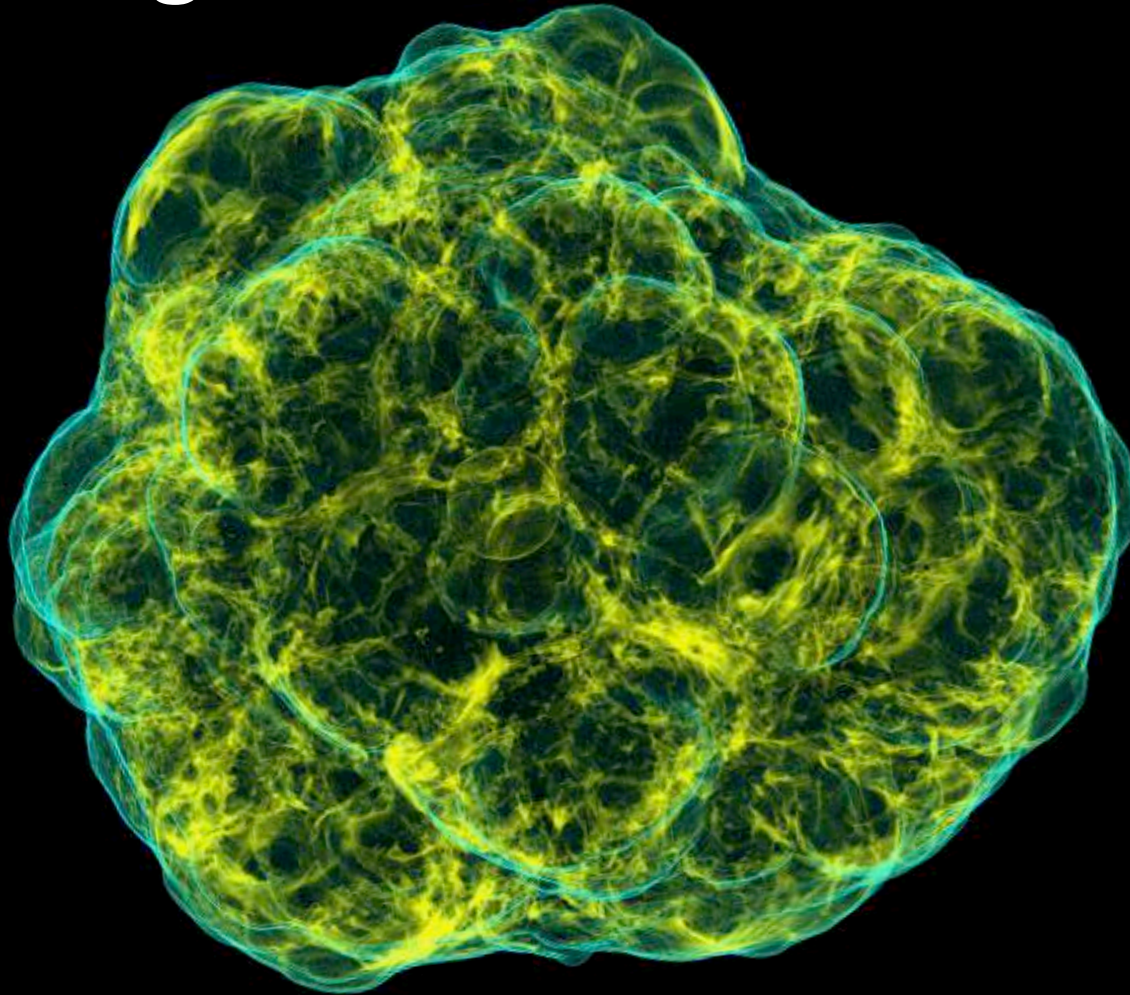


# Modeling The Death of Massive Stars



**Sherman  
Fairchild  
Foundation**

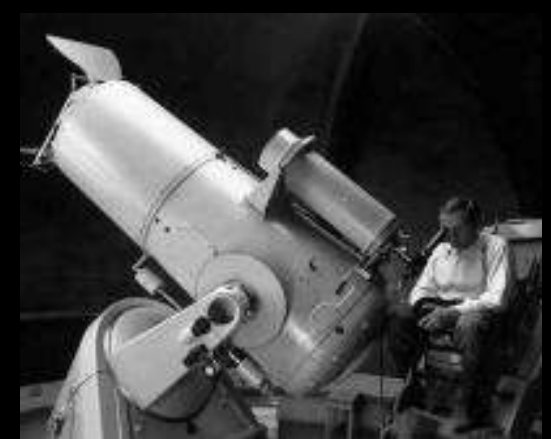


Christian D. Ott,  
**TAPIR**, California Institute of Technology  
Simulating eXtreme Spacetimes (SXS) Collaboration

Fritz Zwicky  
1898-1974



Walter Baade  
1893-1960



Palomar 18" Schmidt telescope

Fritz Zwicky  
1898-1974



Walter Baade

# "Supernova" (1934)

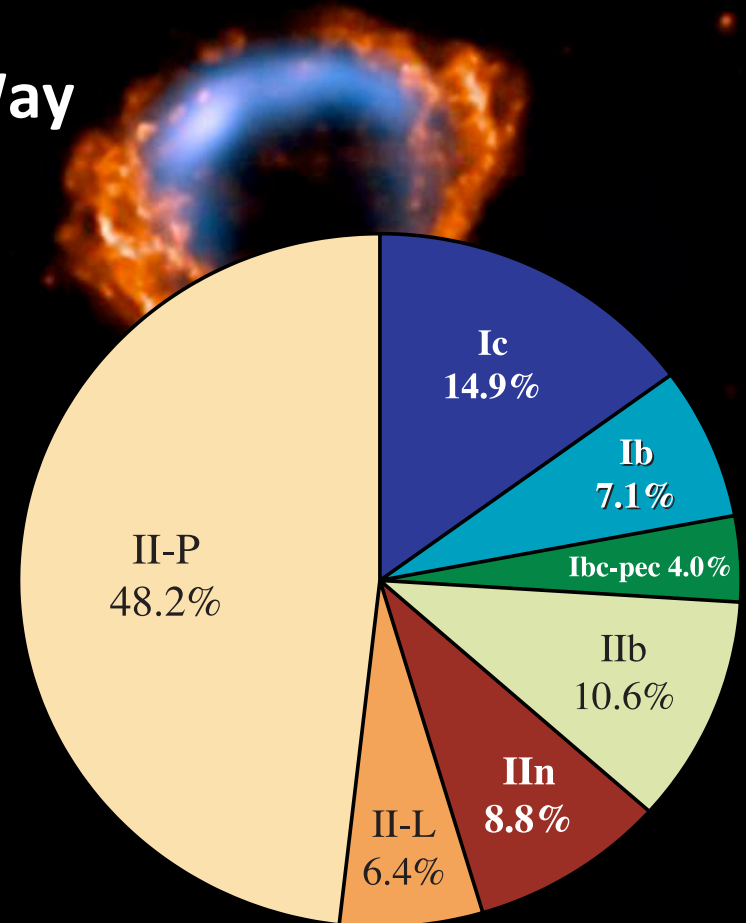
In addition, the new problem of developing a more detailed picture of the happenings in a super-nova now confronts us. With all reserve we advance the view that a super-nova represents the transition of an ordinary star into a *neutron star*, consisting mainly of neutrons. Such a star may possess a very small radius and an extremely high density. As neutrons can be packed much more closely than ordinary nuclei and electrons, the "gravitational packing" energy in a *cold* neutron star may become very large, and, under certain circumstances, may far exceed the ordinary nuclear packing fractions. A neutron star would therefore represent the most stable configuration of matter as such. The consequences of this hypothesis will be developed in another place, [PNAS, 20:259, 1934, APS 12/33]



# Stellar Death & Supernova Explosions

- ~1 SN/s in the Universe
- ~1 SN/day discovered
- ~1 SN/50-100 yrs (?) in the Milky Way
- >1 SN/year within 10 Mpc
  
- ~20% thermonuclear SNe (Type Ia)  
-> exploding white dwarfs
- ~80% core-collapse SNe (CCSNe)  
-> exploding massive stars
  
- **Class of energetic stripped-envelope explosions: Type Ic-bl (“broad lines”)**
- Some (>8) SNe Ic-bl associated with long gamma-ray bursts.

Chandra



Smith+ 11, LOSS sample

# Core-Collapse Supernovae:

Explosions of Massive Stars  $8M_{\odot} \lesssim M \lesssim 130M_{\odot}$



© Anglo-Australian Observatory



Supernova 1987A

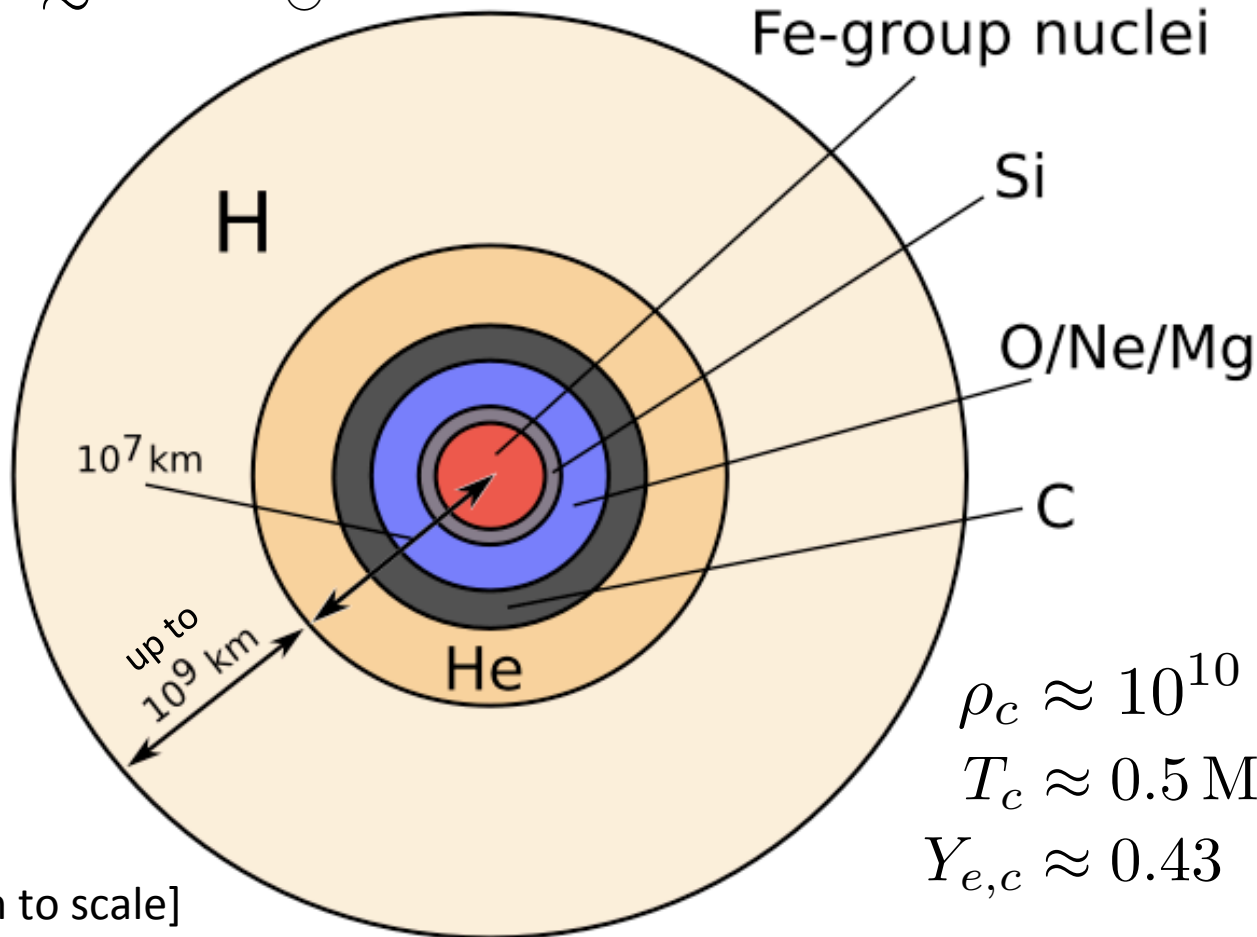
Large Magellanic Cloud

Progenitor:

BSG Sanduleak -69° 220a,  $\approx 18 M_{\text{SUN}}$

# The Basic Theory of Core Collapse

$$8M_{\odot} \lesssim M \lesssim 130M_{\odot}$$



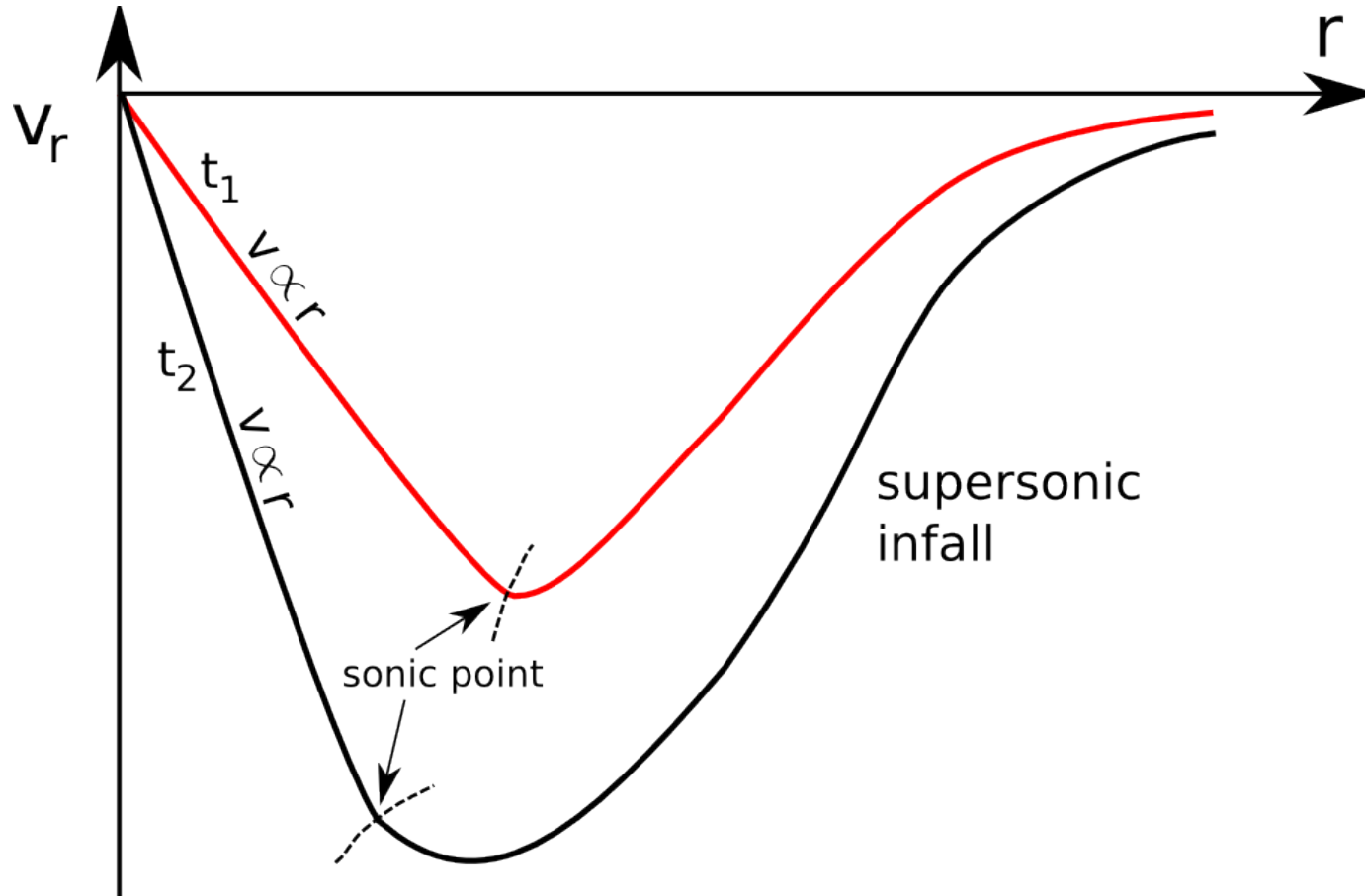
$$\rho_c \approx 10^{10} \text{ g cm}^{-3}$$

$$T_c \approx 0.5 \text{ MeV}$$

$$Y_{e,c} \approx 0.43$$

$$M_{\text{Ch}} \approx 1.44 \left( \frac{Y_e}{0.5} \right)^2 \left[ 1 + \left( \frac{s_e}{\pi Y_e} \right)^2 \right]$$

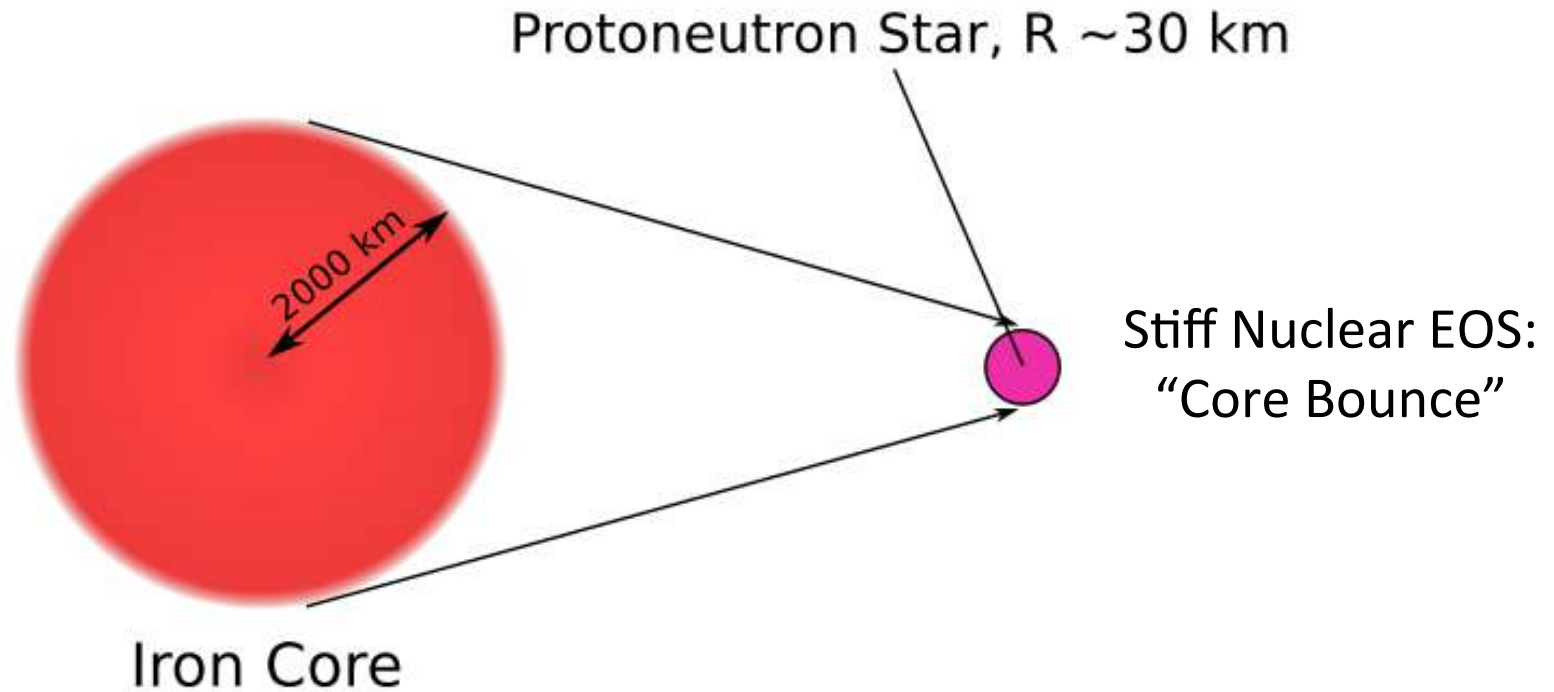
# Self-Similarity of Collapse



Homologously ( $v \propto r$ ) collapsing **inner core**.  
Supersonically collapsing **outer core**.

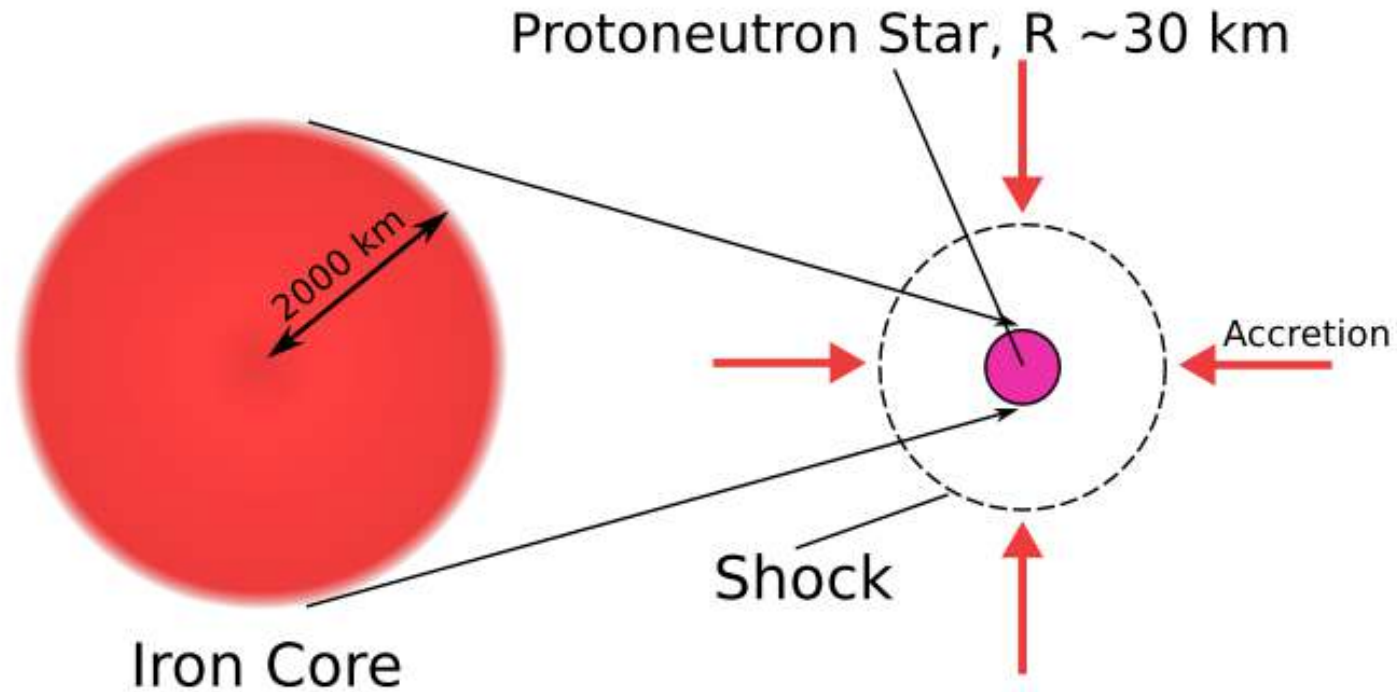
Analytic similarity solutions:  
Goldreich & Weber 1980  
Yahil & Lattimer 1982  
Yahil 1983

# Collapse and Bounce

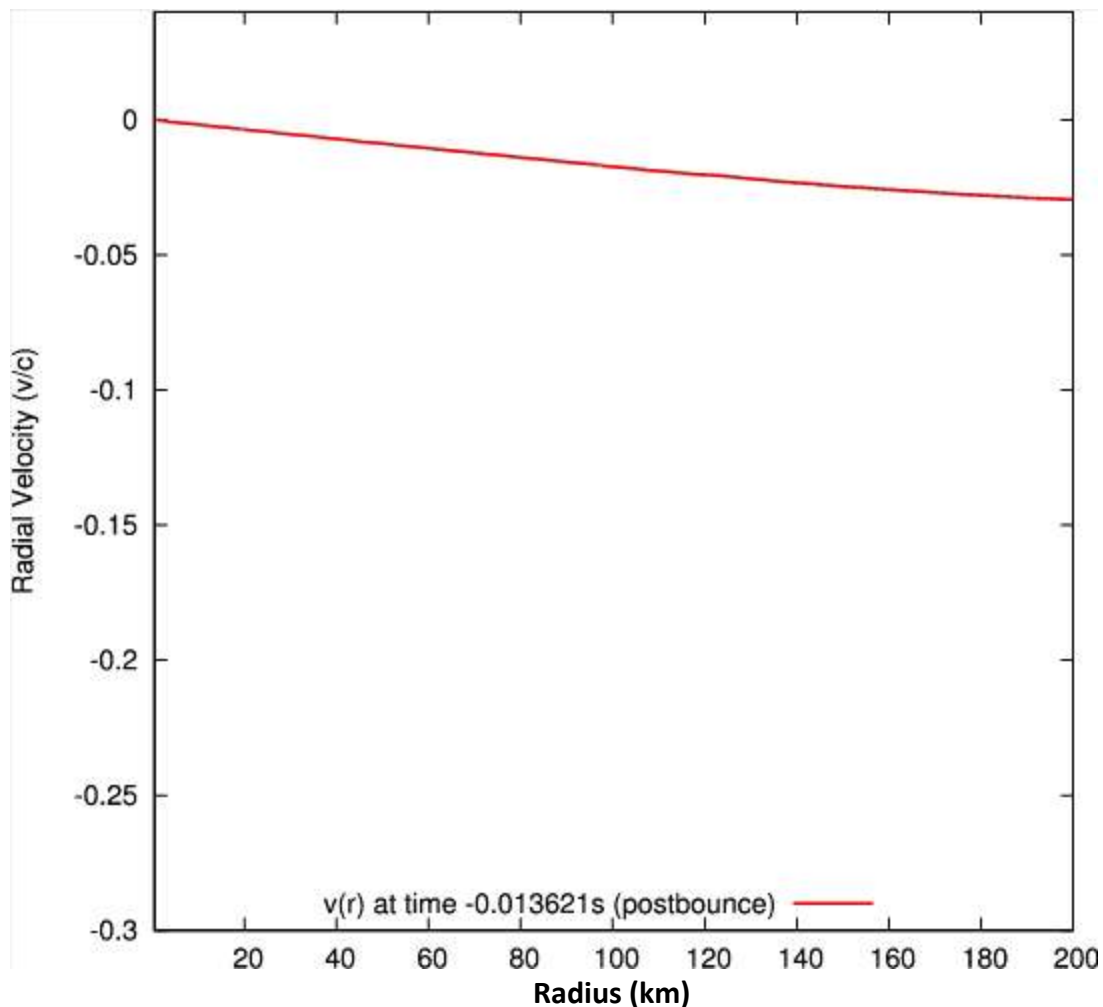




# Situation after Core Bounce



# The Core-Collapse Supernova Problem



Hans Bethe  
1906-2005

Animation  
by Evan O'Connor  
Caltech GR1D code  
(open source!)

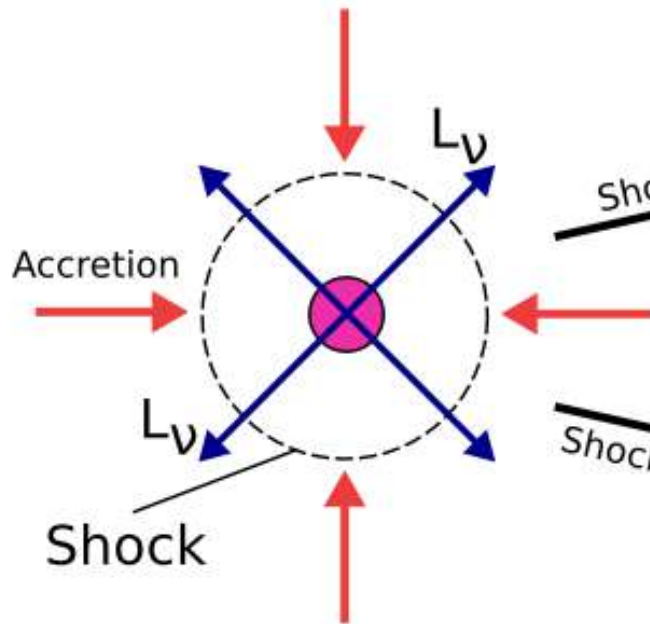
- **The shock always stalls:**

Dissociation of Fe-group nuclei @  $\sim 8.8$  MeV/baryon ( $\sim 17$  B/ $M_{\text{Sun}}$ ).

Neutrino losses initially @  $>100$  B/s (1 [B]ethe =  $10^{51}$  ergs).

# Postbounce Evolution

Protoneutron Star,  $R \sim 30$  km



Supernova Explosion



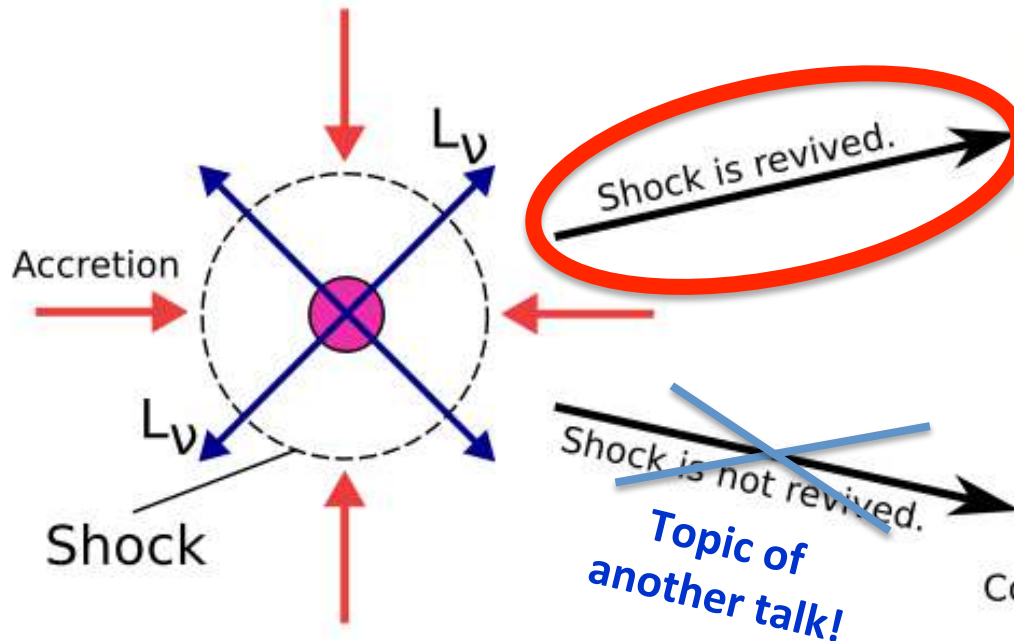
Shock is revived.

Shock is not revived.

