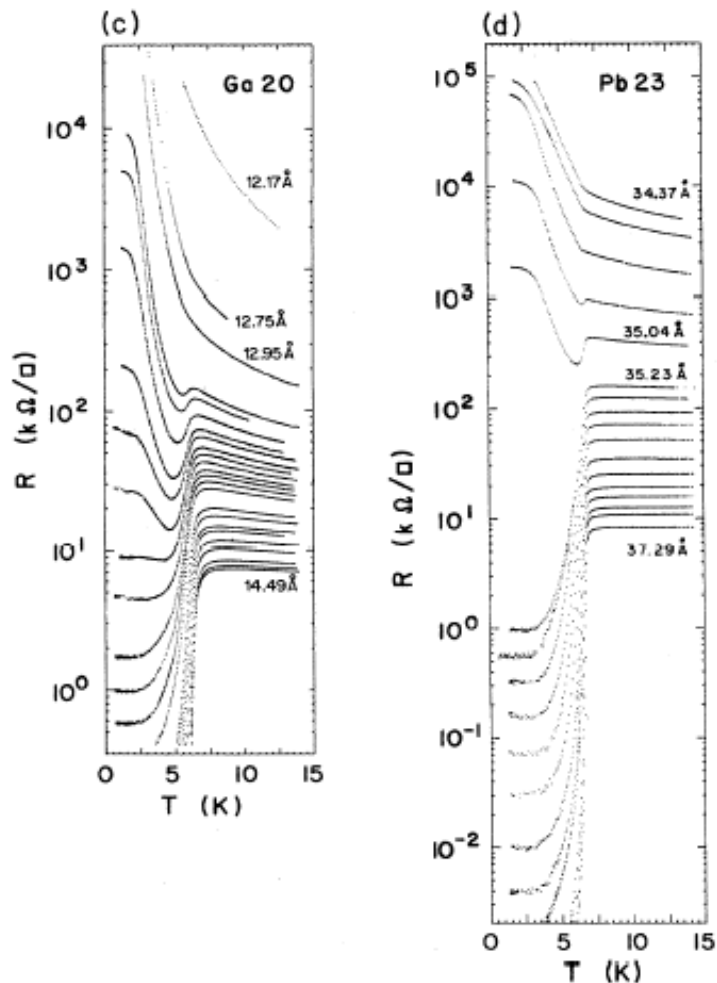


FIG. 2. The evolution of  $R(T)$  curves for (a) Al, (b) In, (c) Ga, and (d) Pb, obtained *in situ* after successive increments of film thickness. Note that in all cases the entire evolution from insulating to globally superconducting spans an interval of nominal thickness of less than one monolayer.

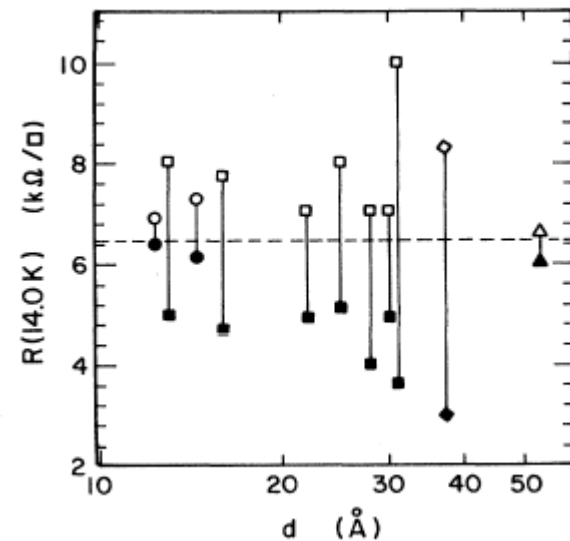


FIG. 6. The pairs of connected points represent the normal-state resistance of successive depositions in sequences of Ga ( $\circ$ ), Sn ( $\square$ ), Pb ( $\diamond$ ), and Al ( $\triangle$ ) films. The nominal thickness

Conclusion in this paper: control parameter is the normal resistance  $R$ . Its critical value is  $R_Q = h/4e^2 = 6.5 k\Omega$

# Bulk granular superconductors

PHYSICAL REVIEW B

VOLUME 27, NUMBER 7

1 APRIL 1983

## Semiconductor-superconductor transition in granular Al-Ge

Y. Shapira and G. Deutscher

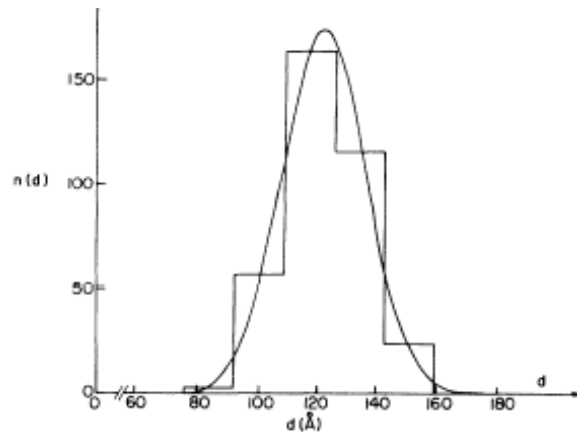


FIG. 2. Histogram of a distribution of grain size including a best fit to a normal distribution of the histogram. The data were taken from a micrograph of sample *B* (see Table I).

