

Inflationary Cosmology

and

Inflation in String Theory

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for further reading, I recommend

{ S. Weinberg, Cosmology }

{ D. Baumann, TASI lectures on Inflation }

So far: inflation as a solution to the horizon problem

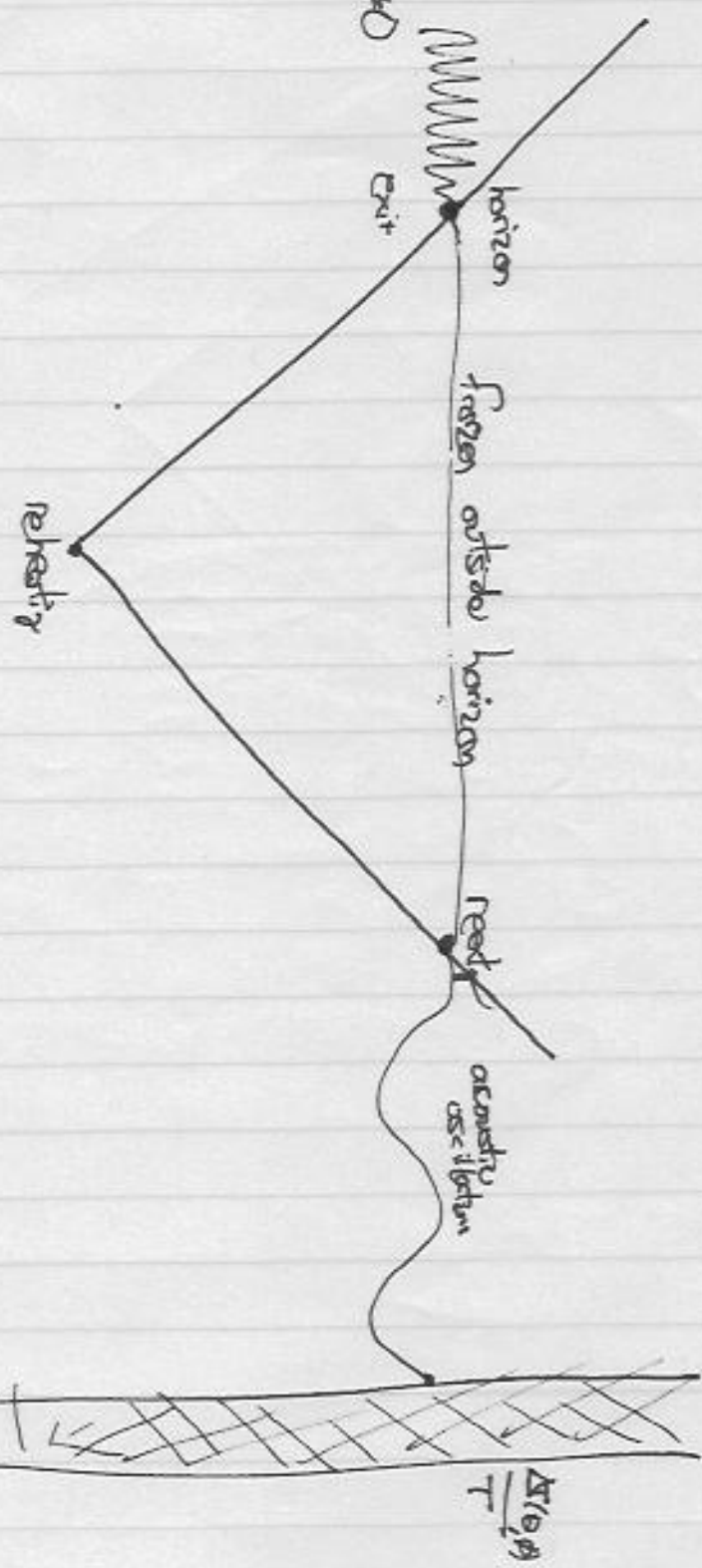
quantum fluctuations during inflation  
exit the horizon,  
freeze,  
re-enter the horizon,  
undergo acoustic oscillations,  
and leave imprints  $\Delta T(\theta, \phi)$  in the CMB,  
with a characteristic spectrum of  
acoustic peaks  
that gives definitive evidence for  
phase correlations on super-horizon  
distances.

