

# Embedded Surfaces as a 3-category

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Jim Hoste's Retirement Party  
April 21, 2018

# Preliminaries

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3. There are lots of adjectives in the definition
  - The point, then, is to describe the adjectives.

This talk was supported by the Simons Foundation.

# Theorem

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I like to re-experience simple stuff.

# Really small $n$ -cats

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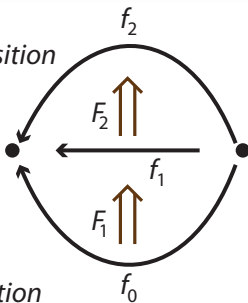
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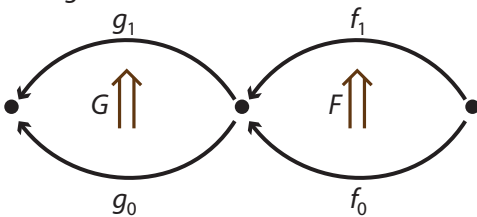
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- $\mathbb{N} = \{0, 1, 2, \dots\}$ , in unary notation. This is the *free monoid on a single generator*.
- Call  $(x \overleftarrow{\bullet} x)$  *rock*.

# Dim'lity of comp.

$$(\text{---}\bullet\text{---}) \circ (\text{---}\bullet\text{---}) \circ \dots \circ (\text{---}\bullet\text{---}).$$

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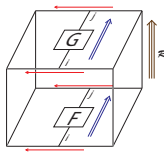
$$(\text{---}\bullet\text{---}) \circ (\text{---}\bullet\text{---}) \circ \dots \circ (\text{---}\bullet\text{---}).$$

$$\left( \begin{array}{c} \downarrow^j \\ \boxed{F} \\ \downarrow_i \end{array} \quad \uparrow \right).$$

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# Super Id/Def Jam

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# Super Id/Def Jam

- $| \text{---}$  is  $\overline{\square}$  .       $| \text{---} \bullet$  is  $\overline{\text{I}}$  .
- Def  $\overline{\cup}$  .



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• Def  $\overline{\cup}$  .      Def  $\overline{\cup}$  .

• Def

$$I_i = \underbrace{\begin{array}{c} \bullet \quad \bullet \\ \text{---} \quad \text{---} \\ \bullet \quad \bullet \end{array} \cdots \begin{array}{c} \bullet \\ \text{---} \\ \bullet \end{array}}_i .$$

# Super Id/Def Jam

- $| \text{---} \text{---} \text{---}$  is  $\overline{\square}$  .  $| \text{---} \bullet \text{---}$  is  $\overline{\text{---} \bullet \text{---}}$  .

- Def  $\overline{\text{---} \cup \text{---}}$  . Def  $\overline{\text{---} \cap \text{---}}$  .

- Def

$$I_i = \underbrace{\text{---} \bullet \text{---} \bullet \text{---} \dots \text{---} \bullet \text{---} \bullet \text{---}}_i .$$

- Let  $U^{i,j} = U(i,j) = I_i \otimes U \otimes I_j$ ,  
 $\cap_{i,j} = \cap(i,j) = I_i \otimes \cap \otimes I_j$ .



# The Exchanger

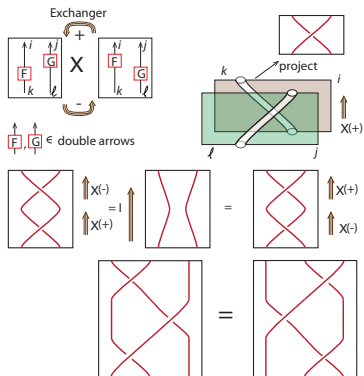
Def a natural isom:

$$\left( \begin{array}{c} | \\ i \end{array} \otimes \begin{array}{c} |^j \\ \boxed{G} \\ |_\ell \end{array} \right) \circ_2 \left( \begin{array}{c} |^i \\ \boxed{F} \\ |_k \end{array} \otimes \begin{array}{c} | \\ \ell \end{array} \right)$$

$$\times \uparrow$$

$$\left( \begin{array}{c} |^i \\ \boxed{F} \\ |_k \end{array} \otimes \begin{array}{c} | \\ j \end{array} \right) \circ_2 \left( \begin{array}{c} | \\ k \end{array} \otimes \begin{array}{c} |^j \\ \boxed{G} \\ |_\ell \end{array} \right)$$

the *exchanger*.