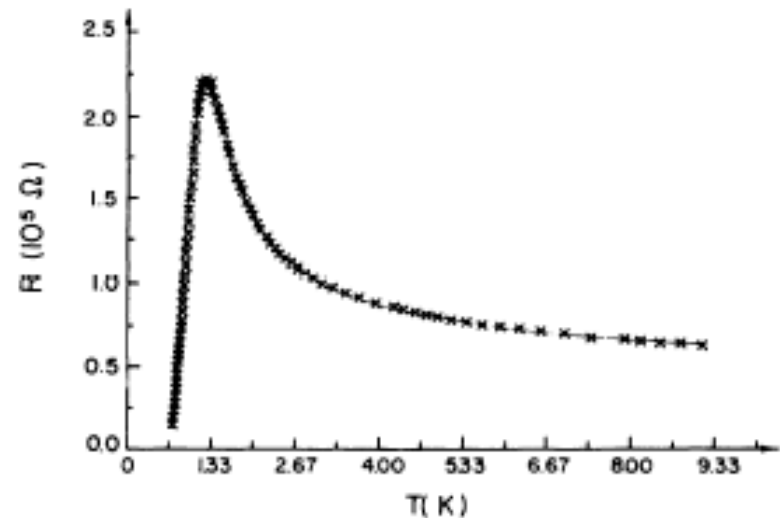


FIG. 4. Resistance  $R$  as a function of temperature for sample *E*.

Sample thickness 200 nm

# Bulk granular superconductors

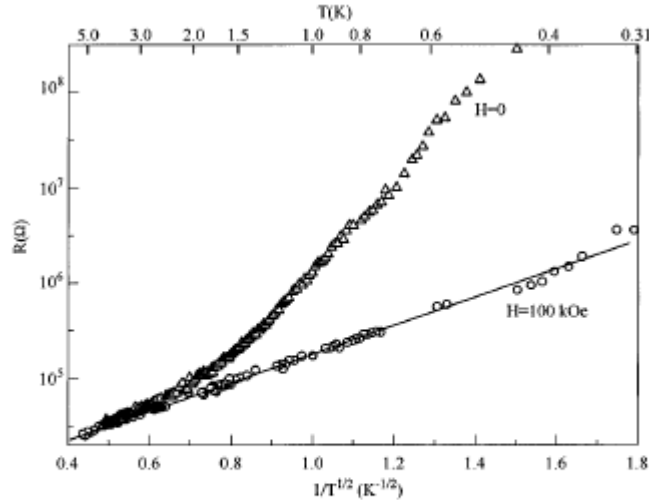


FIG. 1. Resistance of sample 1 measured at zero (triangles) and 100 kOe field (circles) as a function of the inverse square root of the temperature. Sample 1 room temperature resistance is  $2 \times 10^3 \Omega$ .

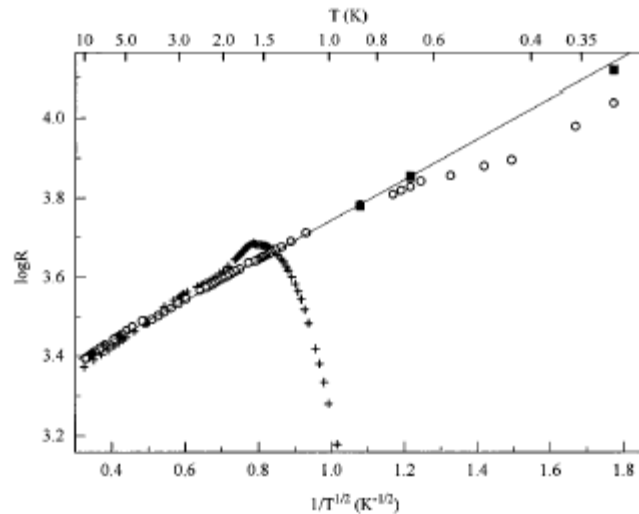


FIG. 2. Resistance of sample 2 measured at zero (crosses) and 100 kOe field (open circles) as a function of the inverse square root of the temperature. Open circles indicate resistance