●  
Collapse to Black Hole

# Postbounce Evolution

Protoneutron Star,  $R \sim 30$  km



Supernova Explosion



~~Shock is not revived.~~  
Collapse to Black Hole

**What is the mechanism that revives the shock?**

# Core-Collapse Supernova Energetics

- Collapse to a neutron star:  
 $\sim 3 \times 10^{53}$  erg = 300 [B]ethe  
gravitational energy ( $\approx 0.15 M_{\text{Sun}} c^2$ ) released.  
Initially stored in protoneutron star.  
-> **Any explosion mechanism must tap this reservoir.**
- $\sim 10^{51}$  erg = 1 B kinetic and internal energy of the ejecta.  
(Extreme cases: 10 B; “hypernova”)
- 99% of the energy is radiated in neutrinos over tens of seconds in protoneutron star cooling.  
-> **Strong evidence from SN 1987A neutrino observations.**



Hans Bethe  
1906-2005

# Detailed Models: **Ingredients**

**Fully coupled!**

Magneto-Hydrodynamics

→ Dynamics of the stellar fluid.

General Relativity

→ Gravity

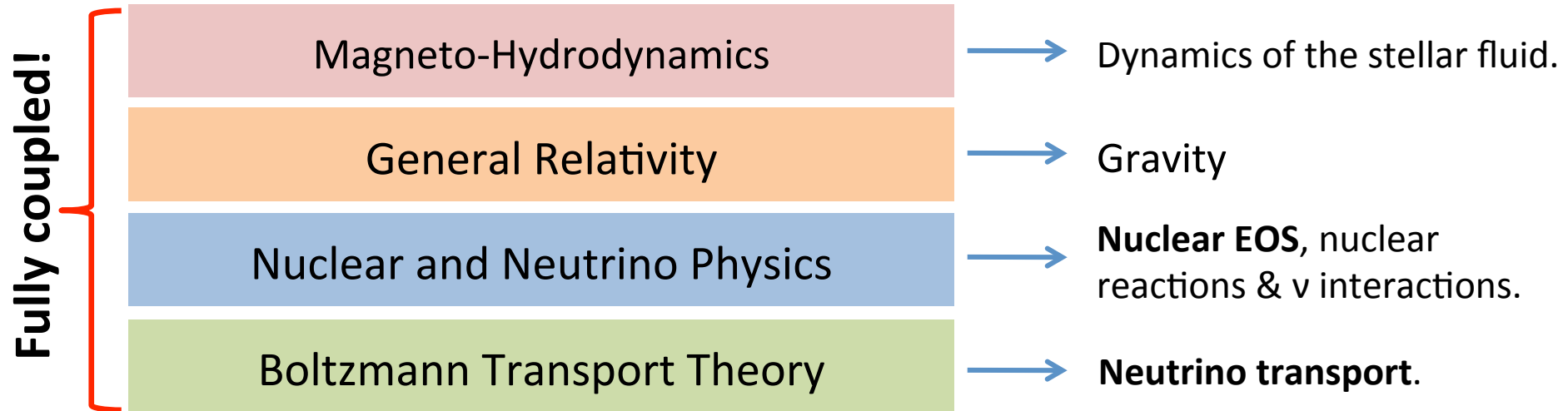
Nuclear and Neutrino Physics

→ **Nuclear EOS**, nuclear reactions &  $\nu$  interactions.

Boltzmann Transport Theory

→ **Neutrino transport.**

# Detailed Models: Ingredients



- Additional Complication: **Core-Collapse Supernovae are 3D**
  - Rotation, **fluid instabilities** (convection, turbulence, advective-acoustic, rotational), **MHD**, multi-D structure from convective burning.  
-> **Need multi-D (ideally 3D) treatment.**
- Route of Attack: **Computational Modeling**
  - Complexity dominated by neutrino transport:  
Full problem is 3 (space) + 3 (momentum space) + 1 (time) dimensional
  - **Approach: employ reduced dimensionality in space and momentum space.**

# Next Step: Computation

## 1D (spherical symmetry)

- First simulations: 1960-70 by Colgate & White, Wilson, Arnett
- Bethe & Wilson '85: “**Neutrino Mechanism**”



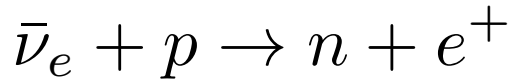
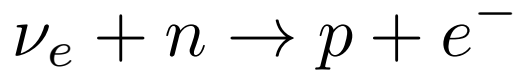
# Neutrino Mechanism

Bethe & Wilson '85; also: Janka '01, Janka+ 07, Pejcha & Thompson '12

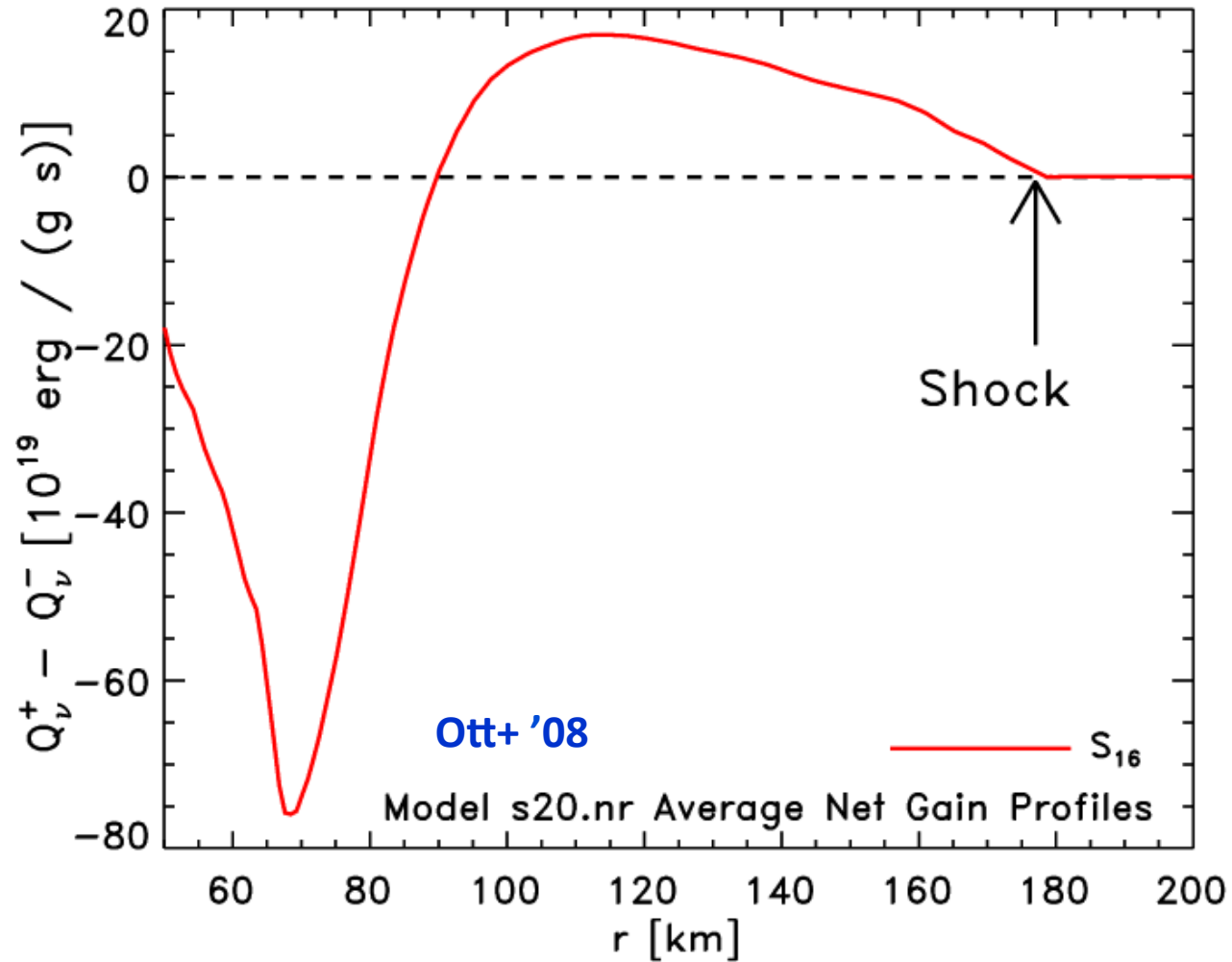
Cooling:

$$Q_{\nu}^{-} \propto T^6$$

Heating via  
charged-current  
absorption:

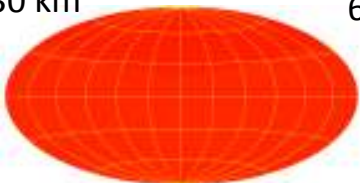


$$Q_{\nu}^{+} \propto \left\langle \frac{1}{F_{\nu}} \right\rangle L_{\nu} r^{-2} \langle \epsilon_{\nu}^2 \rangle$$

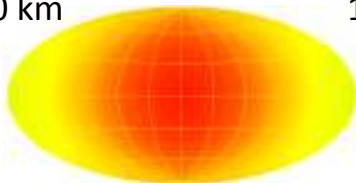


Neutrino radiation field:

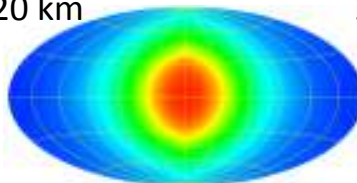
30 km



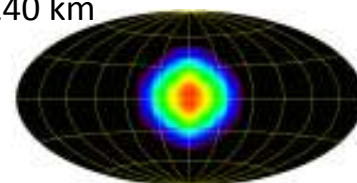
60 km



120 km

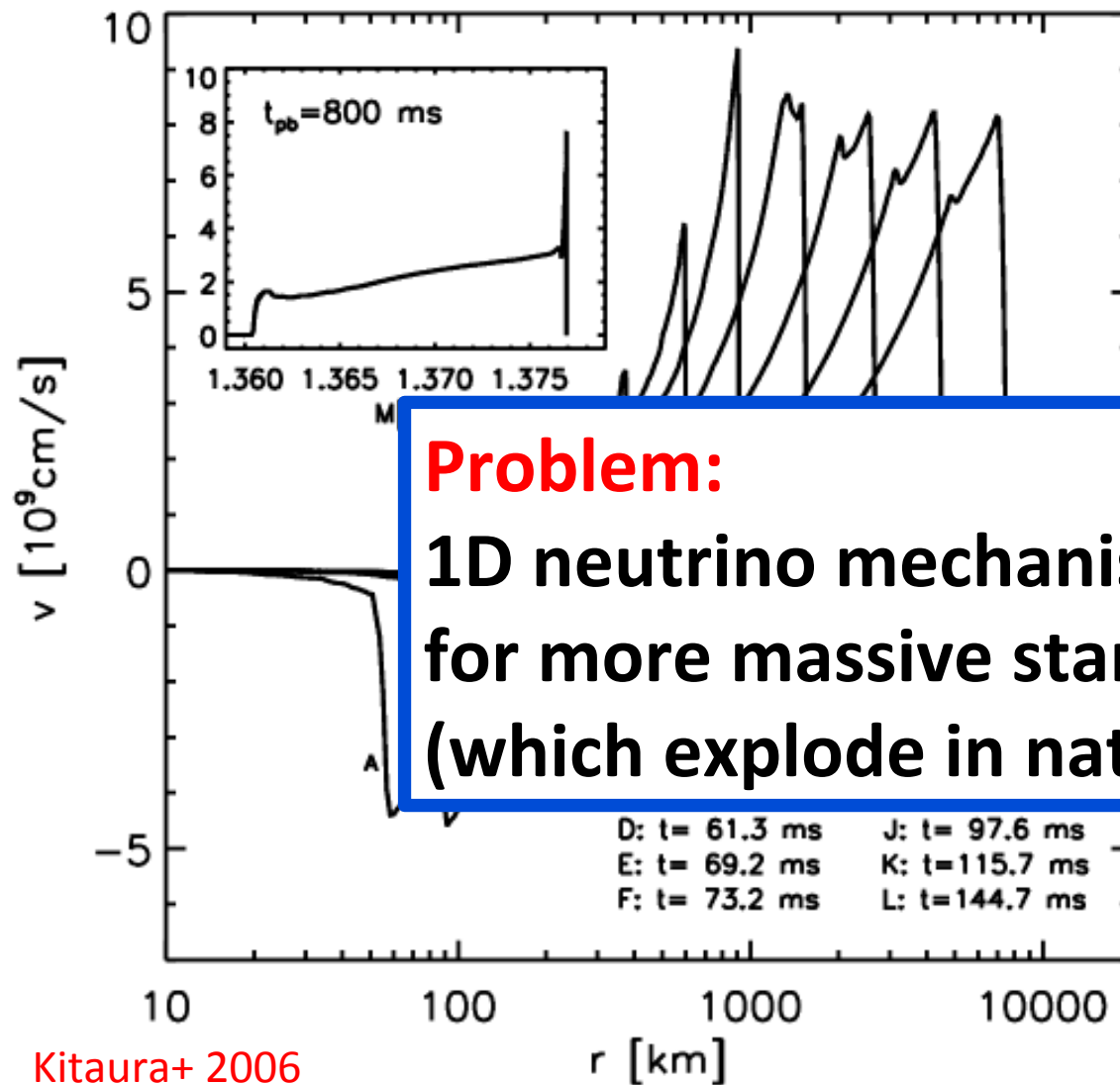


240 km



# 1D Neutrino-Driven Explosions

Kitaura+ '06, Hüdepohl+ '10, Fischer+ '10, '12



**8.8  $M_{\text{SUN}}$**   
**progenitor**  
**star**  
O-Ne-Mg  
core

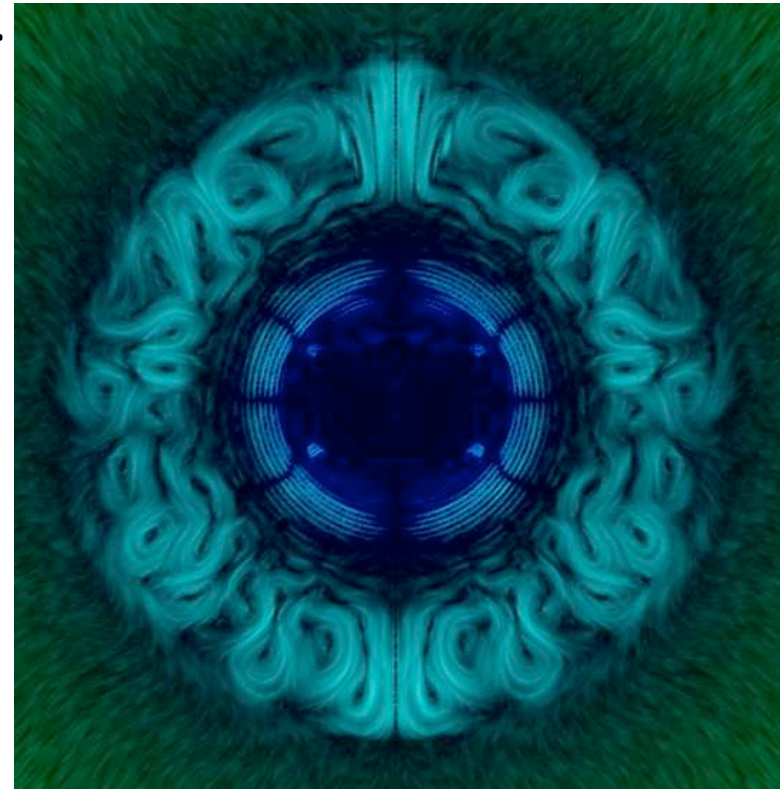
Kitaura+ 2006

# Overview of Core-Collapse Supernova Models

## 1D -> 2D (axisymmetry)

- First simulations in 1990s:  
Herant+ '94, Burrows+ '95, Janka & Müller '96
- Multi-D dynamics:
  - **Convection** in proto-NS and in the heating region.
  - Instability of the stalled shock ("**SASI**").
  - Rotation & magnetic fields.

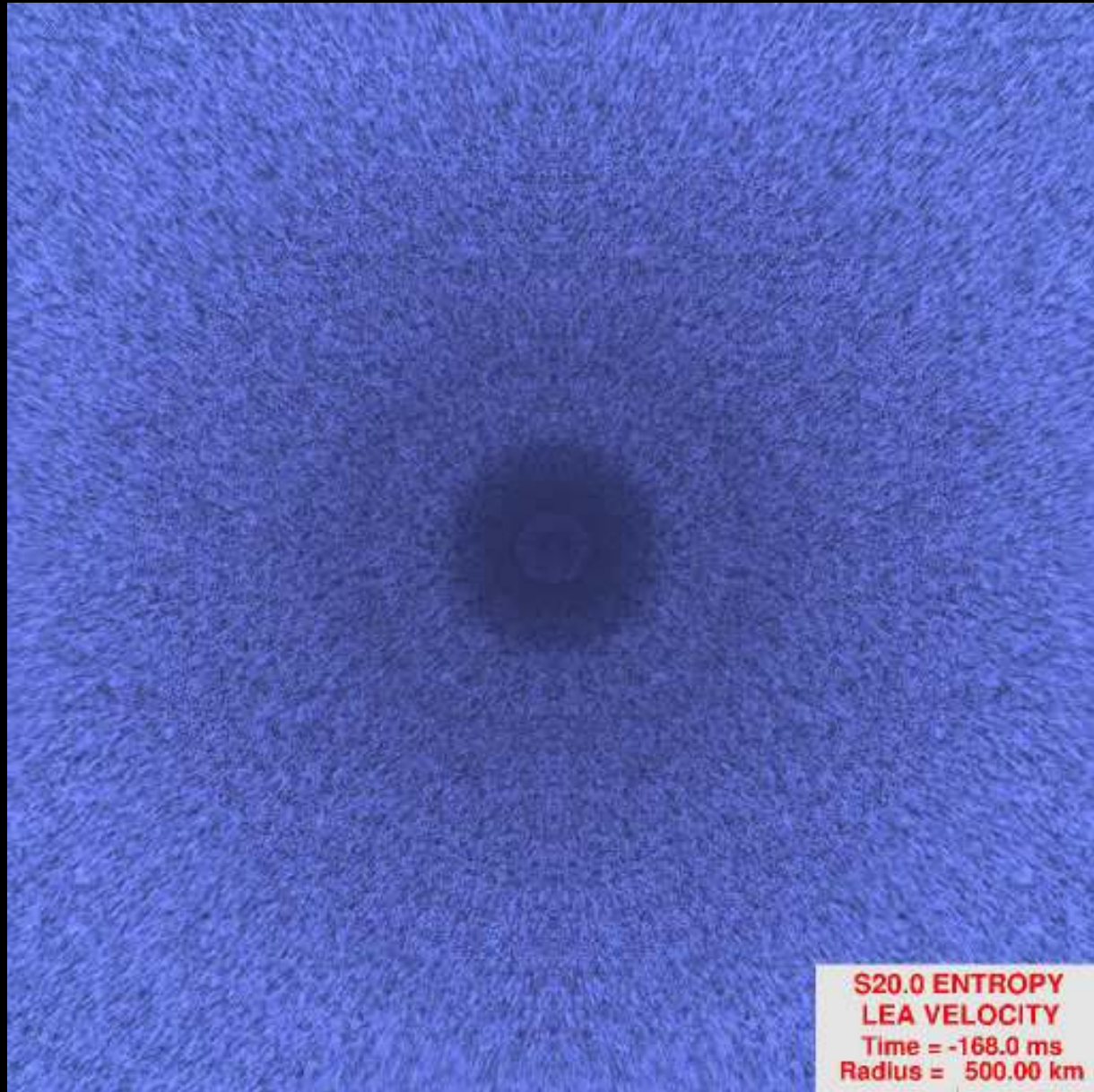
Proto-NS  
convection



Dessart+ '05

# Standing Accretion Shock Instability (SASI)

Blondin+'03  
Foglizzo+'06  
Scheck+ '08  
and many  
others



Movie by  
Burrows,  
Livne,  
Dessart,  
Ott, Murphy'06

# Results of 2D Simulations

Recent 2D work: Buras+06, Ott+08, Marek+09, Murphy+08, Suwa+10, Müller+12abc, Bruenn+12

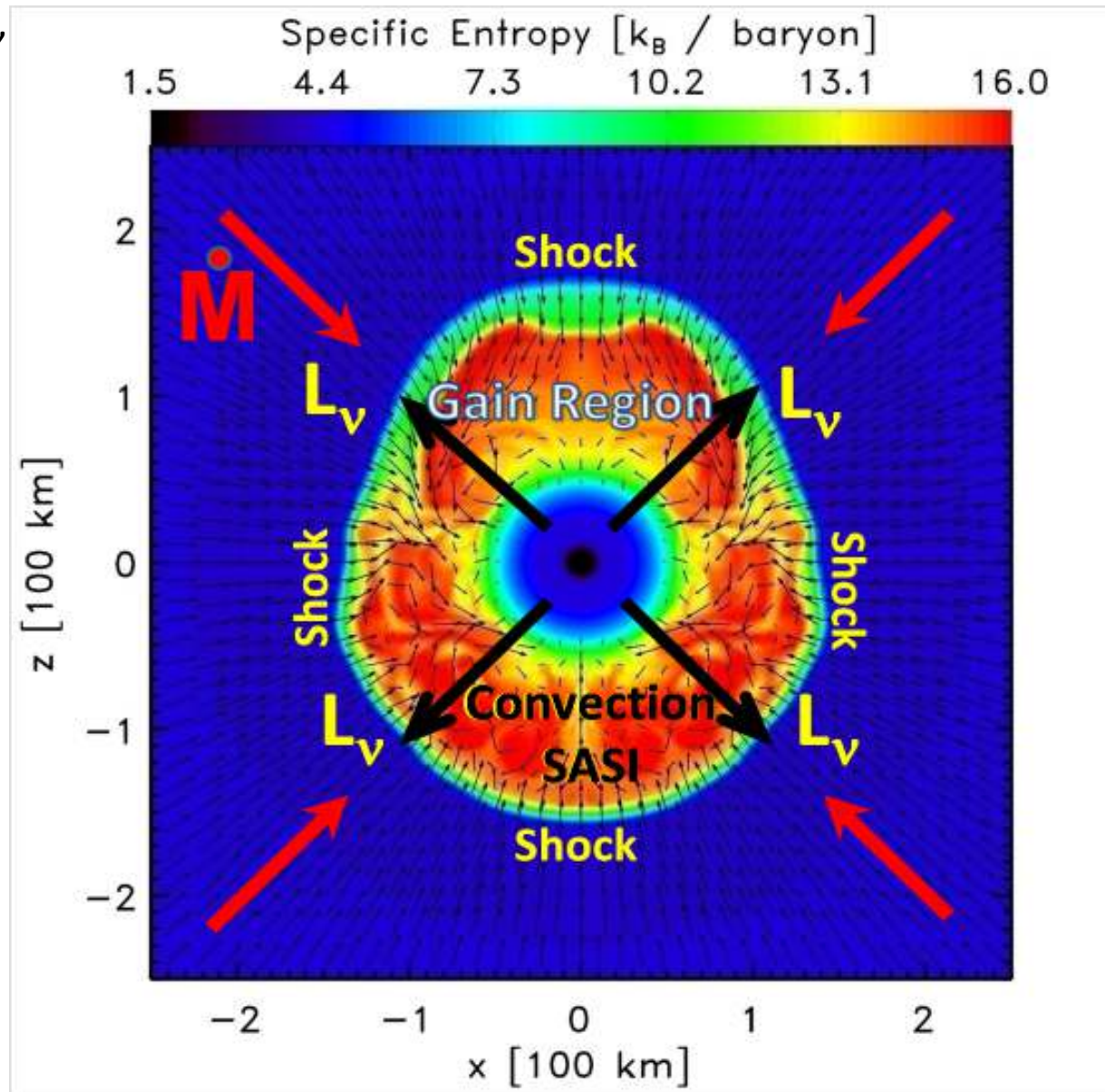
## Net effect of 2D:

“Dwell time” in heating region increases.

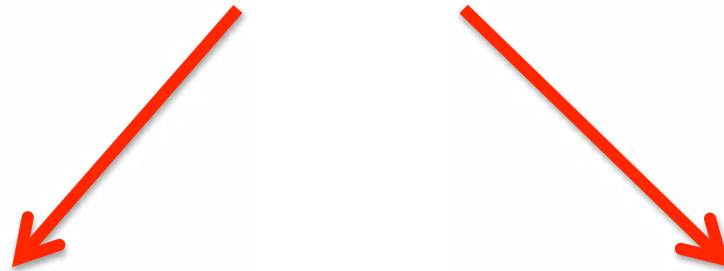
-> 2D models explode more easily.

-> **BUT explosions not robust:**

- marginal,
- require fine-tuning,
- not reproducible across codes.



# What are we missing?



**Dimensionality?**

2D -> 3D

**Physics?**

# Missing Physics: **Neutrino Oscillations!?**

# Neutrino Oscillations

[Dasgupta, O'Connor, & Ott'12]

- Multiple kinds of oscillations:

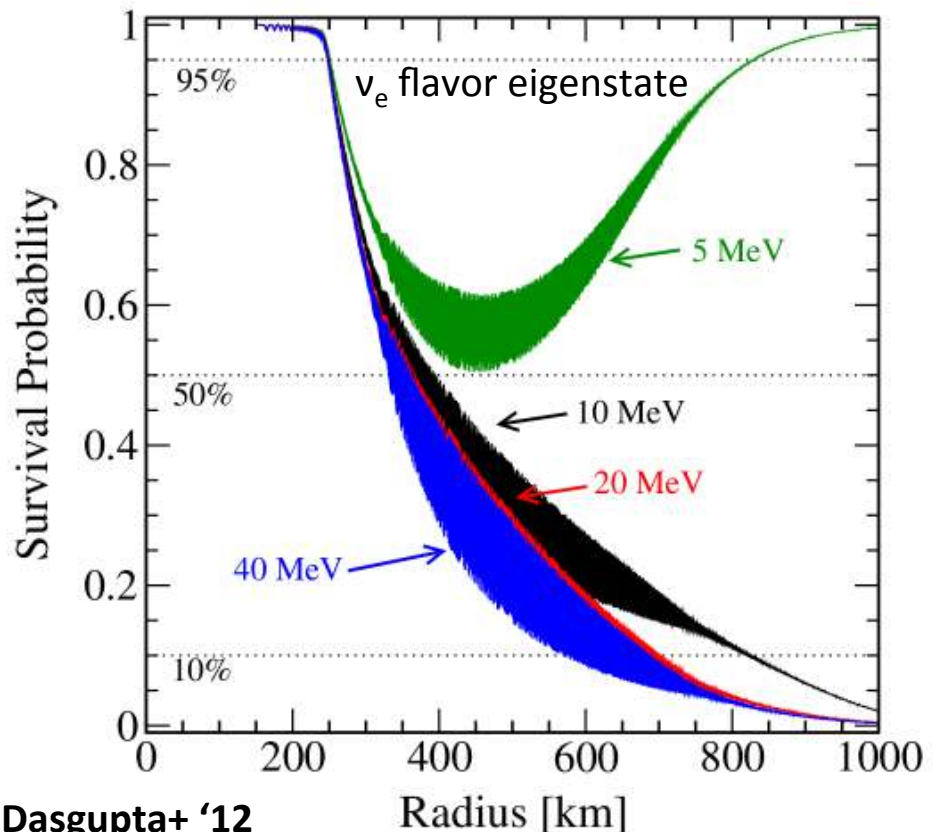
Vacuum oscillations

Mikheyev–Smirnov–Wolfenstein (MSW) effect:  $\nu$ - $e^-$  scattering

**New: Self-induced “collective” oscillations:  $\nu$ - $\nu$  scattering**

[Pantaleone '92, Hannestad/Raffelt et al. '06, Duan/Fuller et al. '06-'10, Dasgupta/Dighe '07-'11]

- Collective oscillations need high neutrino density  
-> *near the core of a core-collapse supernova.*

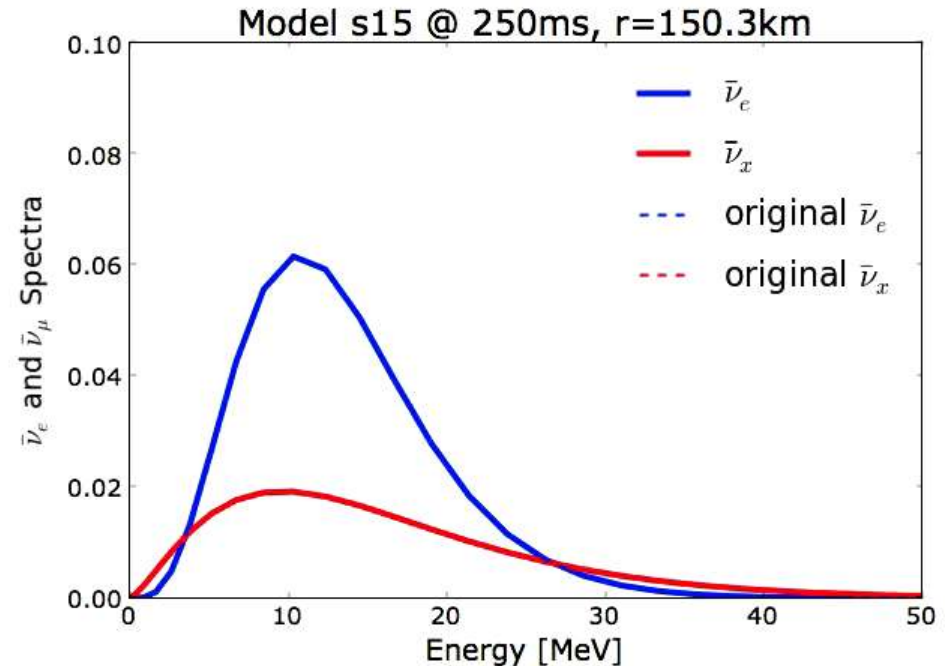
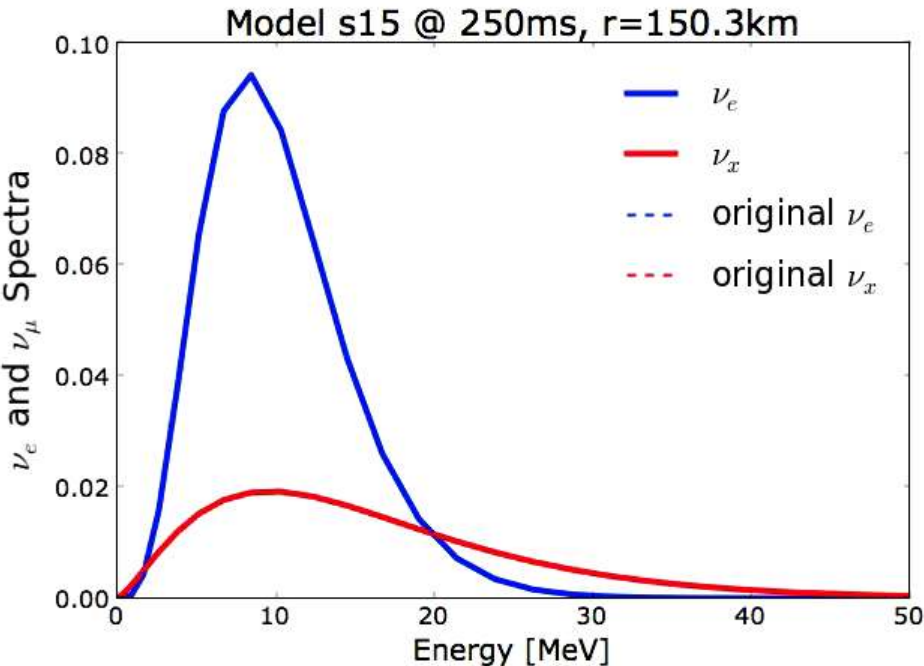




# Collective Oscillations

[Dasgupta, O'Connor, & Ott 2012]

- Example from Dasgupta, O'Connor & Ott '12 – single-angle, multi-energy, effective 2-flavor approach:  $\nu_e$ ,  $\nu_x$
- First oscillation calculation tagging on to 2D radiation-hydro simulations. Work in 1D by Hamburg & Munich groups.