# More triple arrows. Pt 1: Ids

$$\left[ \begin{array}{c} \text{---} \\ \blacksquare \\ \text{---} \end{array} \uparrow \right],$$

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$$\left[ \begin{array}{c} \supset \\ \vdash \\ \supset \end{array} \uparrow \right],$$
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$$\left[ \begin{array}{c} \text{---} \\ \blacksquare \\ \text{---} \end{array} \uparrow \right],$$

$$\left[ \begin{array}{c} \supset \\ \vdash \\ \supset \end{array} \uparrow \right], \quad \text{and} \quad \left[ \begin{array}{c} \subset \\ \vdash \\ \subset \end{array} \uparrow \right].$$

# More 3-morphisms. Pt. 2: not isoms:

Birth  $[\smile]$ :

$$(\cap) \circ_2 (\cup) \leftarrow \left( \overline{\square} \right),$$

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Death  $[\frown]$ :

$$\left( \begin{array}{c} \overline{\square} \\ \hline \end{array} \right) \leftarrow (\cap) \circ_2 (\cup),$$

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Crotch  $[\dot{\cap}]$ :

$$(I \otimes I) \leftarrow (\cup) \circ_2 (\cap),$$

So that these are not isoms. is why the  $\cap$  and  $\cup$  maps are only **weak** inversions on  $\text{---}\bullet\text{---}$ .

# More 3-morphs: Pt 3: isoms

Left cusp  $[\gamma_L]$ :

$$(\cap \otimes I) \circ_2 (I \otimes U) \begin{smallmatrix} \leftarrow \\ \rightarrow \end{smallmatrix} (I),$$

Right cusp  $[\gamma_R]$ :

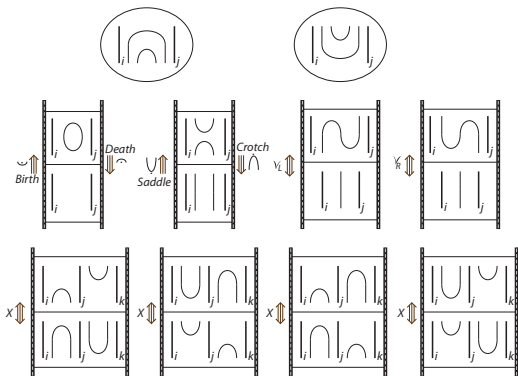
$$(I \otimes \cap) \circ_2 (U \otimes I) \begin{smallmatrix} \leftarrow \\ \rightarrow \end{smallmatrix} (I).$$

In a moment, I'll let you know in what way these are isoms.



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In a moment, I'll let you know in what way these are isoms. That they are isoms, is what I am calling **strictly 2-pivotal**. First, let's examine how to 2-compose.



# Strongly 2-pivotal

This page is intentionally blank.

*left cusp down:*  $\Upsilon_L$

*left cusp down:  $\Upsilon_L$  right cusp down:  $\Upsilon_R$ .*

*left cusp down:  $\gamma_L$  right cusp down:  $\gamma_R$ . def left  
cusp up:  $\lambda^L = \gamma_L^{-1}$*

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cusp up:  $\lambda^L = \gamma_L^{-1}$  right cusp up:  $\lambda^R = \gamma_R^{-1}$ .  
Assert  $\lambda^L = \gamma_L^{-1}$  and  $\lambda^R = \gamma_R^{-1}$  are inverses:*

*left cusp down:*  $\Upsilon_L$  *right cusp down:*  $\Upsilon_R$ . *def left*  
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 Assert  $\lambda^L = \Upsilon_L^{-1}$  and  $\lambda^R = \Upsilon_R^{-1}$  are inverses:

$$I \xleftarrow{\lambda^L} (\cap \otimes I) \circ_2 (I \otimes U) \xleftarrow{\Upsilon_L} I,$$

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$$I \xleftarrow{\lambda^R} (I \otimes \cap) \circ_2 (U \otimes I) \xleftarrow{\Upsilon_R} I,$$