Now: inflation in string theory

$\ln(t/a)$

$\ln \frac{1}{a}$

quantum fluctuations  
 $\langle R_e R_e \rangle \neq 0$



$S_{in} S_{out}$

lost scattering

$\frac{\Delta l}{c dt}$   
 $T$

$\Delta$  dimensionless

$\ln a$

### III

## Inflation and Planck-Scale Physics.

Condition for inflation:

$$\frac{\ddot{a}}{a} > 0 \Leftrightarrow \dot{H} + H^2 > 0$$

$$\Leftrightarrow -\frac{\dot{H}}{H^2} < 1$$

$$-\frac{\dot{H}}{H^2} \equiv \epsilon_H,$$

$$\boxed{\epsilon_H < 1}$$

inflation occurs

define  $\eta = \frac{\dot{\epsilon}_H}{H \epsilon_H}$

$$\boxed{\eta \ll 1}$$

inflation lasts.

These simplify in 1-field SR to

$$\epsilon_V \equiv \frac{M_p^2}{2} \left(\frac{V'}{V}\right)^2 \ll 1$$

$$\eta_V \equiv M_p^2 \frac{V''}{V} \ll 1.$$

for convenience, use  $\left(\frac{\epsilon_H}{\eta_H} \rightarrow \frac{\epsilon_V}{\eta_V}\right)$   
 $\eta_V \rightarrow \eta.$

let's examine  $\eta \ll 1$ .

$$V'' = m^2 \quad \text{if} \quad r > \frac{1}{2} m^2 \phi^2$$

$$V = 3H^2 M_{\text{pl}}^2$$

$$\Rightarrow \eta = \frac{m^2}{3H^2} \quad \eta \ll 1 \quad \text{if} \quad m \ll H.$$

$\phi$  is a scalar. Why is it light?

NB SUSY useless.

de Sitter is ~~SUSY~~ with  $m_{3/2} \sim H$ .

so,  $m_{\phi} \rightarrow H$ .



More generally,

i) we know some new d.o.f. must appear at  $M \lesssim M_{\text{pl}}$  to give a UV-completion of GR.

in ST,  $M \lesssim M_s < M_p$   
(or even  $M \sim M_{\text{KK}} \ll M_p$ .)

If  $\varphi$  has  $\mathcal{O}(1)$  couplings to these 'Planck stop' fields  $\int$ , then  $\int$  at  $\int$  yields

$$\Delta \mathcal{L}_\varphi = \frac{\mathcal{O}(\varphi)}{M^{\Delta-4}} \quad \text{with } \mathcal{O} \text{ some allowed operator in } \mathcal{R} \varphi \text{ QFT.}$$

so if before considering  $\int$  we have

$$\mathcal{L}_\varphi = \frac{1}{2} (\partial\varphi)^2 - V_0(\varphi)$$

we should ask whether  $\int$  at  $\int$  yields

$$\Delta \mathcal{L}_\varphi = c V_0(\varphi) \frac{\varphi^2}{M^2}.$$

if this term arises, then

$$\begin{aligned}\Delta\eta &= \frac{1}{\sqrt{2}} \left[ \frac{\partial^2}{\partial\phi^2} \Delta V \right] M_p^2 \\ &= 2C \left( \frac{M_p}{M} \right)^2 + \mathcal{O}[\phi V', V'']\end{aligned}$$

$$\text{now } M \stackrel{!}{\ll} M_p$$

$$\text{and Wilson} \Rightarrow C \sim \mathcal{O}(1)$$

$$\text{so } \Delta\eta \sim 1.$$

In a very interesting subclass, this problem is much stronger.

$$\text{Since } \frac{1}{M_p^2} \mathcal{P} = \left(\frac{H}{\dot{\phi}}\right)^2 \left(\frac{H}{2\pi}\right)^2$$

$$\text{and } \frac{1}{M_p^2} \mathcal{P} = \frac{8}{M_p^2} \left(\frac{H}{2\pi}\right)^2$$

$$\text{we have } r \equiv \frac{\mathcal{P}_+}{\mathcal{P}_S} = \frac{8}{M_p^2} \left(\frac{\dot{\phi}}{H}\right)^2$$

$$H dt = dN$$

$$r = \frac{8 \cdot (d\dot{\phi})^2}{M_p^2 (dN)^2}$$

$$\frac{\Delta \dot{\phi}}{M_p} = \int \sqrt{\frac{r(N) dN}{8}}$$

$$\frac{d \log r}{dN} = -\left[(n_s-1) + \frac{r}{8}\right]$$

in 1-field SR

now also  $r$  cannot change quickly while  $\epsilon, \eta$  remain small (except in rather rare cases),

$$\Rightarrow \int \frac{\sqrt{r(N)} dN}{\sqrt{8}} \approx \Delta N \sqrt{\frac{r_{\text{cmb}}}{8}}$$