**Spectral swaps!**

Movie by Evan O'Connor

# Impact of Collective Oscillations

[Dasgupta, O'Connor, & Ott '12]

- **What is the effect on the CCSN mechanism?**

Neutrino heating:

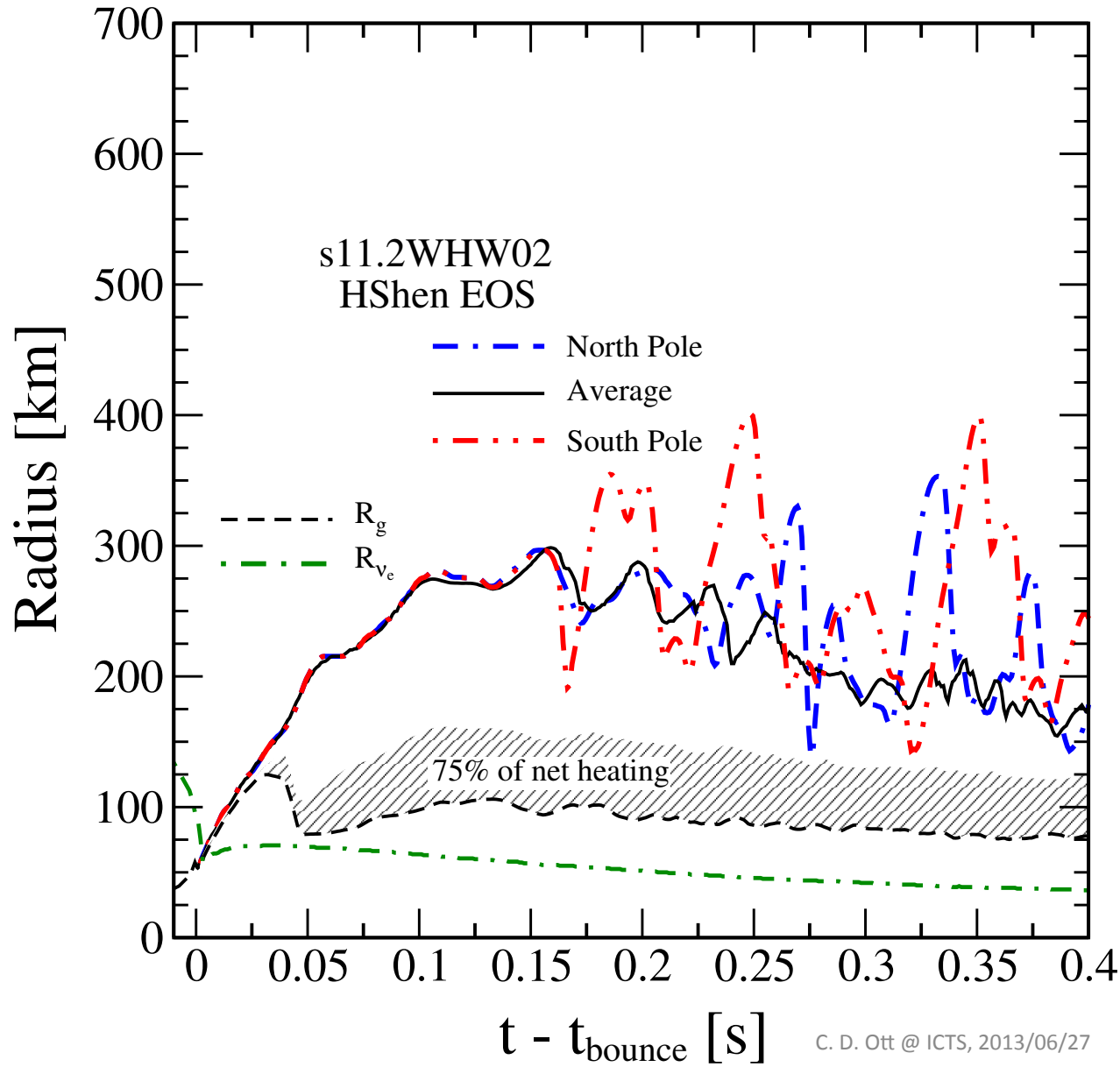
$$Q_{\nu}^{+} = \frac{X_n}{\lambda_0^a} \frac{L_{\nu_e}}{4\pi r^2} \langle E_{\nu_e}^2 \rangle \left\langle \frac{1}{F} \right\rangle + \frac{X_p}{\bar{\lambda}_0^a} \frac{L_{\bar{\nu}_e}}{4\pi r^2} \langle E_{\bar{\nu}_e}^2 \rangle \left\langle \frac{1}{\bar{F}} \right\rangle$$

Messer et al. '98  
Janka '01

- **Basic idea:** swap of  $\nu_e/\nu_x$  and anti- $\nu_e$ /anti- $\nu_x$  spectra  
-> **harder  $\nu_e$ /anti- $\nu_e$  spectra** -> **increased heating**.
- Key prerequisite:  
Oscillations must occur below shock radius,  
*ideally below gain radius.*

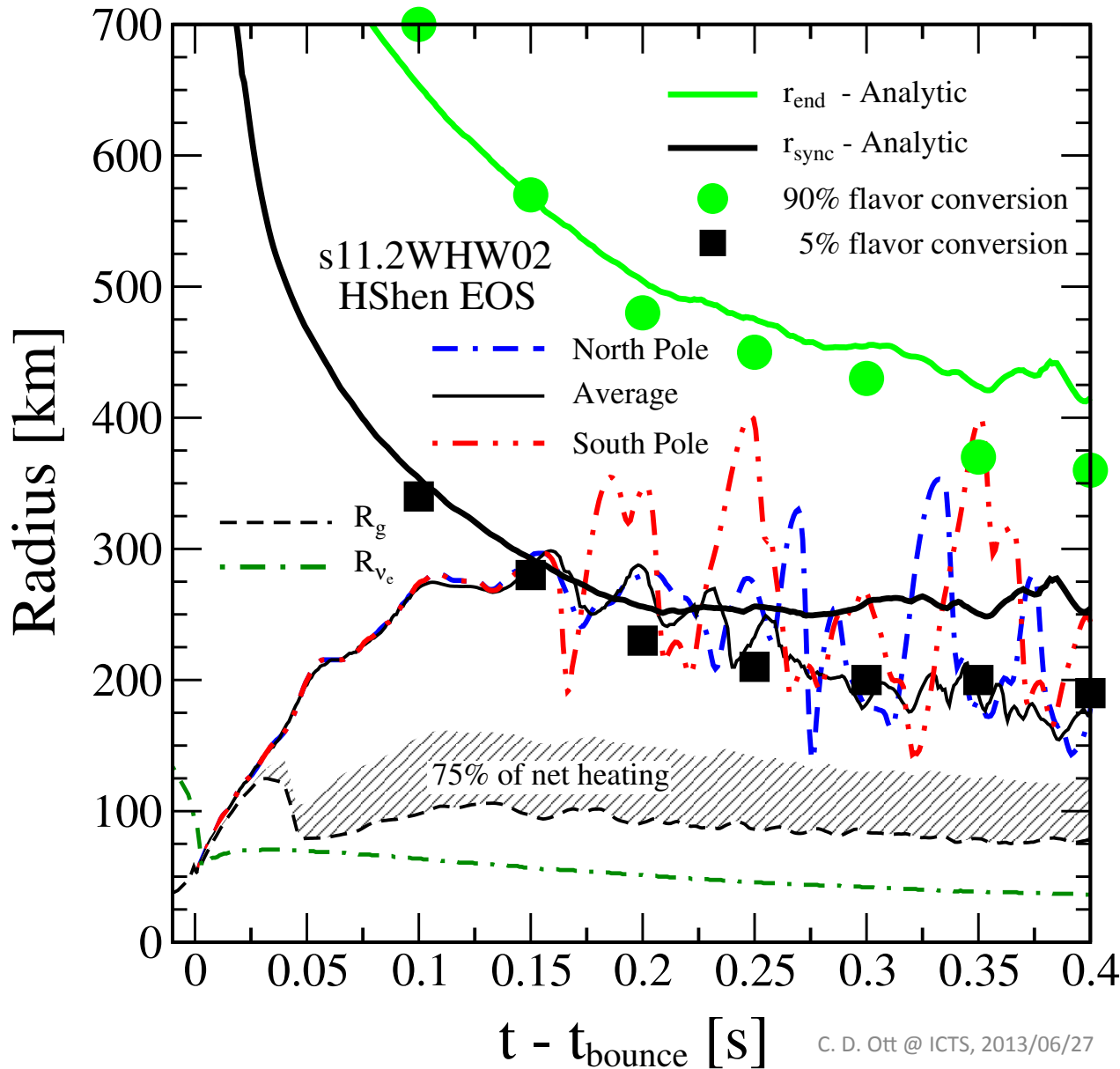
# Impact of Collective Oscillations (2)

[Dasgupta, O'Connor, & Ott '12]



# Impact of Collective Oscillations (3)

[Dasgupta, O'Connor, & Ott '12]



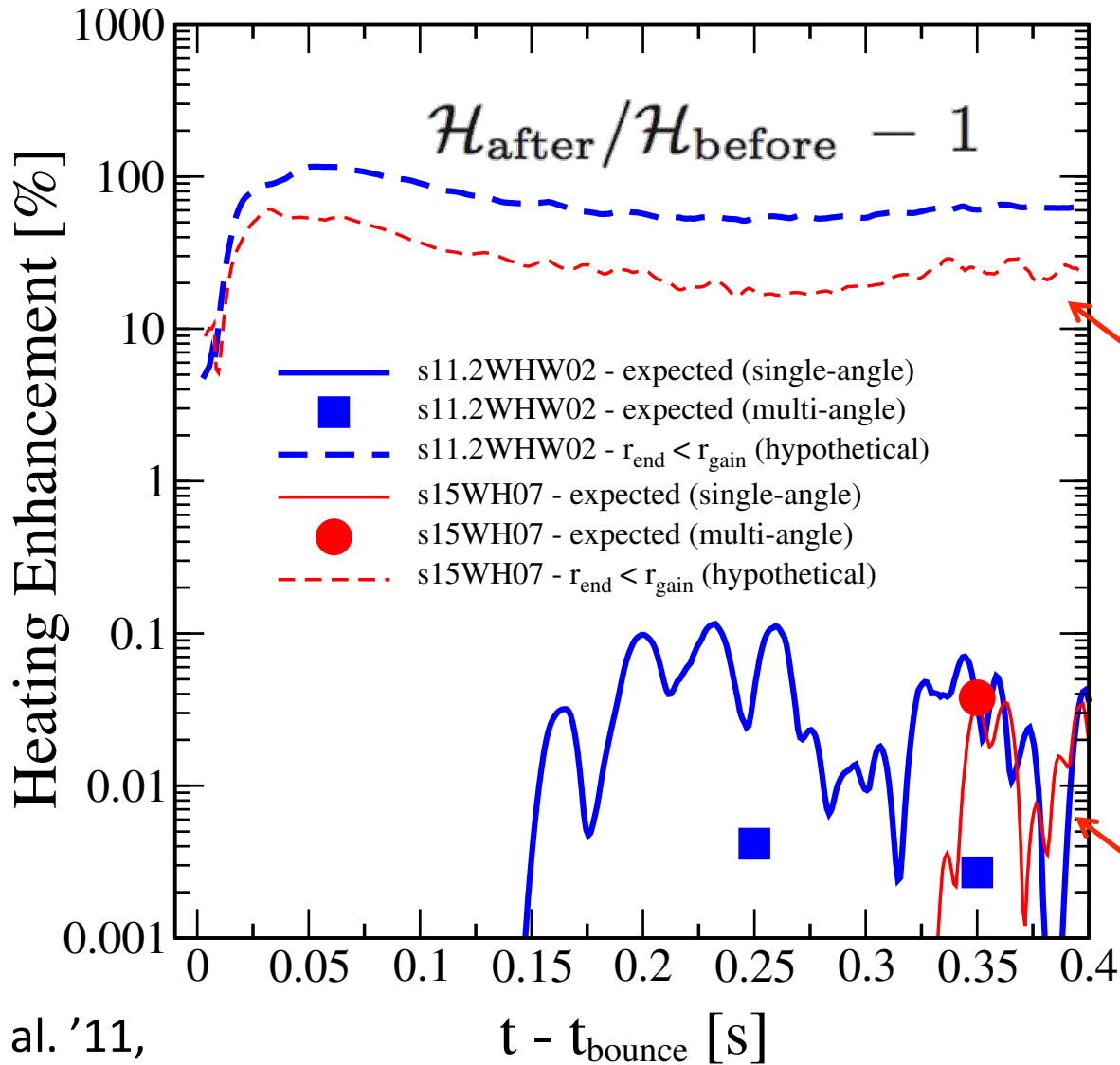
Analytic & numerical oscillation calculations based on 2D radiation fields

See also:  
Chakraborty et al. '11ab  
Suwa et al. '11  
Pejcha et al. '11

# Heating Enhancement?

[Dasgupta, O'Connor, & Ott '12]

Progenitors:  
 11.2  $M_{\text{Sun}}$   
 15  $M_{\text{Sun}}$   
 Woosley et al. '02



optimistic  
 guess by  
 Suwa et al.  
 (wrong!)

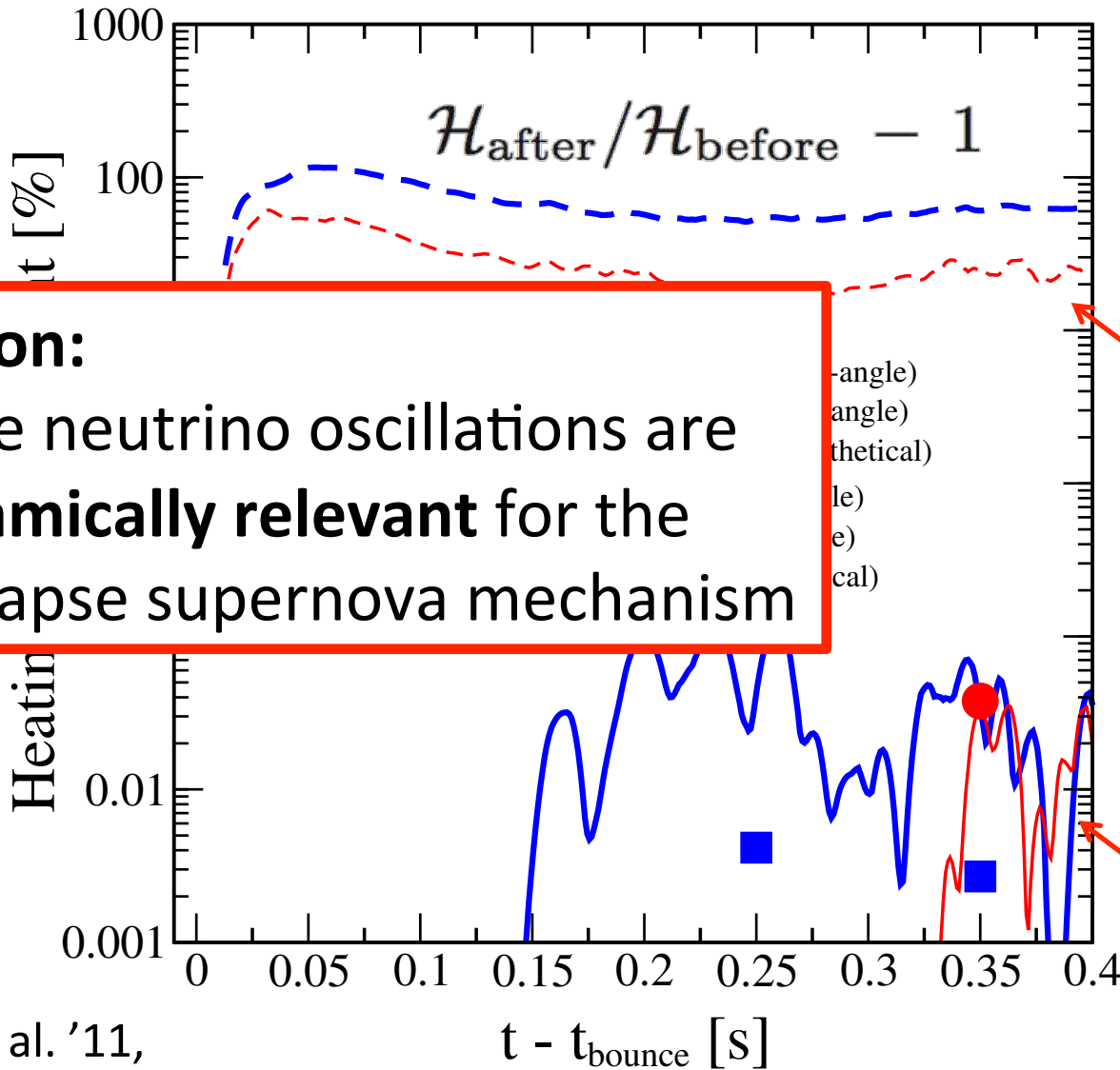
results from  
 actual  
 calculations

See also Suwa et al. '11,  
 Chakraborty et al. '11ab

# Heating Enhancement?

[Dasgupta, O'Connor, & Ott '12]

Progenitors:  
 11.2  $M_{\text{Sun}}$   
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 Woosley et al. '02



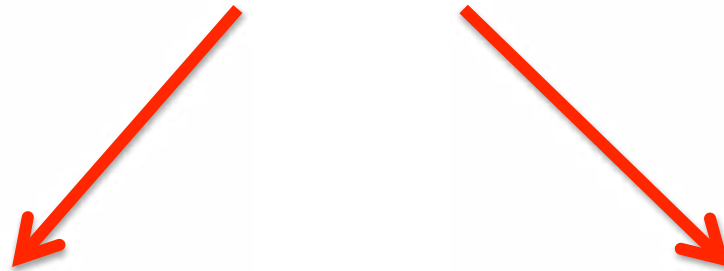
**Conclusion:**  
 Collective neutrino oscillations are **not dynamically relevant** for the core-collapse supernova mechanism

optimistic guess by Suwa et al. (wrong!)

results from actual calculations

See also Suwa et al. '11,  
 Chakraborty et al. '11ab

# What are we missing?



**Dimensionality?**

2D -> 3D

**Physics?**

# The Frontier: 3D Core-Collapse Supernovae

- 1D -> 2D: neutrino heating more efficient, some models explode.
  - 2D -> 3D: (1) Character of turbulence changes; energy cascades to small scales (large scales in 2D).  
(2) Additional degree of freedom: nonaxisymmetric flow.
  - **Is the neutrino mechanism robust in 3D?**
  - **Computational challenge:**
    - **Multi-scale:** Resolve 10 m (turbulence) - 10000 km (outer core)
    - **Multi-physics:** GR, MHD, neutrinos, nuclear EOS, nuclear reactions
    - 3D estimates: Memory footprint: ~10-100 Terabytes  
Total # of floating point operations: ~ $10^5$  Petaflops
- > **Approximations must be made!**



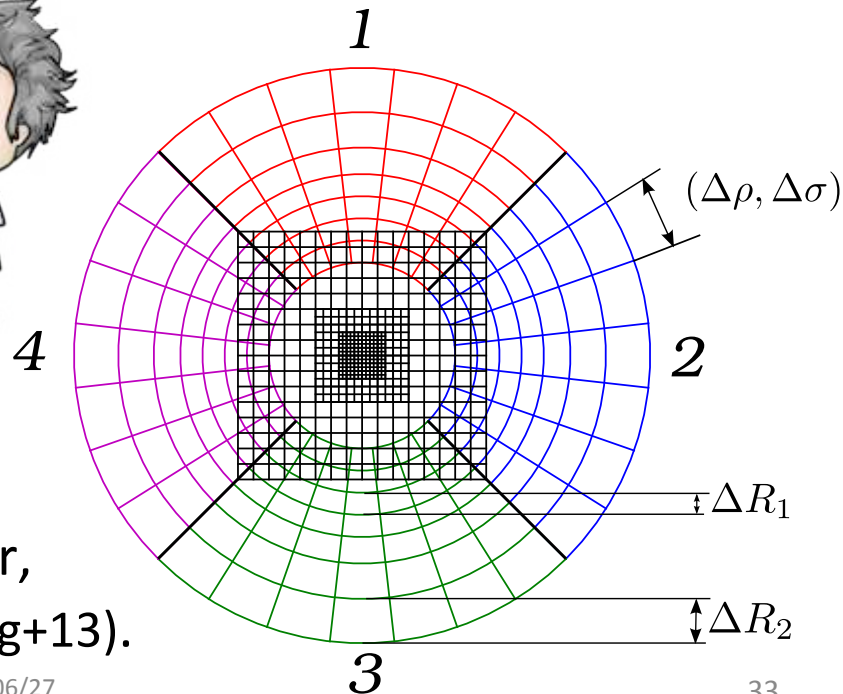


# 3D Core-Collapse Supernova Simulations

- Some “early” work: Fryer & Warren ‘02, ‘04 (SPH)
- **Lots of new work**: Fernandez ‘10, Nordhaus+10, Takiwaki+11, Burrows+12, Dolence+13 (Princeton), Hanke+12,13 (Garching), Kuroda+12 (Tokyo), Ott+13 (Caltech), Couch ‘13
- Approximations made:
  - (1) **Gravity**: full GR (Ott+, Kuroda+) vs. 1D Newtonian.
  - (2) **Neutrinos**: “light-bulb” (Princeton, Garching), “leakage” (Caltech), grey transport (Kuroda+), multi-group (Takiwaki+)
  - (3) **Resolution**

- Some details of our work:

- Open-source & based on Einstein Toolkit ([einsteintoolkit.org](http://einsteintoolkit.org))
- **Full 3D GR** using BSSN system.
- Neutrino leakage scheme,  $\nu_e, \bar{\nu}_e, \nu_x$  with heating & deleptonization.
- Multi-patch scheme with spherical outer, central Cartesian grid with AMR (Reisswig+13).



# Results of 3D Simulations

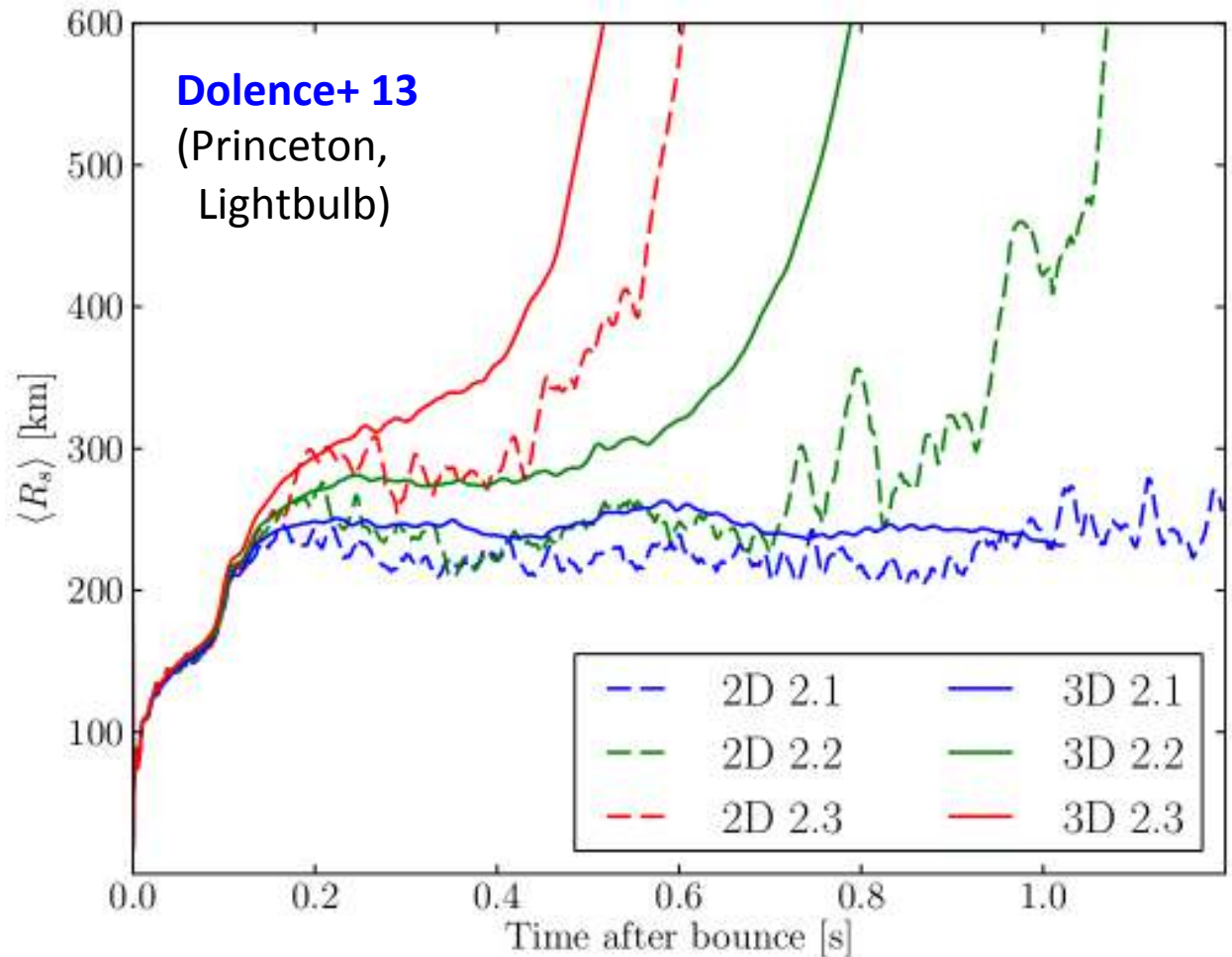
(Warning: This is as of June 27, 2013 and is evolving quickly)

## Does 3D help the explosion?

**Yes:**

Explosions start earlier in 3D

Nordhaus+10,  
Burrows+12,  
Dolence+13,  
Takiwaki+12



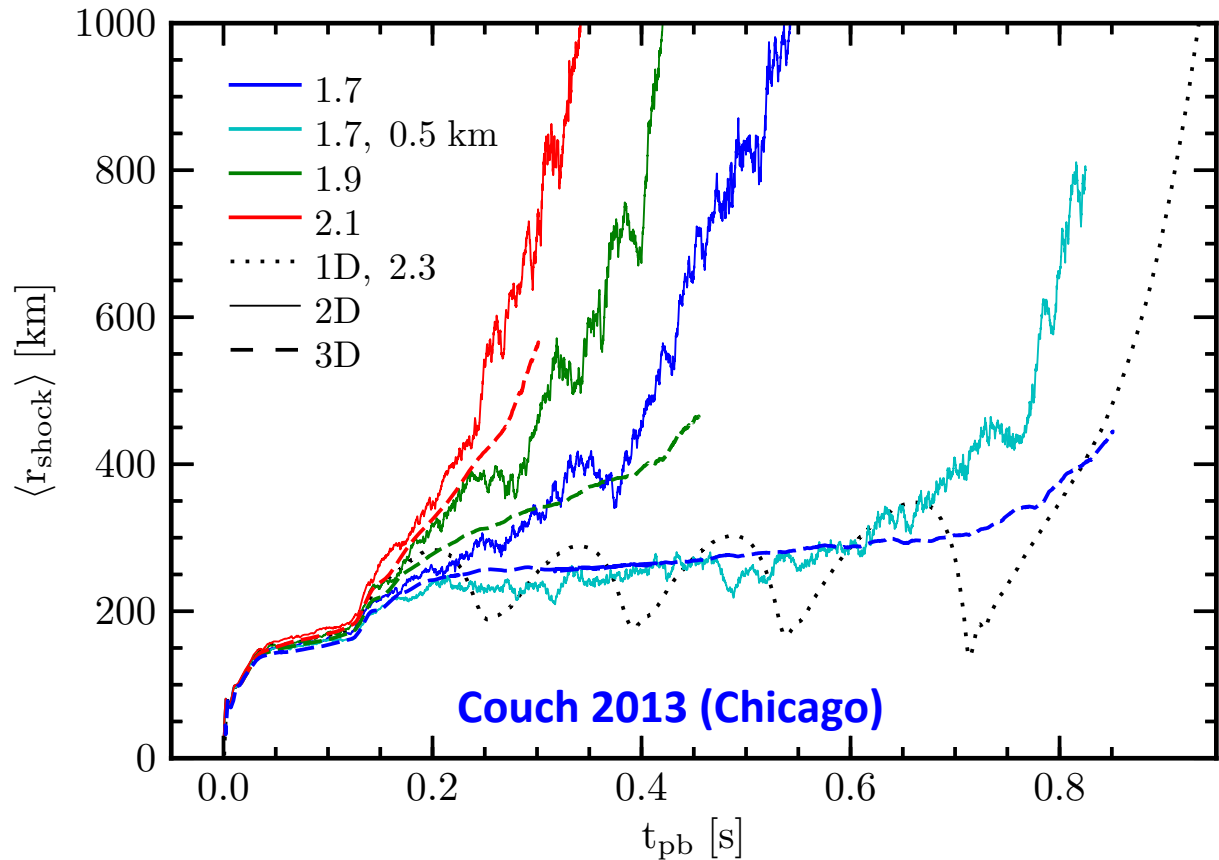
# Results of 3D Simulations

(Warning: This is as of June 27, 2013 and is evolving quickly)

## Does 3D help the explosion?

**No:**

Hanke+12,13 (Garching)  
Couch 13 (Chicago)  
3D simulations explode  
later than 2D ones.



Explanation(s)?

-> Hanke+12: Higher resolution makes it harder to explode in 3D.  
Consequence of turbulent cascade? (answer not clear)

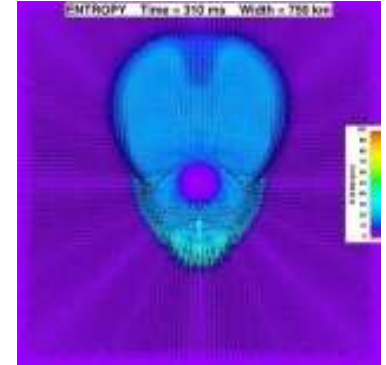
# Results of 3D Simulations

(Warning: This is as of June 27, 2013 and is evolving quickly)

Burrows+06

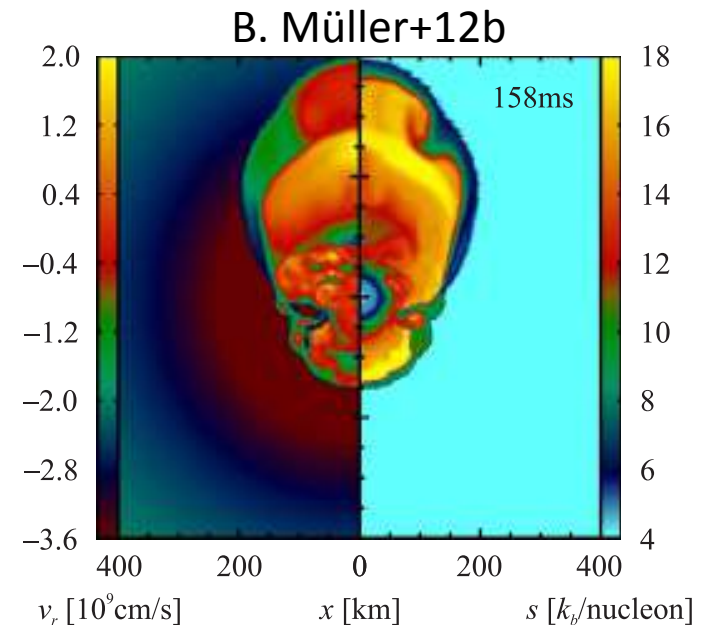
## What does the SASI do in 3D?