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$$I \xleftarrow{\lambda^R} (I \otimes \cap) \circ_2 (U \otimes I) \xleftarrow{\Upsilon_R} I,$$

$$(I \otimes \cap) \circ_2 (U \otimes I) \xleftarrow{\Upsilon_R} I \xleftarrow{\lambda^R} (I \otimes \cap) \circ_2 (U \otimes I)$$

are the identity 3-morphisms on their  
 (coincident) sources and targets.

$$\left[ \begin{array}{c} \text{---} \\ \uparrow \lambda^L \\ \text{---} \\ \text{---} \\ \uparrow \gamma_L \\ \text{---} \end{array} \right] = \left[ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \right] ;$$

$$\left[ \begin{array}{c} \text{---} \\ \uparrow \lambda^L \\ \text{---} \\ \text{---} \\ \uparrow \gamma_L \\ \text{---} \end{array} \right] = \left[ \begin{array}{c} \text{---} \\ \text{---} \square \text{---} \\ \text{---} \end{array} \right];$$

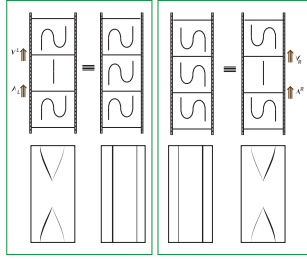
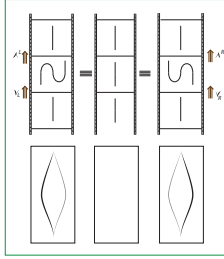
$$\left[ \begin{array}{c} \text{---} \\ \uparrow \gamma_L \\ \text{---} \\ \uparrow \lambda^L \\ \text{---} \end{array} \right] = \left[ \begin{array}{c} \text{---} \\ \uparrow \\ \text{---} \end{array} \right].$$

$$\left[ \begin{array}{c} \text{---} \\ \uparrow \lambda^R \\ \text{---} \text{---} \\ \uparrow \gamma_R \\ \text{---} \end{array} \right] = \left[ \begin{array}{c} \text{---} \\ \blacksquare \\ \text{---} \end{array} \right];$$

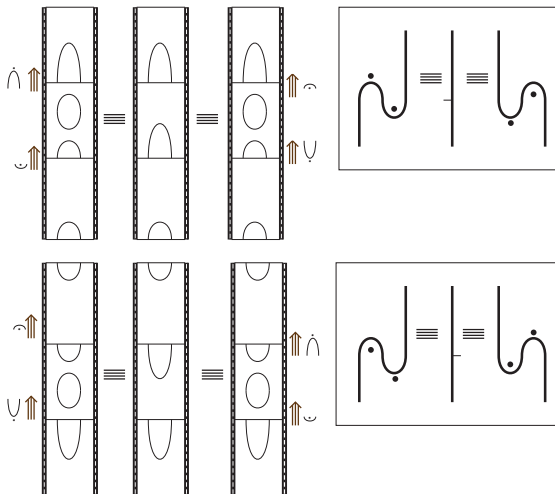


$$\left[ \begin{array}{c} \text{---} \\ \uparrow \lambda^R \\ \text{SS} \\ \uparrow \gamma_R \\ \text{---} \end{array} \right] = \left[ \begin{array}{c} \text{---} \\ \text{■} \\ \text{---} \end{array} \right];$$

$$\left[ \begin{array}{c} \text{SS} \\ \uparrow \gamma_R \\ \text{---} \\ \uparrow \lambda^R \\ \text{SS} \end{array} \right] = \left[ \begin{array}{c} \text{SS} \\ \uparrow_I \\ \text{SS} \end{array} \right].$$



# Weakly 3-pivotal



# Weakly 3-pivotal

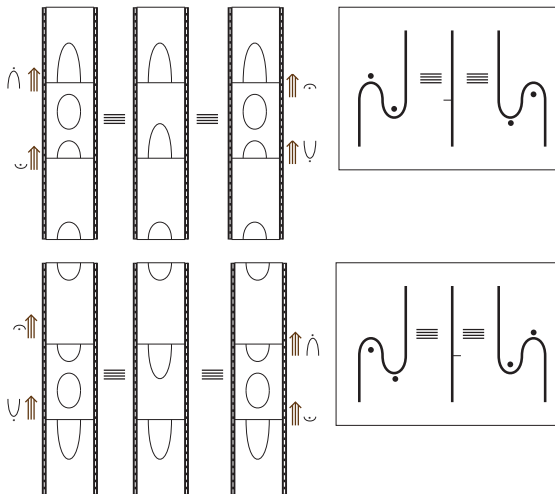
$$\left( \text{I} \otimes \dot{\cap} \right) \circ_3 \left( \smile \otimes \text{I} \right) = \text{I} = \left( \text{I} \otimes \frown \right) \circ_3 \left( \dot{\cup} \otimes \text{I} \right),$$