## Insulator-Superconductor Transition in 3D Granular Al-Ge Films

A. Gerber, A. Milner, G. Deutscher, M. Karpovsky, and A. Gladkikh

PHYSICAL REVIEW LETTERS

VOLUME 78, NUMBER 22

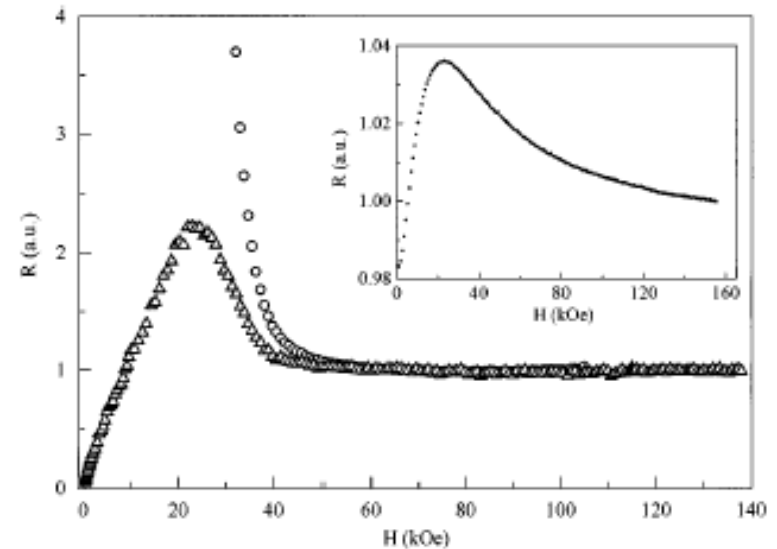


FIG. 4. Normalized resistances of sample 1 (open circles) and sample 2 (triangles) as a function of applied magnetic field measured at  $T = 0.3 \text{ K}$ . Inset: magnetoresistance of sample 3 at  $T = 2 \text{ K}$  normalized at  $H = 160 \text{ kOe}$ .

# Artificial regular JJ arrays

PHYSICAL REVIEW B

VOLUME 50, NUMBER 6

1 AUGUST 1994-II

## Charge solitons and quantum fluctuations in two-dimensional arrays of small Josephson junctions

P. Delsing, C. D. Chen, D. B. Haviland, Y. Harada, and T. Claeson

*Department of Physics, Chalmers University of Technology and University of Göteborg, S-412 96 Göteborg, Sweden*

PHYSICAL REVIEW B

VOLUME 54, NUMBER 14

1 OCTOBER 1996-II

## Quantum phase transitions in two dimensions: Experiments in Josephson-junction arrays

H. S. J. van der Zant, W. J. Elion, L. J. Geerligs, and J. E. Mooij

*Department of Applied Physics and Delft Institute of Microelectronics and Submicron-technology (DIMES),*

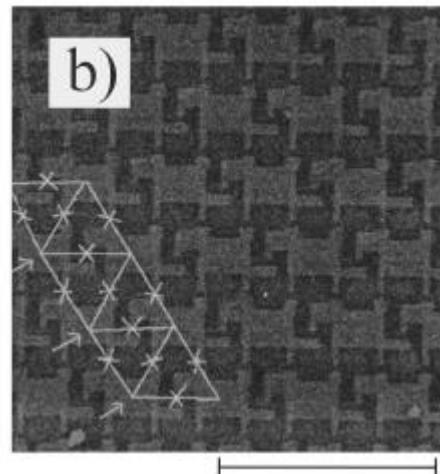
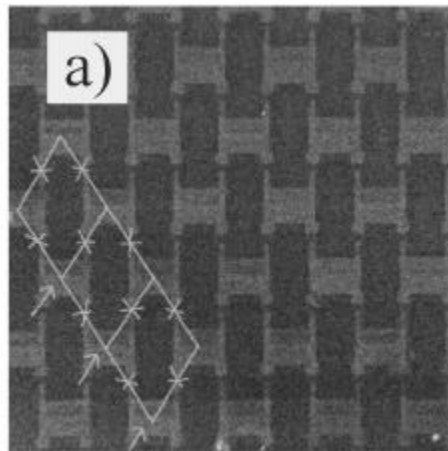


TABLE I. Sample parameters for our square (S) and triangular (T) arrays.

Sample	$R_n$ (k $\Omega$ )	$C$ (fF)	$\beta_c(T=0)$	$E_J/k_B$ (K)	$E_C/E_J$	$\tau_V(f=0)$
S1	36.0	1.1	96	0.21	4.55	
S2	15.3	1.1	17.4	0.50	1.82	
S3	14.5	1.1	15.6	0.53	1.67	(0.28)
S4	11.5	1.1	12.4	0.66	1.25	0.4
S5	10.5	1.1	11.3	0.73	1.11	0.7
S6	5.0	1.1	5.4	1.5	0.56	0.83
S7	8.0	2.0	15.6	0.96	0.48	0.85
S8	6.8	1.7	11.3	1.1	0.45	0.88
S9	2.5	1.1	2.7	3.1	0.27	0.90
S10	3.3	3.5	11.3	2.3	0.14	
S11	1.14	1.1	1.2	6.7	0.13	0.95
T1	25.7	1.2	29	0.30	2.6	1.15
T2	23.8	1.7	39	0.32	1.7	1.6
T3	8.3	1.1	8.7	0.92	0.9	1.51
T4	4.7	1.1	7.2	1.6	0.35	1.85