- Absent / suppressed by convection or 3D effects?  
(Burrows+12, Murphy+12, Dolence+12)
- Present, but with different mode structure than in 2D,  
perhaps spiral mode?  
(Blondin&Mezzacappa 07, Iwakami+09, Fernandez 10, Hanke+13, Kuroda+13)



## Motivation for Ott+ 13:

2D simulation by B. Müller+12 of 27- $M_{\text{Sun}}$  progenitor star showing very strong SASI.



Ott+13,  
ApJ

3D GR  
with  
neutrino  
leakage  
scheme

27- $M_{\text{Sun}}$   
progenitor,  
shows  
strong SASI  
in 2D  
(Müller+12)

rendered by  
S. Drasco

Time since bounce: -6.18 ms

Ott+13,  
ApJ

-6.18 ms

3D GR  
with  
neutrino  
leakage  
scheme

27- $M_{\text{Sun}}$   
progenitor,  
shows  
strong SASI  
in 2D  
(Müller+12)

rendered by  
S. Drasco

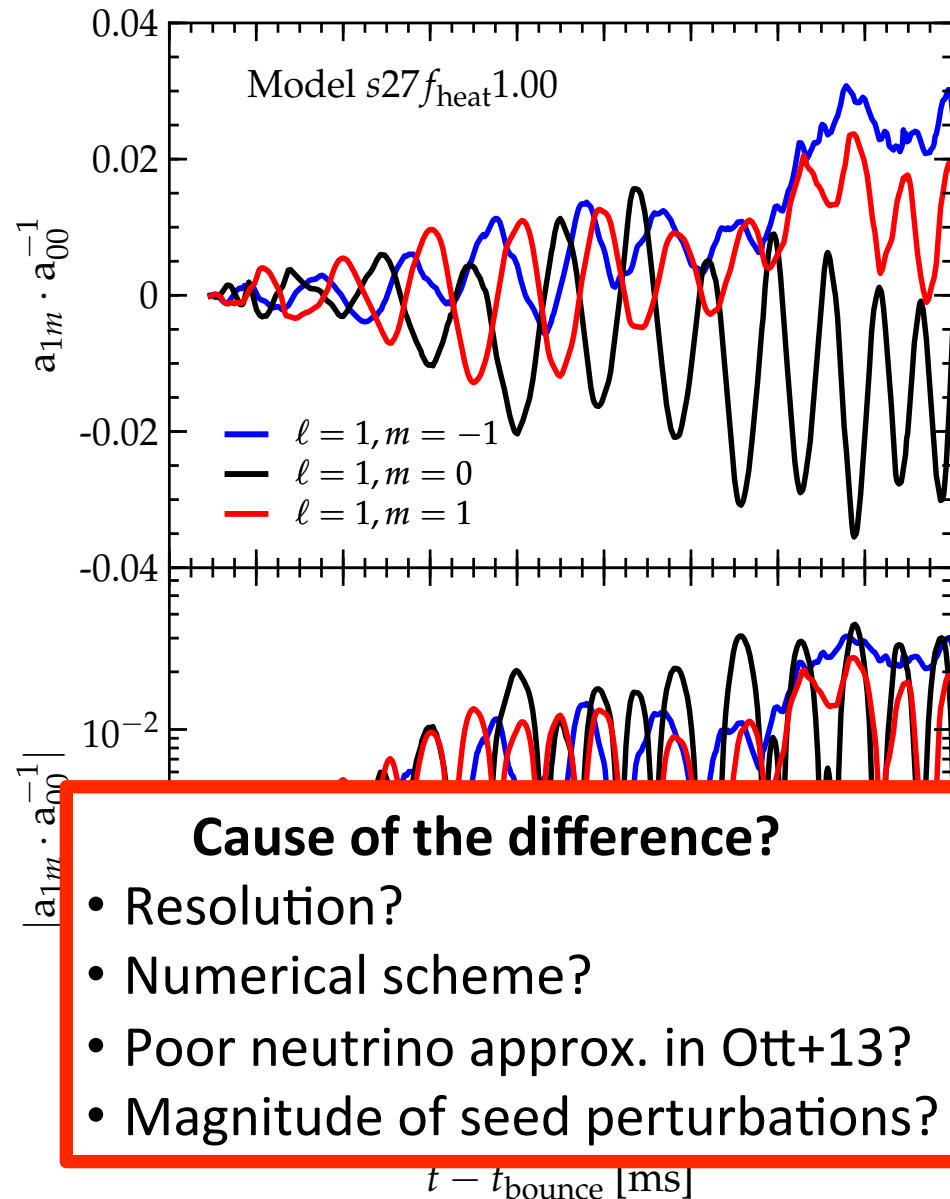
# SASI in 3D?

## Ott+13:

- SASI present in 3D, but weaker than in 2D.
- 10 x smaller saturation amplitudes.
- Appears suppressed by convection in exploding models.

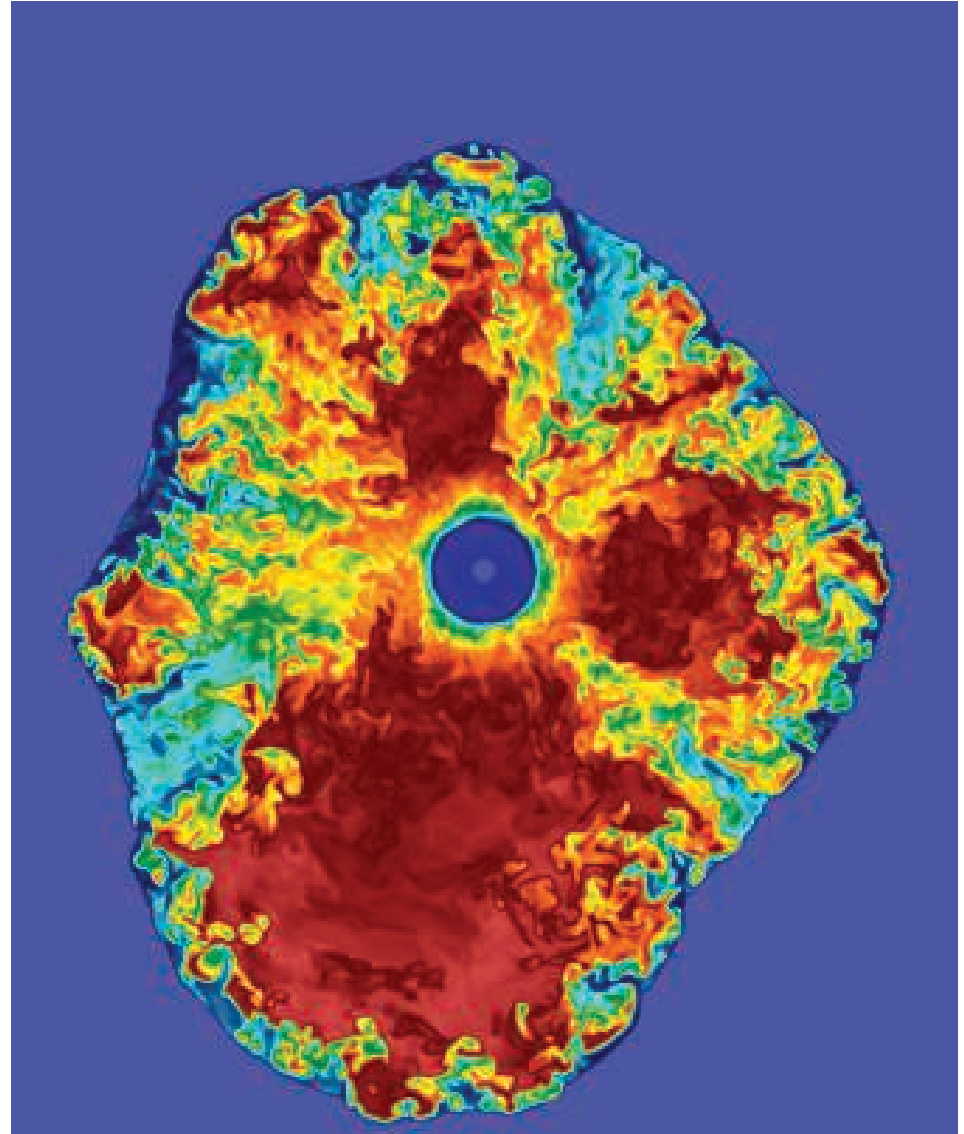
## BUT: Hanke+13:

- Growth of spiral mode.
- ~3 x larger amplitudes than Ott+13.
- Comparable amplitudes to their 2D run (which disagrees with the B. Müller+12 simulation by the same group).



# Summary of 3D Simulations

- Explosions developing with **non-oscillatory, low-mode asymmetry** and fine structure in shock front.
- Downside of current 3D models: Either **underresolved** or **parameterized**.
- Not yet clear if 3D key to robust neutrino-driven explosions. Increasing resolution in 3D appears to delay explosion (Hanke+'12).



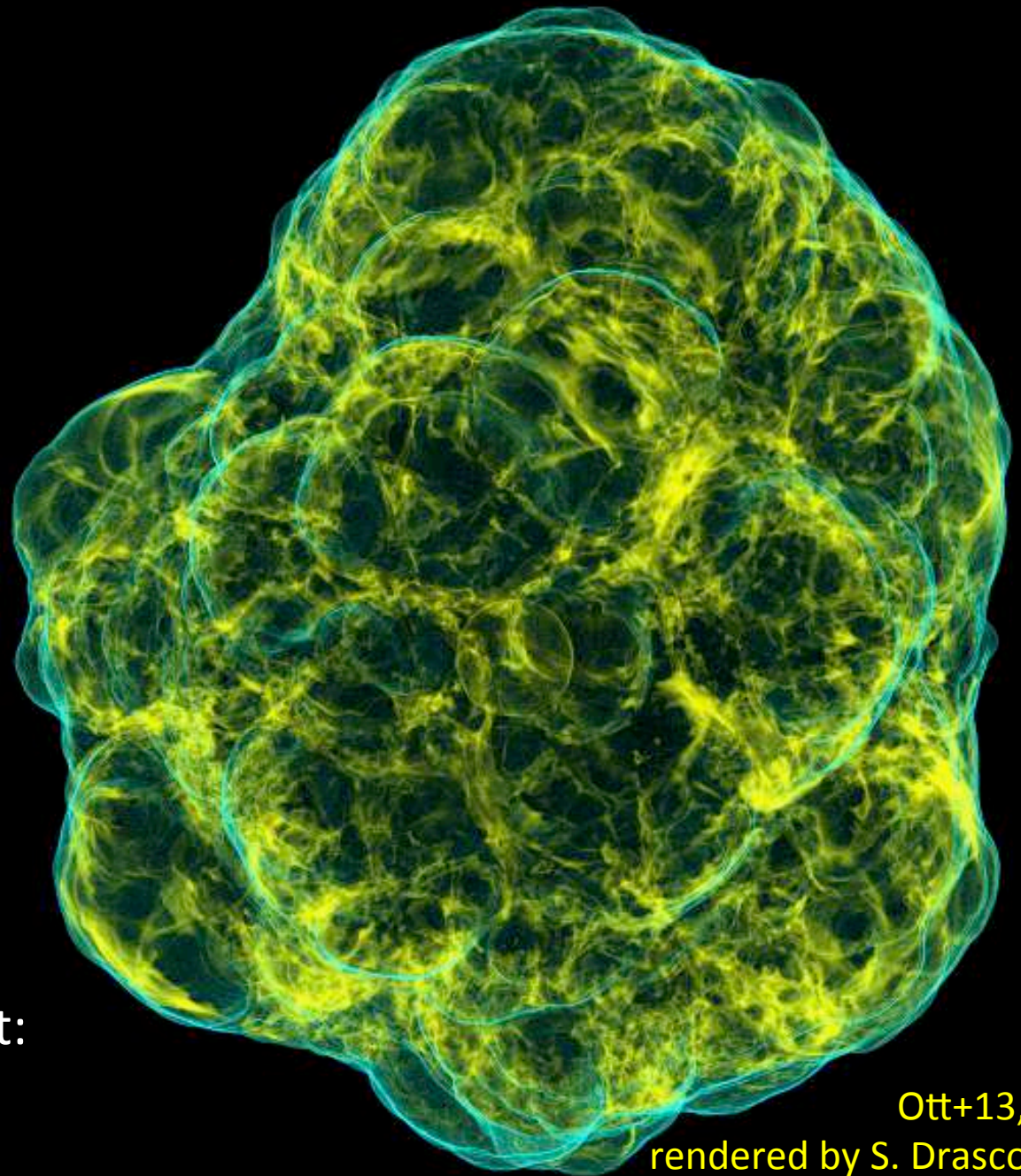


Asymmetric explosions  
generic outcome in 3D  
(and even in 2D).

-> May explain pulsar  
kicks hydrodynamically.  
(Scheck+06, Nordhaus+10,12,  
Wongwathanarat+10,12)

But what about strong  
neutron star B-fields,  
extreme SNe and  
long GRBs?

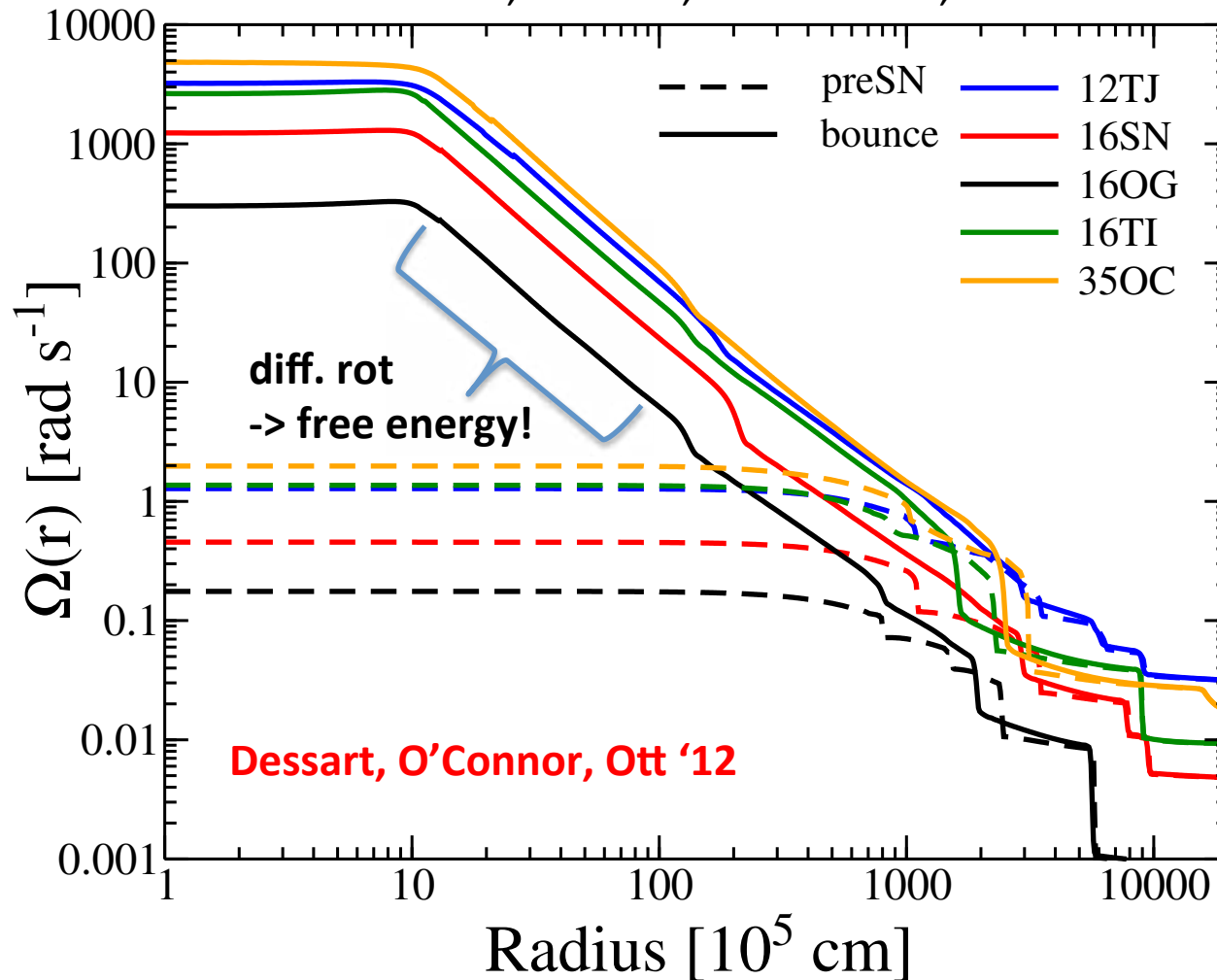
-> possible key ingredient:  
**rapid rotation**



Ott+13,  
rendered by S. Drasco

# Rotating Core Collapse

see, e.g., Fryer & Heger '02, Ott+ '06, Thompson+ '05, Burrows+ '07, Cerda-Duran+ '08, Ott+ '08, Dessart+ '08, '12

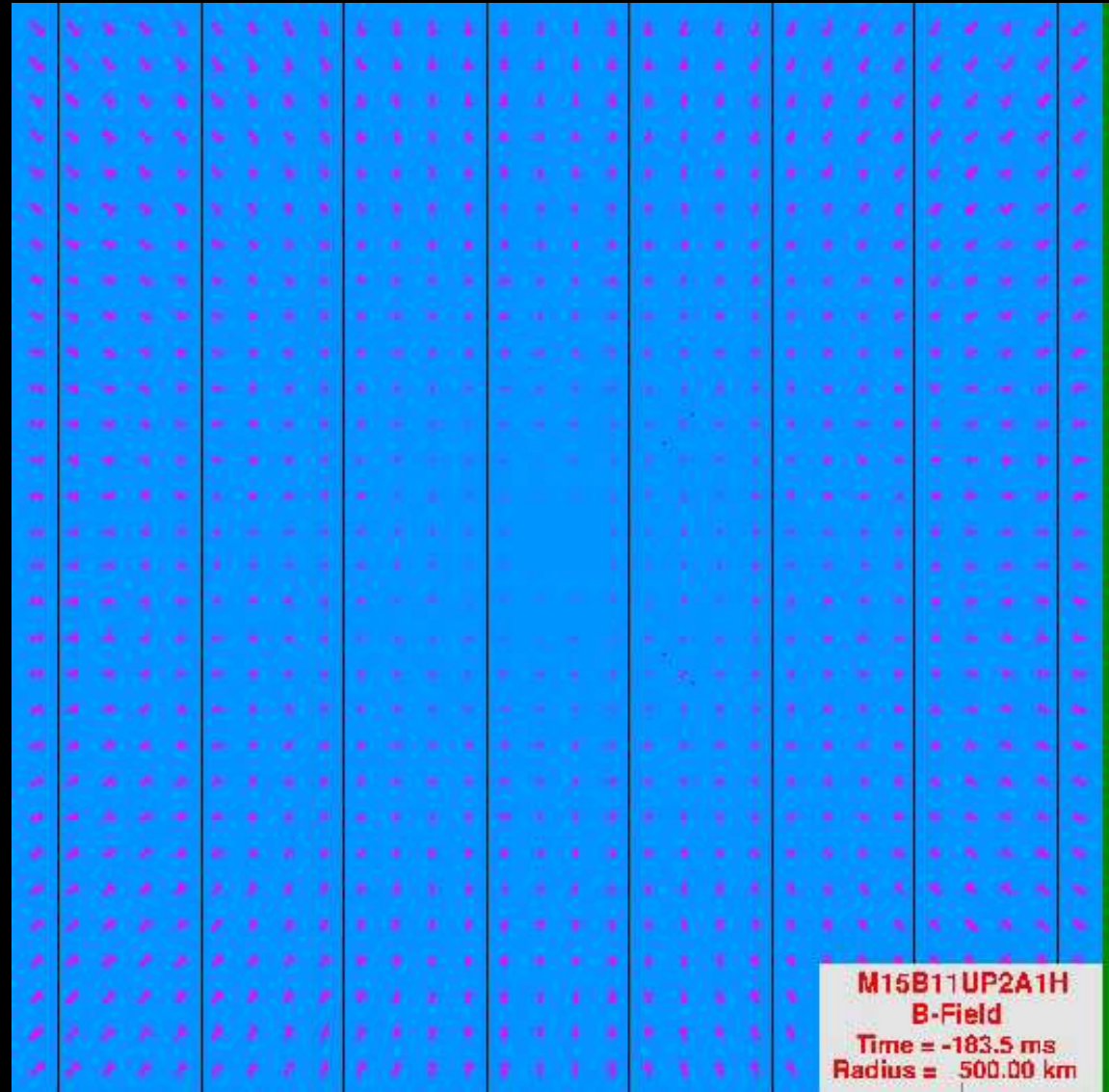


- Core: x 1000 spin-up
- Differential rotation -> reservoir of free energy

# Magnetorotational Explosions

e.g., Bisnovatyi-Kogan '70, Burrows+ '07, Dessart+ '08, Takiwaki & Kotake '11, Winteler+ '12

- **Rapid rotation:**  
 $P_0 < 4-6 \text{ s} \rightarrow$  millisecond PNS
- PNS rotational energy:  
 $\sim 10 B = 10^{52} \text{ erg}$
- *Free energy of differential rotation.*
- Amplification of B fields up to equipartition:  
compression, winding,  
magnetorotational instability (MRI)
- **BUT:** MRI not resolved.
- Jet-driven outflows.
- Hypernova-scale explosion energies.
- Magnetar left behind.



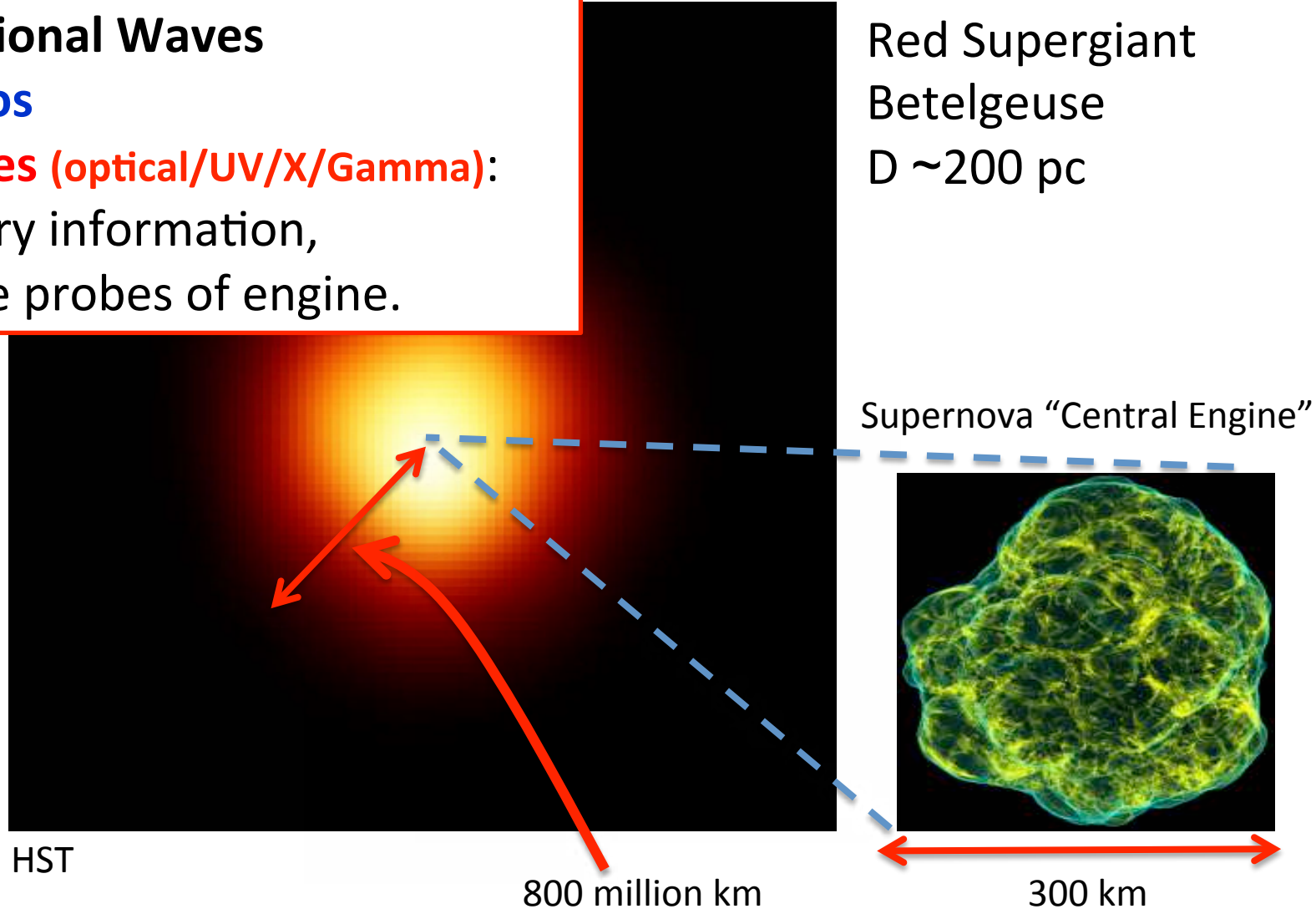
VULCAN 2D R-MHD code, Livne+ '07, Burrows+ '07.

# Observing the CCSN Engine

Probing the “Supernova Engine”

- **Gravitational Waves**
- **Neutrinos**
- **EM waves (optical/UV/X/Gamma):**  
secondary information,  
late-time probes of engine.

Red Supergiant  
Betelgeuse  
D ~200 pc



# Neutrino Refresher

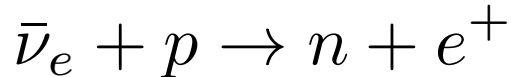
- **Emission:** Charged current & neutral current weak interactions.

$$\nu_e, \nu_\mu, \nu_\tau$$

+ mixing

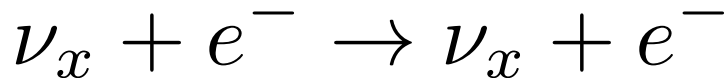
$$\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau$$

- **Detection:** (see Scholberg '12)

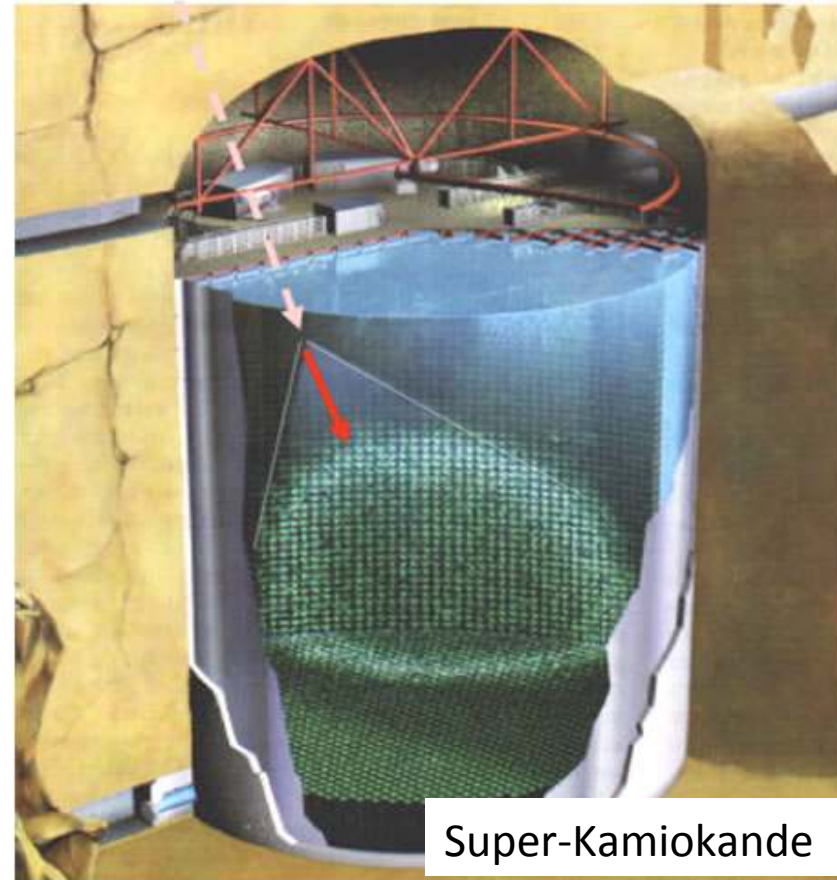


-> primary reaction in Water Cherenkov detectors Super-K & IceCube.

Other relevant interactions:



**Water Cherenkov, liquid scintillator, liquid argon, lead detectors.**



Most detectors will provide flux and spectral information.