# Weakly 3-pivotal

$$\left( \dashv \otimes \dot{\neg} \right) \circ_3 \left( \smile \otimes \dashv \right) = \dashv = \left( \dashv \otimes \frown \right) \circ_3 \left( \dot{\cup} \otimes \dashv \right),$$

$$\left( \frown \otimes \vdash \right) \circ_3 \left( \vdash \otimes \dot{\cup} \right) = \vdash = \left( \vdash \otimes \dot{\neg} \right) \circ_3 \left( \smile \otimes \vdash \right).$$

# Weakly 3-pivotal



# Rotationally commutativity

Modify notation so that it is more transparent.

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$\lambda^R = \lambda^\bullet$ ,  $\lambda^L = \bullet\lambda$ ,  $\gamma_R = \gamma_\bullet$ ,  $\gamma_L = \bullet\lambda$ , and

$\circ_3 = \circ$ .

$$\left( \vdash \otimes \dot{\cap} \right) \circ \left( \gamma_\bullet \otimes \vdash \right) = \left( \bullet\lambda \otimes \vdash \right) \circ \left( \vdash \otimes \dot{\cup} \right)$$



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Modify notation so that it is more transparent.

$\lambda^R = \lambda^\bullet$ ,  $\lambda^L = \bullet\lambda$ ,  $\gamma_R = \gamma_\bullet$ ,  $\gamma_L = \bullet\gamma$ , and

$\circ_3 = \circ$ .

$$\left( \vdash \otimes \dot{\neg} \right) \circ \left( \gamma_\bullet \otimes \vdash \right) = \left( \bullet\lambda \otimes \vdash \right) \circ \left( \vdash \otimes \dot{\vee} \right)$$

$$\left( \lambda^\bullet \otimes \vdash \right) \circ \left( \vdash \otimes \dot{\vee} \right) = \left( \vdash \otimes \dot{\neg} \right) \circ \left( \bullet\gamma \otimes \vdash \right)$$

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$$\left( \dashv \otimes \lambda^\bullet \right) \circ \left( \dot{\vee} \otimes \dashv \right) = \left( \dot{\neg} \otimes \dashv \right) \circ \left( \dashv \otimes \bullet\gamma \right)$$

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$\circ_3 = \circ$ .

$$(\vdash \otimes \dot{\neg}) \circ (\gamma_\bullet \otimes \vdash) = (\bullet\lambda \otimes \vdash) \circ (\vdash \otimes \dot{\vee})$$

$$(\lambda^\bullet \otimes \vdash) \circ (\vdash \otimes \dot{\vee}) = (\vdash \otimes \dot{\neg}) \circ (\bullet\gamma \otimes \vdash)$$

$$(\dashv \otimes \lambda^\bullet) \circ (\dot{\vee} \otimes \dashv) = (\dot{\neg} \otimes \dashv) \circ (\dashv \otimes \bullet\gamma)$$

$$(\dot{\neg} \otimes \dashv) \circ (\dashv \otimes \gamma_\bullet) = (\dashv \otimes \bullet\lambda) \circ (\dot{\vee} \otimes \dashv)$$

# Rotationally commutativity

$$(\vdash \otimes \dot{\neg}) \circ (\neg \bullet \otimes \vdash) = (\bullet \neg \otimes \vdash) \circ (\vdash \otimes \dot{\vee})$$

$$(\neg \bullet \otimes \vdash) \circ (\vdash \otimes \dot{\vee}) = (\vdash \otimes \dot{\neg}) \circ (\bullet \neg \otimes \vdash)$$

$$(\dashv \otimes \neg \bullet) \circ (\dot{\vee} \otimes \dashv) = (\dot{\neg} \otimes \dashv) \circ (\dashv \otimes \bullet \neg)$$

$$(\dot{\neg} \otimes \dashv) \circ (\dashv \otimes \bullet \neg) = (\dashv \otimes \bullet \neg) \circ (\dot{\vee} \otimes \dashv)$$