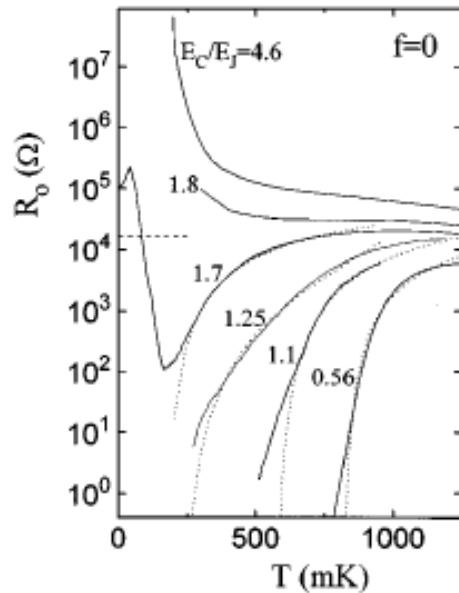


FIG. 5. The zero-field linear resistance per junction measured as a function of temperature for six different arrays. Dotted lines are fits to the vortex-KTB square-root cusp formula. The dashed horizontal line shows the zero-temperature universal resistance ( $8R_g/\pi=16.4$  k $\Omega$ ) of the S-I transition at  $f=0$ .

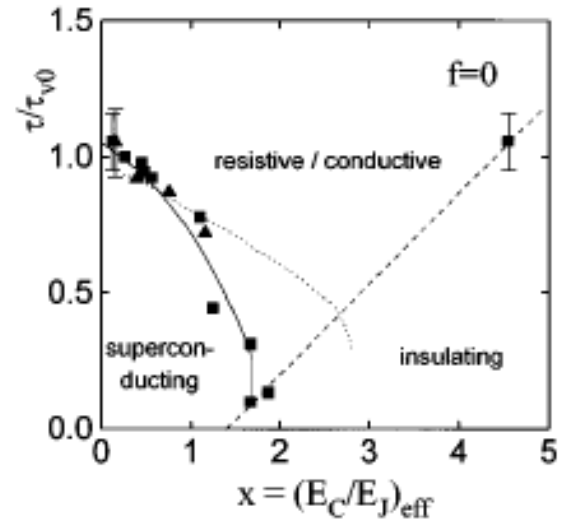


FIG. 6. Measured phase diagram of our square arrays (solid squares) and triangular (solid triangles) in zero magnetic field, showing the superconductor-to-insulator transition at  $(E_C/E_J)_{\text{eff}} \approx 1.7$ . The solid line is a guide to the eye connecting the data points and the dotted line at the superconducting side is the result of a recent calculation (Ref. 39).

# What is the parameter that controls SIT in granular superconductors ?

- Ratio  $E_C/E_J$  ?
- Dimensionless conductance  
 $g = (h/4e^2) R^{-1}$  ? (for 2D case)

Note that in Lec.2 we used another definition:  $g_T = (h/e^2) R$

### 3) Theoretical approaches to SIT

- K.Efetov ZhETF **78**, 2017 (1980) [Sov.Phys.-JETP **52**, 568 (1980)]

Hamiltonian for charge-phase variables

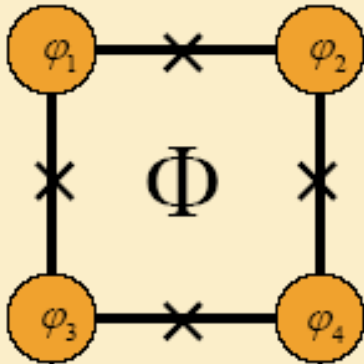
- M.P.A.Fisher, Phys.Rev.Lett. **65**, 923 (1990)  
General “duality” *Cooper pairs – Vortices* in 2D
- R.Fazio and G.Schön, Phys. Rev. B**43**, 5307 (1991)  
Effective action for 2D arrays



# K.Efetov's microscopic Hamiltonian

## JOSEPHSON ARRAYS

Elementary building block



Ideal Hamiltonian:

$$H = \frac{1}{2} \sum_{i,j} C_{ij}^{-1} q_i q_j + E_J \cos(\varphi_i - \varphi_j - 2\pi \frac{\Phi_{ij}}{\Phi_0}) \quad q_i = 2e \, i \frac{d}{d\varphi_i}$$

$C_{ij}$  - capacitance matrix  $E_J$  - Josephson energy

Control parameter

$$\chi = E_C/E_J$$

$$E_C = e^2/2C$$

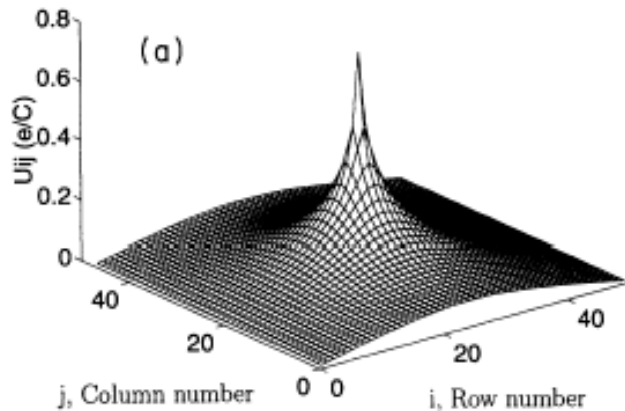
Artificial arrays:  
major term in  
capacitance  
matrix is n-n  
capacitance **C**