# Core-Collapse Supernova Neutrinos

- Carry 99% of the energy:  $\sim 300 B$  ( $3 \times 10^{53}$  erg).
- Neutrinos and antineutrinos of ALL species:

$$\nu_e, \bar{\nu}_e$$

$$”\nu_\mu” = ”\nu_x”$$

$$= \{ \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau \}$$

- Emission at  $\sim 1$ -100 MeV:

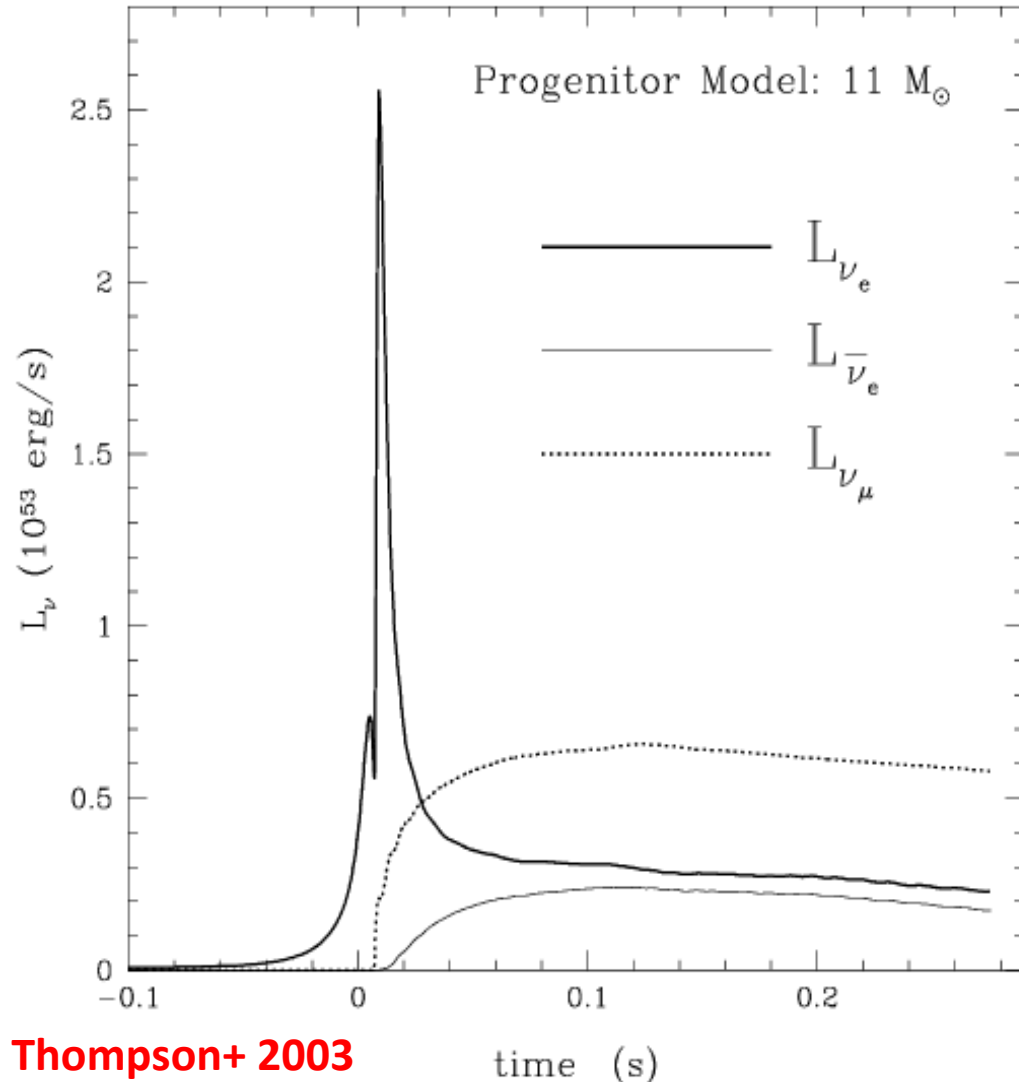
$$e^- p \rightleftharpoons \nu_e n \quad e^- e^+ \rightleftharpoons \nu_i \bar{\nu}_i$$

$$e^+ n \rightleftharpoons \bar{\nu}_e p \quad NN \rightleftharpoons \nu_i \bar{\nu}_i$$

$$\tilde{\gamma} \rightleftharpoons \nu_i \bar{\nu}_i$$

- 3 emission phases:

- 1) neutronization burst
- 2) accretion phase ( $< 1$  s)
- 3) cooling phase (10+ s)



Thompson+ 2003

# Probing Stellar Structure with Pre-Explosion Neutrinos

O'Connor & Ott '13, ApJ

- Neutrino signal in the pre-explosion phase is determined by (1) the accretion rate of the stellar envelope and (2) by the core temperature of the collapsing star.

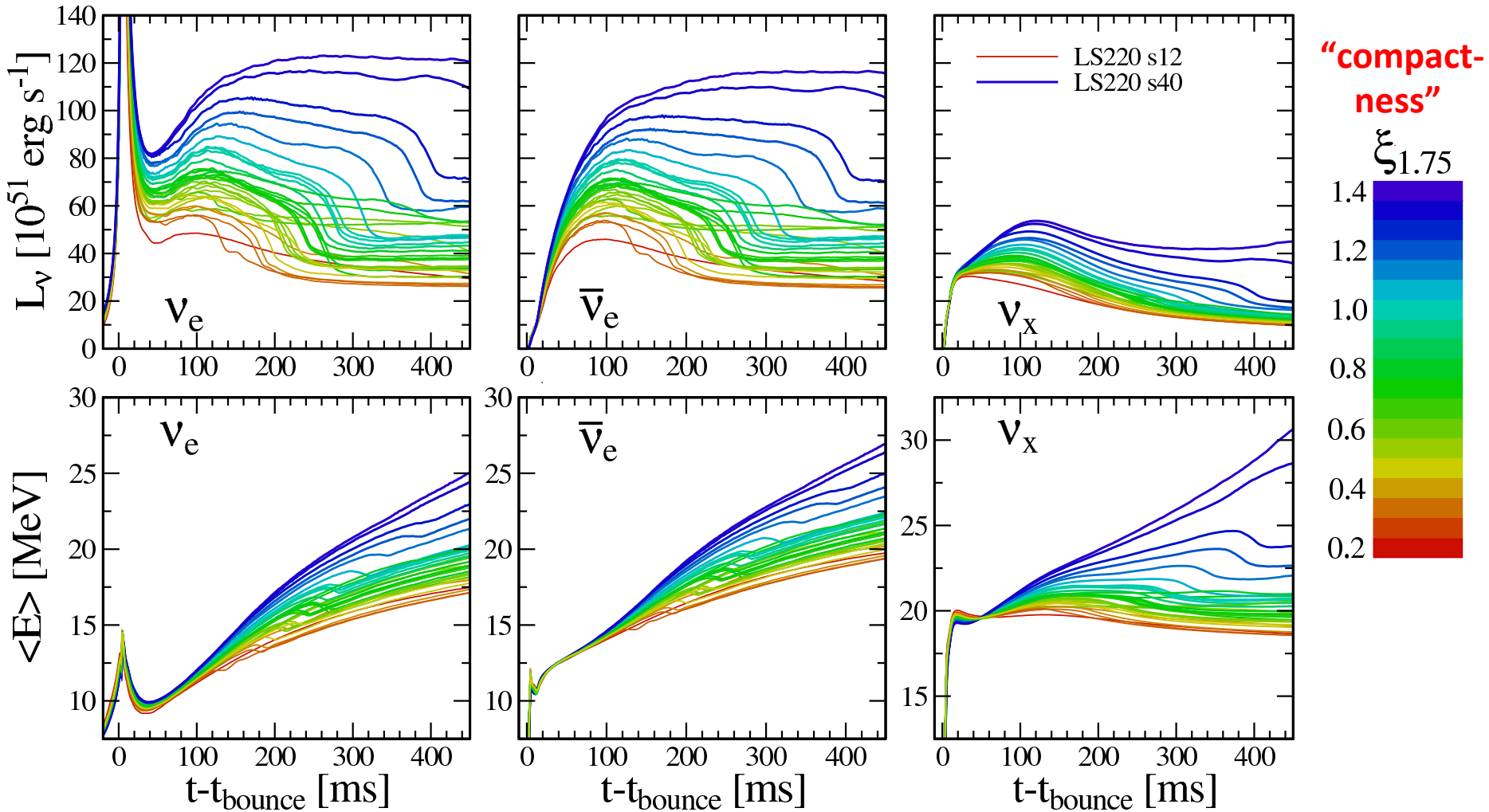
Parameter encapsulating both (1) and (2):

$$\xi_M = \frac{M / M_{\odot}}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

“compactness parameter” measured at bounce.  
(O'Connor & Ott '11)

# Probing Stellar Structure with Pre-Explosion Neutrinos

O'Connor & Ott '13, ApJ



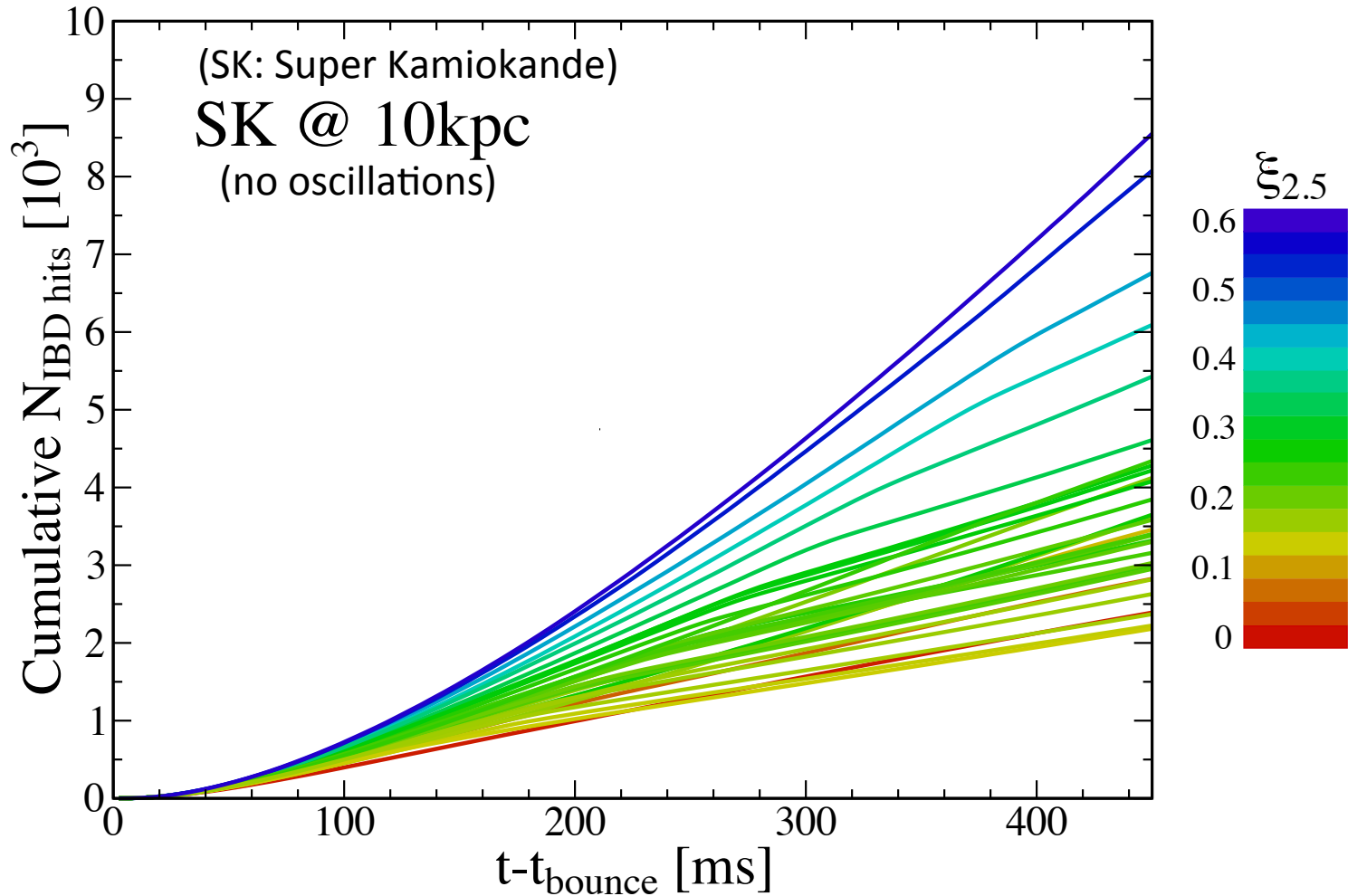
- Consider pre-explosion phase:  
clean, “collective oscillations” suppressed (?)

$$\xi_M = \frac{M / M_\odot}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$



# Probing Stellar Structure with Pre-Explosion Neutrinos

O'Connor & Ott '13, ApJ



- Expected inverse beta decay events in Super-K using SNOwGLoBES (Scholberg '12).  
<http://www.phy.duke.edu/~schol/snowglobes>

$$\xi_M = \frac{M / M_{\odot}}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

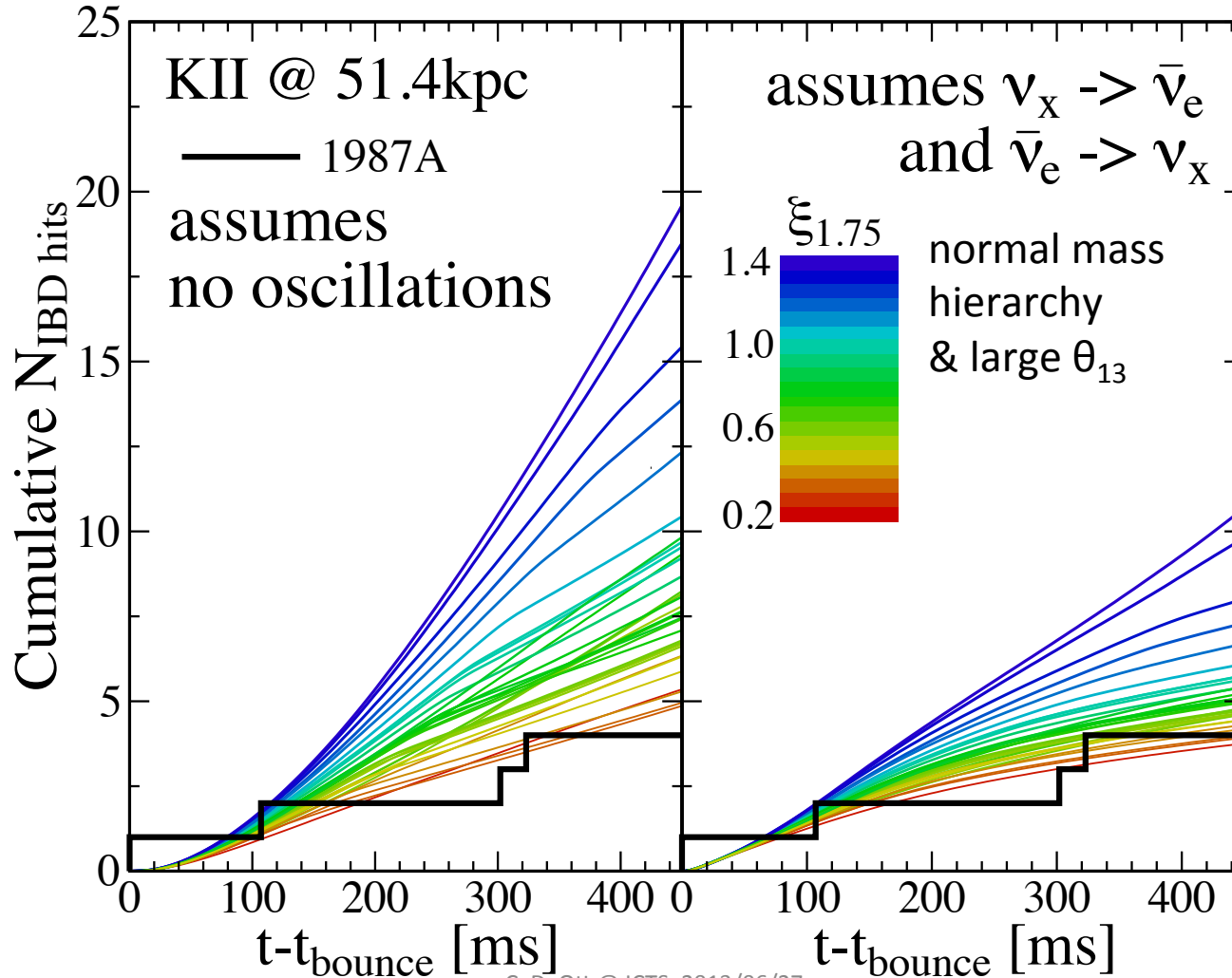
# Progenitor Structure of SN 1987A

O'Connor & Ott '13

Comparison with early phase of the observed SN 1987A neutrino signal.

-> Potential Conclusion: **early explosion OR low-compactness progenitor core!**

**But: beware of small-number statistics!!**



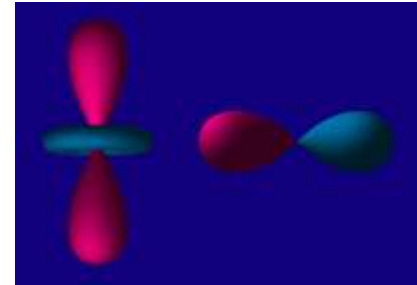
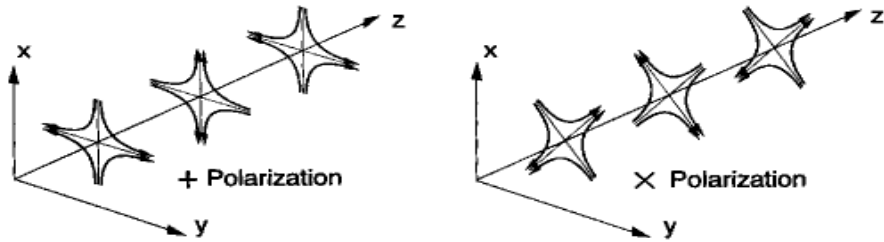
# Gravitational Wave (GW) Refresher

- Emission:** Accelerated quadrupole bulk mass-energy motion.

Quadrupole approximation

$$h_{jk}^{TT}(t, \vec{x}) = \left[ \frac{2}{c^4} \frac{G}{|\vec{x}|} \ddot{I}_{jk}(t - \frac{|\vec{x}|}{c}) \right]^{TT}$$

$\frac{G}{c^4} \approx 10^{-49} \text{ s}^2 \text{ g}^{-1} \text{ cm}^{-1}$   
 $10 \text{ kpc} \approx 3 \times 10^{22} \text{ cm}$



-> must measure relative displacements of  $10^{-22}$

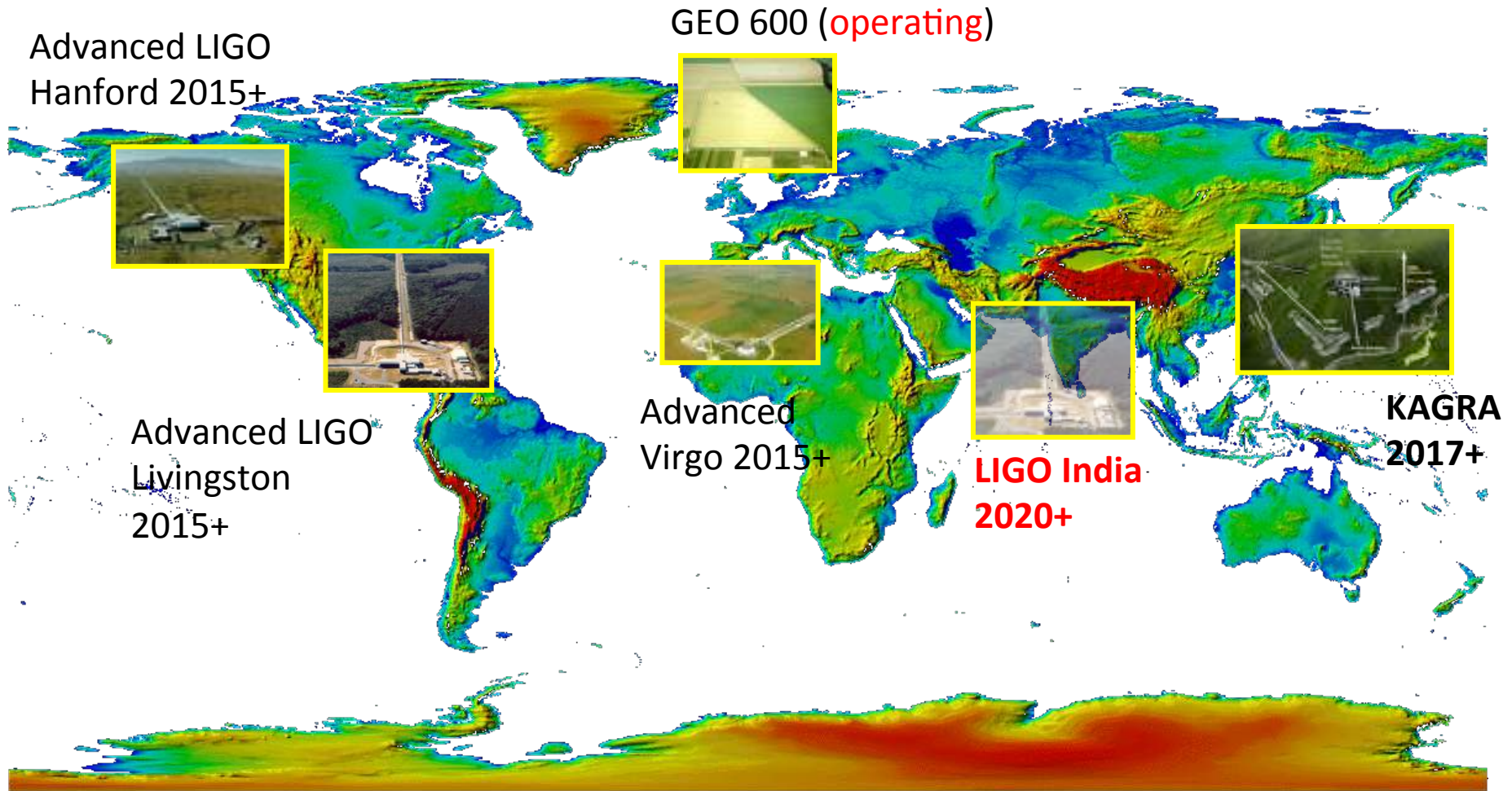


- Detection:**  
Measure changes in separations of test masses with laser interferometry.  
-> Advanced LIGO in **2015+**,  
**LIGO India (2020+)**,  
+ international partners.

LIGO Livingston, Louisiana



# The Advanced GW Detector Network: 2020+



# Gravitational-Waves from Core-Collapse Supernovae

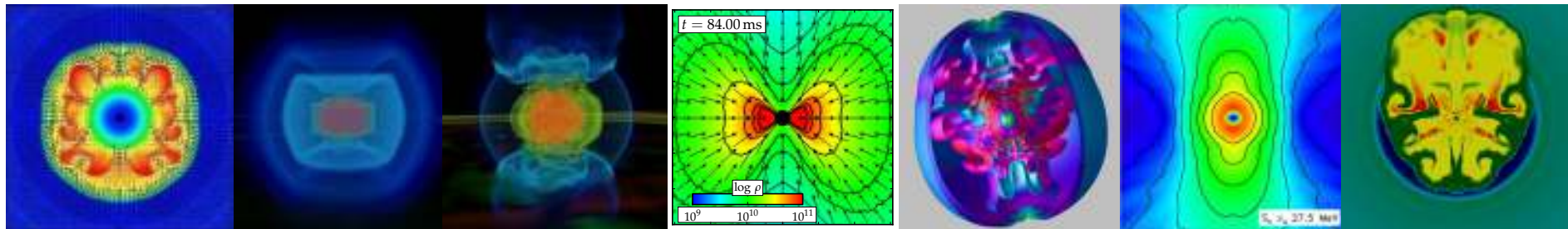
Recent reviews: Ott '09, Kotake '11, Fryer & New '11

Need:

$$h_{jk}^{TT}(t, \vec{x}) = \left[ \frac{2}{c^4} \frac{G}{|\vec{x}'|} \ddot{I}_{jk}(t - \frac{|\vec{x}'|}{c}) \right]^{TT} \longrightarrow \text{accelerated aspherical (quadrupolar) mass-energy motions}$$

## Candidate Emission Processes:

- ❖ Convection and SASI
- ❖ Rotating collapse & bounce
- ❖ Rotational 3D instabilities
- ❖ Black hole formation
- ❖ Pulsations of the protoneutron star
- ❖ Anisotropic neutrino emission
- ❖ Aspherical accelerated outflows
- ❖ Magnetic stresses



# Gravitational-Waves from Core-Collapse Supernovae

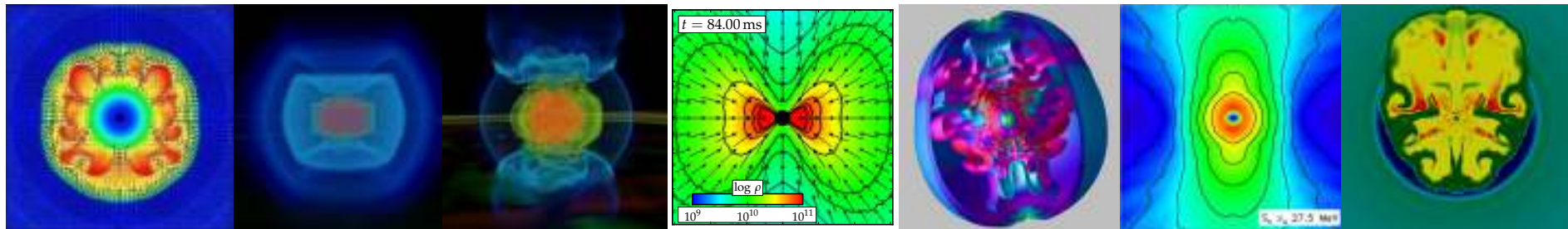
Recent reviews: Ott '09, Kotake '11, Fryer & New '11

Need:

$$h_{jk}^{TT}(t, \vec{x}) = \left[ \frac{2}{c^4} \frac{G}{|\vec{x}|} \ddot{I}_{jk}(t - \frac{|\vec{x}|}{c}) \right]^{TT} \longrightarrow \text{accelerated aspherical (quadrupolar) mass-energy motions}$$

## Candidate Emission Processes:

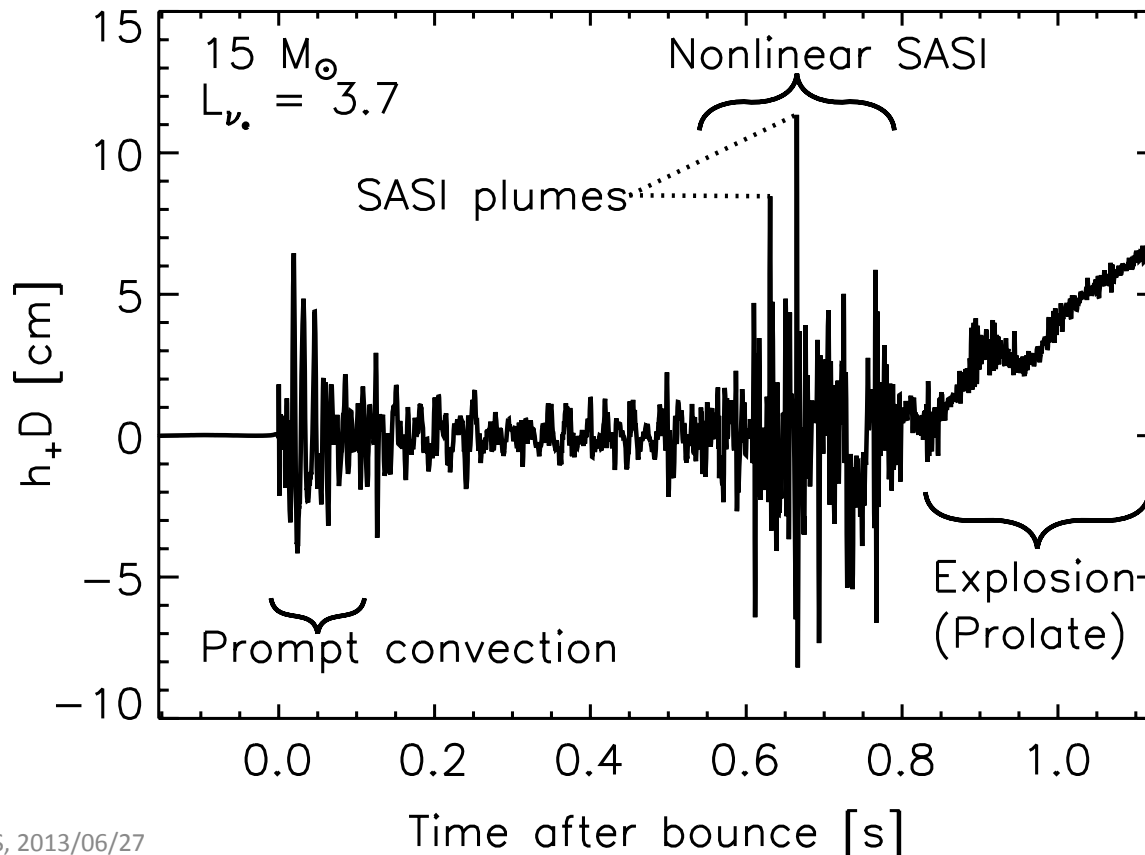
- ❖ Convection and SASI
- ❖ Rotating collapse & bounce
- ❖ Rotational 3D instabilities
- ❖ Black hole formation
- ❖ Pulsations of the protoneutron star
- ❖ Anisotropic neutrino emission
- ❖ Aspherical accelerated outflows
- ❖ Magnetic stresses



# GWs from Convection & SASI

Recent work: Kotake+ '09, '11, Murphy+'09, Yakunin+'10 E. Müller+'12, B.Müller+'13

- Prompt convection soon after bounce (Marek+ '09, Ott '09).
- Neutrino-driven convection & SASI (recent: Murphy+'09, Yakunin+10, Müller+12).
- Protoneutron star convection (e.g., Keil+ '96, Müller+'04)

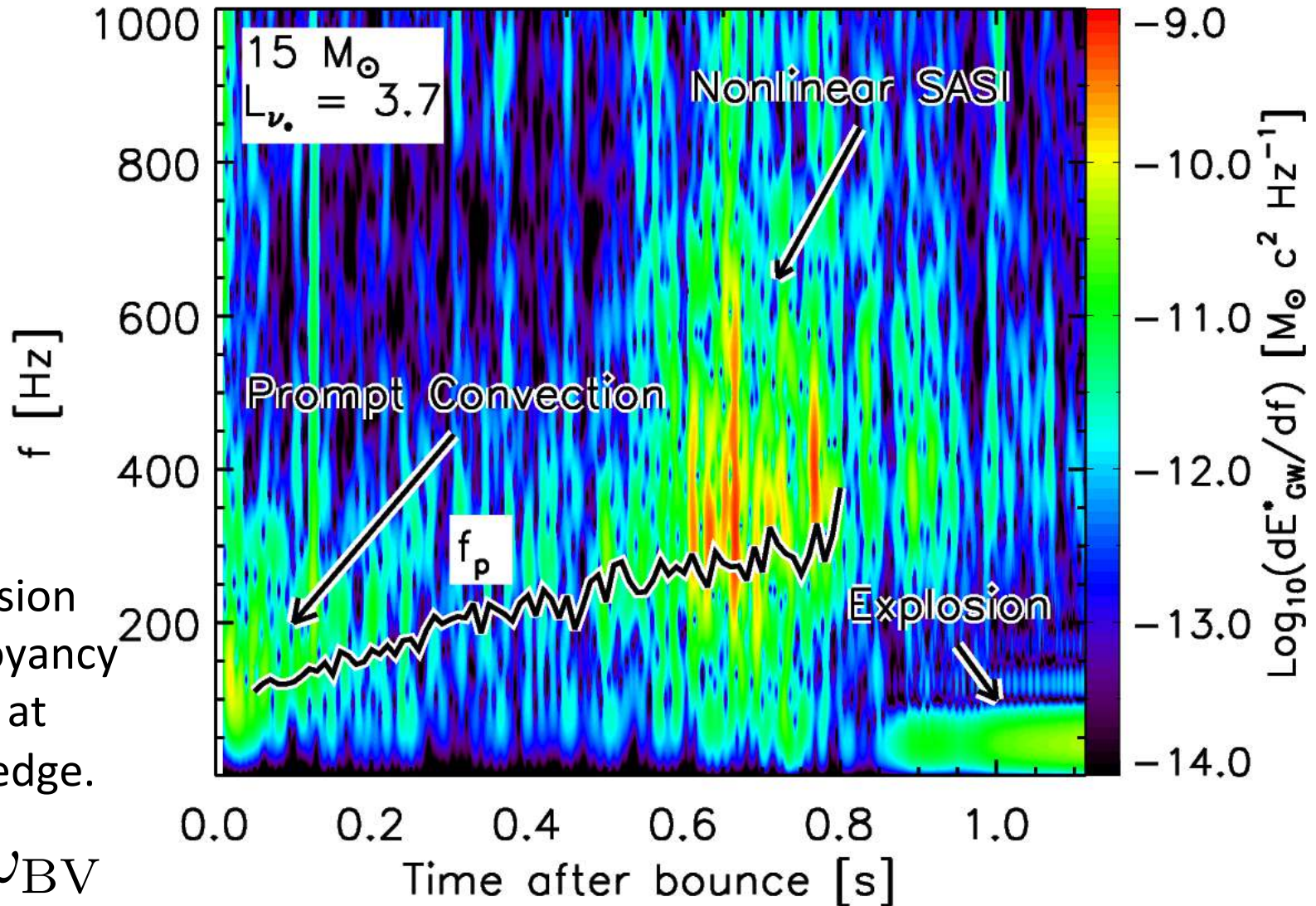


Murphy+ '09,  
using simplified  
heating/cooling  
scheme.