At worst,  $\frac{\Delta\phi}{M_p} \approx 30 \sqrt{\frac{r_{\text{CMB}}}{8}}$

so, rearranging,

$$\left(\frac{r_{\text{CMB}}}{0.01}\right) \approx \left(\frac{\Delta\phi}{M_p}\right)^2$$

for  $r_{\text{CMB}} \approx 0.01$ ,  $\Delta\phi \approx M_p$ .

[now,  $r < 0.02$   
with  $\delta_{\text{obs}}$  expect  $r \ll 0.05$  or detection]

eg simple monomial 'chaotic inflation' models

$$V = \frac{1}{2} m^2 \phi^2$$

$$\eta_V = 2 \left(\frac{M_p}{\phi}\right)^2$$

$$\epsilon_V = 2 \left(\frac{M_p}{\phi}\right)^2$$

$$\text{find } N_e = \frac{1}{M_p} \int \frac{d\phi}{\sqrt{2\epsilon}} = \frac{1}{M_p} \frac{1}{2} \int \frac{\phi d\phi}{M_p} = \frac{1}{4} \left(\frac{\phi}{M_p}\right)^2$$

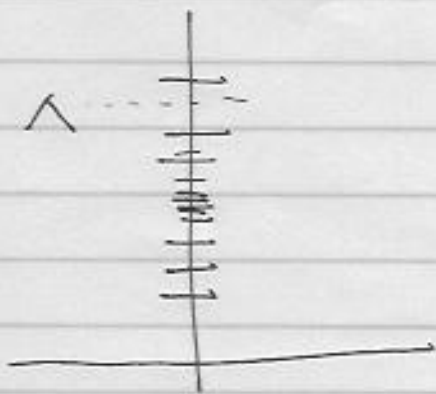
$$\left[ \text{used } dN = H dt = H \frac{d\phi}{\dot{\phi}} = H d\phi \left(\frac{-3H}{v'}\right) = -\frac{V}{v'} \frac{d\phi}{M_p^2} \right]$$

so  $\phi_{\text{initial}} \sim 15 M_p$  for  $N_e \sim 60$ .

Implication: super-Planckian vevs  $\Leftrightarrow$  r observable  
(Lyth).

Now in EFT, super-cutoff vevs are tricky.

take <sup>scalar</sup> fields  $\varphi, \chi_i$  and cutoff  $\Lambda$ .



Wilson:  $V \supset \Lambda^2 \chi_i^2 + \varphi^2 \chi_i^2 + \frac{\varphi^4 \chi_i^2}{\Lambda^2} + \dots$

or, if  $\varphi, \chi$  couple with strength  $g$ ,

$$\Lambda^2 \chi_i^2 + g^2 \varphi^2 \chi_i^2 + \frac{g^4 \varphi^4 \chi_i^2}{\Lambda^2} + \dots$$

$$\Rightarrow V(\varphi, \chi_i) = V(\varphi) + \Lambda^2 \chi_i^2 f\left(\frac{g^2 \varphi^2}{\Lambda^2}\right).$$