$q_i$  and  $\varphi_i$  are canonically conjugated

# Logarithmic Coulomb interaction

Artificial arrays with dominating capacitance of junctions:  $C/C_0 > 100$

DELSING, CHEN, HAVILAND, HARADA, AND CLAESON



Coulomb interaction of elementary charges

$$U(R) = 2E_C \left( \frac{1}{2\pi} \ln R_{ij} + \frac{1}{4} \right)$$

$$E_C = \frac{e^2}{2C}$$

For Cooper pairs, × by factor 4

FIG. 1. Exact solution for the potential distribution  $U(i,j)$  in a  $49 \times 47$  junction array, where the ratio between the junction capacitance and the self-capacitance of each electrode is 400. The current leads are connected at  $i = 1$  and  $49$ . (a) A single electron in the center of the array giving rise to a single-electron

# M.P.A.Fisher's duality arguments

- Competition between Coulomb repulsion and Cooper pair hopping:

Duality charge-vortex: both charge-charge and vortex-vortex interaction are  $\text{Log}(R)$  in 2D.

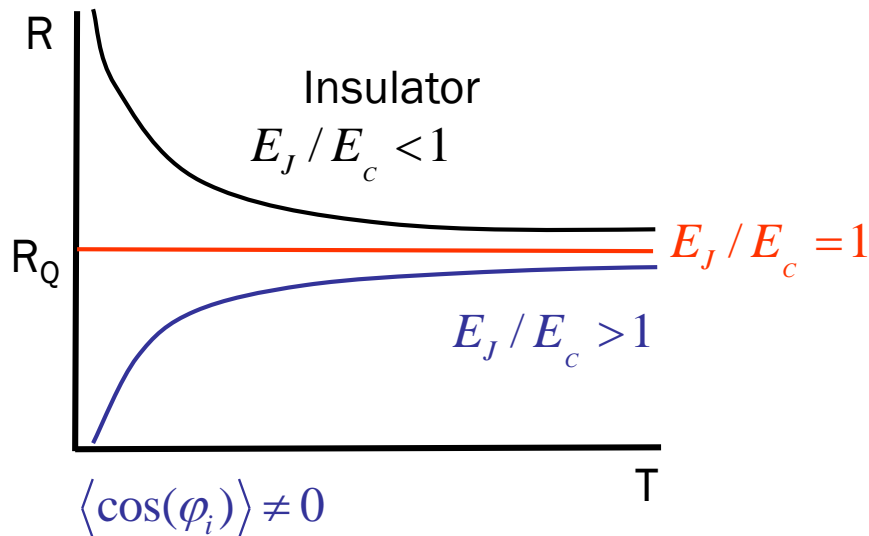
Vortex motion generates voltage:  $V = \phi_0 j_v$

Charge motion generates current:  $I = 2e j_c$

At the self-dual point the currents are equal  $\rightarrow$

$$R_Q = V/I = h/(2e)^2 = 6.5 \text{ k}\Omega.$$

Insulator is a superfluid of vortices



*In favor of this idea:*

usually SIT in films occurs  
at  $R$  near  $R_Q$

- Problems:*
- i) how to derive that duality ?
  - ii) What about capacitance matrix  
in granular films ?
  - iii) Critical  $R(T)$  is not flat usually

# Can we reconcile Efetov's theory and result of “duality approach” ?

We need to account for capacitance renormalization  
to due to virtual electron tunneling via AES [PRB **30**, 6419  
(1984)] action functional

$$S[\varphi] = S_c[\varphi] + S_{ts}[\varphi] + S_J[\varphi]$$

Charging

$$S_c = \frac{1}{2e^2} \sum_{ij} \int_0^\beta d\tau C_{ij} \frac{d\varphi_i(\tau)}{d\tau} \frac{d\varphi_j(\tau)}{d\tau},$$

Josephson (if  $d\varphi/dt \ll \Delta$ )

$$S_J = -\frac{1}{2} \sum_{\langle ij \rangle} \int_0^\beta J_{ij} \cos(2[\varphi_i(\tau) - \varphi_j(\tau)]) d\tau$$

Virtual tunneling

$$\alpha_s(\tau) = \frac{1}{\pi^2} \Delta^2 K_1^2(\Delta|\tau|),$$

$$S_{ts} = g \sum_{\langle ij \rangle} \int_0^\beta d\tau d\tau' \alpha(\tau - \tau') \sin^2 \left[ \frac{\varphi_{ij}(\tau) - \varphi_{ij}(\tau')}{2} \right]$$



$$S_{ts} = (3g/32 \Delta) \sum_{\langle ij \rangle} \int_0^\beta \dot{\varphi}_{ij}^2(\tau) d\tau,$$

$$C_{\text{ind}} = (3/16) g e^2 / \Delta$$

# Mean-field estimate with renormalized action

$$\sum_{\langle i,j \rangle} J_{ij} \cos[2(\varphi_i - \varphi_j)] \rightarrow Jz \langle \cos 2\varphi \rangle_{MF} \sum_j \cos 2\varphi_j.$$

SC transition at  $1 = \frac{zJ}{2} \int_0^\beta \Pi_s(\tau) d\tau, \quad \Pi_s(\tau) = \langle \exp(2i[\varphi(\tau) - \varphi(0)]) \rangle,$