Expect also:  
Correlations with  
neutrino signal.  
Lund+ '10,'12,  
Marek+'09, Brandt+'11

# Time-Frequency Analysis of GWs

Murphy, Ott, Burrows '09, see also B. Müller+'13



Peak emission traces buoyancy frequency at proto-NS edge.

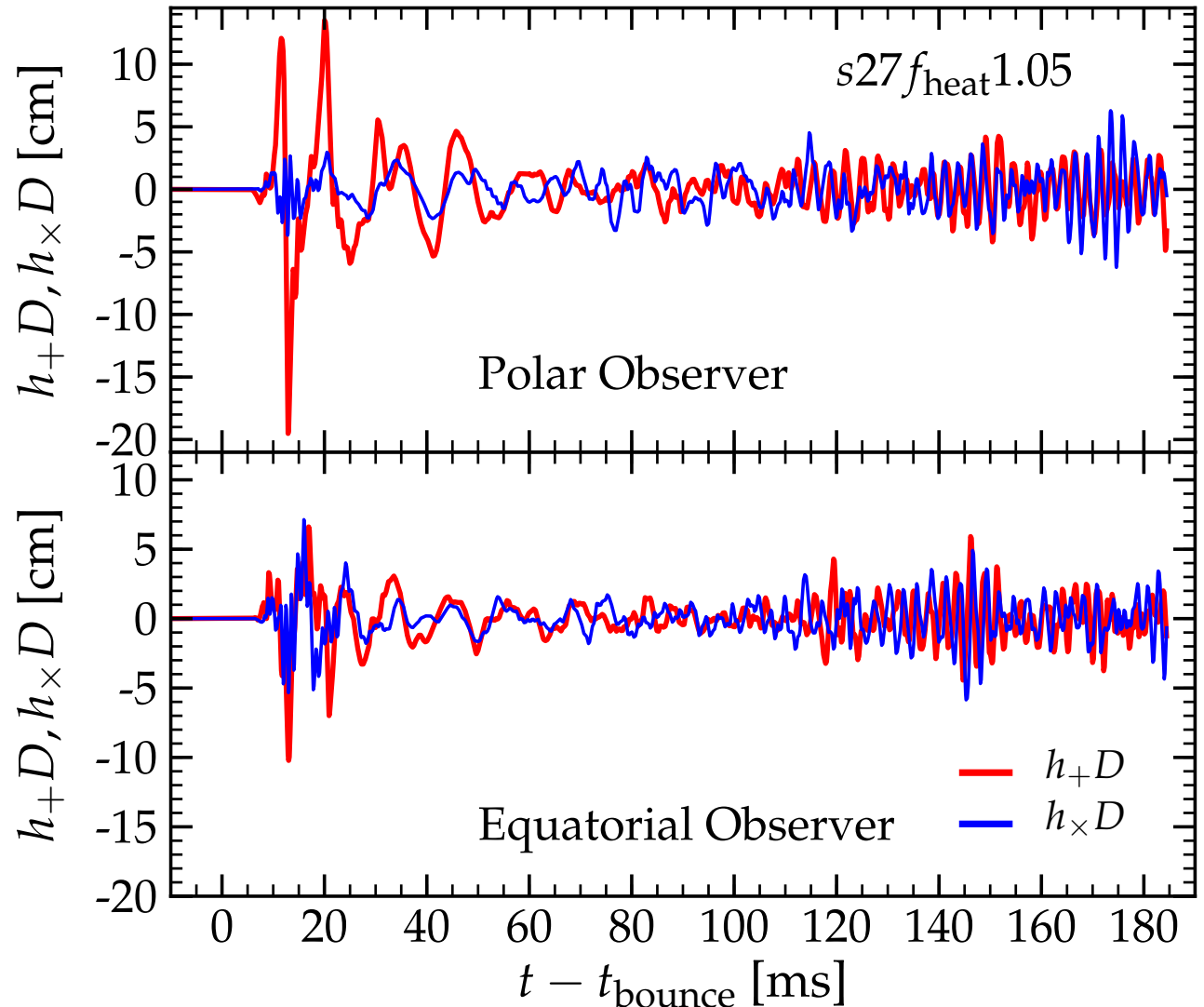
$$f_p \sim \frac{\omega_{\text{BV}}}{2\pi}$$



# New Results from General-Relativistic 3D Simulations

Ott+ 2013, ApJ 768:115

- Both polarizations:  $h_+$  and  $h_\times$ .
- Prompt convection GWs: larger  $|h|$  than 2D results of B. Müller+'13 -> sensitive to seed perturbations.
- Subsequent signal  $\sim 1/5 |h|$  of 2D (3D: absence of large-scale SASI).



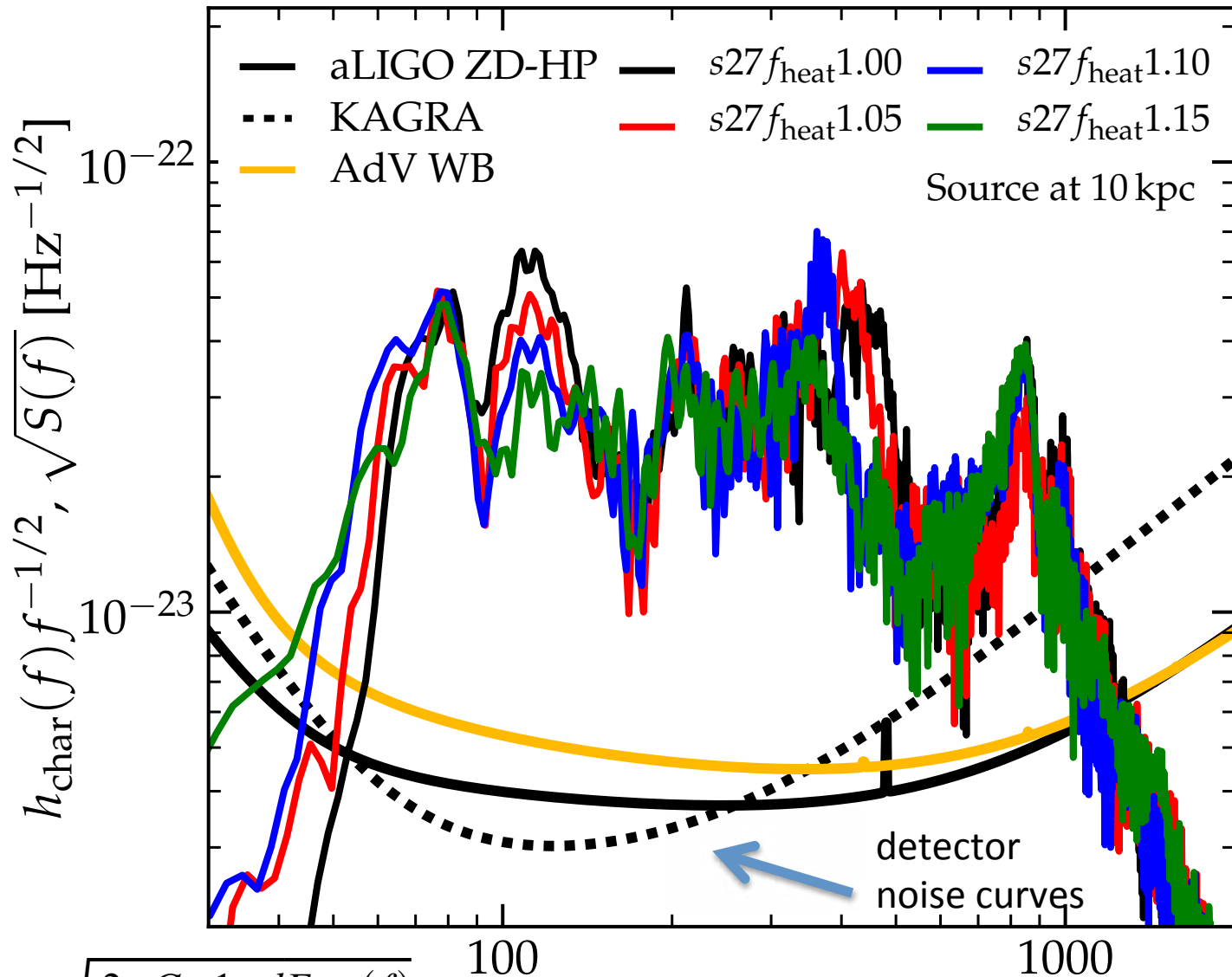
-6.18 ms

Ott+13  
s27 simulation

rendered  
by S. Drasco

# New Results from General-Relativistic 3D Simulations

Ott+13, ApJ 768:115



$$h_{\text{char}}(f) = \sqrt{\frac{2}{\pi^2} \frac{G}{c^3} \frac{1}{D^2} \frac{dE_{\text{GW}}(f)}{df}},$$

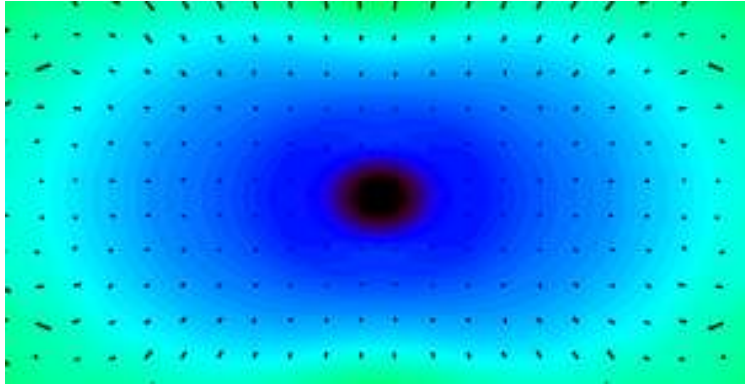
$E_{\text{GW}} \gtrsim 4 \times 10^{-10} M_{\odot} c^2$

# GWs from Rotating Collapse & Bounce

Recent work: Dimmelmeier+ '08, Scheidegger+ '10, Ott+ '12, Kuroda+ '13

Rapid rotation:

Oblate deformation of the inner core



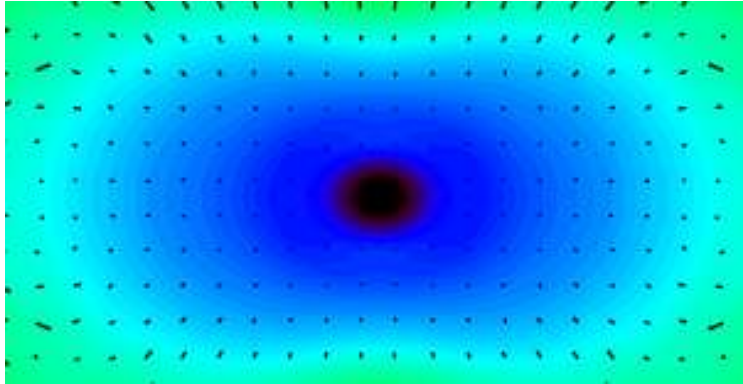
- Most extensively studied GW emission in core collapse
- **Axisymmetric: ONLY  $h_+$**
- Simplest GW emission process:  
**Rotation** + **Gravity** +  
**Stiffening of nuclear EOS.**
- Strong signals for rapid rotation (-> millisecond proto-NS).

# GWs from Rotating Collapse & Bounce

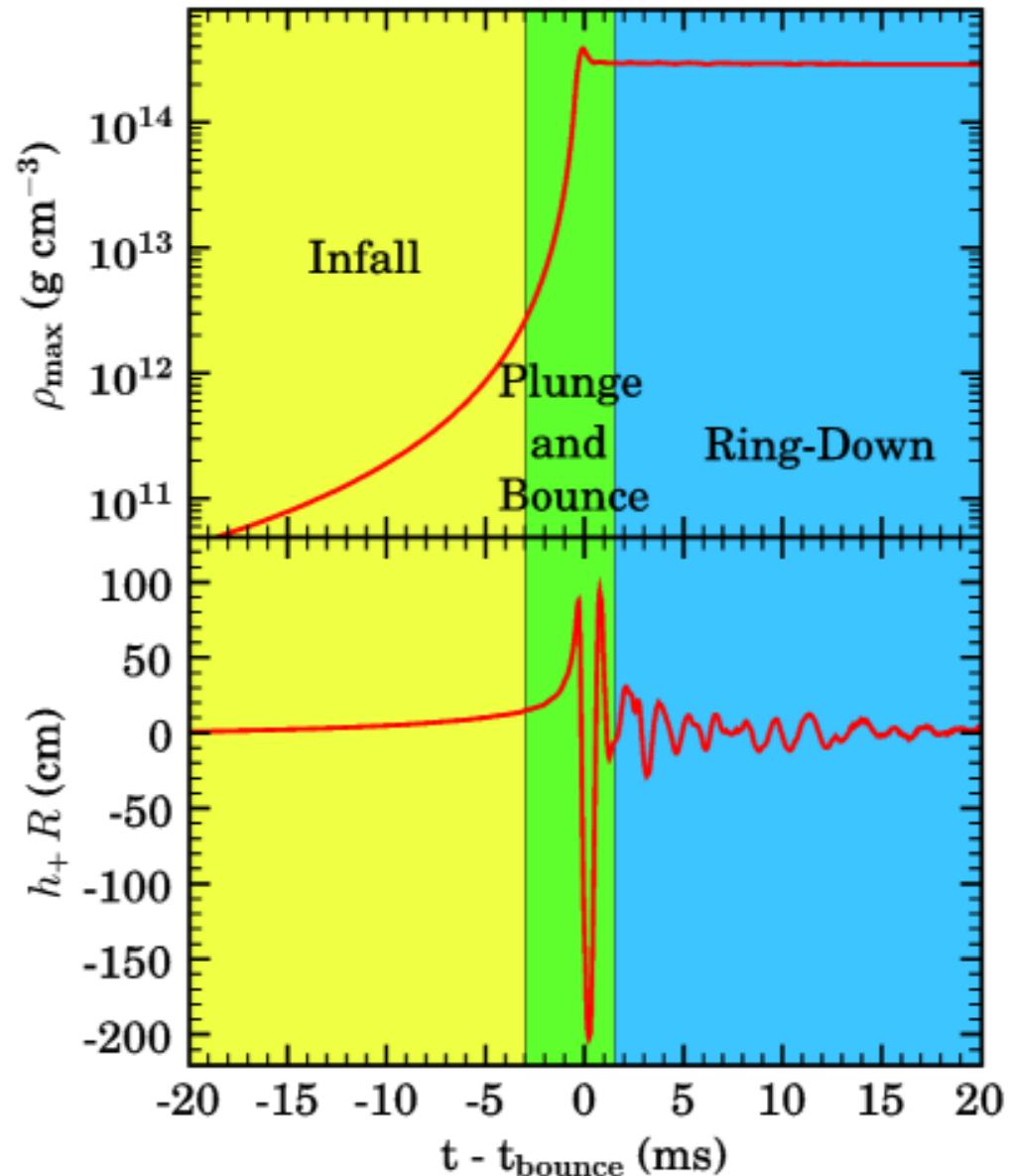
Recent work: Dimmelmeier+ '08, Scheidegger+ '10, Ott+ '12, Kuroda+ '13

Rapid rotation:

Oblate deformation of the inner core

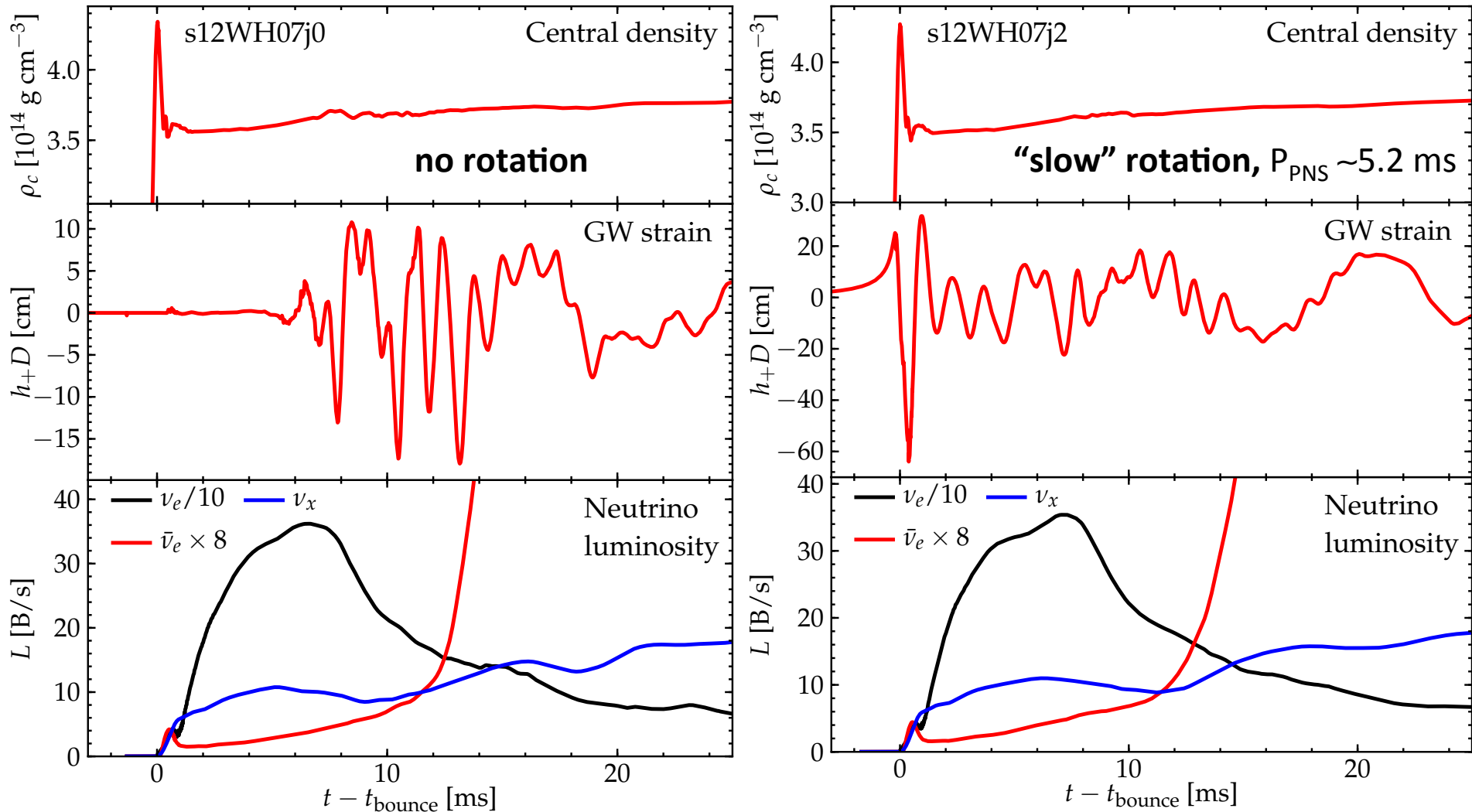


- Most extensively studied GW emission in core collapse
- **Axisymmetric: ONLY  $h_+$**
- Simplest GW emission process: **Rotation** + **Gravity** + **Stiffening of nuclear EOS.**
- Strong signals for rapid rotation (-> millisecond proto-NS).



# Correlated GW and Neutrino Signals: Rotation

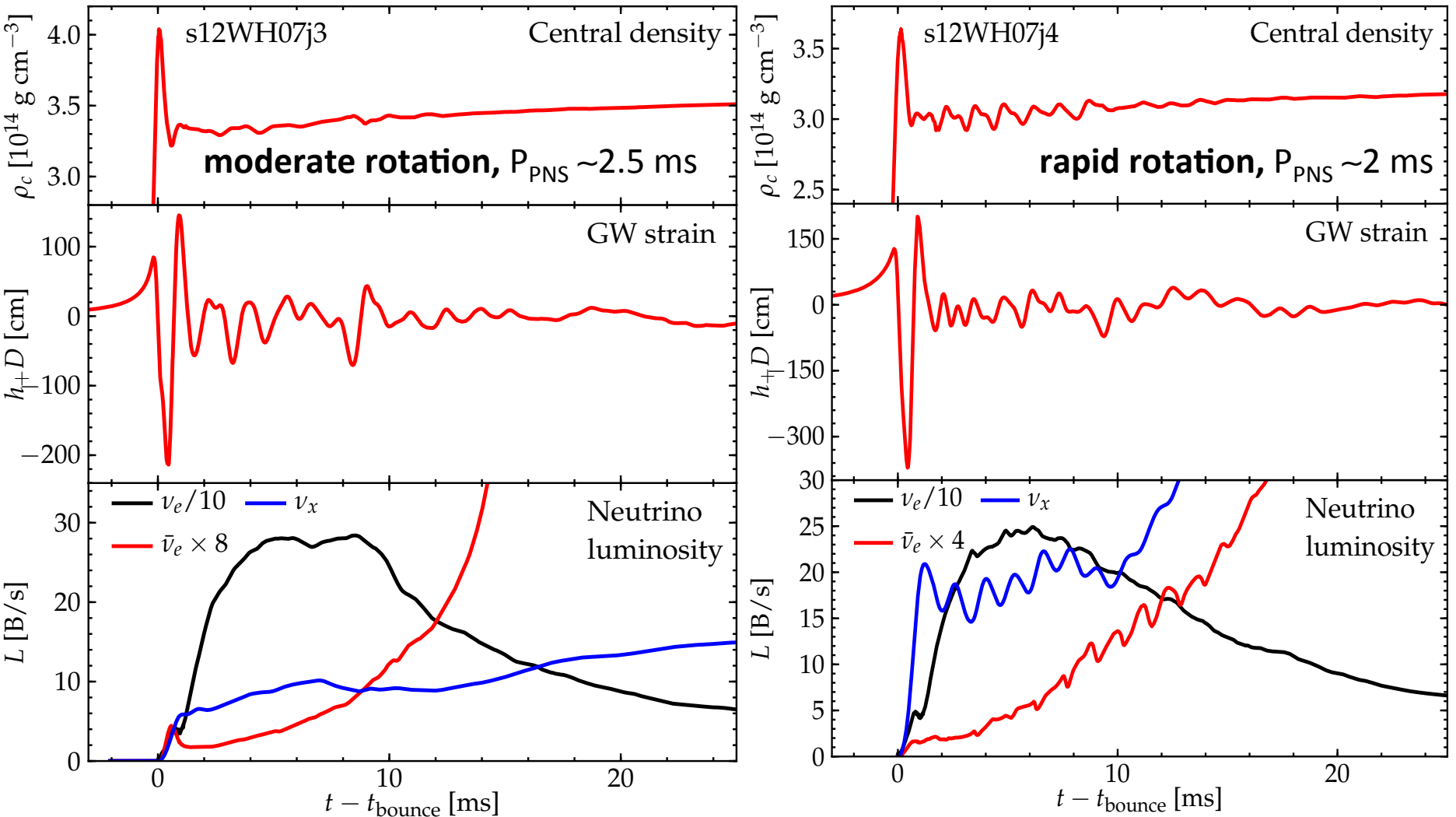
Ott+'12, PRD



-> Using simple neutrino "leakage" scheme.

# Correlated GW and Neutrino Signals: Rotation

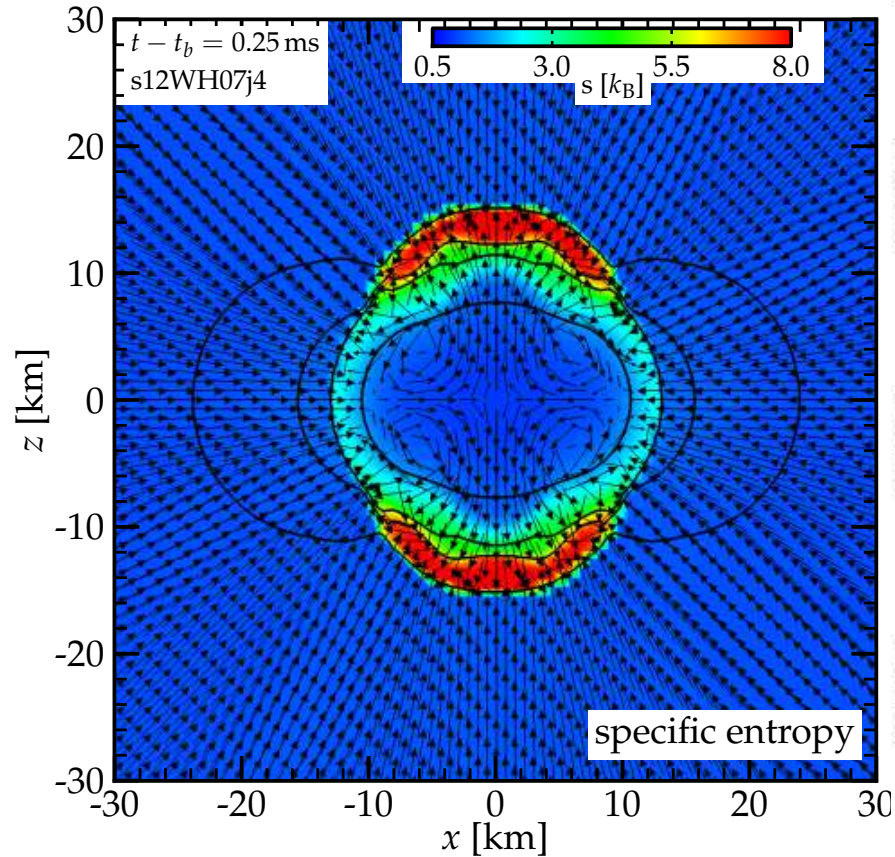
Ott+'12, PRD



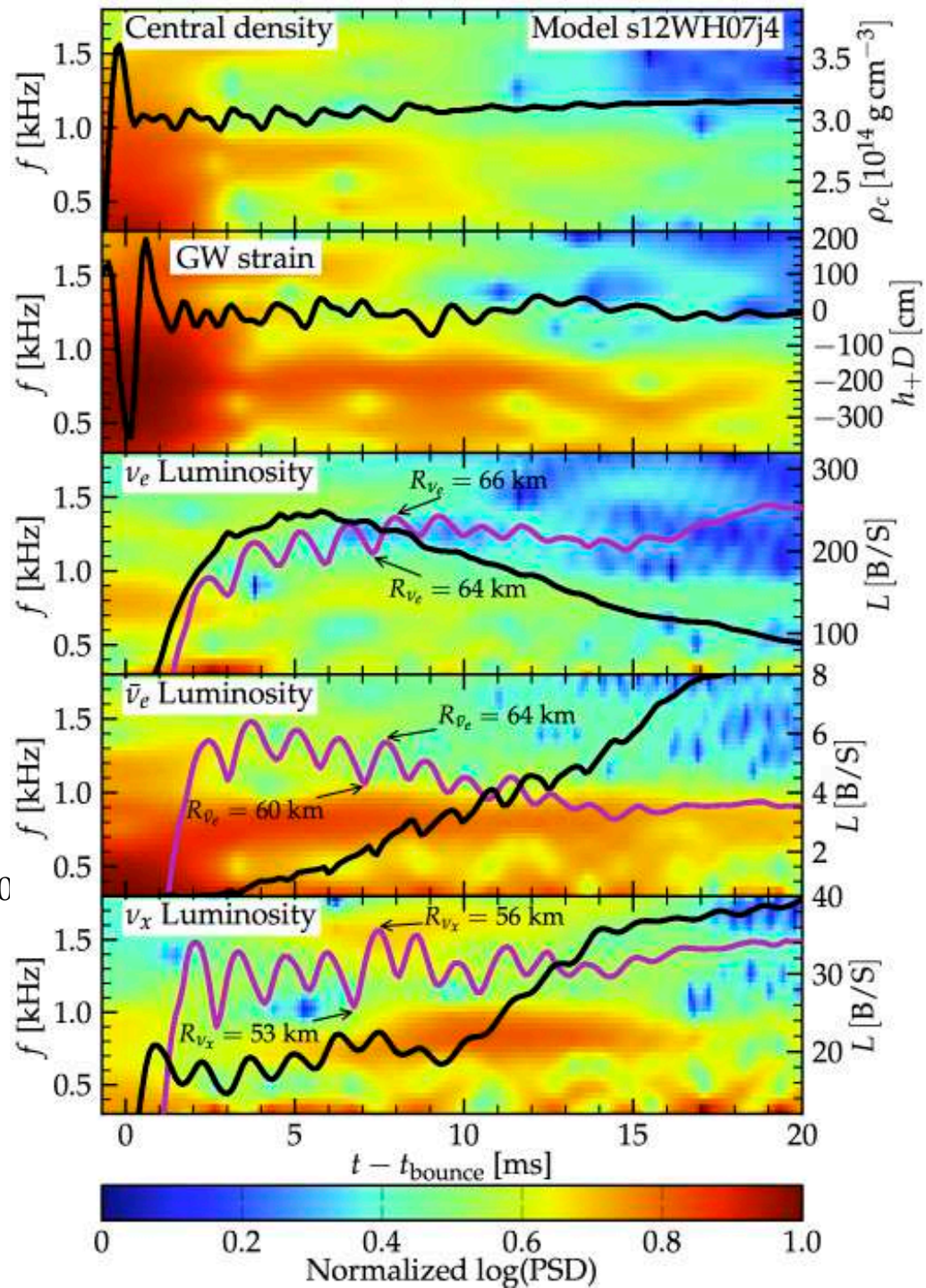
-> Using simple neutrino “leakage” scheme <- results must be checked w/ transport.