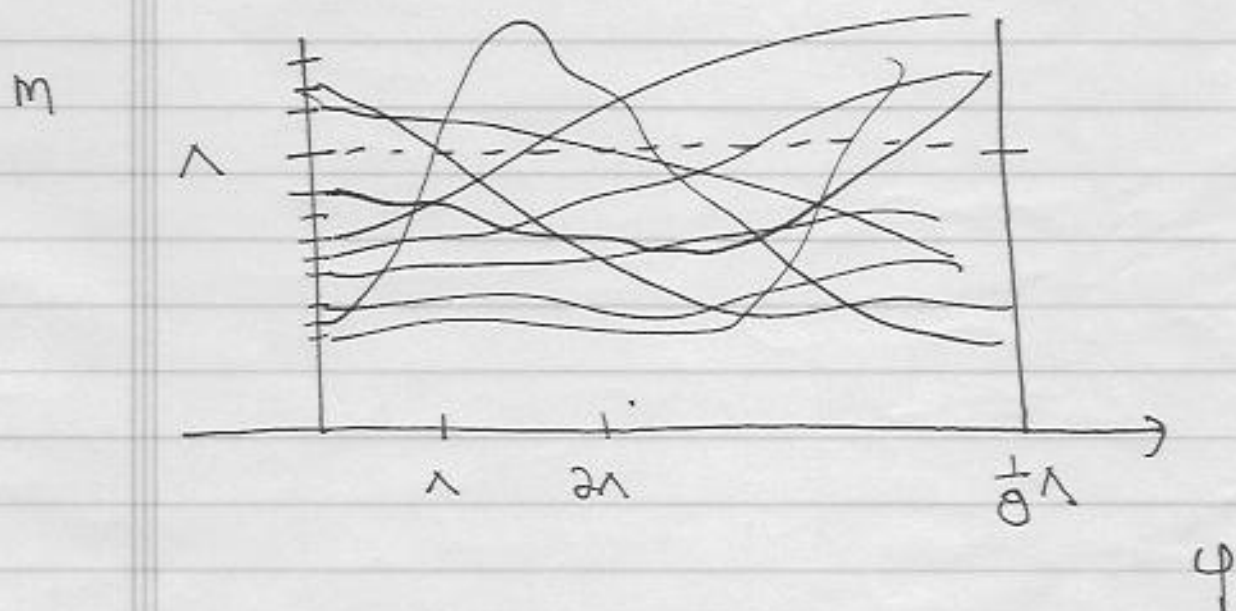
So if we try  $\Delta\varphi \sim \Lambda$ ,

$$\Delta m_{\alpha} \sim g_i \Delta\varphi \sim g_i \Lambda.$$

Conclude that for  $\Delta\varphi \sim \frac{\Lambda}{g}$ , we have

$$\Delta m_{\alpha} \sim \Lambda.$$

Draw with all  $g_i \equiv \bar{g}$ :



Some fields leave EFT

Some fields join EFT

$\Rightarrow$  although usually  $\exists$  an EFT at  $\langle\varphi\rangle = \frac{\Lambda}{g}$ ,

in general it is not the EFT at  $\langle\varphi\rangle = 0$ .

So clearly since  $\Lambda \ll M_p$ , we have) quite a "task to find" an effective QFT + GR that

- i) is consistent in the UV
- ii) allows excursions  $\Delta\phi \gg M_p$ .

For (i)  $\Rightarrow \exists$  massive ( $M \sim M_p$ ) 'Plank scale' states  
(in ST: moduli, KK, string modes many  $\ll M_p$  in mass!)

While (ii) requires that (only  $g$  to all these fields obeys

$$\frac{\Lambda}{g} \gg M_p$$

$$\text{or } g \ll \frac{M_p}{\Lambda} \frac{\Lambda}{M_p}$$

(hard if  $\Lambda \ll M_p$ !)

Key question:

'Why does the inflaton couple so weakly (not even gravitationally!) to Planck-scale d.o.f.?'

A question for a Planck-scale theory.

Indeed, an unsurpassed opportunity to probe such a theory using CMB observations.

Idea: a symmetry forbids  $\delta^2 \varphi^2$  (etc.) couplings

Well, this symmetry must be (approx.) respected by PLANCK SCALE d.o.f.



Important to distinguish 2 cases:

i)  $\Delta\phi \ll M_p$  'small-field'

$$r \ll 0.01$$

$$\frac{\mathcal{O}_6}{M_p^2} \quad (\text{eg } V_0(\phi)\frac{\phi^2}{M_p^2})$$

gives  $\Delta\eta \sim 1$

while)  $\frac{\mathcal{O}_7}{M_p^3}$  gives  $\Delta\eta \lesssim \frac{\Delta\phi}{M_p} \ll 1$ .

important terms:  $\Delta \lesssim 6$ .

ii)  $\Delta\phi \gg M_p$  'large-field'

$$r \gg 0.01$$

terms  $\frac{\mathcal{O}_\Delta}{M_p^{\Delta+4}}$  become larger for larger  $\Delta$ .

important terms: all ( $\Delta \rightarrow \infty$ ).

NIS detects  $r$  directly probes scale of inflation,

$$V^{1/4} = 3.6 \times 10^{16} \text{ GeV} \cdot \left(\frac{r}{0.01}\right)^{1/4}$$

In case (i), we have a finite # of  $\mathcal{O}'s$  that contribute important corrections to the inflationary dynamics.