T=0:  $zJ = 8E_0(g), \quad J = g\Delta/2$

Strong renormalization of C:  $E_0(g) = c\Delta/g,$

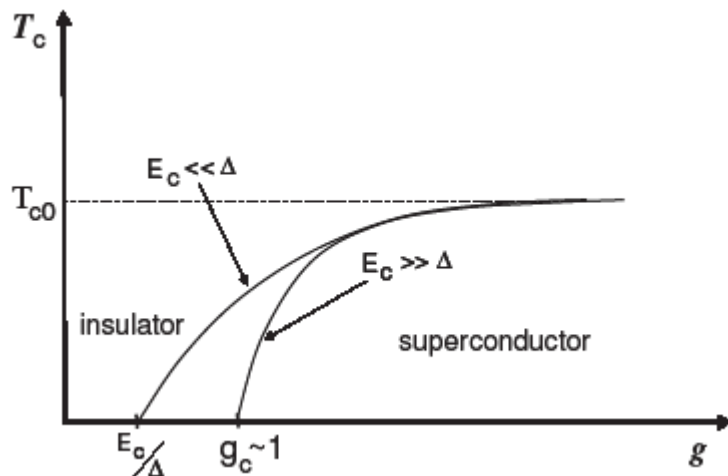


FIG. 17 The sketch of the phase diagram of a granular superconductor in coordinates critical temperature vs. tunnelling conductance for two cases  $E_c \ll \Delta_0$  and  $E_c \gg \Delta_0$ . At large tunnelling conductances  $g \gg 1$  the Coulomb interaction is screened due to electron intergrain tunnelling and the transition temperature is approximately given by the single grain BCS value. In the opposite case  $g \ll 1$  the boundary between the insulating and superconducting states is obtained comparing the Josephson  $E_J = g\Delta$  and Coulomb  $E_c$  energies.

# Can one disentangle “g” and “ $E_C/E_J$ ” effects ?

Superconductor-insulator duality for the array of Josephson wires

I. V. Protopopov and M. V. Feigel'man

JETP Lett. **85**(10), 513 (2007)

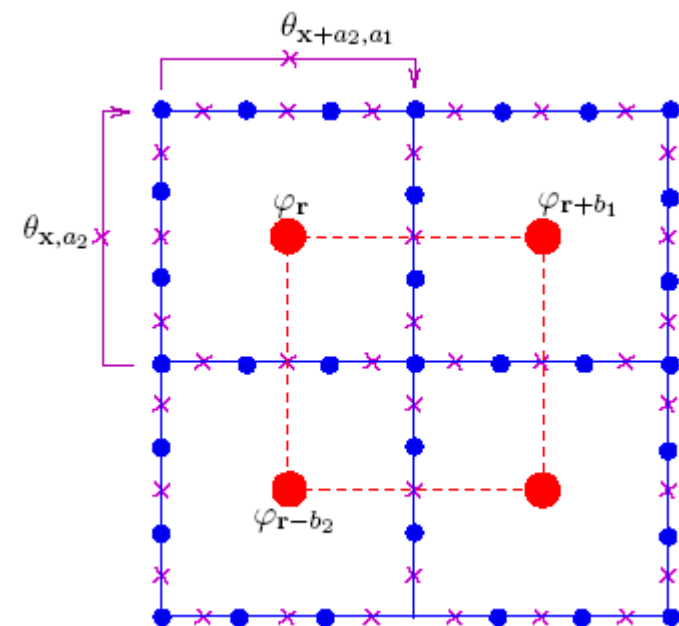


Figure 1: Fig. 1. The array of Josephson wires. Small circles represent the superconducting islands connected by Josephson junctions (crosses). The phase differences  $\theta_{x, a_\mu}$  are defined on the bonds of the array. The large circles denote the vertices of the dual lattice.

This model allows exact duality transformation

Control parameter  $q = \tilde{E}_J / \tilde{E}_C = 4N^2 v / \pi^2 E_J$ .

$$v = \frac{2^{11/4}}{\sqrt{\pi}} (E_J^3 E_C)^{1/4} \exp \left[ -2 \sqrt{\frac{2E_J}{E_C}} \right]$$

Experimentally, it allows study of SIT in a broad range of g and/or  $E_J/E_C$

# 4) Charge BKT transition in 2D JJ arrays

Logarithmic interaction of Cooper pairs  $2e$

$$U(R) = 8 E_C \left( \frac{1}{2\pi} \ln R_{ij} + \frac{1}{4} \right)$$

R.Fazio and G.Schön,  
Phys. Rev. B **43**, 5307 (1991)