## Rotationally commutative

$$(\vdash \otimes \dot{\gamma}) \circ (\gamma \bullet \otimes \vdash) = (\bullet \lambda \otimes \vdash) \circ (\vdash \otimes \dot{\psi})$$

$$(\lambda \bullet \otimes \vdash) \circ (\vdash \otimes \dot{\psi}) = (\vdash \otimes \dot{\gamma}) \circ (\gamma \bullet \otimes \vdash)$$

$$(\dashv \otimes \lambda \bullet) \circ (\dot{\psi} \otimes \dashv) = (\dot{\gamma} \otimes \dashv) \circ (\dashv \otimes \lambda \bullet)$$

$$(\dot{\gamma} \otimes \dot{\psi}) \circ (\dashv \otimes \gamma \bullet) = (\dashv \otimes \lambda \bullet) \circ (\dot{\psi} \otimes \dashv)$$

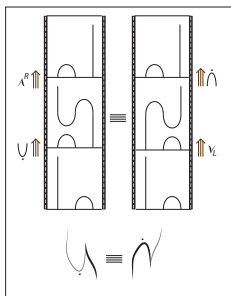
$$(\vdash \otimes \dot{\gamma}) \circ (\gamma \bullet \otimes \vdash) = (\bullet \lambda \otimes \vdash) \circ (\vdash \otimes \dot{\psi})$$

$$(\lambda \bullet \otimes \vdash) \circ (\vdash \otimes \dot{\psi}) = (\vdash \otimes \dot{\gamma}) \circ (\gamma \bullet \otimes \vdash)$$

$$(\dashv \otimes \lambda \bullet) \circ (\dot{\psi} \otimes \dashv) = (\dot{\gamma} \otimes \dashv) \circ (\dashv \otimes \lambda \bullet)$$

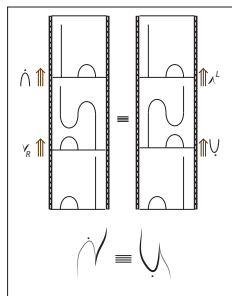
$$(\dot{\gamma} \otimes \dot{\psi}) \circ (\dashv \otimes \gamma \bullet) = (\dashv \otimes \lambda \bullet) \circ (\dot{\psi} \otimes \dashv)$$

## Rotationally commutative



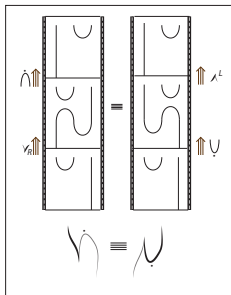
$$(\lambda^R)_{o_3}(\psi) = (\dot{\lambda})_{o_3}(\psi_L)$$

$$(\dagger \otimes \lambda^R)_{o_3}(\psi \otimes \dagger) = (\dot{\lambda} \otimes \dagger)_{o_3}(\dagger \otimes \psi_L)$$



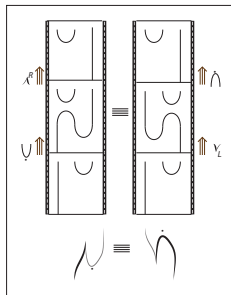
$$(\dot{\lambda})_{o_3}(\psi_R) = (\lambda^L)_{o_3}(\psi)$$

$$(\dot{\lambda} \otimes \dagger)_{o_3}(\dagger \otimes \psi_R) = (\dagger \otimes \lambda^L)_{o_3}(\psi \otimes \dagger)$$



$$(\dot{\cap}) \circ_3 (\nu_R) = (\lambda^L) \circ_3 (\psi)$$

$$(\vdash \otimes \dot{\cap}) \circ_3 (\nu_R \otimes \vdash) = (\lambda^L \otimes \vdash) \circ_3 (\vdash \otimes \psi)$$



$$(\lambda^R) \circ_3 (\psi) = (\dot{\cap}) \circ_3 (\nu_L)$$

$$(\lambda^R \otimes \vdash) \circ_3 (\vdash \otimes \psi) = (\vdash \otimes \dot{\cap}) \circ_3 (\nu_L \otimes \vdash)$$

$$\cap \circ \gamma = \lambda \circ \cup$$



# Strongly 3-tortile

$$\left( \lambda^L \otimes \dashv \right) \circ_3 \left( \vdash \otimes \mathbf{X}(+) \right) \circ_3 \left( \gamma^L \otimes \dashv \right) = \dashv,$$