Can hope to enumerate all  $\mathcal{O}'s$  with  $\Delta \leq 6$  and balance them against each other (fine-tuning).

In case (ii), enumeration is hopeless. One needs a powerful symmetry,

I'll give examples of each case in these lectures.

(i): D3-brane inflation

(ii): axion monodromy inflation

## IV

# Towards Inflation in String Theory

Natural to search for inflation in string theory.

We need a scalar which

- drives  $\ddot{a} > 0$  phase for  $\gtrsim 60$  e-folds
- then reheats the universe.

Scalars are plentiful, ~~too~~ plentiful.

scalars with  $G_N$ -strength couplings and  $m < 30 M_{\text{Pl}}$   
will decay  $\gtrsim 1\text{s}$  and spoil BBN  
or overclose  $\Omega$ .  
or give 5<sup>th</sup> forces.

Moduli problem = presence of (numerous)  
gravitationally-coupled  
scalars in string  
compactifications.

In CY 4pt ( $N=2$ ) one has many such.

{ Kähler mod.  
CX string mod  
axiodilaton  
D-brane scalars

Required: method of  $M$  stab.

i.e. method giving the  $M$  masses  $> 30 \text{ TeV}$   
so they do not run cosmology (late-time,  
 $t \gtrsim 1 \text{ s}$ )

Flux cpct. provide a class of vacua  
in which many/most/all models  
are stabilized; more on them later.

General issue:

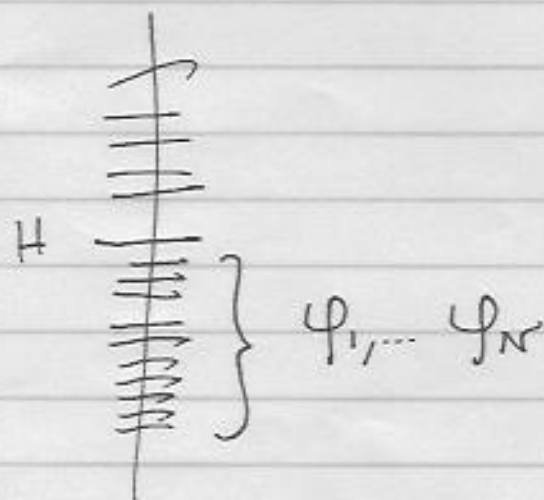
- inflation is one of the  $M$ .

- giving other  $M$  masses  $30 \text{ TeV} < m < H$   
does solve the late-time  $M$  problem  
but the  $M$  are then dynamical  
during inflation!

They fluctuate,  $\delta X \sim \frac{H}{2\pi}$   
and contribute to the curvature/entropy  
perturbations.

Simply put unless all  $M$  have  $m > H$ , the  
 $\frac{\delta E}{E}$  is not just that of an  
inflation; it involves many dynamical  
scalars.

Options:



hard to arrange  
this disparity

easily arranged  
complex + hard to study

signatures often  
straightforward.

Complex signatures incl.  
NG are common



We'll focus on cases in which (after much work!) the low- $E$  EFT contains only the inflaton. (+ SM fields etc; but no other light, Gr-coupled fields)

### Basic scheme:

Find vacua in which the long-wavelength effective theory in 4D is

GR + 1 scalar field

with  $V(\phi)$  obeying  $\epsilon, \eta \ll 1$ .

and all moduli have  $m \gg H$ , and hence are non-dynamical.

Challenge: we've learned that  $\int$  out even  $M_p$  fields gives substantial corrections to  $\mathcal{L}$  (eg to  $\eta$ ).

The  $M$  have  $m_M \ll M_p$  (indeed, usually

$m_M \ll m_{\text{KK}}$  if stabilized in 4D theory)

so  $\int$  out the  $M \Rightarrow$  large corrections to  $\mathcal{L}$ !

So one must very carefully  $\int$  out all the  $M_4$  incorporating their effects in  $V(\varphi)$ .

As we'll see, to know  $V(\varphi)$  (more gen,  $d(\varphi)$ ) well enough to study inflation,

- one must always understand  $M$  stab. in detail
- $g_s$ ,  $\alpha'$ , backreaction, compactness corrections, often ignored, can be crucial

The name of the game is a complete (reliable) dimensional reduction, almost always beyond leading order.

In context, this is one of several uses for the general program of determining the <sup>4D</sup> effective action arising from stabilized string compactifications.