Temperature of BKT transition is  $T_2 = E_C/\pi$  **Not observed !**

The reason: usually  $T_2$  is above parity temperature  $T^* \approx \Delta / \ln \mathcal{M} \ll \Delta$

$$\mathcal{M} = V\nu(0)\sqrt{8\pi T\Delta} \sim 10^4 - 10^5$$

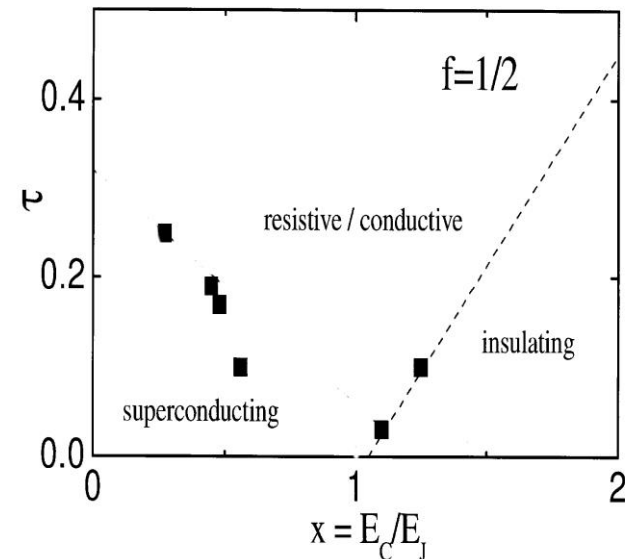
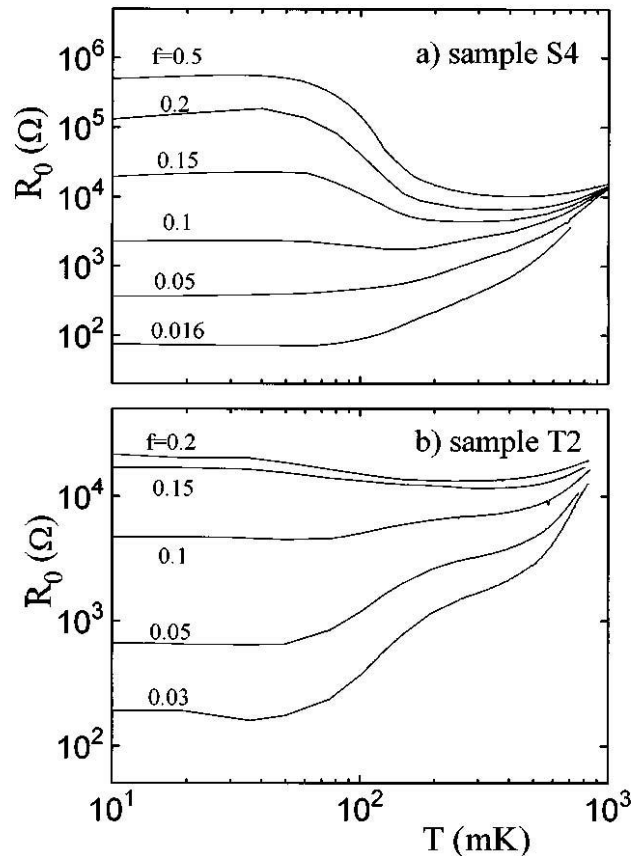
**Interaction of pairs is screened by quasiparticles**

Charge BKT is at  $T_1 = E_C/4\pi$  (unless  $T^*$  is above  $T_2$ )

M. V. Feigel'man, S. E. Korshunov and A. V. Pugachev,  
Pis'ma ZhETF **65**, 541 (1997)

# 5) “Bose metal” in JJ array ?

van der ZANT, ELION, GEERLIGS, AND MOOIJ



At non-zero field simple Josephson arrays show temperature-independent resistance with values that change by orders of magnitude.



# Dice array (E.Serret and B.Pannetier 2002; E.Serret thesis, CNRS-Grenoble)

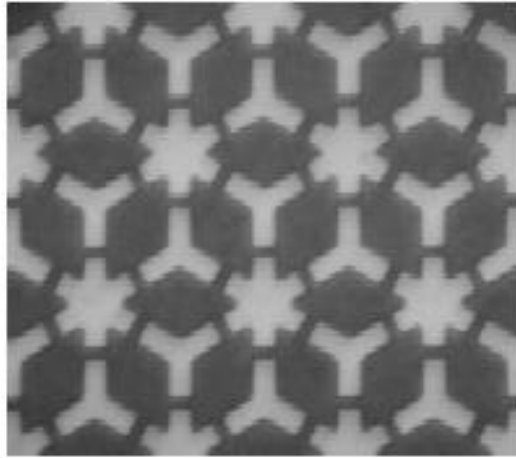
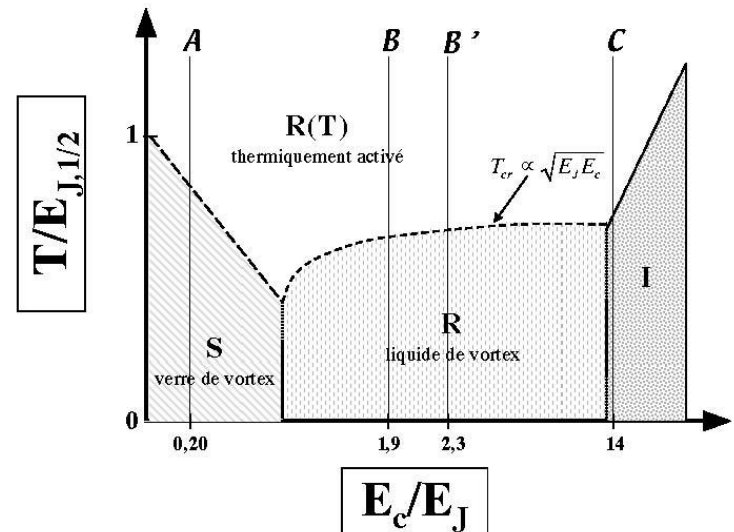