$$\left( \lambda^L \otimes \dashv \right) \circ_3 \left( \vdash \otimes \mathbf{X}(+) \right) \circ_3 \left( \gamma^L \otimes \dashv \right) = \dashv,$$

$$\dashv = \left( \lambda^R \otimes \dashv \right) \circ_3 \left( \vdash \otimes \mathbf{X}(-) \right) \circ_3 \left( \gamma^L \otimes \dashv \right),$$

$$\left( \lambda^L \otimes \dashv \right) \circ_3 \left( \vdash \otimes X(+) \right) \circ_3 \left( \gamma^L \otimes \dashv \right) = \vdash,$$

$$\dashv = \left( \lambda^R \otimes \dashv \right) \circ_3 \left( \vdash \otimes X(-) \right) \circ_3 \left( \gamma^L \otimes \dashv \right),$$

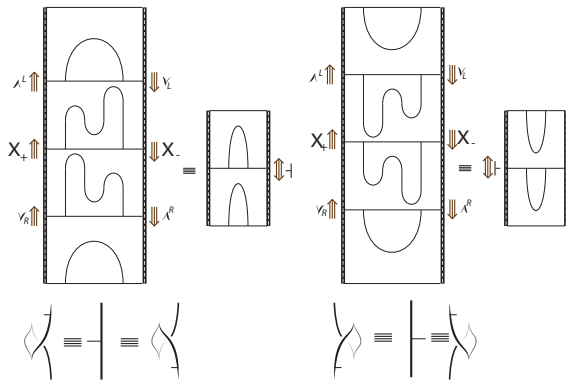
$$\left( \vdash \otimes \lambda^L \right) \circ_3 \left( X(+) \otimes \dashv \right) \circ_3 \left( \dashv \otimes \gamma^L \right) = \vdash,$$

$$\left( \lambda^L \otimes \dashv \right) \circ_3 \left( \vdash \otimes \mathbf{X}(+) \right) \circ_3 \left( \gamma^L \otimes \dashv \right) = \vdash,$$

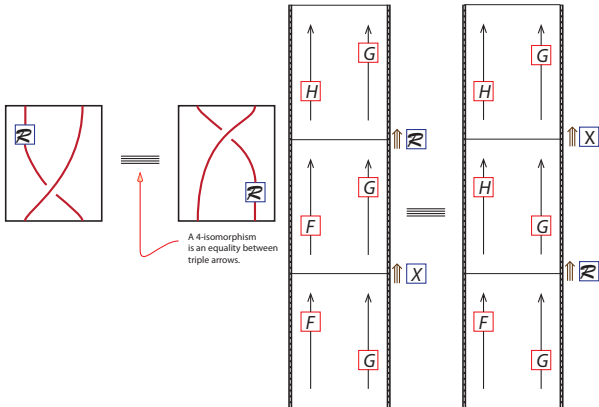
$$\dashv = \left( \lambda^R \otimes \dashv \right) \circ_3 \left( \vdash \otimes \mathbf{X}(-) \right) \circ_3 \left( \gamma^L \otimes \dashv \right),$$

$$\left( \vdash \otimes \lambda^L \right) \circ_3 \left( \mathbf{X}(+) \otimes \dashv \right) \circ_3 \left( \dashv \otimes \gamma^L \right) = \vdash,$$

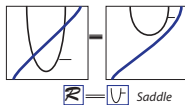
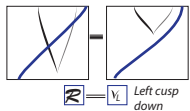
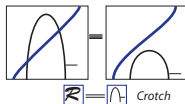
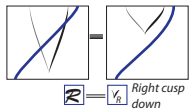
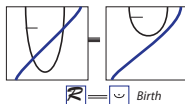
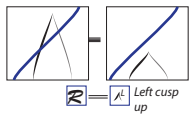
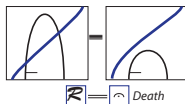
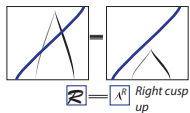
$$\vdash = \left( \vdash \otimes \lambda^L \right) \circ_3 \left( \mathbf{X}(-) \otimes \dashv \right) \circ_3 \left( \dashv \otimes \gamma^L \right).$$