# What is going on?

Ott+ '12, PRD



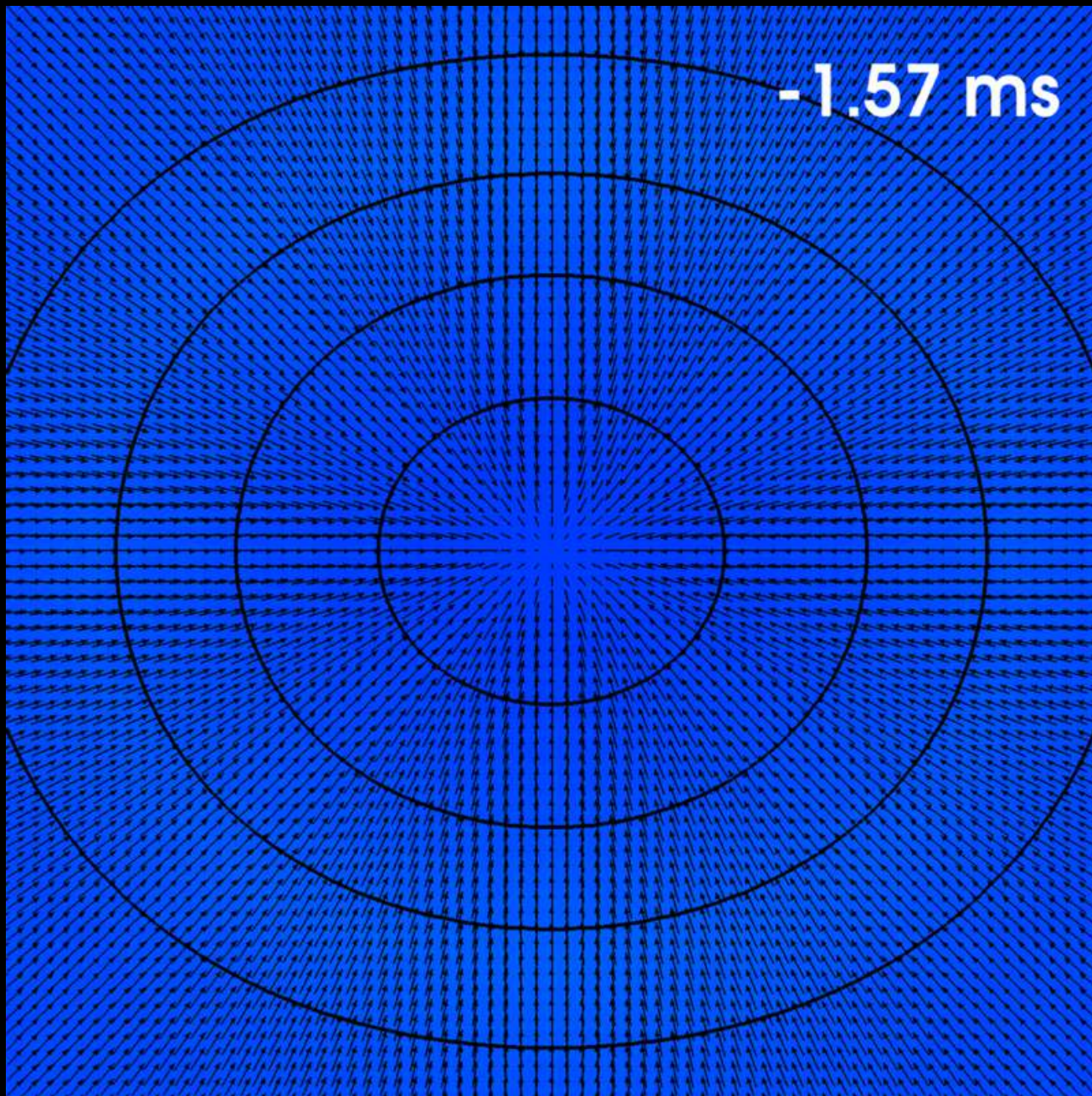
-> **prolate** bounce of **oblate** core excites fundamental quadrupole oscillation mode.





40 km

-1.57 ms

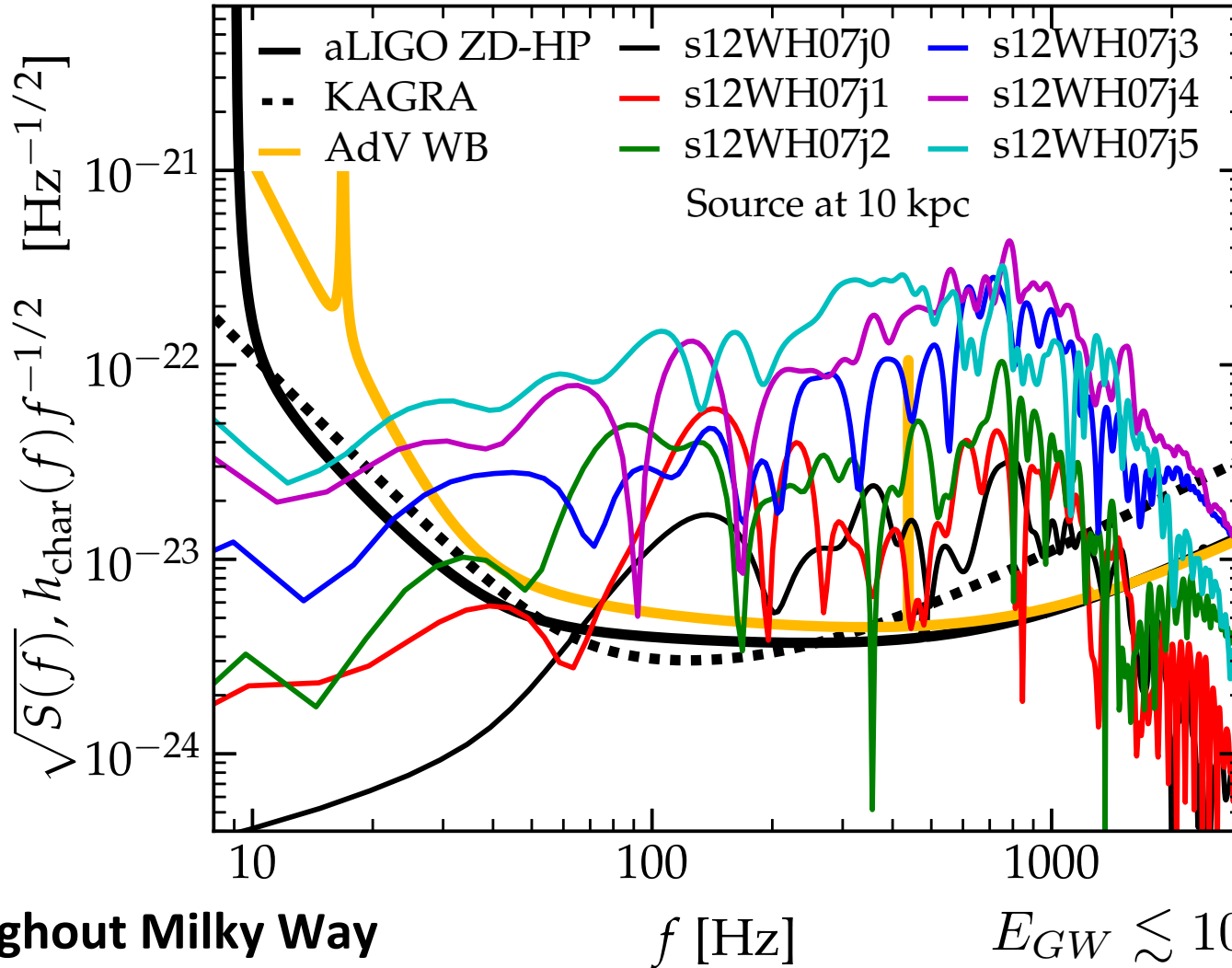


Movie by  
Steve Drasco  
(Grinnell/Caltech)

# Can we observe this?

Ott+ '12, PRD

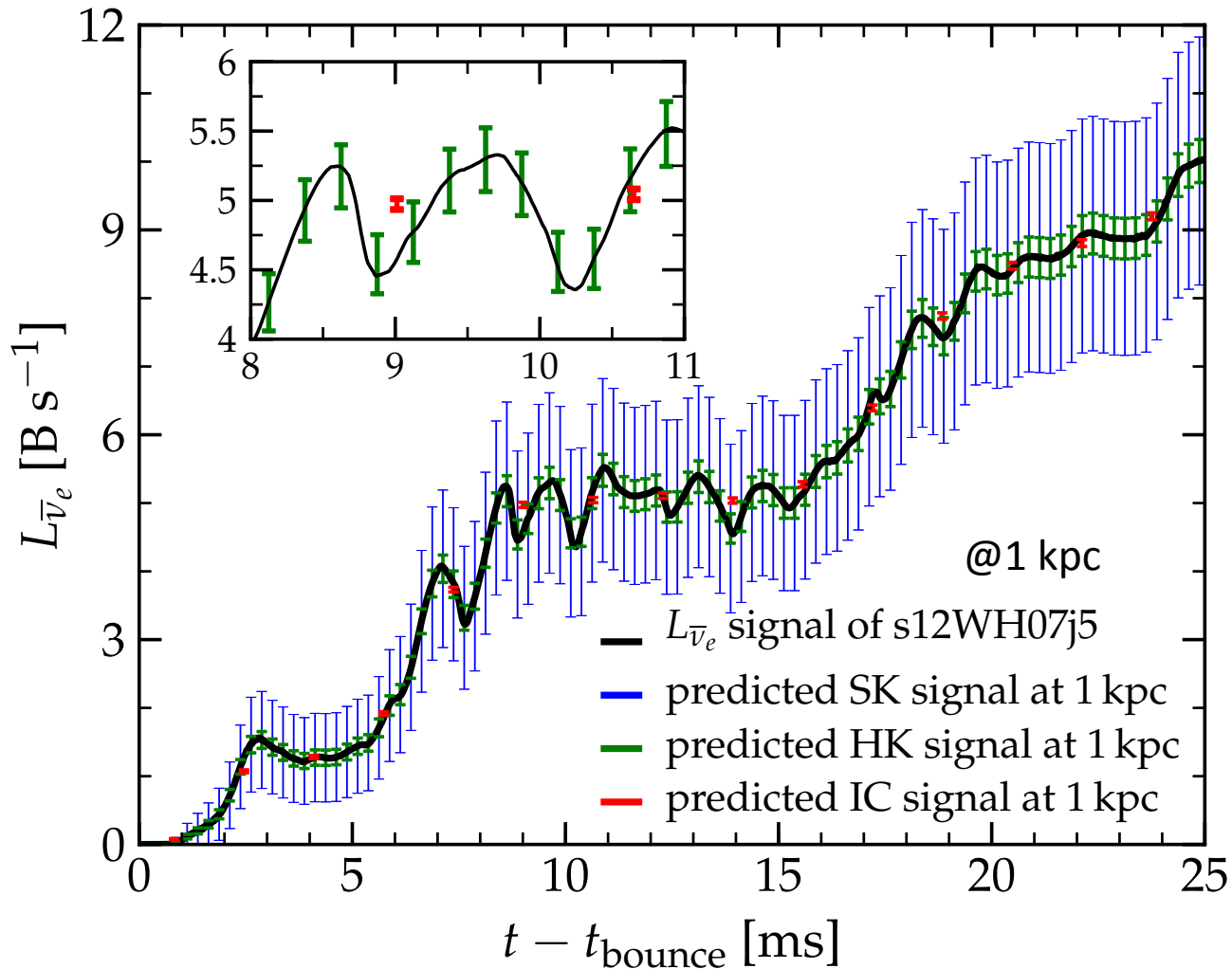
## Gravitational Waves



-> Throughout Milky Way  
with aLIGO

# Can we observe this? Neutrinos

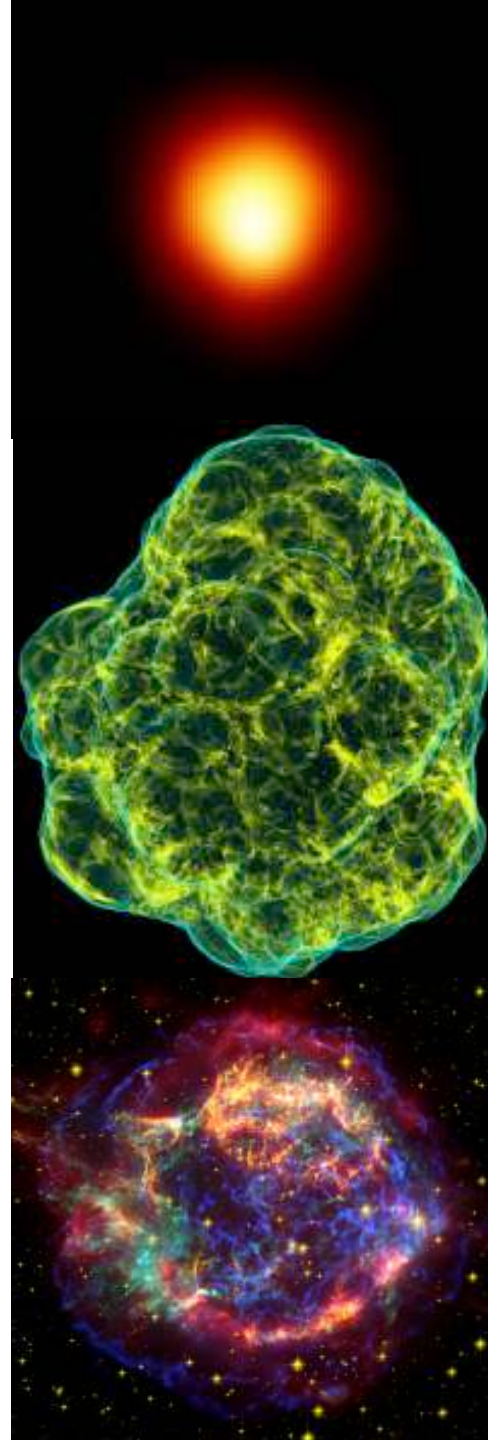
Ott+ '12, PRD



~1 kpc with a megaton water-Cherenkov detector.  
IceCube limited by readout rate.

# Summary

- Basics of core-collapse supernova theory on solid foundation; details to be worked out.
- Multi-D (3D?) neutrino mechanism best bet for blowing up ordinary massive stars.  
**Next:** Self-consistent 3D models.
- **The next galactic core-collapse supernova has already exploded.**  
(But its GWs/neutrinos/EM waves better not get here until 2015+ [-> advanced LIGO].)
- **Gravitational waves** and **neutrinos** probe the supernova engine and progenitor star properties.

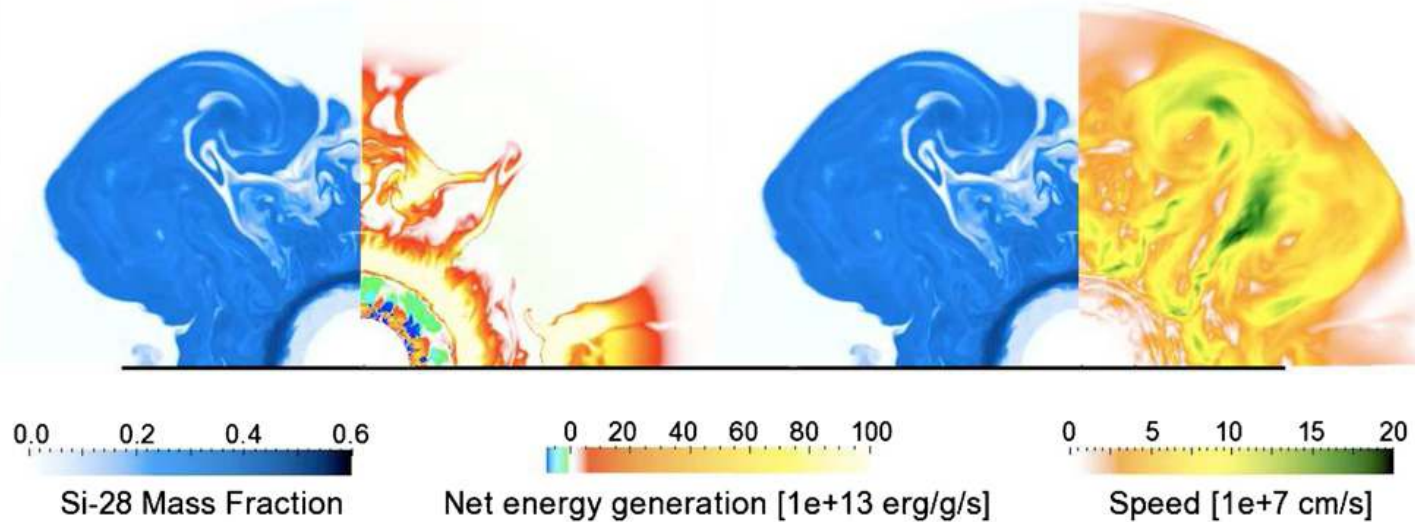


# Supplemental Slides

# Perhaps: Multi-D Stellar Evolution

- All presently available presupernova stellar models are spherically symmetric with convection algorithms based on mixing-length theory.

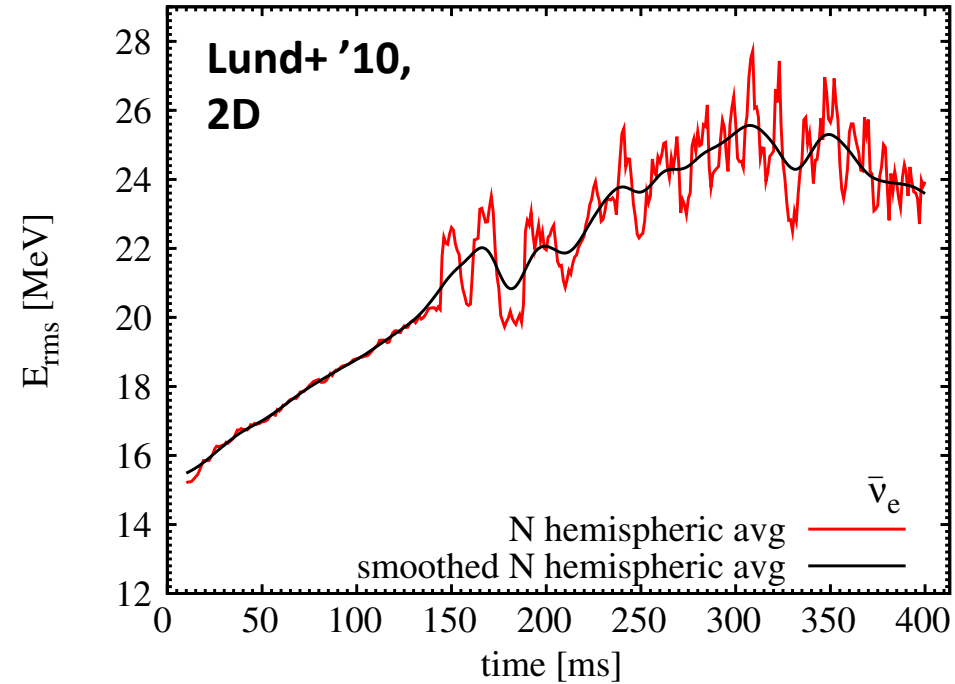
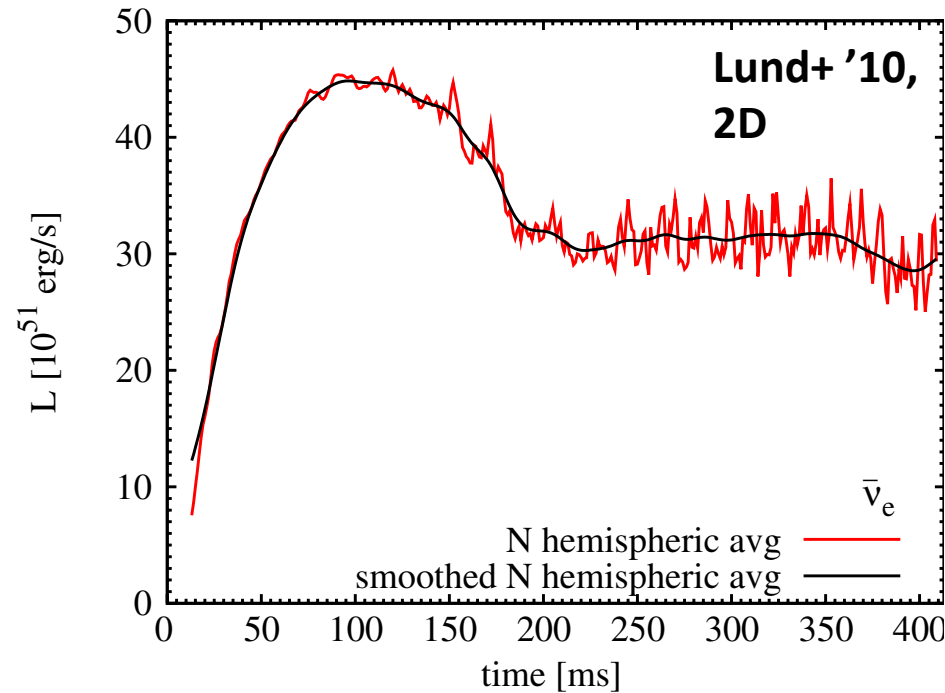
2D



Arnett & Meakin 2011

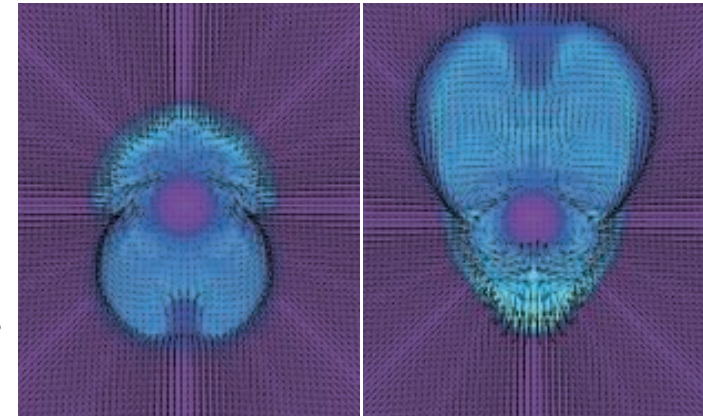
- Late-stage oxygen burning very violent  
-> may lead to large-scale inhomogeneities in O/Si layer.
- Supernova evolution sensitive to local (and global) variations in the accretion rate -> potentially large effect, but presently ignored.

# Neutrino Signature of Convections/SASI

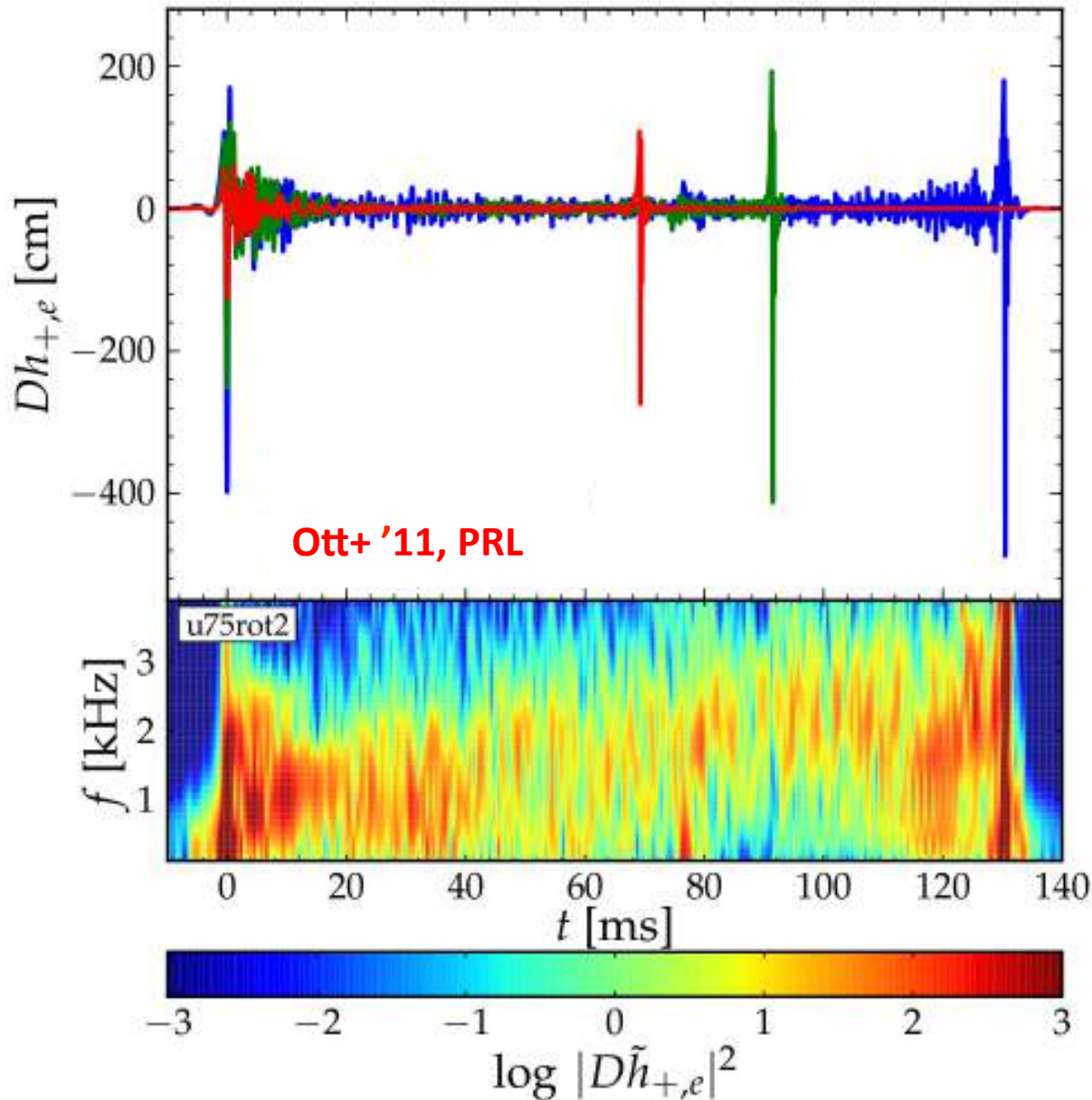


See: Ott+ '08, Marek & Janka '09, Lund+ '10, '12, Brandt +'11

- Neutrino signal can be used to probe supernova dynamics.
- **Lund et al. '10:**  
IceCube can detect SASI for galactic event.  
Effect smaller in 3D simulations (Lund+12)



# Gravitational Waves from BH Formation



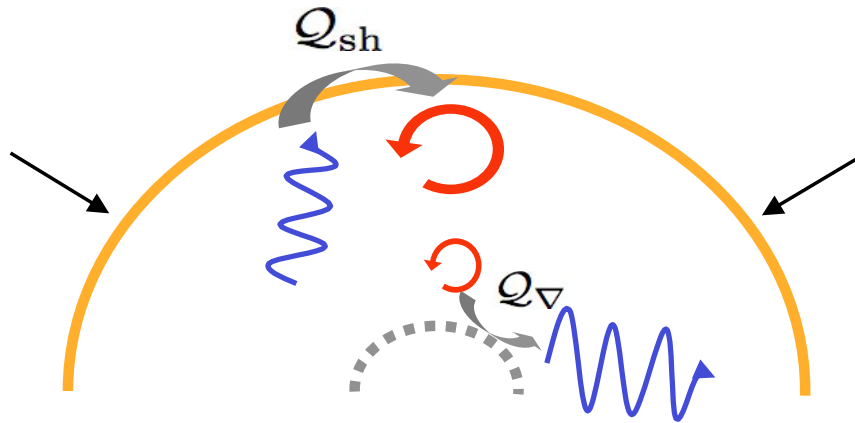
Rotating  
Black Hole  
Formation

$$E_{\text{GW}} \sim 10^{-7} M_{\odot} c^2$$



# How Does the SASI work?

for details: see, e.g., Fernandez & Thompson '09ab, Foglizzo+ '06, '07, Scheck+ '08



(Source: Foglizzo)

Advective-acoustic cycle.

Fastest growing mode in linear analysis:  $l = 1$

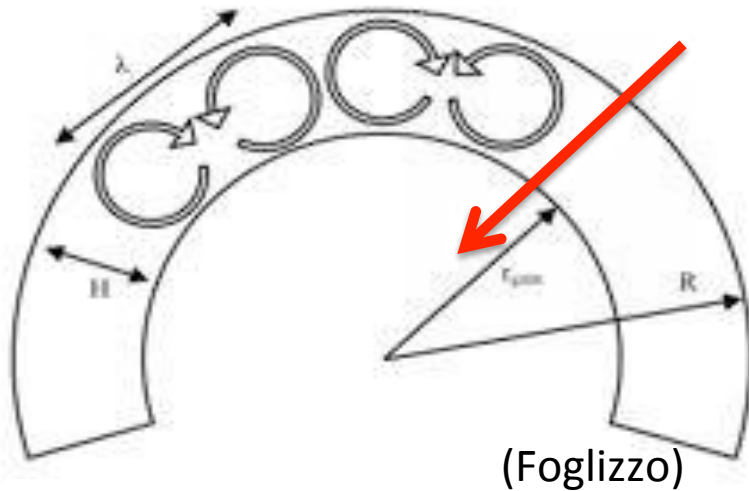
Non-linear saturation: sourcing of Kelvin-Helmholtz and Rayleigh-Taylor instability

SASI strongest if neutrino-driven convection absent, e.g., in idealized simulations w/o neutrino heating.

# Interplay of SASI and v-driven Convection

for details: see, e.g., Fernandez & Thompson '09b, **Foglizzo+ '06**, '07, Scheck+ '08

- Turbulence may damp advective acoustic mode (Foglizzo+).
- Gain region: neutrino-driven convection – early growth may inhibit SASI.



In CCSN context, neutrino-driven convection will have most unstable mode at  $l=5-8$ .

But: non-standard setting -> advection

**Perturbations must grow to non-linear scale and become buoyant before advected out of unstable region.**

$$\chi \equiv \frac{\tau_{\text{adv}}}{\tau_{\text{buoy}}} \sim \frac{H\omega_{\text{buoy}}}{v}$$

$\chi \gtrsim 3$  required for small (< 1%) perturbation to grow before it is advected out.

Alternative: Larger perturbation!

# Role of the Nuclear EOS and GR

Ott, O'Connor, & Dasgupta '11, Marek et al. '09

$$Q_{\nu}^{+} = \frac{X_n}{\lambda_0^a} \frac{L_{\nu_e}}{4\pi r^2} \langle E_{\nu_e}^2 \rangle \left\langle \frac{1}{F} \right\rangle + \frac{X_p}{\bar{\lambda}_0^a} \frac{L_{\bar{\nu}_e}}{4\pi r^2} \langle E_{\bar{\nu}_e}^2 \rangle \left\langle \frac{1}{\bar{F}} \right\rangle$$

- “Soft” EOS

- > compact protoneutron star,  $\nu$  decouple at smaller radius,
- > harder neutrino spectrum.
- > increased neutrino heating.

- “Stiff” EOS

- > more extended protoneutron star,  $\nu$  decouple at larger radius,
- > softer neutrino spectrum,
- > increased neutrino heating.