Foto from arxiv:0811.4675

In-Cheol Baek, Young-Je Yun, and Mu-Yong Choi



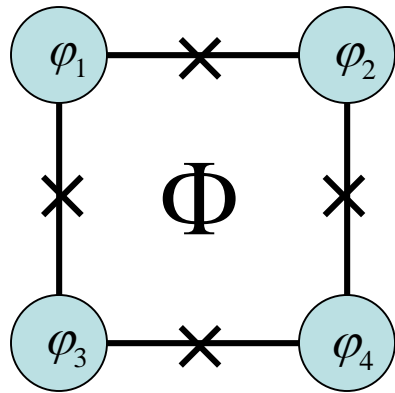
At non-zero field Josephson arrays of more complex (dice) geometry show temperature independent resistance in a wide range of  $E_J/E_c$

The origin of “Bose metal” is  
unknown

Hypothesis:  
it might be related to charge offset noise

# JOSEPHSON ARRAYS

Elementary building block



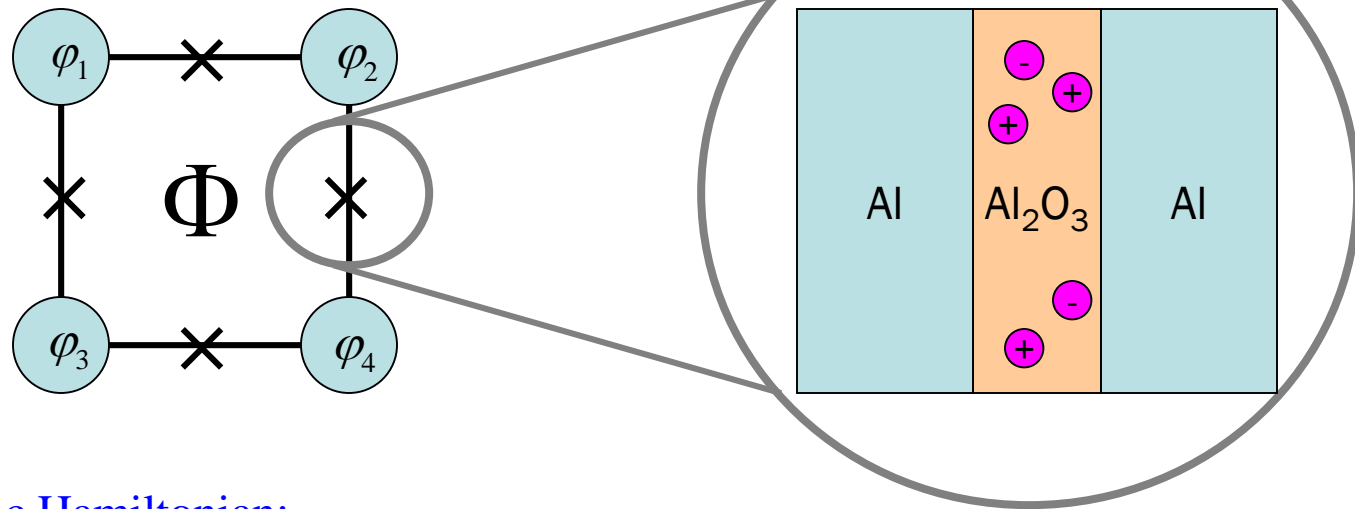
Ideal Hamiltonian:

$$H = \frac{1}{2} \sum_{i,j} C_{ij}^{-1} q_i q_j + E_J \cos(\varphi_i - \varphi_j - 2\pi \frac{\Phi_{ij}}{\Phi_0}) \quad q_i = 2e \, i \frac{d}{d\varphi_i}$$

$C_{ij}$  - capacitance matrix  $E_J$  - Josephson energy

# JOSEPHSON ARRAYS

Elementary building block



More realistic Hamiltonian:

$$H = \frac{1}{2} \sum_{i,j} C_{ij}^{-1} (q_i + Q_i)(q_j + Q_j) + (E_J + \delta E_J) \cos(\varphi_i - \varphi_j - 2\pi \frac{\Phi_{ij} + \delta\Phi}{\Phi_0}) \quad q_i = 2e \, i \frac{d}{d\varphi_i}$$

$C_{ij}$  - capacitance matrix  $E_J$  - Josephson energy

$Q_i = Q_i^0 + Q_i(t)$  - induced charge (static and fluctuating)

$\delta\Phi = \delta\Phi^0 + \delta\Phi(t)$  - static flux due to area scatter and flux noise

$\delta E_J = \delta E_J^0 + \delta E_J(t)$  - static scatter of Josephson energies and their time dependent fluctuations.