# Naturality



# Naturality

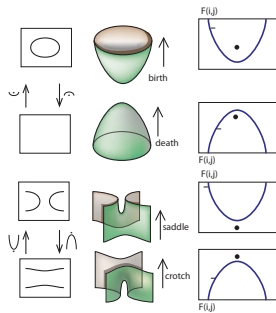
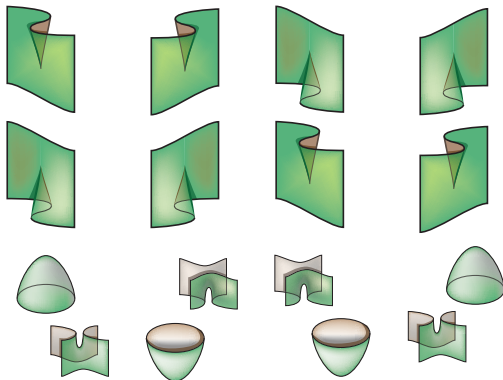


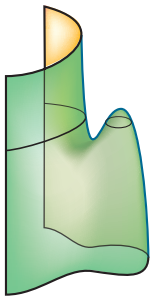
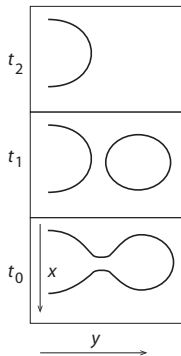


## Theorem

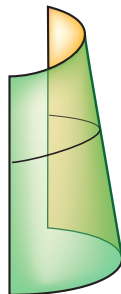
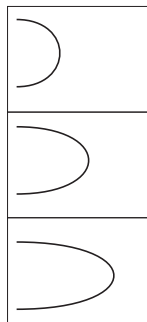
*The naturally monoidal, strictly 2-pivotal, weakly 3-pivotal, rotationally commutative strictly 3-tortile 3-category with one object that is freely generated by a weakly self-invertible non-identity 1-morphism is equivalent to the 3-category of isotopy classes of surfaces embedded in 3-dim'l space.*

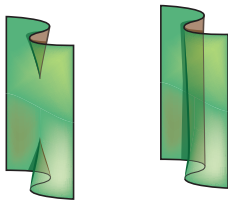
OK. So now here is the sketch of the proof.

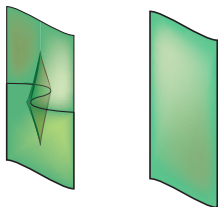


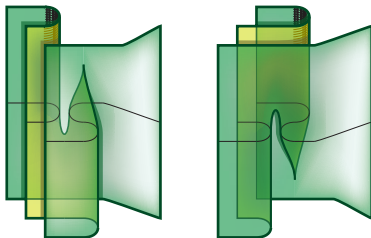


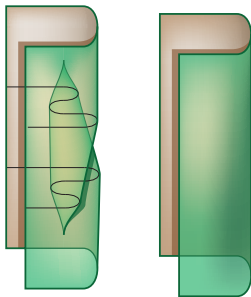
$$\int \dot{\gamma} =$$



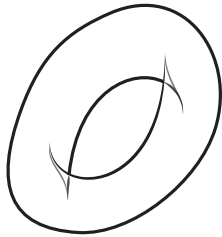




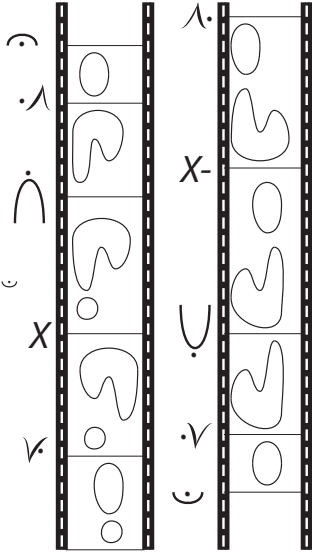








$$\gamma \cdot \lambda_{1,0} \dot{\lambda}_{0,0} X \gamma^{0,1} \lambda_{1,0} X - \dot{\gamma}_{0,0} \gamma^{0,1} \gamma$$



# Thank you

That's my story.

# Thank you

That's my story. And I am sticking to it.

# Thank you

That's my story. And I am sticking to it. Thank you!

# Thank you

That's my story. And I am sticking to it. Thank you! Congratulations, Jim!