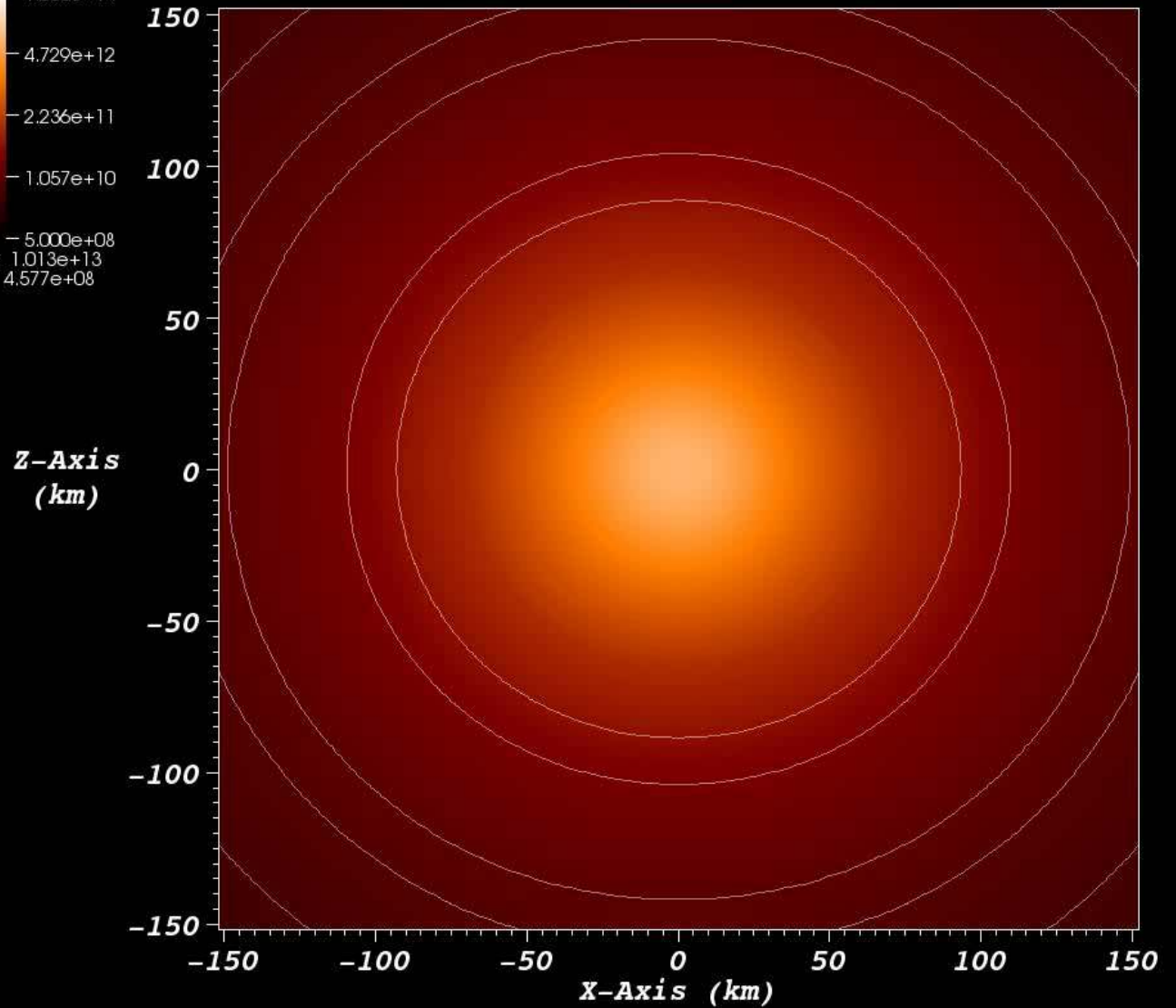
- General relativity: Effective softening -> harder  $\nu$  spectrum.

- “Favorite EOS”: Lattimer & Swesty '91,  $K_0 = 180$  MeV

- Problem: Discovery of  $2-M_{\odot}$  neutron star (Demorest et al. '10)  
*rules out many soft EOS*, including LS180.

Time: -1.49 ms

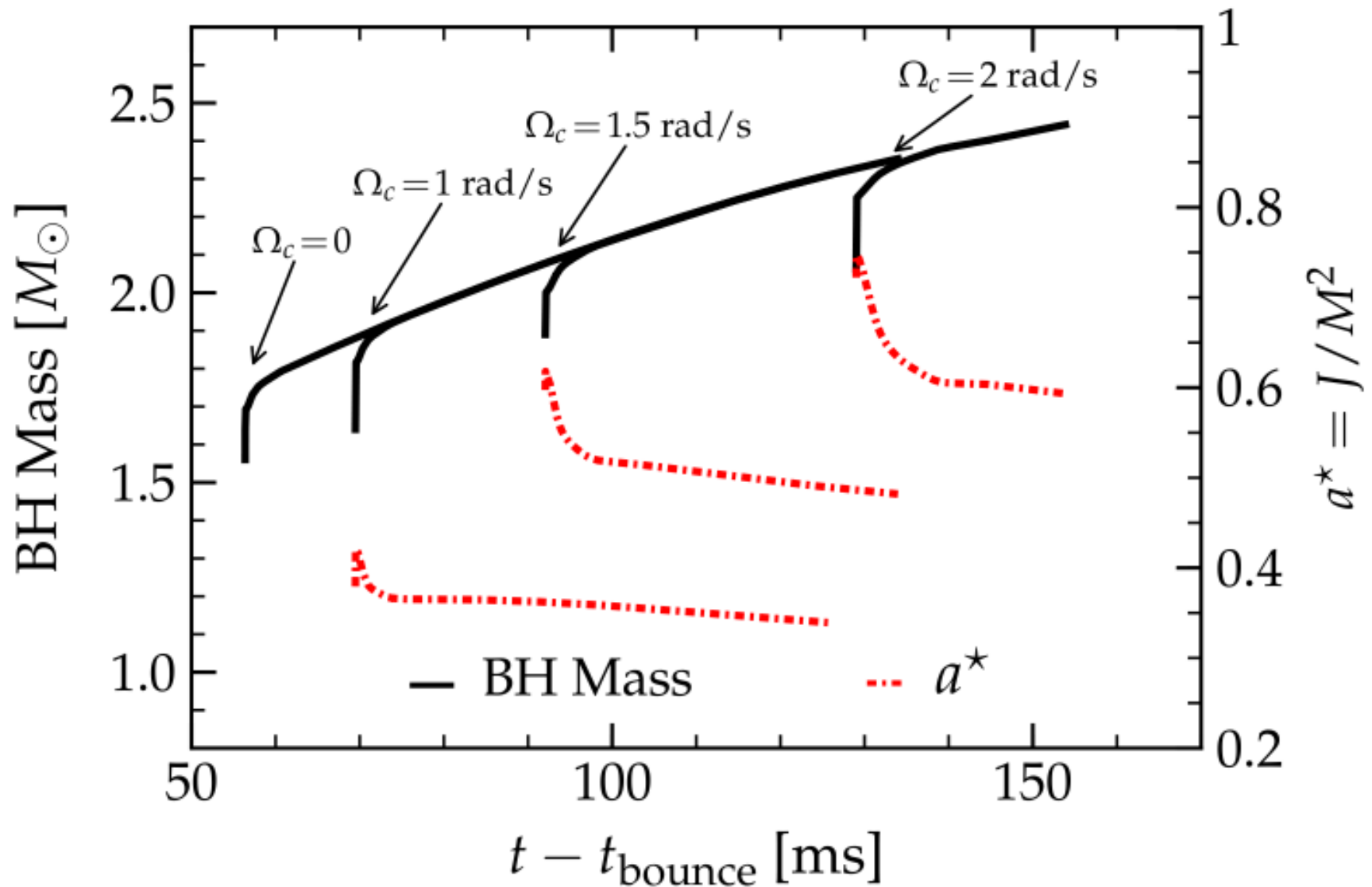
Pseudocolor  
Var: rho g/cm<sup>3</sup>  
1.000e+14  
4.729e+12  
2.236e+11  
1.057e+10  
5.000e+08  
Max: 1.013e+13  
Min: 4.577e+08



ett. 106, 161103 (2011)

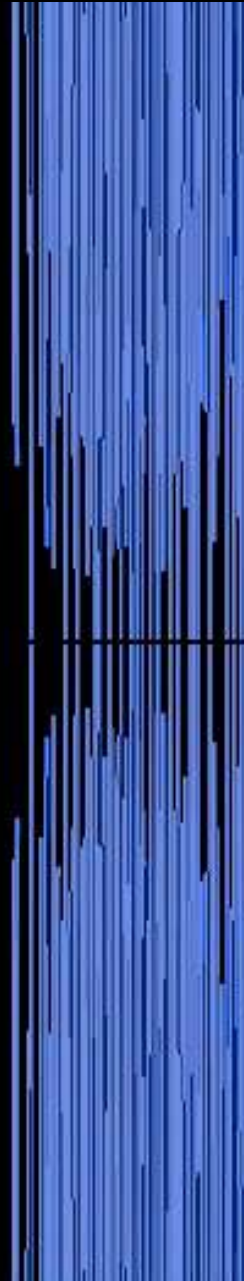
# Nascent BH Spin and Mass Evolution

Ott+ 2011, PRL



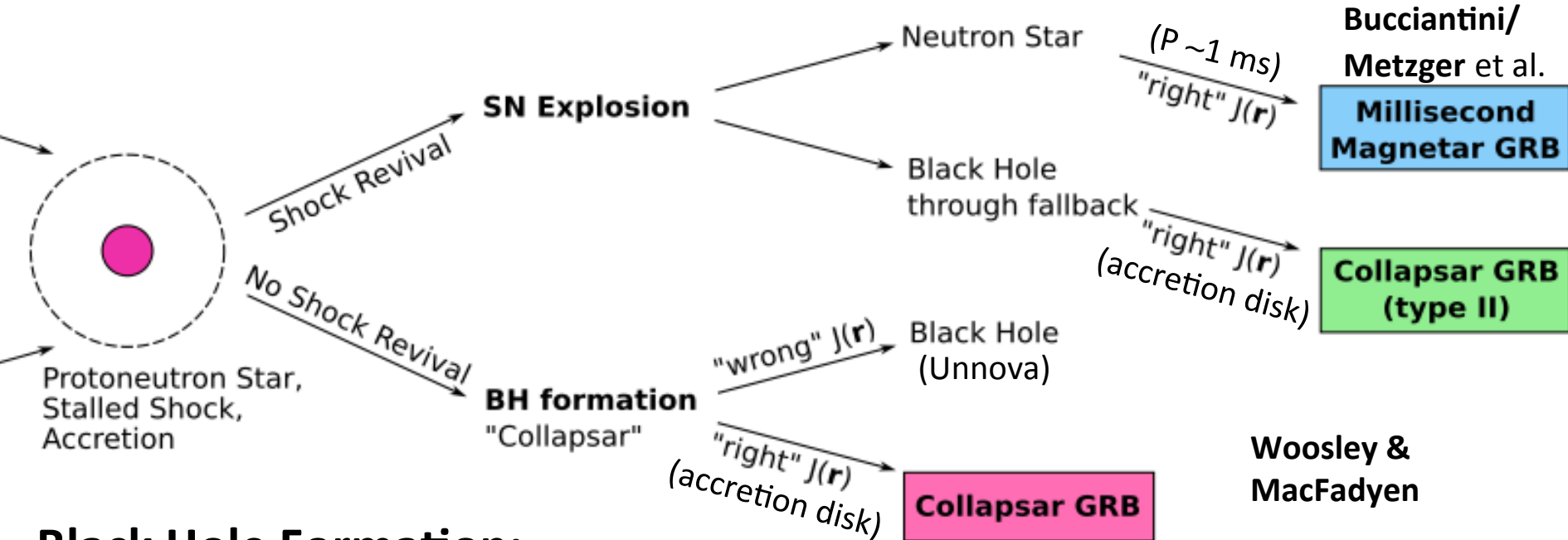
**Newtonian  
Radiation-MHD  
Simulations with  
VULCAN/2D**

**Magnetic field lines in  
Burrows, Dessart,  
Livne, Ott, Murphy '07.**



**ismod2p\_r04k  
B-Field  
Time = -178.5 ms  
Radius = 100.00 km**

# Black Hole Formation and Connection to Long GRBs

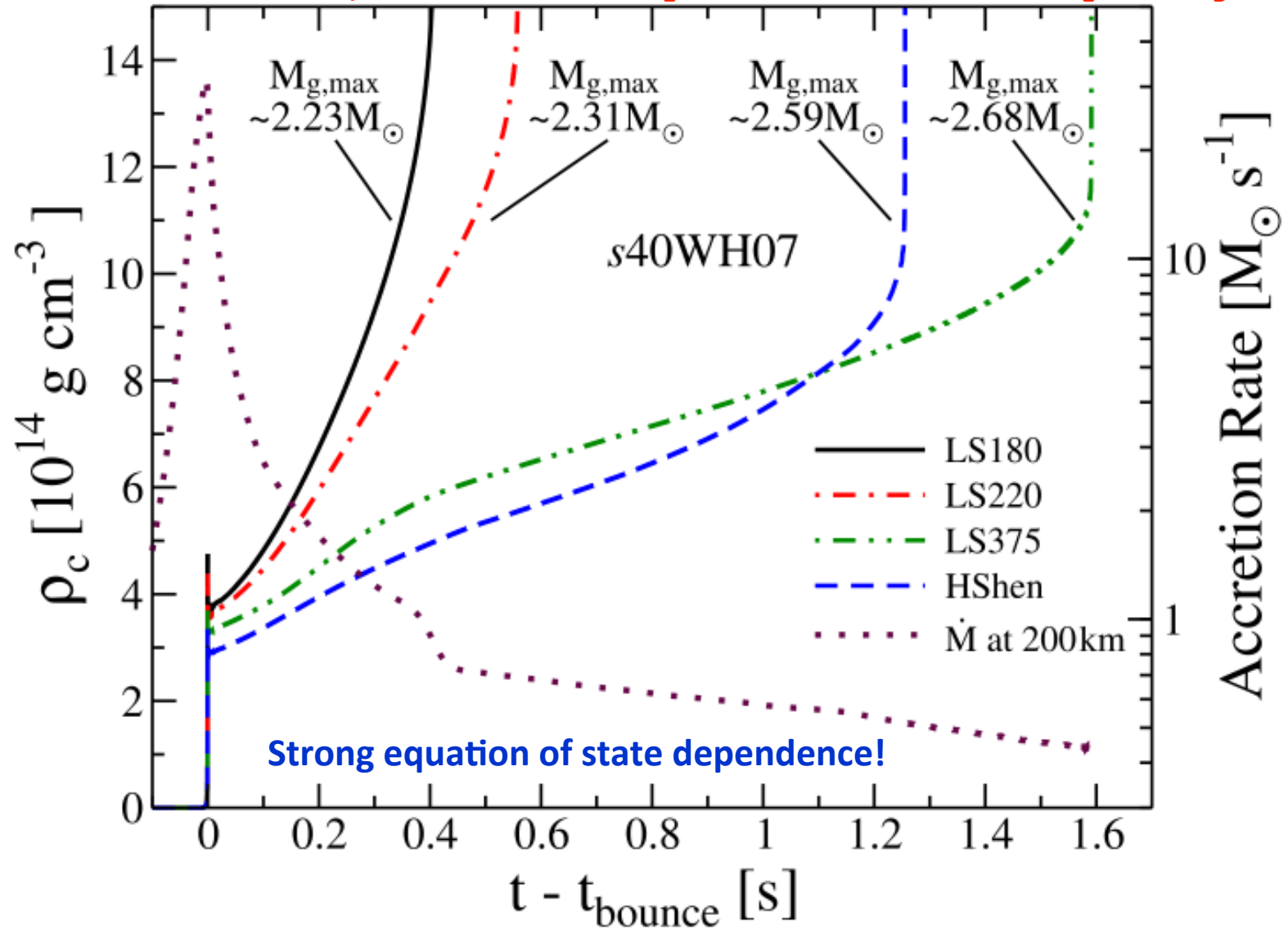


## Black Hole Formation:

- **A protoneutron star always forms, but has a maximum mass!**  
Exact value unknown, but  $M_{\text{max}} > 2 M_{\text{Sun}}$  (J1614-2230, Demorest+'10)
- BH formation: (1) explosion fails, (2) fallback accretion [ (3) softening of nuclear equation of state ].
- What stars make black holes?

# Explosion Failure and BH Formation

O'Connor & Ott 2011, GR1D code @ <http://www.stellarcollapse.org>

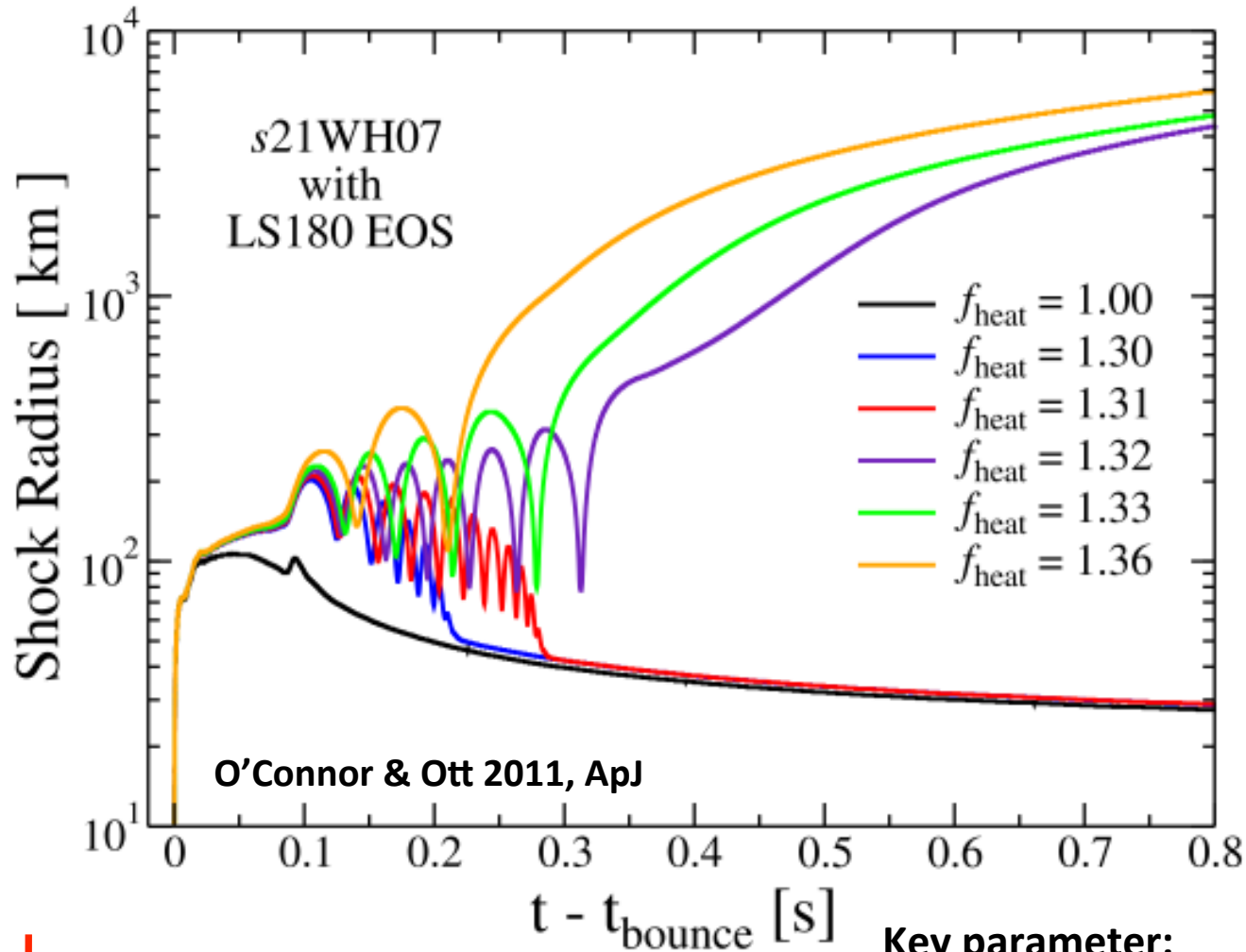


See also

Fischer+ '09, Sumiyoshi+ '08



# How hard can it be to blow up a star?



$$Q_{\nu_i}^{\text{heat}}(r) = f_{\text{heat}} \frac{L_{\nu_i}^{\text{FRF}}(r)}{4\pi r^2} \sigma_{\text{heat},\nu_i} \frac{\rho}{m_{\text{nu}}} X_i \left\langle \frac{1}{F_{\nu_i}} \right\rangle e^{-2\tau_{\nu_i}}$$

$$\eta_{\nu} = \frac{Q_{\nu}^+}{L_{\nu_e} + L_{\bar{\nu}_e}} \quad \text{Heating Efficiency}$$

# How to Characterize Progenitor Stars

O'Connor & Ott 2011

**Compactness  
Parameter**

$$\xi_M = \frac{M / M_\odot}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

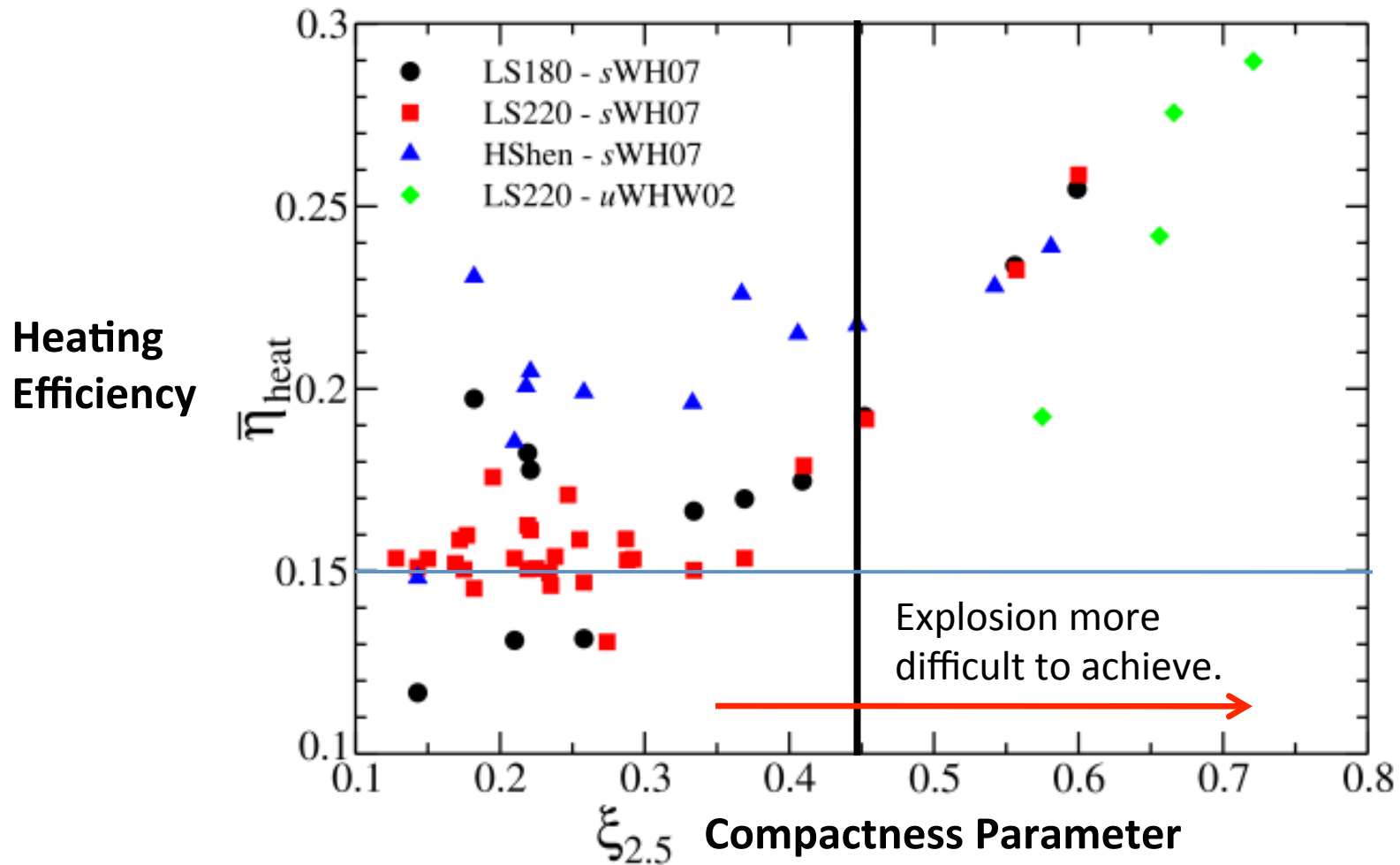
here: use  $M = 2.5 M_\odot$  (typical mass scale for BH Formation)

**Baseline set of simulations:** no rotation, 4 equations of state, ~100 progenitor models.

-> compactness parameter + EOS completely control the dynamics.

# What does it take to blow up a star?

O'Connor & Ott 2011



- Heating efficiency in multi-D simulations: 0.05 - 0.15

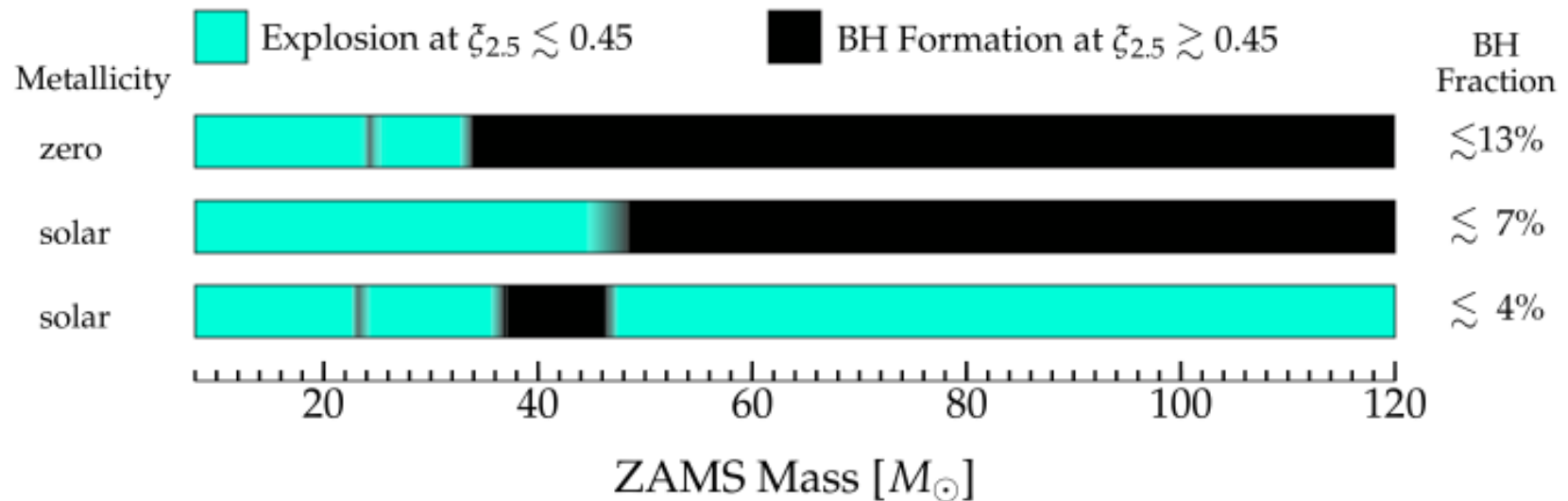
[Marek & Janka '09, Ott et al. '08]

# Mapping ZAMS Mass to Outcome

[O'Connor & Ott 2011]

## What stars make black holes?

Outcome of Core Collapse (neglecting fallback, moderately-stiff EOS)

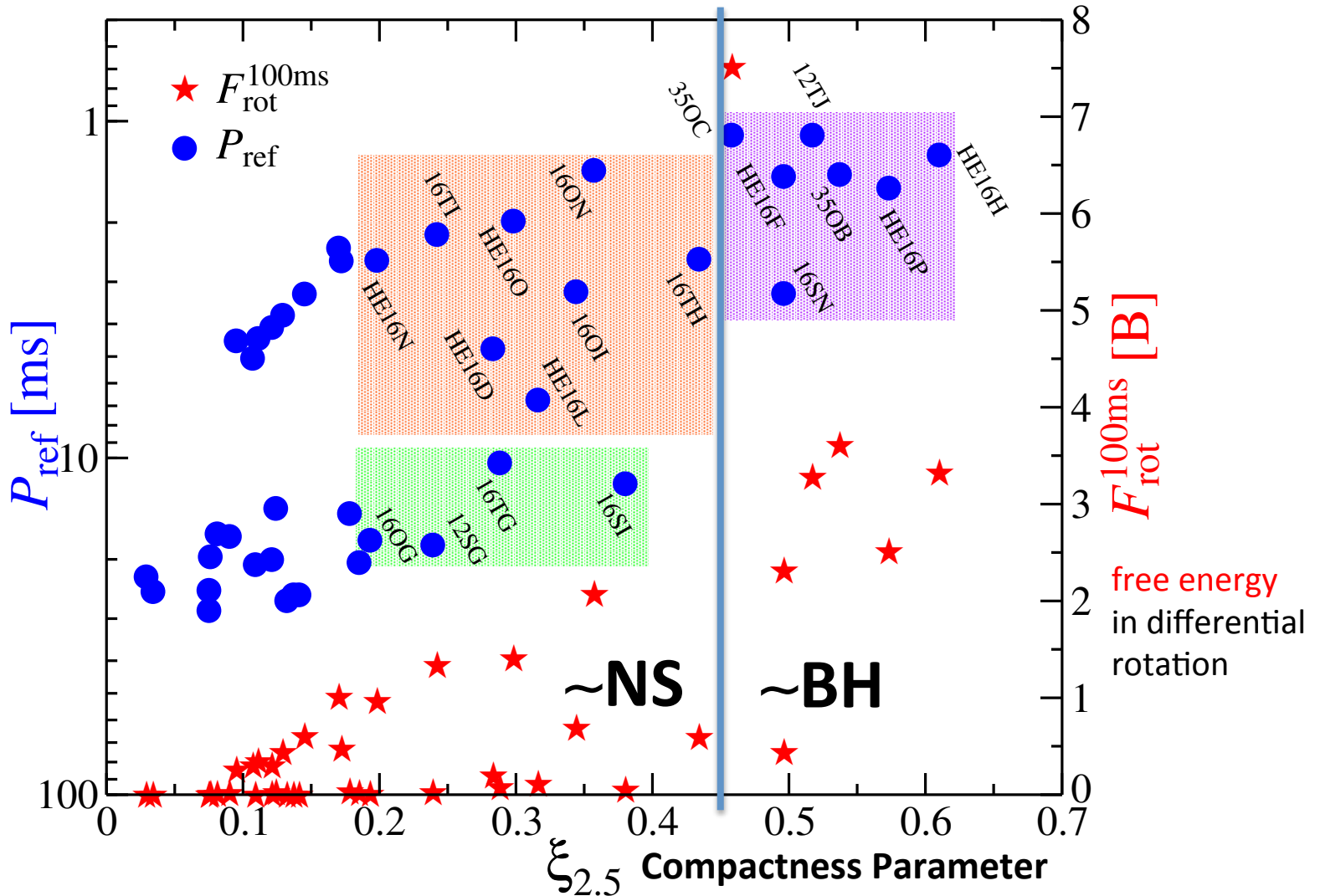


Large uncertainty at solar metallicity: **Physics of mass loss highly uncertain!**

# Adding in Rotation: Testing Collapsar Progenitors

Dessart,  
O'Connor,  
Ott '12, ApJ

Models of  
Woosley &  
Heger '06



Vast majority of long-GRB progenitors -> NS.

BH-producing models have extreme spin -> **magnetorotational explosion + NS?**